



## **CAPITAL PROGRAMME 2004/05 TO 2007/08**

### **RECOMMENDATIONS**

- 1.1 To approve the changes to the 2004/05 Capital Programme as detailed in Appendix 2 and to note the latest monitoring position.
- 1.2 To approve the commencement of schemes over £100,000 as set out in section 2.11.
- 1.3 To recommend to Council the 2005/06 to 2007/08 capital programme set out in the report, 2006/07 and 2007/08 elements of which are indicative except where stated otherwise in the report.
- 1.4 That conditional authority be given to Council Cabinet on 15 March 2005 to approve the detailed content of the funded capital programme for Education.

### **SUPPORTING INFORMATION**

## **Capital Monitoring – 2004/05 Programme**

- 2.1 This report provides Members with the latest monitoring information on the 2004/05 capital programme before going on to setting the 2005/06 to 2007/08 programmes. This section provides details of actual expenditure on the approved capital programme 2004/2005 following detailed scheme by scheme monitoring by departments. A summary position is reported below. This monitoring is against a programme incorporating some revisions, approval for which is sought in the report. Further monitoring and 2004/05 outturn reporting will use this revised programme as the position against which spending is compared.

### **Revised Capital Programme**

- 2.2 The latest approved budget is the restated capital programme approved by Cabinet on 9 November 2004 updated to reflect any changes that have been approved separately by Cabinet. The main changes from the November report are:
  - £1.7m addition to the Education Programme for the Council's contribution to additional facilities at Merrill and Lakeside under the Schools PFI contract as approved by Cabinet on 30 November. This is to be reimbursed by the school once the site is sold.

- Changes outlined in the Education capital budget reports to Cabinet on 28 September and 30 November
- In addition, following the award of the Street Cleaning contract to Commercial Services on 30 November 2004 a scheme to acquire £850k of street cleaning equipment over 2 years has been added to the programme to be financed from unsupported borrowing.

2.3 Further changes to the programme are proposed following detailed monitoring within departments. These changes have been categorised as follows:

- Re-phasing of spend profiles between 2004/2005 and 2005/2006 following scheme progress reviews
- Additions of new schemes as a result of new external funding secured or awaiting bid approval. They are all under the £100k limit which would require specific Cabinet approval as a key decision
- Allocations to individual schemes from approved block programmes for specific funding streams and budget transfers between schemes within an individual department's programme
- Other miscellaneous changes

2.4 All changes are set out in a consolidated schedule at Appendix 2. Changes which require Cabinet approval under Financial Procedure Rules are detailed in Appendix 3.

The total revised programme and funding for 2004/2005 following these changes is summarised in Table 1.

**Table 1 – Revised 2004/05 Capital Programme**

<b>Programme 2004/2005</b>	<b>Latest Approved Programme £000's</b>	<b>Total changes £000's</b>	<b>Revised Programme £000's</b>
Education	22,874	(229)	22,645
Development and Cultural Services	11,776	(723)	11,053
Chief Executive's – Housing	56,199	(4,900)	51,299
Chief Executive's – Other	3,788	(1,064)	2,724
Commercial Services	4,410	213	4,623
Social Services	848	(275)	573
<b>Total</b>	<b>99,895</b>	<b>(6,978)</b>	<b>92,917</b>
<b>Funding 2004/2005</b>	<b>Latest Approved Programme £000's</b>	<b>Total changes £000's</b>	<b>Revised Programme £000's</b>
Supported Capital Expenditure (Revenue)/Supplementary Credit Approval	54,595	(4,669)	49,926
Supported Capital Expenditure (Capital)	5,560	(968)	4,592
Unsupported borrowing	5,243	(895)	4,348
Housing major repairs allowance	7,630	0	7,630
Government grants	13,604	(35)	13,569
Capital receipts	3,476	(839)	2,637
External contributions	2,350	739	3,089
Lottery	4,087	2	4,089
Capital Reserves	2,865	(439)	2,426
Revenue and Reserves	440	171	611
Leasing	45	(45)	0
<b>Total</b>	<b>99,895</b>	<b>(6,978)</b>	<b>92,917</b>

2.5 The reported changes include slippage of £7,581k which has been built into the 2005/06 budgets detailed later in this report.

2.6 The key changes to the 2004/05 programme to note are:

- £5m re-phasing of the Housing programme to reflect the Wimpey No Fines refurbishments which have experienced problems resulting in delays. These have now been resolved, but expenditure will now take place in 2005/06 rather than 2004/05 and requires corresponding SCE – R funding slippage.
- £1,086k reduction on the Home Computer Initiative due to lower than anticipated take up of the scheme. This results in a corresponding reduction in unsupported borrowing.

- £956k re-phasing is required on Connecting Derby to reflect problems in negotiating the purchase price of property and difficulties arising from site clearance. Earmarked funding will be slipped accordingly.
- £800k additional expenditure in 2004/05 is required for the recently awarded Street Cleaning contract (section 2.2).
- confirmation of the approved expenditure of £657k on St Peters Bridge / Markeaton Culvert. £600k of this is funded from the Flood Defence Programme with the remainder from reprofiling of other projects. The expenditure has been approved by Cabinet but the actual financing not reflected in the Capital Programme
- £250k re-phasing is required for the Refuse Vehicles and Plant purchasing as the vehicles will not be ready until the new year. Unsupported borrowing will be utilised when the vehicles are purchased.
- £342k additional external funding has been secured for the Sure Start project at Rosehill.
- £152k addition is required in 2004/05 for design fees for QUAD. These are currently funded by the Arts Council but the funding is dependant on the project progressing.

### **Capital Programme Monitoring Update**

- 2.7 The overall monitoring position on the total capital programme is highlighted in Table 2 below. This follows detailed monitoring by departments to November 2004 with actual spend update to January 2005. Details by department are provided in Appendix 3.

**Table 2 – Monitoring Position of 2004/05 Capital Programme**

<b>Total Programme 2004/2005</b>	<b>£000's</b>	<b>% of revised programme</b>
Actual spending to January 2005	46,262	49.8
Progress against revised programme:		
Completed	12,800	13.8
On site	66,158	71.2
Tender stage	2,666	2.9
Design stage	6,426	6.9
Feasibility/preliminary design/consultation stage	1,629	1.7
Funding streams/other block programmes yet to be allocated	3,238	3.5
<b>Total</b>	<b>92,917</b>	<b>100</b>
Current forecast year end spending	92,220	99.2
Current forecast year end under-spending	697	0.8
<b>Total</b>	<b>92,917</b>	<b>100</b>

- 2.8 The monitoring update in Table 2 is in relation to the revised programme and has been determined from each department's confirmation of whether they consider that schemes are currently on target or not.
- 2.9 Departments are currently reviewing all completed schemes to ensure that all outstanding payments are made and that actual scheme spending against budget is confirmed. Actual cash spending by 31 March is essential to ensure full application of external funding and supported capital expenditure allocations. Schemes not yet completed will be closely monitored between now and the end of March to ensure maximum spend takes place.

### **Scheme Commencements**

- 2.10 Financial Procedure Rules require Cabinet approval for the commencement of schemes over £100k.
- 2.11 Approval is sought to commence the purchase of street cleaning equipment following the successful awarding of the contract which is due to commence 1 March 2005. Scheme costs are £800k in 2004/05 and £50k in 2005/06 to be financed from unsupported borrowing. The revenue financing costs will be met from the Street Cleaning Trade account.

## **Development of the 2005/06 to 2007/08 Capital Programme**

- 2.12 The three year programme from 2005/06 has been developed on a basis consistent with the capital strategy approved by Cabinet on 21 December 2004. Within this framework, there are two parts to the capital programme:
- The 'funded service programme', where service departments are draw up a proposed capital programme, which balances to estimates of funding earmarked for use by each service. This is described in section 2.17.
  - The 'corporate programme', which consists of schemes which need to be funded from available corporate resources, which are not earmarked for use by any one department. The corporate programme exceeds the available funding and an initial officer driven process of prioritising schemes has been undertaken. This is described in section 2.27 onwards.
- 2.13 These two elements are considered separately before being amalgamated so that a fully funded programme can be shown for each service area.

### **Resources**

- 2.14 The Council receives Supported Capital Expenditure – SCE - allocations which attract revenue support for the borrowing costs. These are split into Supported Capital Expenditure (Revenue) - SCE-R - funding to fund revenue costs of capital expenditure and Supported Capital Expenditure (Capital) - SCE-C - grants for specific schemes.
- 2.15 The main 2005/06 SCE funding announcements were made in December 2004 and January 2005.
- 2.16 In addition to SCE further unsupported borrowing is permitted. Unsupported borrowing levels must be set within affordable limits to be identified using a set of prudential indicators. These limits have to be set by the Council. The cost of unsupported borrowing is included as part of the revenue budget process and is funded entirely by the council tax or housing rents, as appropriate.

### **Funded Service Programmes**

- 2.17 The corporate capital strategy determined that Housing, Education, Social Services, Highways and the flood defence programme should be permitted to retain their SCEs as allocated by Government, in cases where these were not ring fenced. Subject to affordability within revenue budgets, funded department programmes may also include:
- Supported Capital Expenditure grants specific to service programmes
  - Other earmarked external resources such as Section 106 receipts and lottery funding
  - Capital receipts that are not pooled for corporate reallocation
  - Direct revenue contributions
  - Spend to Save Schemes where borrowing costs fall within controllable budgets

- Leases provided that it has been confirmed by the Director of Finance that they offer value for money compared against unsupported borrowing and where costs fall within controllable budgets
- 2.18 There is the potential to supplement the service capital programme should a case for self-financing capital investment be identified, subject to further scheme approval following independent evaluation by an officer panel. As such approved schemes will be self-financing, and can utilise additional borrowing at any time subject to Council approval, funding does not need to be allocated before council taxes are set for 2005/06.
- 2.19 The Council's general policy on the earmarking of s106 contributions is set to be reviewed further, as provided for in the capital strategy. This process is expected to affect mainly the treatment of newly negotiated contributions, and is not expected to impact on the budget proposals as they currently stand. The programme may, however, need to be amended later to recognise additional spending funded by s106 contributions.
- 2.20 Departments have drawn up funded programmes within the estimates of available service resources identified in 2.17. Some schemes included in the programme are reliant on external funding, and these will only be approved in principle at present pending approval for bid submission and successful outcomes of the bids.
- 2.21 The Housing programme is subject to a separate report on this agenda, the Transport Plan was approved by Cabinet on 8 February and the Education programme will be reported in detail to Cabinet on 15 March. Summary details of these schemes are shown in Appendix 5.
- 2.22 The Cabinet has yet to make recommendations to Council on the content of the Education Programme, as it has yet to receive the detailed programme and the views of Lifelong Learning Scrutiny Commission on the proposed contents. Approval is therefore requested for Council to delegate authority to 15 March 2005 Cabinet to give final approval to the Education Capital Programme. To avoid delaying the early stages of the programme, this would be without further referral back to Council, conditional upon the final approval being broadly consistent with the outline uses of funding set out in this report and its appendices.
- 2.23 This report provides details on the remaining funded programmes as follows:
- Non Transport D&CS
  - Chief Executives (other)
  - Commercial Services
  - Social Services
- 2.24 Details of schemes included in these programmes are provided in Appendices 5 and 6. The major areas of spend within these programmes and how they link towards departmental and corporate objectives are detailed below.

