

Report sponsor: Chair of Audit and Governance
Committee
Report author: Head of Internal Audit

Audit and Governance Committee – Updated Work Programme 2022-23

Purpose

- 1.1 This report provides details of the updated work programme for this Committee for the 2022/23 Municipal Year.

Recommendations

- 2.1 To agree the latest version of the work programme for the Committee attached in Appendix 1.

Reasons

- 3.1 It provides a proposed 'core business' work programme for the Committee.

Supporting information

- 4.1 The annual work programme (updated version attached in Appendix 1) provides a timetable for reports being submitted to the Committee.
- 4.2 The revised work programme reflects all changes agreed with the Chair of Audit and Governance Committee and any additional items agreed at the Committee meeting on 5th October 2022.
- 4.3 Any required updates to the work programme will be reported to Committee on a regular basis.

Public/stakeholder engagement

- 5.1 None

Other options

- 6.1 None

Financial and value for money issues

7.1 None

Legal implications

8.1 None

Climate implications

9.1 None

Socio-Economic implications

10.1 None arising directly from this report.

Other significant implications

11.1 None

This report has been approved by the following people:

Role	Name	Date of sign-off
Legal Finance Service Director(s) Report sponsor Other(s)		
Background papers:	None	
List of appendices:	Appendix 1 – Updated Committee work programme 2022/23	

AUDIT AND GOVERNANCE COMMITTEE
UPDATED PROGRAMME OF WORK TO MARCH 2023

Committee	Primary Activities	Other Activities
Wednesday 15 th June 2022	<ul style="list-style-type: none"> • Audit and Governance Committee – Outstanding Resolutions • Committee Work Programme 2022/23 • Internal Audit Annual Report 2021/22 including the Head of Internal Audit's Annual Opinion • Internal Audit Plan Qtr 2 2022/23 • Draft Annual Report of the Audit & Governance Committee 2021/22 • Review of the Effectiveness of Internal Audit 	
Wednesday 27 th July 2022	<ul style="list-style-type: none"> • Audit and Governance Committee – Outstanding Resolutions • Internal Audit Progress Report • Counter Fraud Annual Report 2021/22 • Risk Assurance Annual Report 2021/22 	<ul style="list-style-type: none"> • Information Security Annual report • Annual Report 2021/22 • Waivers Q1
Wednesday 5 th October 2022	<ul style="list-style-type: none"> • Audit and Governance Committee – Outstanding Resolutions • Draft Annual Governance Statement 2021/22 • Internal Audit Plan Qtr 3 2022/23 • Internal Audit Progress Report • SEND – Internal Audit Report • Forest for the Future 	

Committee	Primary Activities	Other Activities
	<ul style="list-style-type: none"> • Level and adequacy of insurance arrangements (incl No of Claims) 	
Wednesday 30 th November 2022	<ul style="list-style-type: none"> • Audit and Governance Committee – Outstanding Resolutions • Internal Audit Progress Report • Internal Audit Plan Qtr 4 2021/22 • Internal Audit – External Quality Assessment • Counter Fraud Update • Appointment of Independent Persons to the Audit and Governance Committee 	
Wednesday 25 th January 2023	<ul style="list-style-type: none"> • Audit and Governance Committee – Outstanding Resolutions • Internal Audit Progress Report • Risk Assurance Update • PMO Update • Whistleblowing Policy • Audit & Governance Committee self-assessment 	<ul style="list-style-type: none"> • Information Governance Update • Waivers Q2 & Q3 • Treasury Management mid-year progress
Wednesday 22 nd March 2023	<ul style="list-style-type: none"> • Audit and Governance Committee – Outstanding Resolutions • Audit & Governance Committee Terms of Reference – Review • Internal Audit Progress Report • Internal Audit Annual Plan Qtr 1- 2023/24 • Accounting Policies 2022/23 • Risk Management Strategy and Handbook • Local Code of Governance • Partnership Governance – Annual Report 	<ul style="list-style-type: none"> • Risk Based Verification Policy • Contract Procedure Rules – Revised

Committee	Primary Activities	Other Activities
	<ul style="list-style-type: none"> External Regulators – Annual Report on activity 	

Other Activities not scheduled as yet:

- Counter Fraud Policy – To be reviewed as necessary
- Outcome of PSAA appointment of new External Auditors from 1st April 2023 (effective from 2023/24 Accounts)
- Final Statement of Accounts 2020/21
- EY - ISA 260 - Report to those charged with governance
- EY - Annual Audit Letter 2020/21
- External Audit Plan y/e 31st March 2022
- Final Statement of Accounts 2021/22
- EY - ISA 260 - Report to those charged with governance (re 2021/22 Accounts)
- Annual Governance Statement 2021/22 – Final version
- EY - Annual Audit Letter 2021/22
- External Audit Plan y/e 31st March 2023
- Combined East Midlands Authority