

SOCIAL CARE AND HEALTH COMMISSION 16 JANUARY 2006

Report of the Corporate Director

Review of the Options for the Reorganisation of the Primary Care Trusts in Derby and Derbyshire

RECOMMENDATION

- 1.1 The Commission is asked to agree to carrying out a review on the options for reorganisation of the Primary Care Trusts in Derby and Derbyshire
- 1.2 To consider and approve the scoping report for this review
- 1.3 To authorise using Commission's research budget for conducting the public consultation

SUPPORTING INFORMATION

- 2.1 The Government is seeking to reconfigure PCT's, SHA and the Ambulance Trust nationally to provide a patient led service and deliver at least 15% savings in management costs. There are effectively two options for the reconfiguration of the PCT's to reduce from 8 PCT's to either two covering one for the city and one for the county or just one for the whole of Derbyshire. The formal consultation on its proposals started on 14 December and finishes on 22 March 2006.
- 2.2 Although the proposals are not envisaging any changes to the direct delivery of services, this is still a significant change to the management of the PCTs and could ultimately have major impact on the delivery of health services to residents in the city. As the Social Care and Health Commission has the responsibility for health scrutiny in the city, the Chief Executive has therefore asked the Commission to examine the proposals and recommend the best option for Derby.
- 2.3 Members are asked to consider and approve the attached scoping report which gives details on the review process. Briefly, the review will:
 - Examine the proposals for the reorganisation of PCTs in Derbyshire by interviewing a wide range of witnesses and carrying out public consultation
 - Recommend the PCT structure that it considers will best provide for the needs of Derby residents
- 2.4 We aim to seek public views on the two options. It is proposed to appoint an external consultant (QA Research) to help with drafting the public consultation questionnaire and conducting the survey. Total cost of the survey is expected be around £5000 and can be contained within the Commission's research budget. This will be reinforced with on line survey on the Council's web site.

2.5 Members are asked to authorise the use of the Commission's research budget to cover the expenditure incurred with the consultation exercise.

| For more information contact: | Mr M Hussain 01332 255597 e-mail <u>mahroof.hussain@derby.gov.uk</u> |
|-------------------------------|---|
| Background papers: | None |
| List of appendices: | Appendix 1 - Implications Appendix 2 - Briefing paper : GP Retirement notification Appendix 3 – Draft Questionnaire |

Appendix 1

IMPLICATIONS

Financial

1.1 Expenditure on the consultation to be contained within Commission's current annual budget of £6000.

Legal

2.1 The Health and Social Care Act 2001 provides overview and scrutiny committees powers to review any matter relating to the planning, provision and operation of health services within their area. It also requires the local NHS health bodies to consult their respective overview and scrutiny committees on proposals for substantial development or variations in the service

Personnel

3.1 None.

Equalities Impact

4.1 None.

Corporate Objectives and Priorities

5.1 The report supports the Corporate Objectives of a diverse, attractive and healthy environment and the priorities of modernising social care.

Scoping Report for a Review of the proposals for the Reorganisation of the Primary Care Trusts in Derby and Derbyshire

1. Introduction

At a meeting on 5 January 2006, the Chair and Vice Chair of the Social Care and Health Commission agreed in principle to the request of the Chief Executive of Derby City Council that the Commission should carry out a review of the proposals for the reorganisation of Derbyshire Primary Care Trusts (PCTs).

This report outlines the scope of a review that is intended to:

- a) Examine the proposals for the reorganisation of PCTs in Derbyshire
- b) Enable the Commission to recommend the PCT structure that it considers will best provide for the needs of Derby residents

2. Background

The Government is seeking to reconfigure the PCTs, the Strategic Health Authorities (SHAs) and the Ambulance Trusts across the country to provide a patient led service and deliver at least 15% savings in management costs. The reconfiguration is the subject of a formal consultation process that began on 14 December 2005 and will finish on 22 March 2006.