## **Development and Cultural Services - Excluding Transport**

Much of this programme is linked to the Local Transport Plan which was considered by Cabinet on 8 February.

Other schemes include the following

- maintaining flood defence assets in order to reduce the danger of flooding and consequential impact on the prosperity of the City
- security grants for small businesses to improve the physical environment and enhance economic vitality by encouraging business development in the City
- completion of the Townscape Heritage project which is enhancing buildings that make up the historic fabric of the city centre.

## **Chief Executives – Other**

This programme includes the capital element of the e-Derby programme which enables the Council to move towards national targets and helps provide integrated, cost effective services.

The Chief Executive's programme excludes any provision for upgrading the Council's financial systems. It is intended that this need, which will occur no later than 2007/08, will be met by the commitment of unallocated corporate reserves or any reserves that can be earmarked for this purpose.

## **Commercial Services**

This programme includes several improvements to playgrounds and parks facilities, including Osmaston Park, Arboretum Park and Vicarage Road, which contribute to the safeguarding and enhancing of the natural environment. The programme also includes the replacement of refuse, grounds maintenance vehicles and new street cleaning equipment.

## **Social Services**

The main driver behind the capital programme since 2004/05 has been the National Minimum Standards which are overseen by the National Care Standards Commission – NCSC. The NCSC regulates social care and independent healthcare services in accordance with statutory regulations and the National Minimum Standards issued by the Department of Health. The standards form the basis on which the NCSC will determine whether Social Services' establishments meet the needs and secure the welfare and social inclusion of service users.

- 2.25 When funding levels are confirmed, it may be necessary to adjust the programmes. Any such changes for the final budget or subsequent in year changes will be reported through to Cabinet.
- 2.26 Any further slippage from the 2004/5 capital programme will have the effect of increasing the funded programmes in 2005/06, provided that allocations can be carried forward.



## Corporate Programme

- 2.27 New unsupported borrowing of £2m for each of the next three years has been assumed for the purposes of setting the capital programme. The annual full year revenue cost of this borrowing is £180,000 for each £2m of borrowing and then reduces slightly as principal is repaid will fall entirely on the council tax. This is consistent with the revenue budget proposals. This corporate allocation is separate from that on spend to save schemes in the funded service part of the programme. Unsupported borrowing to fund such self financing schemes falls within the funded service programme as it is funded by definition and revenue costs are met from departmental controllable budgets.
- 2.28 The funding available to fund corporate schemes has been updated since the Capital Strategy report and is shown in Table 3 together with planned commitments against this funding. These commitments are explained further in the subsequent text.

**Table 3 – Summary of Proposed Corporate Programme and Funding**

	<b>2005/06 £'000</b>	<b>2006/07 £'000</b>	<b>2007/08 £'000</b>
<b>Funding:</b>			
Unsupported Borrowing – New (2.27)	2,000	2,000	2,000
Unsupported Borrowing – 2004/5 Slippage	935	0	0
Capital Receipts – Available	4,739	1,885	1,450
Capital Receipts – Reallocated to 2006/7	(16)	16	0
Planned Maintenance – Revenue Contrib.	333	0	0
Public Priorities Fund (2.29)	386	50	50
External Funding 2004/05 slippage	9		
Available Capital Reserves	0	0	1,350
<b>Total Funding for Corporate Programme</b>	<b>8,386</b>	<b>3,951</b>	<b>4,850</b>
<b>Planned Programme:</b>			
Slippage from 2004/5 (2.30)	1,289	0	0
Contribution to E-Derby (2.31)	0	0	0
Accommodation Strategy (2.32)	4,000	657	1,343
Planned Maintenance Programme (2.33)	1,085	750	750
Corporate Allocation – Flood Defence (2.34)	235	0	0
New Corporate Allocations: Firm (2.39)	991	1,132	0
New Corporate Allocations: Indicative (2.50)	400	1,362	2,200
Public Priorities Fund allocations (2.44)	386	50	50
Balance Currently Unallocated	0	0	507
<b>Total Corporate Programme</b>	<b>8,386</b>	<b>3,951</b>	<b>4,850</b>

- 2.29 The assumptions made on available funding are relatively prudent. No non-housing receipts have been assumed to be available beyond 2005/6 at this stage. Further capital resources will become available in 2007/8 when reserves temporarily allocated to support the Schools PFI are returned for corporate use. Allocations from the Public Priorities Fund (PPF) have been included in the programme consistent with proposals in the Revenue Budget Report on this agenda. Capital Schemes funded through the PPF are Footpaths (£356k) for 2005/06 only and a rolling programme of Community Centre Improvements (£50k per year).

- 2.30 Slippage of £1,289k from 2004/5 built into the 2005/6 corporate programme is consistent with the monitoring reported to Cabinet on 9 November and earlier in this report. It relates to:
- £756k modernising Social Services residential establishments to meet higher national care standards
  - £348k the Vicarage Road Pavillion scheme
  - £140k Gas and Pipe work in schools
  - £45k Alvaston Park Changing Area
- 2.31 An indicative contribution was planned for 2005/06 towards the capital costs of the e-Derby programme approved as part of the previous indicative programme. The capital strategy approved on 21 December 2004 concluded that a contribution was no longer considered necessary, on the basis that any investment should be funded on a 'spend to save' basis through generating equivalent revenue savings.
- 2.32 The Accommodation Strategy is still under development. The Council has previously planned on the basis of allocating capital receipts totalling £10m to contribute towards delivering the strategy, £4m in both of 2004/05 and 2005/06 and the £2m balance in 2006/07. Given the limited capital receipts to date identified in 2006/07, and the need to balance the remaining programme, the £2m balance is now shared between 2005/06 and 2006/07. Other than this slight reallocation, the programme is consistent with previous plans. These remain contingency sums until approval is given to full implementation, but for the purposes of planning the Treasury Management revenue budget only these funds are assumed to be spent once available. Investment associated with the Accommodation Strategy will only be brought into the capital programme at a later stage, and although receipts have been earmarked it does not feature within the totals shown in Appendix 5.
- 2.33 The previously planned capital contribution to the Planned Maintenance programme of £750k a year is now assumed to continue to 2007/08. Further details of the 2005/06 programme are explained in later sections of this report. The total proposed expenditure on capital maintenance of £1.085m for 2005/06 includes a £333k contribution from the revenue budget, the contribution from capital funding alone being £752k. Sections 2.23 to 2.25 give further details.
- 2.34 Cabinet on 9 November approved a firm forward allocation of £90k from the 2005/06 capital programme in order to support a bid for flood defence funding of £1.2m from DEFRA for Markeaton Brook. The Asset Management Group is now recommending allocating a further £145k in 2005/06 for other minor 2005/06 flood defence schemes. This has been assessed outside of the process for scoring other corporate capital bids and if approved will increase total 2005/6 corporate contributions to flood defence works to £235k.
- 2.35 Council conditionally approved £1.031m for the QUAD project in January subject to the securing of external funding and a further report being submitted to Cabinet in March. The allocation has been included in the 2007/08 indicative programme at present although this may need to be reviewed as funding may be required in prior

years. A further £200k for the Memorial Gardens has been included in the programme as a consequence of the QUAD project.

### **Planned Capital Maintenance Programme**

- 2.36 It is recommended by the Asset Management Group of officers that £752k of corporate capital funding is allocated to support the repair and maintenance programme to fund the capital schemes identified in Table 4. This will take the full repair and maintenance programme including proposed revenue expenditure to £3.783m, of which £1.085m will be capital expenditure. In addition, the final revenue budget proposals to Cabinet will consider the possibility of using reserves to further supplement this programme.

**Table 4 – Planned 2005/06 Capital Maintenance Programme**

	<b>£'000</b>
Buildings at Risk	175
Disability and Discrimination Act work	100
Boundary Walls and Fences	85
Asbestos Removal	50
Gas Pipework	35
Fire Evacuation	50
Boiler Replacement	150
Glazing Work	40
Lifts	100
Contingency	300
<b>Total</b>	<b>1,085</b>

- 2.37 An element of revenue funded expenditure is required out of the repair and maintenance budget in order to meet the Council's commitment to passport funding to schools. The amount required will not be known until all of the education financing announcements are made and budgets are finalised in March. At that point it may be necessary to amend the programme slightly in order to ensure that the passporting requirements are met.
- 2.38 £100k of Disability and Discrimination Act (DDA) work is allowed for within this prioritised programme. This is less than the underlying allocation in 2004/05 of £150k. However, taking account of the Council's already considerable corporate investment to meet the DDA requirements, and the additional investment within the schools programme, it is considered that this allocation will enable the Council to demonstrate that it is making sufficient progress on the DDA programme to meet the legal requirement of making reasonable adjustments to Council buildings. There is in total still around £600k of DDA investment needs outstanding, but this needs to be balanced against other prioritised capital investment needs in buildings.

### **New Corporate Allocations**

- 2.39 The approved capital strategy provides for further new allocations of corporate capital funding to be informed by the recommendations of the Asset Management Group of officers. The AMG considered and prioritised a programme based on the availability of £1.1m of funds for allocation in 2005/06 with indicative allocations being made for 2006/07 and 2007/08.

- 2.40 A total of 23 schemes seeking corporate funding were submitted to the Asset Management Group for evaluation, totalling £6.2m over the 2005/06 to 2007/08 period. Council departments were requested to restrict the number of schemes submitted to reflect the limited amount of funding available for allocation. Details on each of the prioritised schemes can be found in Appendix 7. Appendix 8 shows the prioritised list of schemes as determined by the AMG.
- 2.41 The schemes have been assessed by the AMG using the evaluation criteria attached at Appendix 9. These criteria formed part of the capital strategy approved by Cabinet on 21 December.
- 2.42 The evaluation process has provided a prioritised list of schemes set out in Appendix 8. The purpose of this evaluation was to provide a starting point to enable Cabinet to determine a final prioritised list of schemes.
- 2.43 In order to enable the maximum number of schemes to be approved, funding was reduced for some individual schemes as detailed below:
- The playground improvement proposal was limited to those playgrounds where external funding could be attracted. This had the effect of reducing the proposal from £285k to £135k in 2005/06, although indicative allocations of £300k have been maintained for 2006/07 and 2007/08.
  - Proposed 2005/06 investment to meet National Care Standards for residential homes was reduced from £485k to £134k. This was done to ensure that the programme was deliverable, taking account of the expected level of slippage from 2004/05 of £700k. The remainder has been allocated indicatively to 2006/07.
  - The dam and reservoir maintenance work included £60k to improve fencing. This element of the bid was excluded as it was not considered to be of comparable priority to the remainder of the proposed scheme.
- 2.44 Several schemes were submitted to improve the Council's leisure facilities. These schemes are now being grouped together and considered as part of a wider option appraisal on improving sports facilities, which may identify further funding sources and also potentially spend to save elements.
- 2.45 The results of the budget consultation exercise and the views of the Scrutiny Commissions were not available at the time that the AMG met to prioritise schemes. Additional schemes are now being considered for inclusion in the programme to reflect the results of consultation. These are:
- Mickleover Library – The existing Library is in need of relocation as it currently operates from a single room in the Memorial Hall that is held on an expired lease. The total cost of this scheme is £1,152k although this will be offset by associated capital receipts of £424 from the sale of the part of the site not required to accommodate the new library, and the Horsa building which is currently occupied by the model railway club.

