



Derby City Council

**Audit and Accounts Committee**  
**19 June 2018**

Report of the Strategic Director of Corporate  
Resources

# ITEM 7

## Internal Audit – Progress Report

### SUMMARY

- 1.1 We are committed to keeping the Audit Committee up to date with Internal Audit progress and activity throughout the year. The report at Appendix 2 has been prepared to update Members on our activity since the last meeting of the Audit Committee and to bring to their attention any other matters that are relevant to their responsibilities.

### RECOMMENDATION

- 2.1 To note the on- going progress on assurance activity undertaken by CMAP, Internal Audit, for the period March 2018 to 31<sup>st</sup> May 2018.
- 2.2 To scrutinise those recommendations not yet implemented, to determine whether Members consider the reasonableness of timescales submitted by management, for implementation of recommendations raised and whether officers should be required to provide the committee with more detailed explanations of the action status.

### REASONS FOR RECOMMENDATION

- 3.1 To conform with best practice and Public Sector Internal Audit Standards and give assurance to the Audit Committee regarding the Council's internal control environment.
- 3.2 To provide Members with information to enable effective scrutiny of a key element of the corporate governance arrangements at the Council.

### SUPPORTING INFORMATION

- 4.1 The report at Appendix 2 is intended to provide members with an overview of the output from Internal audit assurance work and the implementation status of all agreed actions to address the control weaknesses highlighted by audit recommendations.

<b>OTHER OPTIONS CONSIDERED</b>
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5.1 Not Applicable

**This report has been approved by the following officers:**

<b>Legal officer</b> <b>Financial officer</b> <b>Human Resources officer</b> <b>Estates/Property officer</b> <b>Service Director(s)</b> <b>Other(s)</b>	N/A N/A N/A N/A N/A Jacinta Fru – Audit Manager
<b>For more information contact:</b> <b>Background papers:</b> <b>List of appendices:</b>	Jacinta Fru 01332 643283 jacinta.fru@derby.gov.uk None Appendix 1 – Implications

	Appendix 2 –Progress Report Appendix 2A - Assurance Categories Appendix 2B - Moderate risk control weaknesses raised Appendix 2C - Moderate risk recommendations
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<b>IMPLICATIONS</b>
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**Financial and Value for Money**

1.1 N/A

**Legal**

2.1 N/A

**Personnel**

3.1 N/A

**IT**

4.1 N/A

**Equalities Impact**

5.1 N/A

**Health and Safety**

6.1 N/A

**Environmental Sustainability**

7.1 N/A

**Property and Asset Management**

8.1 N/A

**Risk Management and Safeguarding**

9.1 N/A

**Corporate objectives and priorities for change**

10.1 **N/A**