- King St Bridge - £100k has been included in the programme to fund a surface crossing at King Street. This has been added to the programme following an additional corporate allocation. An evaluation of whether or not to retain the underpass as a second access will be undertaken early in 2005/06. Should it be fully closed and sealed the costs will have to be met from a reprioritisation of the Transport programme, should S106 not be available at the time.
- Footpaths – A £356k programme of footpath improvements throughout the city is being funded from the Public Priorities Revenue Fund.
- Community Centre Improvements – A rolling programme of Community Centre improvements is to be undertaken financed by the Public Priorities Revenue budget. Improvements to Oakwood Community Centre will take place in 2005/06 with Spondon Community Centre taking priority once Oakwood is completed.

2.46 Table 6 shows the proposed list of schemes to be funded from corporate resources. The schemes include those given a high priority by the AMG and those schemes which have been identified through the consultation exercises. The figures below represent the net cost to the Council. Total scheme costs are shown in Appendix 7.

**Table 6 –Schemes Proposed for New Corporate Funding**

<b>Scheme</b>	<b>2005/06 Corporate Funding £'000</b>	<b>2006/07 Corporate Funding £'000</b>	<b>2007/08 Corporate Funding £'000</b>	<b>Total Corporate Funding £'000</b>
DEFRA Flood Defence Bids	0	311	269	<b>580</b>
National Care Standards Residential Establishments	134	351	0	<b>485</b>
Playground Improvements	135	300	300	<b>735</b>
Market Hall Roof Repairs	167	5	0	<b>172</b>
Multi-storey Car Parks	400	400	400	<b>1,200</b>
Dam and Reservoir Maintenance	125	0	0	<b>125</b>
Eagle Centre Lifts	140	165	0	<b>305</b>
Mickleover Library	190	962	0	<b>1,152</b>
Community Centres	30	50	50	<b>130</b>
Footpaths	356	0	0	<b>356</b>
King St Bridge	100	0	0	<b>100</b>
<b>Total</b>	<b>1,777</b>	<b>2,544</b>	<b>1,019</b>	<b>5,340</b>

- 2.47 Consistent with this prioritisation, there would be potential future year commitments of £2,544k in 2006/07 and £1,019k in 2007/08, if similar priority allocations in 2005/06 were repeated where schemes are ongoing over several years. Playground improvements are shown at the level of the funding sought by Commercial Services for 2006/07 and 2007/08, rather than at the level of the proposal made for 2005/06.
- 2.48 The nature of the expenditure for the Market Hall Roof repairs and the Eagle Centre lifts is such that it represents a firm commitment on the 2006/07 budget of £170k.

- 2.49 Expenditure of £962k is also to be treated as a firm commitment on the 2006/07 budget at this point. However, the scale of the scheme is still being finalised and may have varied when the scheme commencement approval is sought from Cabinet.
- 2.50 Proposed expenditure to support bids for DEFRA flood defence funding in 2006/07 and 2007/08 are additional to the proposed spending of £235k for 2005/06 (section 2.21). These further allocations are indicative at this stage but Cabinet approval is likely to be sought in 2005 to confirm some of the 2006/07 allocation as a firm approval, as part of a report to approve these bids in detail. Without a firm commitment, the success of bids to DEFRA may be impaired.
- 2.51 It is considered that better value for money can be obtained if the multi storey car park scheme is contracted over a two year period rather than several one year contracts. Given that the total cost of work will be in the region of £2m over 5 years, including £400k in the 2004/05 programme, it is proposed that a separate report be submitted to Cabinet in the near future in order to commit expenditure in both 2005/06 and 2006/07, and this will include at least a limited option appraisal given the scale of this expenditure. Pending this approval, the scheme remains indicative in the 2005/06 as well as later years.
- 2.52 It should be noted that the priority given to work to achieve Social Services National Care Standards in 2006/07 may need to be reassessed in future, should the potential for capital schemes be identified that will assist Social Services to achieve as yet unidentified savings necessary to meet its future revenue budget targets. It is not a statutory requirement to meet these care standards for existing homes. There is therefore the potential to reassign this funding for other uses within Social Services, should this be considered unavoidable if the revenue budget targets are to be met.
- 2.53 The remaining prioritised allocations for 2006/07 and 2007/08 remain indicative and will be reassessed. This treatment will allow other schemes to be reconsidered for funding in 2006/07. It should also be noted that £507k of capital funding potentially available from 2007/08 onwards has yet to be allocated.

### **Combined Programme**

- 2.54 The combined programme reflecting both the departmental funded programme and corporate programme for 2005/06 to 2007/08 and related funding is set out in Appendices 5. Brief details on all schemes included in the programme can be found in Appendix 6.
- 2.55 Members are now being asked to commit to the 2005/06 programme, subject to later detailed approval of scheme starts in accordance with the Council's contract standing orders and financial procedure rules. The programme for 2006/07 and 2007/08 remains indicative, being set for planning purposes other than where a forward commitment has been specifically stated above.
- 2.56 The total 2004/05 to 2007/08 capital programme and funding is summarised in table 5.

**Table 5 – Summary Capital Programme 2004/05 to 2007/08**

<b>Department</b>	<b>2004/05 £'000</b>	<b>2005/06 £'000</b>	<b>2006/07 £'000</b>	<b>2007/08 £'000</b>
Education	22,645	12,796	10,334	9,360
Development and Cultural Services	11,053	13,695	12,777	10,284
Chief Exec - Housing	51,299	36,903	12,611	12,837
Chief Exec – Other	2,724	1,562	920	750
Commercial Services	4,623	3,622	3,000	652
Social Services	573	1,244	506	155
Partnership				
<b>Total costs</b>	<b>92,917</b>	<b>69,822</b>	<b>40,148</b>	<b>34,038</b>
<i>Funded by:</i>				
SCE(R)/Supplementary Credit Approval	49,926	38,977	12,013	9,949
SCE (C)	4,592	9,278	9,101	9,108
Unsupported Borrowing	4,348	5,190	2,344	1,719
Government grants	17,658	3,741	3,206	2,400
External contributions	3,089	1,279	3,470	1,084
Revenue Contributions	611	1,205	50	50
Housing - Major repairs allowance	7,630	7,221	7,247	7,297
Reserves	2,426	477	0	1,200
Capital receipts	2,637	2,454	2,717	1,231
Leasing	0	0	0	0
<b>Total funding</b>	<b>92,917</b>	<b>69,822</b>	<b>40,148</b>	<b>34,038</b>

- 2.57 Any further slippage from the 2004/05 programme will have the effect of increasing the 2005/06 programmes detailed in Table 5.

## **Programme for 2006/07 and 2007/08**

- 2.58 The programme set out for 2006/07 and 2007/08 is largely “indicative”, for planning purposes. However, the 2005/06 programme will result in some commitment of resources in later years. The majority of this is matched with resources such as the LTP and identified education funding. Commitments in future years resulting from the Corporate Programme are detailed in sections 2.47 to 2.53.
- 2.59 Schemes are not included in the indicative programme except where there is a strong expectation of funding. Funding in the future is generally less certain. This has the effect of temporarily reducing the scale of the 2006/07 and 2007/08 programme until plans are updated later.
- 2.60 Best estimates of future borrowing requirements have been included, in order that the financing costs of the programme can be reflected accurately in the revenue budget.

## Further Issues

- 2.61 **Private Finance Initiative (PFI).** The current schools, street lighting and housing PFI schemes are progressing and will result in new facilities being available. These do not form part of the capital programme as expenditure is deemed to be 'off balance sheet', but nonetheless represent capital works to the benefit of the Council's service users.
- 2.62 **External Funding.** Funding regimes such as European funding and New Deal for Communities do not allocate funding until nearer the start of the new financial year. Where funding is anticipated for Council projects these have been included in the programme, although schemes will not commence until funding is confirmed. There will also be additional capital grants to external organisations that will need adding to the programme at a later stage.
- 2.63 **Rethink Rubbish.** Any additional capital costs of the Rethink Rubbish programme will be reported separately to Cabinet. Any requirements for additional unsupported borrowing will be met from D&CS revenue.

## Next Steps

- 2.64 The departmental funded programmes plus a number of schemes funded from corporate resources will comprise the 2005/06 capital programme to be recommended for approval by Council on 1 March. This will be informed by views of the Overview and Scrutiny Commissions and Cabinet.
- 2.65 2006/07 and 2007/08 indicative programmes will also be submitted. These will include details of commitments from existing schemes and funded schemes in future years.
- 2.66 Once a scheme forms part of the committed capital programme, it will still be subject to a scheme commencement report being completed and approved by Cabinet, if over £100k, before it can proceed, as set out under the financial procedure rules. For schemes which need to commence early in the year, scheme commencement approval can be given at the same point at which funding is committed, to avoid undue delay. Monitoring of projects will be reported to Cabinet throughout the year.
- 2.67 If schemes do not progress to schedule, Cabinet approval will be required before slippage can be moved to future year budgets, and this may give rise to a reprioritisation of funding commitments.



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**Background papers:** Corporate Capital Strategy Cabinet Report 21 December 2004  
Asset Management Plan 2004  
Scheme Evaluation forms for bids to corporate programme  
Overview and Scrutiny reports for Housing, Education and Transport programmes

**List of appendices:** Appendix 1 – Implications  
Appendix 2 – 2004/05 Capital Programme Changes  
Appendix 3 – Changes to 2004/05 Requiring Cabinet Approval  
Appendix 4 – 2004/05 Capital Programme Monitoring Update  
Appendix 5 – Total Capital Programme and Funding 2005/06 – 2007/08  
Appendix 6 – Details of Departmental Schemes  
Appendix 7 – Details of Schemes Requiring Corporate Funding  
Appendix 8 – Prioritised List of Schemes Requiring Corporate Funding  
Appendix 9 – Scoring Criteria for Individual Capital Schemes

<b>IMPLICATIONS</b>
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**Financial**

- 1.1 The capital programme commits the Council to future additional revenue financing costs as well as to the running costs of new assets. These costs have been taken into account in the revenue budget for 2005/06 and in medium term projections for 2006/07 and 2007/08.
- 1.2 The capital programme will result in planned additional borrowing of £45m, the costs of which are fully reflected in the Treasury Management budget.

**Legal**

- 2.1 Capital expenditure that cannot be met from borrowing, capital receipts, contributions or grants has to be charged to the revenue budget.
- 2.2 The rules governing the financing of the capital programme are laid down in the Local Government and Housing Act 1989 and in regulations and directives issued under the Act.

**Personnel**

- 3. None directly arising

**Equalities Impact**

- 4. None Directly Arising.

**Corporate objectives and priorities for change**

- 5. The 2005/06 to 2007/08 capital programme is consistent with corporate themes and priorities.

## Summary of changes to the capital programme 2004/05

	Latest Approved Programme 2004/05 £000s	Revised Capital Programme 2004/2005 £000's	Changes £000's	Category
<b>Education</b>				
Essential Capital Maintenance	49	53	4	A
Adult Misc projects	0	3	3	A
Youth Service Minibus	0	42	42	A
Gas & Pipe work	400	260	-140	S
Sinfin Secondary School	250	245	-5	S
Glazing Surveys	273	173	-100	S
Alvaston Infants School	165	160	-5	S
Ashgate Primary School	61	59	-2	S
Cavendish Close Infants	200	160	-40	S
Peartree Community Junior School	250	240	-10	S
Bemrose Secondary School	115	110	-5	S
Leesbrook Sports College	130	125	-5	S
Littleover Community Secondary School	0	1	1	S
Leesbrook Dance Centre	1	3	2	A
Beaufort Primary Improvements to Hall & New Ball Court	214	203	-11	R1
Boulton Primary Changing Areas & New Ball Court	288	278	-10	R1
Dale Community Changing Rooms & Ball Court	172	195	23	R2
Peartree Spaces for arts & community	447	455	8	R2
Chaddesden Park Juniors	0	2	2	R2
Vicarage Road Pavilion	120	0	-120	R1
Grampian Primary	1	2	1	R2
Ravensdale Infants	0	2	2	R2
Ridgeway Infants	0	1	1	R2
Normanton Village Infants	167	171	4	R2
Asbestos	50	0	-50	R2
Feasibility	20	0	-20	R2
New Deal for Schools Funding to be allocated	495	565	70	R2
Pupil Admin	100	150	50	A
SureStart 3 - Rosehill Lower Dale	270	612	342	A
Pupil Referral Unit KS3	0	50	50	A/R2
Leesbrook - rewiring	210	201	-9	S/R1
Firs Youth Centre Repairs	0	45	45	R2
Roe Farm Primary	354	115	-239	S
West Park Community School	392	240	-152	S
Brackensdale Juniors	24	23	-1	S
On line booking system	115	135	20	A
Springwood - hard court replacement	0	25	25	A
<b>Total changes to Education</b>	<b>5,333</b>	<b>5,104</b>	<b>-229</b>	
<b>Development and Cultural Services - LTP</b>				
Cycling	143	162	11	A
LTP Cycling			8	R2
Road Safety & Travel Awareness	480	540	60	A
St Peters Bridge/Markeaton Culvert Emergency Works	0	657	657	A/R2
Flood Defence	728	128	-600	R2
Sinfin S106 - cycling route improvements	8	0	-8	R2
Grove Street Car Park Security Improvements	48	0	-48	S
Connecting Derby	2,614	1,658	-956	S
Quad	0	153	153	A
<b>Total changes to Development and Cultural Services - Other</b>	<b>4,021</b>	<b>3,298</b>	<b>-723</b>	
<b>Chief Executive's - Housing General Fund</b>				
PFI Scheme	0	100	100	A
<b>Total changes to Chief Executive's - Housing General Fund</b>	<b>0</b>	<b>100</b>	<b>100</b>	

	Latest Approved Programme 2004/05 £000s	Revised Capital Programme 2004/2005 £000's	Changes £000's	Category
<b>Chief Executive's - Housing Revenue Account</b>				
Refurbishment of Wimpey No Fines Dwellings	6,795	1,795	-5,000	S
<b>Total changes to Chief Executive's - Housing Revenue Account</b>	<b>6,795</b>	<b>1,795</b>	<b>-5,000</b>	
<b>Chief Executives - E-Derby</b>				
DDA	441	443	2	A
Home Computer Initiative (HCI)	1,500	414	-1,086	R1
e-Derby to be allocated	395	57	-338	R2/R3
e-Derbyshire projects development	42	48	6	R2
Biztalk	125	128	3	R2
Performance Management System	0	21	21	R2
e-Payments	0	35	35	R2
Procurement Handbook	0	23	23	R2
Council Chamber Multi-Media	0	69	69	R2
Customer Relationship Mgmt	0	109	109	R2
PS Review	0	36	36	R2
e-Forms	0	3	3	R2
Derby Homes - Enquiry	0	33	33	R2
e-Derby to be allocated (reduction to Care Zone)	57	77	20	R3
<b>Total changes to Chief Executives - E-Derby</b>	<b>2,560</b>	<b>1,496</b>	<b>-1,064</b>	
<b>Commercial Services</b>				
Handford Street Playground	78	95	17	A
King George V Playing Field	0	98	21	A
			77	R2
Field Lane Rec Play Area	0	49	25	A
			24	R2
Chester Green Play Area	0	26	11	A
			15	R2
Street Cleaning plant & equipment	0	800	800	A
Sunnyhill Rec	0	18	18	R2
Back Lane & Knightsbridge Rec Play Areas	0	20	20	R2
Playground Improvements	59	0	-59	R2
Youth Facilities	95	0	-95	R2
Other Miscellaneous Vehicles	20	0	-20	R1
Street Lighting Vehicles	25	0	-25	R1
Parks Building Improvements	74	34	-40	S
Refuse Vehicles & Plant	350	100	-250	S
Street Sports	26	18	-8	S
Osmaston Park Facilities	100	50	-50	S
Vicarage Road Pavilion	250	100	-150	S
Alvaston Park - changing rooms	70	25	-45	S
Knightsbridge Rec pitch drainage	30	3	-27	S
Highview Neighbourhood Park	46	0	-46	S
<b>Total changes to Commercial Services</b>	<b>1,223</b>	<b>1,436</b>	<b>213</b>	
<b>Social Services</b>				
National Care Standards	222	166	-56	S
Integrated Childrens Services	49	0	-49	S
Care Zone (E Derby)	100	80	-20	R3
Childrens Family Support	311	161	-150	S
<b>Total changes to Social Services Services</b>	<b>682</b>	<b>407</b>	<b>-275</b>	
<b>TOTAL CHANGES TO PROGRAMME</b>	<b>20,614</b>	<b>13,636</b>	<b>-6,978</b>	

#### Key of Categories

<b>A</b>	Additional schemes from new funding secured
<b>S</b>	Re-phasing
<b>R1</b>	Other Adjustments
<b>Re-allocation:</b>	
<b>R2</b>	Within Departments programme
<b>R3</b>	To different Departments programme

## **Changes to 2004/05 Capital Programme Requiring Cabinet Approval**

This Appendix provides further details on those changes to the capital programme requiring Cabinet approval.

### **Education Department**

<b>Project Name</b>	<b>Amount £'000</b>	<b>Reason</b>
Youth Service Mini Bus	42	Additional funding secured from Neighbourhood Renewal Fund for this mini bus.
Springwood – hard court replacement	25	Replacement of the 5-a-side hard court pitch, this is to be funded from revenue contributions.
Gas and Pipe work	-140	Works started late in 2004/05 & are ongoing but will not be completed in this financial year.
Glazing Surveys	-100	Works are ongoing and on target to be completed early 2005/06
Cavendish Close Infant School	-40	Works could not take place due to pipe work replacement project being on site.
Dale Community Changing Rooms	23	This project has been completed on site, increase is required to fund fees & retention on construction work still outstanding. Allocation of New Deal for School (NDS) funding.
Vicarage Road Pavilion	-120	Scheme now forms part of Commercial Services programme.
Asbestos Removal Surveys	-50	Issues with using capital to fund surveys, this is to be funded through revenue.
Feasibility Work	-20	Issues with using capital to fund feasibilities, this is to be funded through revenue.
Pupil Administration	50	Further modules have been contracted, along with a dedicated web server. The additional £50k will come from Education Revenue.
Sure Start 3 – Rosehill Lower Dale	342	Additional funding has been secured for the Sure Start project at Rosehill.
Pupil Referral Unit	50	Additional SCE funding of £25k has been secured for this new unit. The remaining £25k will come from NDS.
Firs Youth Centre Repairs	45	Work deferred from 2003/04 due to asbestos removal works. Section 106 funding is to be used for these repairs.
Roe Farm Primary	-239	Timescale for Big Lottery (NOF) to consider Stage 2 application was longer than anticipated. Work is due to start beginning of March 2005.
West Park Community School	-152k	Phase 2 preparation works are almost complete but main works will take place over Summer 2005 when the classrooms are vacant.
On Line Booking System	20	The education revenue funding element not included in original capital budget.

## Development & Cultural Services

Project Name	Amount £'000	Reason
Cycling	19	£11k has been received from the s106 contributions, to be used for cycling scheme. See Sinfin S106- Cycling Route Improvements.
Road Safety & Travel Awareness	60	External contributions to be used for Road Safety and Travel awareness.
St Peters Bridge/Markeaton Culvert Emergency Works	657	£600 of this is to be funded from Flood Defence. The remainder from re-profiling of other projects.
Flood Defence	-600	See St Peters Bridge.
Sinfin S106- Cycling Route Improvements	-8	£8k Section 106 has been reallocated from Sinfin Cycle Route improvements to the LTP Cycling scheme as works undertaken are linked.
Grove Street Car Park Security Improvements	-48	Consultation process with member still to take place. To be funded from s106 contributions.
Connecting Derby	-956	Re-phasing is required due to problems with negotiating the purchase price of property and site clearance.
Quad	153	Additional required in 2004/05 due to design fees.

## Chief Executives – Housing General Fund

Project Name	Amount £'000	Reason
PFI Scheme	100	£100k from Capital receipts to be used.

## Chief Executives – Housing Revenue Account

Project Name	Amount £'000	Reason
Refurbishment of Wimpey No Fines Dwellings	-5,000	Problems, which are now being resolved, have delayed this scheme. £5m to be slipped into 05/06. To be funded by supported capital expenditure (Revenue).

## Chief Executives – E-Derby

Project Name	Amount £'000	Reason
Home Computer Initiative (HCI)	-1,086	Take up numbers of the 2004/05 scheme were lower than anticipated. This scheme was to be funded by Unsupported Borrowing
Care Zone (e-Derby)	20	Reduction to the original £100k allocation from e-Derby due to a drop in the number of foster careers requiring Pc's within the home.
e-Derby to be allocated	-338	e-Derby funding allocated to various schemes
e-Derbyshire Projects Development	6	To be funded by e-Derby.
Biztalk	3	To be funded by e-Derby.
Performance Management System	21	To be funded by e-Derby.
e-Payments	35	To be funded by e-Derby.
Procurement Handbook	23	To be funded by e-Derby.
Council Chamber Multi-Media	69	To be funded by e-Derby.
Customer Relationship Mgmt	109	To be funded by e-Derby.
PS Review	36	To be funded by e-Derby.
e-Forms	3	To be funded by e-Derby.
Derby Homes Enquiry	33	To be funded by e-Derby.

## Commercial Services Department

Project Name	Amount £'000	Reason
Handford St Playground	17	Tender returns came in over original budget. The programme was reduced where possible but to ensure that the core programme was still completed an additional £17k was required. This is to be funded by Section 106 contributions.
Street Cleaning Plant & Equipment	800	This relates to a recently awarded contract due to start 1 March 2005.
Misc Vehicles	-20	No sundry vehicles are now planned for this financial year.
Street Lighting Vehicles	-25	No vehicles now planned for this financial year.
Refuse Plant & Vehicles	-250	Re-phasing is required as the vehicles will not be ready until the new year.
Osmaston Park	-50	Delay caused by late approval of funding from

<b>Project Name</b>	<b>Amount £'000</b>	<b>Reason</b>
Facilities		Active England.
Vicarage Road Pavilion	-150	Delay caused by necessary redesign of building.
Alvaston Park Changing Rooms	-45	External funding delayed.
Knightsbridge Rec Pitch drainage	-27	Higher prices for drainage works have necessitated a re-design of the scheme.

Various reallocations have also taken place between play areas following a re-prioritisation of the programme.

### **Social Services**

<b>Project Name</b>	<b>Amount £'000</b>	<b>Reason</b>
National Care Standards	-56	Following the initial design stage actual completion of schemes will run into 05/06, 700k was slipped as per 9 <sup>th</sup> Nov Cabinet, but after further review an additional 56k is required to be slipped.
Integrated Children's Services	-49	Presentations still in progress, software developers are still being sought.
Care Zone (e-Derby)	-20	Originally this scheme was to provide 100 Foster Careers with PC's within the home, this number has now been reduced to 85.
Children's Family Support	-150	Work no longer viable. Plans for alternative use for the funding are currently being finalised.



## Capital Programme 2004/5 Monitoring Update

Department	Education		Development and Cultural Services		Chief Execs - Housing		Chief Execs - Other		Commercial Services		Social Services		Total	
	£000's	%	£000's	%	£000's	%	£000's	%	£000's	%	£000's	%	£000's	%
<b>Spending</b>														
Actual spending to January 2005	12,765	56.4%	5,831	52.8%	23,799	46.4%	945	34.7%	2,880	62.3%	42	7.3%	<b>46,262</b>	<b>49.8%</b>
Current forecast year end spending	22,348	98.7%	10,653	96.4%	51,299	100.0%	2,724	100.0%	4,623	100.0%	573	100.0%	<b>92,220</b>	<b>99.2%</b>
Current forecast year end under-spending	297	1.3%	400	3.6%	0		0		0		0		<b>697</b>	<b>0.8%</b>
<b>Progress against revised programme:</b>														
Completed	8,736	38.6%	2,798	25.3%	970	1.9%	157	5.8%	60	1.3%	79	13.8%	<b>12,800</b>	<b>13.8%</b>
On site	7,689	34.0%	4,453	40.3%	48,505	94.6%	2,181	80.1%	3,089	66.8%	241	42.1%	<b>66,158</b>	<b>71.2%</b>
Tender stage	1,479	6.5%	0	0.0%	0	0.0%	207	7.6%	883	19.1%	97	16.9%	<b>2,666</b>	<b>2.9%</b>
Design stage	2,901	12.8%	2,559	23.2%	300	0.6%	100	3.7%	566	12.2%	0	0.0%	<b>6,426</b>	<b>6.9%</b>
Feasibility/preliminary design/consultation stage	0	0.0%	1,243	11.2%	205	0.4%	0	0.0%	25	0.5%	156	27.2%	<b>1,629</b>	<b>1.8%</b>
Funding streams/other block programmes yet to be allocated	1,840	8.1%	0	0.0%	1,319	2.6%	79	2.9%	0	0.0%	0	0.0%	<b>3,238</b>	<b>3.5%</b>
Total	22,645	100.0%	11,053	100.0%	51,299	100.0%	2,724	100.0%	4,623	100.0%	573	100.0%	<b>92,917</b>	<b>100.0%</b>

## Capital Programme Summary 2005/06 - 2007/08

For Information  2004/05 £'000	Costs and Funding	Cost								
		2005/2006				2006/2007		2007/2008 £'000	Future yrs £'000	Total cost £'000
		Approved Rephasing Nov £'000	Rephasing Feb £'000	New	Total £'000	Rephasing Feb £'000	New £'000			
	<b>Scheme Costs</b>									
	<b>Schemes included in this report</b>									
22,645	Education	2,389	706	9,701	12,796	2	10,332	9,360	0	32,490
11,053	Development and Cultural Services	0	1,004	12,691	13,695	0	12,777	10,284	737	37,493
51,299	Chief Executive - Housing	0	5,000	31,903	36,903	0	12,611	12,837	12,993	75,344
2,724	Chief Executive - Other	0	0	1,562	1,562	0	920	750	0	3,232
4,623	Commercial Services	338	616	2,668	3,622	0	3,000	652	2,780	10,054
573	Social Services	700	255	289	1,244	0	506	155	155	2,060
	Unallocated Resources							507		507
92,917	<b>Total Costs</b>	3,427	7,581	58,814	69,822	2	40,146	34,545	16,665	161,180
	<b>Funding</b>									
49,926	Supported Capital Expenditure - SCE ( R )	0	5,000	33,977	38,977	0	12,013	9,949	4,305	65,244
4,592	Supported Capital Expenditure - SCE ( C )	1,570	322	7,386	9,278	2	9,099	9,108	0	27,487
4,348	Unsupported Borrowing - Corporate Schemes	700	385	2,000	3,085	0	2,000	2,000	675	7,760
	Unsupported Borrowing - funded by Departments	0	250	1,855	2,105	0	344	0	0	2,449
17,658	Government Grant (including Lottery)	819	301	2,621	3,741	0	3,206	2,400	2,890	12,237
3,089	External Contributions	0	134	1,145	1,279	0	3,470	1,084	402	6,235
611	Revenue Contributions	0	0	1,205	1,205	0	50	50	0	1,305
7,630	Major Repairs Allowance - MRA (Housing)	0	0	7,221	7,221	0	7,247	7,297	7,293	29,058
2,426	Capital Reserves	140	256	0	396	0	0	1,350	0	1,746
-	Service Revenue Reserves	0	0	81	81	0	0	0	0	81
	Corporate Reserves		0	0	0	0	0	0	0	0
-	Leasing	0	0		0	0	0	0	0	0
2,637	Capital Receipts	198	933	1,323	2,454	0	2,717	1,307	1,100	7,578
92,917	<b>Total Funding</b>	3,427	7,581	58,814	69,822	2	40,146	34,545	16,665	161,180

**Education - Capital Scheme Costs 2005/06 - 2007/08**

Scheme	Cost								
	2005/2006				2006/2007	2006/2007 £000	2007/2008 £000	Future yrs £000	Total Budgeted Cost £000
	Approved Rephasing Nov 2004 £000	Rephasing Feb 2005 £000	New Scheme £000	Total £000	Rephasing Feb 2005 £000				
<b>Funded Programme</b>									
Devolved Formula Schools Schemes funding source	1,000	0	3,340	4,340	0	4,197	4,424	0	12,961
New Deal Condition / Modernisation funding 04/05	0	0	4,898	4,898	0	4,390	4,453	0	13,741
Schools Access Initiative	0	0	433	433	0	433	433	0	1,299
Target Funding - PRU KS3 Building	0	0	1,000	1,000	0	1,262	0	0	2,262
Cavendish Close infants - heating	2	40	0	42	0	0	0	0	42
Brackensdale Junior - double classroom extension	261	1	0	262	0	0	0	0	262
St Giles - classroom extension	307	0	0	307	0	0	0	0	307
Noel Baker - NOF PEA	244	0	0	244	0	0	0	0	244
Woodlands - NOF PEA	240	0	0	240	0	0	0	0	240
Darley Abbey	335	0	0	335	0	0	0	0	335
Gas & Pipe work	0	140	0	140	0	0	0	0	140
Miscellaneous Retention Payment	0	26	0	26	0	0	0	0	26
Glazing Surveys	0	100	0	100	0	0	0	0	100
Peartree Community	0	10	0	10	0	0	0	0	10
Roe Farm Primary	0	239	0	239	0	0	0	0	239
West Park Community School	0	150	0	150	2	0	0	0	152
<b>Corporate Programme</b>									
Community Centres	0	0	30	30	0	50	50	0	130
<b>Total</b>	<b>2,389</b>	<b>706</b>	<b>9,701</b>	<b>12,796</b>	<b>2</b>	<b>10,332</b>	<b>9,360</b>	<b>0</b>	<b>32,490</b>

Note: The detailed Education Programme is subject to a separate report to Cabinet on 15 March. This sheet provides summarised data only.

## Education - Details of Funding

APPENDIX 5

	2005/2006				2006/2007		2007/2008	Future Years	Total
	Approved Rephasing Nov £000	Rephasing Feb £000	New £000	Total £000	Rephasing Feb £000	New £000	£000	(per year) £000	2005/06 to 2007/08 £000
<b>Funded Programme</b>									
Supported Capital Expenditure (Revenue)	-	-	2,786	2,786	0	2,068	1,158	0	6,012
Supported Capital Expenditure (Capital)	1,570	322	6,789	8,681	2	7,873	8,152	0	24,708
Government Grant	819	244	96	1,159	0	341	0	0	1,500
<b>Sub Total</b>	<b>2,389</b>	<b>566</b>	<b>9,671</b>	<b>12,626</b>	<b>2</b>	<b>10,282</b>	<b>9,310</b>	<b>0</b>	<b>32,220</b>
<b>Corporate Programme</b>									
Unsupported Borrowing	0	140	0	140	0	0	0	0	140
Revenue Contributions	0	0	30	30	0	50	50	0	130
<b>Sub Total</b>	<b>0</b>	<b>140</b>	<b>30</b>	<b>170</b>	<b>0</b>	<b>50</b>	<b>50</b>	<b>0</b>	<b>270</b>
<b>Total</b>	<b>2,389</b>	<b>706</b>	<b>9,701</b>	<b>12,796</b>	<b>2</b>	<b>10,332</b>	<b>9,360</b>	<b>0</b>	<b>32,490</b>

**Development & Cultural Services - Capital Scheme Costs 2005/06 - 2007/08**

Scheme	Cost							
	2005/2006				2006/2007 £000	2007/2008 £000	Future yrs £000	Total Budgeted Cost £000
	Approved Rephasing Nov 2004 £000	Rephasing Feb 2005 £000	New Schemes £000	Total £000				
<b>Local Transport Plan (See 8 Feb Cabinet Report)</b>	0	956	8,031	8,987	4,357	3,417	0	16,761
<b>Other Schemes in Funded Programme</b>								
Planning - Townscape Heritage Initiative	0	0	450	450	0	0	0	450
Security Grants	0	0	75	75	0	0	0	75
Museum Military Gallery Refurbishment	0	0	60	60	658	0	0	718
Grove St Car Park Security Improvements	0	48	0	48	0	0	0	48
Destination Management System at TIC	0	0	15	15	5	0	0	20
<b>Corporate Programme</b>								
<b>Flood Defence:-</b>								
Markeaton Brook CAMP Phase 1	0	0	1,290	1,290	0	0	0	1,290
Markeaton Brook CAMP Phase 2 & 3	0	0	70	70	1,580	1,722	275	3,647
Culvert Screens	0	0	28	28	28	27	0	83
Bramble Brook Culvert	0	0	153	153	967	0	0	1,120
Littleover Brook Culvert	0	0	20	20	460	645	0	1,125
<b>Other</b>								
Multi Storey Car Parks	0	0	400	400	400	400	400	1,600
Mickleover Library	0	0	190	190	962	0	0	1,152
Footpaths	0	0	356	356	0	0	0	356
QUAD	0	0	1,453	1,453	3,360	4,073	62	8,948
King Street Bridge	0	0	100	100	0	0	0	100
<b>Total</b>	<b>0</b>	<b>1,004</b>	<b>12,691</b>	<b>13,695</b>	<b>12,777</b>	<b>10,284</b>	<b>737</b>	<b>37,493</b>

## Development & Cultural Services - Details of Funding

APPENDIX 5

	2005/2006				2006/2007	2007/2008	Future	Total
	Approved Rephasing Nov	Rephasing Feb	New	Total			Years (per year)	2004/05 to 2006/07
	£000	£000	£000	£000	£000	£000	£000	£000
<b>Funded Programme</b>								
Supported Capital Expenditure (Revenue)	0	0	8,260	8,260	5,856	4,586	0	18,702
Supported Capital Expenditure (Capital)	0	0	597	597	1,226	956	0	2,779
Government Grant	0	0	1,542	1,542	2,199	1,758	40	5,539
External Contributions	0	48	920	968	1,824	934	22	3,748
Service Reserves	0	0	81	81	0	0	0	81
Capital Reserves	0	210	0	210	0	0	0	210
<b>Sub Total</b>	<b>0</b>	<b>258</b>	<b>11,400</b>	<b>11,658</b>	<b>11,105</b>	<b>8,234</b>	<b>62</b>	<b>31,059</b>
<b>Corporate Programme</b>								
Unsupported Borrowing	0	0	645	645	710	669	675	2,699
Revenue Contributions	0	0	356	356	0	0		356
Corporate Reserves	0	0	0	0	0	0	0	0
Capital Reserves	0	0	0	0	0	1,231	0	1,231
Capital Receipts	0	746	290	1,036	962	150	0	2,148
<b>Sub Total</b>	<b>0</b>	<b>746</b>	<b>1,291</b>	<b>2,037</b>	<b>1,672</b>	<b>2,050</b>	<b>675</b>	<b>6,434</b>
<b>Total</b>	<b>0</b>	<b>1,004</b>	<b>12,691</b>	<b>13,695</b>	<b>12,777</b>	<b>10,284</b>	<b>737</b>	<b>37,493</b>

**Chief Executive - Housing - Capital Scheme Costs 2005/06 - 2007/08**

**APPENDIX 5**

Scheme	Cost							
	2005/2006				2006/2007 £000	2007/2008 £000	Future yrs £000	Total Budgeted Cost £000
	Approved Rephasing Nov 2004 £000	Rephasing Feb 2005 £000	New Schemes £000	Total £000				
HRA	0	5,000	27,263	32,263	7,993	8,070	8,089	56,415
<b>Total HRA</b>	<b>0</b>	<b>5,000</b>	<b>27,263</b>	<b>32,263</b>	<b>7,993</b>	<b>8,070</b>	<b>8,089</b>	<b>56,415</b>
Housing General Fund	0	0	4,640	4,640	4,618	4,767	4,904	18,929
<b>Total HGF</b>	<b>0</b>	<b>0</b>	<b>4,640</b>	<b>4,640</b>	<b>4,618</b>	<b>4,767</b>	<b>4,904</b>	<b>18,929</b>
<b>Grand Total</b>	<b>0</b>	<b>5,000</b>	<b>31,903</b>	<b>36,903</b>	<b>12,611</b>	<b>12,837</b>	<b>12,993</b>	<b>75,344</b>

The detailed Housing Capital Programme is subject to a separate report on this agenda

## Chief Executive - Housing - Details of Funding

APPENDIX 5

	2005/2006				2006/2007	2007/2008	Future	Total
	Approved Rephasing Nov	Rephasing Feb	New	Total			Years (per year)	2005/06 to 2007/08
	£000	£000	£000	£000	£000	£000	£000	£000
<b>Funded Programme</b>								
Supported Capital Expenditure (Revenue)	0	5,000	22,756	27,756	3,934	4,050	4,150	39,890
Supported Capital Expenditure (Capital)	0	0	0	0	0	0	0	0
Unsupported Borrowing	0	0	0	0	0	0	0	0
Government Grant-Disabled Facilities Grant	0	0	422	422	430	440	450	1,742
Government Grant-Major Repairs Allowance	0	0	7,221	7,221	7,247	7,297	7,293	29,058
Other Grants	0	0	74	74	0	0	0	74
Capital Receipts	0	0	945	945	1,000	1,050	1,100	4,095
Revenue	0	0	485	485	0	0	0	485
<b>Sub Total</b>	<b>0</b>	<b>5,000</b>	<b>31,903</b>	<b>36,903</b>	<b>12,611</b>	<b>12,837</b>	<b>12,993</b>	<b>75,344</b>
<b>Corporate Programme</b>				0	0	0	0	0
<b>Total</b>	<b>0</b>	<b>5,000</b>	<b>31,903</b>	<b>36,903</b>	<b>12,611</b>	<b>12,837</b>	<b>12,993</b>	<b>75,344</b>



**Chief Executive - Other - Capital Scheme Costs 2005/06 - 2007/08**

**APPENDIX 5**

Scheme	Cost							
	2005/2006				2006/2007 £000	2007/2008 £000	Future yrs £000	Total Budgeted Cost £000
	Approved Rephasing Nov 2004 £000	Rephasing Feb 2005 £000	New Schemes £000	Total £000				
<b>Funded Programme</b>								
e-Derby	0	0	150	150	0	0	0	150
Air Quality Monitoring	0	0	20	20	0	0	0	20
<b>Corporate Programme</b>								
Planned Maintenance Programme	0	0	1,085	1,085	750	750	0	2,585
Market Hall Roof Repairs	0	0	167	167	5	0	0	172
Eagle Centre Lifts	0	0	140	140	165	0	0	305
<b>Total</b>	<b>0</b>	<b>0</b>	<b>1,562</b>	<b>1,562</b>	<b>920</b>	<b>750</b>	<b>0</b>	<b>3,232</b>

## Chief Executive - Other - Details of Funding

APPENDIX 5

	2005/2006				2006/2007	2007/2008	Future	Total
	Approved Rephasing Nov	Rephasing Feb	New	Total			Years (per year)	2005/06 to 2007/08
	£000	£000	£000	£000	£000	£000	£000	£000
<b>Funded Programme</b>								
Supported Capital Expenditure (Revenue)	0	0	20	20	0	0	0	20
Government Grant	0	0	0	0	0	0	0	0
IT for Children Looked After	0	0	0	0	0	0	0	0
Improving Information Management	0	0	150	150	0	0	0	150
<b>Sub Total</b>	<b>0</b>	<b>0</b>	<b>170</b>	<b>170</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>170</b>
<b>Corporate Programme</b>								
Unsupported Borrowing	0	0	971	971	920	750	0	2,641
Revenue Contributions	0	0	333	333	0	0	0	333
Capital Receipts	0	0	88	88	0	0	0	88
<b>Sub Total</b>	<b>0</b>	<b>0</b>	<b>1,392</b>	<b>1,392</b>	<b>920</b>	<b>750</b>	<b>0</b>	<b>3,062</b>
<b>Total</b>	<b>0</b>	<b>0</b>	<b>1,562</b>	<b>1,562</b>	<b>920</b>	<b>750</b>	<b>0</b>	<b>3,232</b>

**Commercial Services - Capital Scheme Costs 2005/06 - 2007/08**

Scheme	Cost							
	2005/2006				2006/2007 £000	2007/2008 £000	Future yrs £000	Total Budgeted Cost £000
	Approved Rephasing Nov 2004 £000	Rephasing Feb 2005 £000	New Schemes £000	Total £000				
<b>Funded Programme</b>								
Grounds Plant & Equipment	0	0	750	750	45	0	0	795
Refuse Vehicles & Plant	0	250	1,000	1,250	300	0	0	1,550
Parks Building Improvements	0	40	0	40	0	0	0	40
Street Sports	0	8	0	8	0	0	0	8
Highview Neighbourhood Park	0	46	0	46	0	0	0	46
Replacement Buildings Maintenance IT System	140	0	0	140	0	0	0	140
Other misc vehicles	0	0	20	20	0	0	0	20
Street Lighting Vehicles	0	0	25	25	0	0	0	25
Street Cleaning Vehicles & Plant	0	0	50	50	0	0	0	50
Arboretum Park	0	0	50	50	0	0	0	50
Osmaston Park Facility Improvements	0	50	150	200	395	202	0	797
Rowditch Rec Improvements	0	0	50	50	550	0	0	600
Moorway Lane Park	0	0	0	0	10	100	2,780	2,890
King George V Playing Fields Improvements	0	0	10	10	0	0	0	10
Bendall Green Play Area	0	0	30	30	0	0	0	30
Handford Street Playground	0	0	2	2	0	0	0	2
Vicarage Road Pavilion	198	150	0	348	0	0	0	348
Alvaston Park Changing Rooms	0	45	0	45	0	0	0	45
Willowcroft Rec - Play Facilities	0	0	5	5	0	0	0	5
Racecourse Improvements	0	0	0	0	1,400	50	0	1,450
Knightsbridge Rec - Pitch drainage	0	27	0	27	0	0	0	27
Vicarage Road Sportswall	0	0	21	21	0	0	0	21
<b>New Corporate Programme</b>								
Playground Improvements	0	0	380	380	300	300	0	980
Dam & Reservoir Maintenance	0	0	125	125	0	0	0	125
<b>Total</b>	<b>338</b>	<b>616</b>	<b>2,668</b>	<b>3,622</b>	<b>3,000</b>	<b>652</b>	<b>2,780</b>	<b>10,054</b>

## Commercial Services - Details of Funding

APPENDIX 5

	2005/2006				2006/2007	2007/2008	Future  Years (per year)	Total  2005/06 to 2007/08
	Approved Rephasing Nov	Rephasing Feb	New	Total				
	£000	£000	£000	£000	£000	£000	£000	£000
<b>Funded Programme</b>								
Government Grant	0	8	287	295	0	35	0	330
External Contributions	0	86	225	311	1,646	150	380	2,487
Lottery	0	0	50	50	236	167	2,400	2,853
Revenue Contributions	0	0	1	1	0	0	0	1
Capital Reserves	140	46	0	186	0	0	0	186
Unsupported Borrowing - departmental	0	0	1,845	1,845	0	0	0	1,845
<b>Sub Total</b>	<b>140</b>	<b>140</b>	<b>2,408</b>	<b>2,688</b>	<b>1,882</b>	<b>352</b>	<b>2,780</b>	<b>7,702</b>
<b>Corporate Programme</b>								
Unsupported Borrowing	0	295	260	555	363	300	0	1,218
Capital Receipts	198	181	0	379	755	0	0	1,134
<b>Sub Total</b>	<b>198</b>	<b>476</b>	<b>260</b>	<b>934</b>	<b>1,118</b>	<b>300</b>	<b>0</b>	<b>2,352</b>
<b>Total</b>	<b>338</b>	<b>616</b>	<b>2,668</b>	<b>3,622</b>	<b>3,000</b>	<b>652</b>	<b>2,780</b>	<b>10,054</b>

**Social Services - Capital Scheme Costs 2005/06 - 2007/08**

Scheme	Cost							
	2005/2006				2006/2007 £000	2007/2008 £000	Future yrs £000	Total Budgeted Cost £000
	Approved Rephasing Nov 2004 £000	Rephasing Feb 2005 £000	New Schemes £000	Total £000				
<b>Funded Programme</b>								
Integrated Childrens Services	0	49	0	49	0	0	0	49
Childrens Family Support	0	150	0	150	0	0	0	150
<b>Corporate Programme</b>								
National Care Standards	700	56	289	1,045	506	155	155	1,861
<b>Total</b>	<b>700</b>	<b>255</b>	<b>289</b>	<b>1,244</b>	<b>506</b>	<b>155</b>	<b>155</b>	<b>2,060</b>

## Social Services - Details of Funding

APPENDIX 5

	2005/2006				2006/2007	2007/2008	Future	Total
	Approved Rephasing Nov	Rephasing Feb	New Funding	Total			Years (per year)	2005/06 to 2007/08
	£000	£000	£000	£000	£000	£000	£000	£000
<b>Funded Programme</b>								
Supported Capital Expenditure (Revenue)	0	0	155	155	155	155	155	620
Government Grant (please specify)	0	0	0	0	0	0	0	0
IT for Children Looked After	0	49	0	49	0	0	0	49
Improving Information Management	0	0	0	0	0	0	0	0
<b>Sub Total</b>	<b>0</b>	<b>49</b>	<b>155</b>	<b>204</b>	<b>155</b>	<b>155</b>	<b>155</b>	<b>669</b>
<b>Corporate Programme</b>								
Unsupported Borrowing	700	200	134	1,034	351	0	0	1,385
Capital Receipts	0	6	0	6	0	0	0	6
<b>Sub Total</b>	<b>700</b>	<b>206</b>	<b>134</b>	<b>1,040</b>	<b>351</b>	<b>0</b>	<b>0</b>	<b>1,391</b>
<b>Total</b>	<b>700</b>	<b>255</b>	<b>289</b>	<b>1,244</b>	<b>506</b>	<b>155</b>	<b>155</b>	<b>2,060</b>

## **Details of Schemes Included in 2005/06 Capital Programme**

**Department: Development & Cultural Services**

<b>Scheme Title</b>	<b>2005/06 £'000</b>	<b>Scheme Description</b>
Planning – Townscape Heritage Initiative	450	Major regeneration proposal for the city centre, focusing on the historic townscape, which is a vital component of the centre's character and quality. Funds building repair and enhancement schemes, 50% funded by National Lottery Commission.
Security Grants	75	Grants to small businesses to improve property and environmental security. Allowed 75% of total cost up to a maximum of £3k.
Destination Management System at Tourist Information Centre	15	The DMS system is a system to modernise and improve the provision of tourist information and the tourism destination marketing for Derby by use of web sites, remote access kiosks and computer stations.
Refurbishment of the Military Gallery at Derby Museum and Art Gallery	60	To provide the infrastructure and services for the refurbished military gallery (9th/12th Lancers Museum) at the Museum & Art Gallery
Flood Defence	1,561	Specialist design work to enable DEFRA bid for flood defence work. See also Appendix 5
QUAD	1,453	Provision of visual arts and media centre
Multi Storey Car Parks	400	Structural repairs to Assembly Rooms and Chapel St Car Parks
Mickleover Library	190	Re-location of Mickleover Library. See Appendix 5 for more details
Footpaths	356	Programme of footpath improvements funded from Public Priorities Fund
King St Bridge	100	New foot bridge funded from Public Priorities Fund
<b>Total</b>	<b>4,660</b>	

The Local Transport Plan schemes totalling £8.031m have been the subject of a separate report to 8 February Cabinet.

**Department: Chief Executive – Other (non Housing)**

<b>Scheme Title</b>	<b>2005/06 £'000</b>	<b>Scheme Description</b>
Air Quality Monitoring	20	This scheme relates to the purchase of air quality monitoring equipment. The funding is subject to the successful application for grant.
e Derby	150	Capital cost of e Derby programme.
Planned Maintenance Programme	1,085	Capital element of the maintenance programme. See report for further details
Market Hall Roof Repairs	167	To undertake phase 2 of the copper sheet roof covering to the domed roof of the Market Hall.
Eagle Centre Lifts	140	Refurbishment of Eagle Centre lift and replace the Theatre Walk service lift
<b>Total</b>	<b>1,562</b>	

**Department: Commercial Services**

<b>Scheme Title</b>	<b>2005/06 £'000</b>	<b>Scheme Description</b>
Grounds Plant and Equipment	750	Renewal of ageing plant and equipment.
Refuse Vehicles & Plant	1,000	Renewal of ageing fleet and equipment.
Replacement Buildings Maintenance IT System	0	Replacement of computer software for repair order jobs.
Other Miscellaneous Vehicles	20	Renewal of aging fleet.
Street Lighting Vehicles	25	Renewal of aging fleet.
Street Cleaning Vehicles & Plant	50	Required for new contract.
Arboretum Park	50	Retentions & snagging on the restoration of listed buildings and artefacts, renovated landscaping, new play and sport facilities.
Osmaston Park Facility Improvements	150	Complete renovation of park over a number of years. Youth facilities improvements in 2005/06.
Rowditch Recreation Ground Improvements	50	Initial works to create new play area and ball courts, refurbish existing buildings.
King George V Playing Fields Improvements	10	Retentions and snagging to changing room improvements
Bendall Green Play & Park Improvements	30	Completion of new toddlers playground plus teenage play area.
Handford Street Playground	2	Completion of playground improvements
Willowcroft Rec – Play Facilities	5	Completion of playground improvements
Vicarage Road Sportswall	21	Introduction of new sports wall facility.
Playground improvements	380	Part of larger programme to replace old equipment and resurface play areas. See also App 5.
Dam & Reservoir Maintenance	125	Essential Statutory repairs to Markeaton Reservoir and Allestree Lake. See App 5 for more information.
<b>Total</b>	<b>2,668</b>	



**Department: Social Services**

<b>Scheme Title</b>	<b>2005/06 £'000</b>	<b>Scheme Description</b>
National Care Standards	289	<p>This does not include slippage from 2004/05 – total amount including slippage is £1,045.</p> <p>A total of £2.2m has been estimated as needed to be spent on Social Services' care establishments (e.g. homes for older people) in order to meet Care Standards and Disability Discrimination Act requirements within deadlines (i.e. Care Standards by 1 April 2007).</p> <p>If the Department cannot meet the requirements of the Care Standards Commission, this could result in the enforced closure of homes.</p>
<b>Total</b>	<b>289</b>	

## Applications for Corporate Funding - Scheme Details

This Appendix provides details on the schemes prioritised for corporate funding by the Asset Management Group.

### **Rank 1 - Specialist design work to enable DEFRA bid for flood defence work**

Derby has already been successful in securing £1.2m of funding from DEFRA to carry out the first phase of the Markeaton Brook Catchment Action Management plan. This proposal is for further capital funding to pay for both the drawing up of further grant bid submissions together with the management & site supervision of the £1.2m scheme.

Note - the future years corporate funding required figure of £275k is ongoing per year.

#### **Reason for prioritisation**

The consequence of not undertaking this project could be substantial flooding of the city. The scheme lever in significant levels of funding from DEFRA. Development and Cultural Services have ranked this scheme as their top priority.

#### **Funding Table**

	<b>2005/06</b>	<b>2006/07</b>	<b>2007/08</b>	<b>Future Years</b>	<b>Total</b>
	<b>£'000</b>	<b>£'000</b>	<b>£'000</b>	<b>£'000</b>	<b>£'000</b>
Corporate Capital Resources	0	311	269	275	<b>855</b>
Other identified Council funding	235	0	0	0	<b>235</b>
External Funding	1,325	2,725	2,125	1,925	<b>8,100</b>
<b>Total</b>	<b>1,560</b>	<b>3,036</b>	<b>2,394</b>	<b>2,200</b>	<b>9,190</b>

### **Rank 2 - National Care Standards/DDA Residential Establishments**

The aim of this scheme is to meet the needs of both Care Standards & DDA by the deadline of 1 April 2007. The 2005/06 funding will be utilised to bring Arboretum House, Merril House and Raynesway View homes for older people up to the required standard. Work includes fitting wider doors, installing ramps, rewiring, fire regulation work and fitting handrails. The corporate funding would supplement the Social Services funded programme.

#### **Reason for prioritisation**

This work forms part of a programme to bring homes up to the standard required by the National Care Standards Commission. It has a major impact on the quality of life of residents of the homes. Social Services have ranked this scheme as their top priority.

## Funding Table

	2005/06	2006/07	2007/08	Future Years	Total
	£'000	£'000	£'000	£'000	£'000
Corporate Capital Resources	134	351	0	0	<b>485</b>
Other identified Council funding	155	0	0	0	<b>155</b>
External Funding	0	0	0	0	<b>0</b>
<b>Total</b>	<b>283</b>	<b>351</b>	<b>0</b>	<b>0</b>	<b>640</b>

### **Rank 3 – Playground Improvements**

The Council's playgrounds received funding in 2004/05 for the first time in a number of years. The lack of funding prior to 2004/05 meant that faulty or unsafe equipment has been removed rather than replaced. The majority of play areas owned by the Council now fall well below best practice standards.

This scheme forms part of a larger programme to improve the equipment and replace old surfacing with more modern material in order to improve safety. This work will bring the play areas up to recognised current best practice standards and minimise the Council's liability in respect of playground accidents.

The playgrounds to be included in the 2005/06 programme are:

- Allestree Recreation Ground
- Chaddesten Park play area
- Sinfin Recreation Ground
- Roe Farm Recreation Ground
- Osmaston Park Play area

These schemes fall in areas where it has been possible to draw in external regeneration funding to match Council expenditure. In order to bring parity to the city, any future year's programmes will need to concentrate on areas where it will be more difficult to draw in external funding.

### **Reason for prioritisation**

The consequence of not making improvements could be closure of the play areas on the grounds of Health & Safety. This would impact on the quality of life of local users. Commercial Services have ranked this scheme as their top priority.

## Funding Table

	2005/06	2006/07	2007/08	Future Years	Total
	£'000	£'000	£'000	£'000	£'000
Corporate Capital Resources	135	300	300	0	735
Other identified Council funding	0	0	0	0	0
External Funding	245	0	0	0	245
<b>Total</b>	<b>380</b>	<b>300</b>	<b>300</b>	<b>0</b>	<b>980</b>

## Rank 4 – Market Hall Roof Repairs

To undertake phase 2 to replace the copper sheet roof covering to the domed roof of the Market Hall. Phase 1 resulted in only part of the covering being undertaken with the funds available.

### Reason for prioritisation

Failure to repair the roof will result in damage to the structure of this Grade 2 listed building. In addition, tenants property is at risk of being damaged. This could result in tenants submitting compensation claims and/or relocating to other premises, impacting on the income potential of the property.

Corporate Services have ranked this scheme as their top priority.

## Funding Table

	2005/06	2006/07	2007/08	Future Years	Total
	£'000	£'000	£'000	£'000	£'000
Corporate Capital Resources	167	5	0	0	172
Other identified Council funding	0	0	0	0	0
External Funding	0	0	0	0	0
<b>Total</b>	<b>167</b>	<b>5</b>	<b>0</b>	<b>0</b>	<b>172</b>

## Rank 5 – Multi-storey Car Parks

To begin Phase 1 Structural repairs to Assembly Rooms and Chapel Street Car Parks of essential structural repairs to bring them into a safe and useable condition for up to another 20 years.

This scheme will be the subject of a separate report to Cabinet in order to gain approval to let a 2 year contract.

**Reason for prioritisation**

If these car parks were to close it would result in a major decrease to revenue income. Development & Cultural Services have ranked this scheme as their second priority.

**Funding Table**

	<b>2005/06</b>	<b>2006/07</b>	<b>2007/08</b>	<b>Future Years</b>	<b>Total</b>
	<b>£'000</b>	<b>£'000</b>	<b>£'000</b>	<b>£'000</b>	<b>£'000</b>
Corporate Capital Resources	400	400	400	400	<b>1600</b>
Other identified Council funding	0	0	0	0	<b>0</b>
External Funding	0	0	0	0	<b>0</b>
<b>Total</b>	<b>400</b>	<b>400</b>	<b>400</b>	<b>400</b>	<b>1600</b>

**Rank 6 – Dam and Reservoir Maintenance**

The aim of this scheme is to carry out essential statutory repairs to structures coming under the Reservoirs Act 1975, namely Markeaton Reservoir (Mill Pond) & Allestree.

**Reason for prioritisation**

Two years ago an inspection by DEFRA resulted in faults being found with these waterways. If these faults are not rectified the Council could be at risk of prosecution resulting in a fine and an enforcement to undertake the necessary maintenance works.

Mill Pond is in a worse state of repair and if it gave way would flood the surrounding area. Commercial Services have ranked this scheme as their second priority.

**Funding Table**

	<b>2005/06</b>	<b>2006/07</b>	<b>2007/08</b>	<b>Future Years</b>	<b>Total</b>
	<b>£'000</b>	<b>£'000</b>	<b>£'000</b>	<b>£'000</b>	<b>£'000</b>
Corporate Capital Resources	125	0	0	0	<b>125</b>
Other identified Council funding	0	0	0	0	<b>0</b>
External Funding	0	0	0	0	<b>0</b>
<b>Total</b>	<b>125</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>125</b>

### **Rank 7 – Eagle Centre Service Lifts**

The objective of this scheme is to refurbish the Eagle Centre service lifts & replace the Theatre Walk service lift. Both these lifts are crucial to service delivery and are in a bad state of repair. Should they fail the Council would be under an obligation to replace them in order to comply with DDA regulations.

#### **Reason for prioritisation**

If refurbishment works do not take place then the lifts will eventually need to be completely replaced, this would result in an even greater cost to the Council. Corporate Services have ranked this scheme as their third priority.

#### **Funding Table**

	<b>2005/06</b>	<b>2006/07</b>	<b>2007/08</b>	<b>Future Years</b>	<b>Total</b>
	<b>£'000</b>	<b>£'000</b>	<b>£'000</b>	<b>£'000</b>	<b>£'000</b>
Corporate Capital Resources	140	165	0	0	<b>305</b>
Other identified Council funding	0	0	0	0	<b>0</b>
External Funding	0	0	0	0	<b>0</b>
<b>Total</b>	<b>140</b>	<b>165</b>	<b>0</b>	<b>0</b>	<b>305</b>

### **Rank 9 – Micklegate Library & Learning Centre**

The existing Micklegate Library will need to be relocated in the near future. It currently operates from a small single room in the Memorial Hall that is held on an expired lease. In terms of its size, condition and location it is totally unsuitable as a modern local library serving Micklegate and the west of the city. It is proposed to construct a new library on the site of the Vicarage Road Children's Home that is due to close in 2005.

#### **Reason for prioritisation**

There are many Health & Safety issues with the current building. The lease has expired and at any given time a six weeks notice could be served to vacate the building. It is the only library west of the city. Development & Cultural Services have ranked this as their fourth priority.

Micklegate Library is the first unfunded priority for capital funding, and the scheme would only commence in 2005/6 with the bulk of resources required the following year. The Cabinet is seeking to find a means to fund the inclusion of this scheme in addition to those already in the priority list, either through additional funding or a reassessment of priorities within the corporate programme..

## Funding Table

	2005/06	2006/07	2007/08	Future Years	Total
	£'000	£'000	£'000	£'000	£'000
Corporate Capital Resources	190	962	0	0	1,152
Other identified Council funding	0	0	0	0	0
External Funding	0	0	0	0	0
<b>Total</b>	<b>190</b>	<b>962</b>	<b>0</b>	<b>0</b>	<b>1,152</b>

## Rank 12 – Wholesale Market Resurfacing

Derby Wholesale Market was constructed in 1967. The original tarmac surface has been repaired numerous times, but is now past the end of its economic life and the whole area needs resurfacing.

### Reason for prioritisation

Health and Safety issues, the forklift truck used on site has tipped over and resulted in an insurance claim being submitted to the Council. The financial risks are nonetheless quite limited by comparison to other schemes – for example the Eagle Centre Lifts, and consequently this was not considered a high priority.

## Funding Table

	2005/06	2006/07	2007/08	Future Years	Total
	£'000	£'000	£'000	£'000	£'000
Corporate Capital Resources	125	0	0	0	125
Other identified Council funding	0	0	0	0	0
External Funding	0	0	0	0	0
<b>Total</b>	<b>125</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>125</b>

**List of Schemes as Prioritised by the Asset Management Group**
**APPENDIX 8**

Department	Scheme Title	Rank	2005/06 Cumulative Funding £'000	2005/06 Corporate Funding £'000	2006/07 Corporate Funding £'000	2007/08 Corporate Funding £'000	Total 3 yr Corporate Funding £'000	Notes
D&CS	Specialist design work to enable DEFRA bid Flood Defence Works	1	0	0	311	269	580	Funding already found for the £235k costs in 2005/06
Social Services	National Care Standards/DDA Residential Establishments	2	134	134	351	0	485	
Commercial Services	Playground Improvements	3	269	135	300	300	735	Original bid requested £285k in 2005/06, but funding has been limited to those schemes attracting external funding.
Corporate Services	Market Hall Roof - Repairs	4	436	167	5	0	172	
D&CS	Multistorey Car Parks	5	836	400	400	400	1,200	Further £400k anticipated in 2008/09
Commercial Services	Dam and Reservoir maintenance	6	961	125	0	0	125	Original bid was for £185k which included £60k for fencing work. This element was excluded as was considered to be of lesser priority
Corporate Svcs	Eagle Centre Service Lifts	7	1,101	140	165	0	305	
<b>Cut off point for £1.1m available funding</b>								
Education	Essential mechanical & electrical maintainance at sports centres	8	1,101	0	228	0	228	Now being considered as part of wider option appraisal on improving sports facilities
D&CS	Mickleover Library & Learning Centre	9	1,252	151	577	0	728	Consultation results put this as high priority. Funding consequently being sought
Education	Queens Leisure Centre entry barrier	10	1,262	10	0	0	10	Now being considered as part of wider option appraisal on improving sports facilities
Education	Sports Centre & Activity Centre Security	11	1,322	60	0	0	60	Now being considered as part of wider option appraisal on improving sports facilities
Corporate Svcs	Wholesale Market Resurfacing	12	1,447	125	0	0	125	Review of market required
Education	Springwood Leisure Centre retexturing rubber crumb pitch	13	1,457	10	0	0	10	Now being considered as part of wider option appraisal on improving sports facilities
Education	Moorways Sports Complex synthetic pitch refurbishment	14	1,592	135	0	0	135	Now being considered as part of wider option appraisal on improving sports facilities
Education	Ashgate Nursery School - replacement heating system	Not Scored	1,767	175	0	0	175	To be included as part of Education programme
D&CS	Urban Countryside Management Programme	Not Scored	1,807	40	40	40	120	Project is of revenue nature. Alternative funding being
Education	Kedleston Road Training & Development Centre - Roofing	Not Scored	1,907	100	0	0	100	Pending option appraisal
Corporate Services	Wholesale Market Re-wire	Not Scored	2,007	100	0	0	100	Review of market required
Commercial Services	Darley Abbey Park and Visitor Centre	Not Scored	2,047	40	50	200	290	Awaiting internal prioritisation of schemes for Heritage Lottery Bid
D&CS	Refurbishment & upgrade of Museum & Art Gallery Foyer	Not Scored	2,197	150	0	0	150	
Education	Repairs to Boundary Walls at Moorways Sports Centre	Not Scored	2,222	25	0	0	25	Property manitenance deemed revenue costs
D&CS	Development of Major Transport Schemes	Not Scored	2,322	100	100	100	300	Cannot classify this expenditure as Capital - needs to be revenue funded.
Education	Spondon Community Centre - Replacement roof &	Not Scored	2,415	93	0	0	93	Now being funded through Public Priorities Fund
<b>TOTAL</b>				<b>2,415</b>	<b>2,527</b>	<b>1,309</b>	<b>6,251</b>	



## Appendix 9

### Scoring Criteria for Corporate Capital Schemes 2005/6 and 2006/7

All schemes must form part of the Asset Management Plan or other relevant corporate or service plans.

Schemes will not be considered if the revenue implications are considered to be prohibitive

Criteria	Maximum Score
<b>Consequences of Exclusion</b> Risk to services or budgets if scheme not undertaken or a requirement to meet legislation (e.g. Health and Safety) <i>Consideration should be given to risk levels and implications of prosecution – if risk levels are low and would result in a limited level of fine a lower mark should be awarded</i>	30
<b>Priority Within the Service</b> The schemes given the highest priority with each department's strategy will attract the highest scores for that department, subject to this prioritisation being <ul style="list-style-type: none"> <li>consistent with the ranking of any related plans such as the AMP</li> <li>shared by other departments where objectives are cross cutting</li> </ul>	10
<b>Corporate Priority</b> Schemes given the highest score will be directly related to specific objectives within the current Corporate Plan or otherwise priority corporate objectives expected to be reflected in revisions to the Plan.	10
<b>Option Appraisal</b> Evidence that option appraisal has taken place with alternative options evaluated before recommending capital investment, including alternative sources of funding.	10
<b>Scale of Available Service Resources</b> Higher scores will be given to services which do not have the ability to fund priority schemes from other sources without a corporate contribution. This includes earmarked capital resources and any resources likely to be available to the department from service underspending.	10
<b>Revenue Implications</b> Additional net revenue costs of the scheme (if any) are affordable and consistent with service revenue budget plans submitted for the 2005/6 to 2007/8 period.	10
<b>Wider Resource Benefits</b> The level of leverage of external resources attributable to the scheme or the extent to which service or corporate revenue savings will be obtained, relative to the corporate contribution.	10
<b>Deliverability</b> Evidence that the level of proposed expenditure is achievable in the year for which resources are sought, taking account of both the specific scheme and the track record of the service. <i>Evidence for schemes to include reference to bidding processes for external funding, design, tender and site periods and to be confirmed by Property Services if appropriate. Proper consideration of disruption to the service to be demonstrated if applicable – such as closure periods and decanting arrangements.</i>	10
<b>TOTAL</b>	100