At present Derbyshire has eight Primary Care Trusts (PCTs), of which two cover the city of Derby. The PCTs consist of up to 7 executive (officers) and up to 7 non- executive Board of Directors under a part-time chair appointed by the Secretary of State.

The role of the PCTs is to improve the health of the local community, secure provision of high quality services and integrate health and social care locally. These are delivered by commissioning and managing services from a range of organisations including doctors, dentists, opticians and pharmacists that people see when they first have a health problem. The PCTs manage the NHS Walk-in centres and the NHS Direct phone line service, and are also responsible for making sure that health and social care systems work together for the benefit of patients.

The PCTs work with the Derbyshire local authorities and other agencies that provide local health and social care to make sure that the needs of Derbyshire residents are being met.

PCTs are at the centre of the National Health Service (NHS) and will get 75% of the NHS budget. Being local organisations PCTs are in the best position to understand the needs of the communities that they serve and to make sure that the health and social care providers work effectively. To do this they must make sure that there is sufficient service capacity to meet the needs of their communities and that those services are easily accessible.

In developing its proposals for improving the NHS, the Department of Health has recognised the need for stronger PCTs that are able to design, plan and develop better services for patients, which can work more closely with local government, and that can support good general practice. In order to achieve these objectives the Department of

Health has asked the Strategic Health Authorities (SHAs) to put forward proposals for restructuring the PCTs within their areas. The objective of this restructuring is to reduce the administrative burden and thereby release funding that can be used to improve services to patients. It has been estimated that the overall saving of the restructuring for the Trent SHA which covers Derbyshire, Nottinghamshire and Lincolnshire will be in the order of £13 million. The intention is that this saving will be used to improve patient services and in particular to introduce

Trent SHA responded to the Department of Health's request by asking PCT Chief Executives to work with their partner organisations and wider stakeholders to identify the best way of reconfiguring the PCTs and to develop options that it could consider. As a result of this exercise the Derbyshire PCTs put forward two main options which are to reduce the eight existing PCTs to either:

- 1. A single PCT that covers the whole of Derbyshire, including the city of Derby, or;
- 2. Two PCTs, one covering the city of Derby, and the other the rest of Derbyshire.

Both the City and the County Councils are in favour of the second option as they consider that it would enable a better focus of health care and would best serve the very different requirements of Derby and Derbyshire residents.

The PCTs on the other hand, favoured the first option. The advantages and disadvantages of the two options are set out in a document entitled 'Consultation on new primary care trust arrangements in Trent: Ensuring a patient-led NHS'. This document has been prepared by the Trent SHA and can be accessed via the following link: http://www.tsha.nhs.uk/consultations/pct-consultation.

3. Objectives

The suggested objectives of the review of the proposed reorganisation of Derbyshire PCTs are:

- 1. For the Commission to consider and assess the arguments for:
 - a) A single PCT that covers the whole of Derbyshire, including the city of Derby
 - b) Two PCTs, one covering the city of Derby, and the other the rest of Derbyshire
- 2. Based on the evidence it has considered, for the Commission to recommend the PCT structure that it considers will best provide for the needs of Derby residents.

4. Proposed Methodology

For this review, the Primary Stakeholder Groups appear to be:

- The service providers in Derby who include:
 - GPs
 - Dentists
 - Opticians
 - Pharmacists
 - Nursing staff District Nurses and midwives
- The service managers who are the Primary Care Trusts
- The service users the general public and patients groups
- The City and County Councils

On this basis the possible witnesses to the review might include

- The Chief Executive of Derby City Council
- Leader of Derby City Council
- The Council Cabinet member with responsibility for health
- The leaders of the other political groups represented on the Council
- The appropriate Social Services officer
- The relevant Derbyshire County Council Member
- The Chief Executive of the Central and Greater Derby PCT
- Representative of the SHA
- Representatives of service providers
- Representatives of the Minority Ethnic Communities Advisory Committee, the Disabled People's Advisory Committee and the Women's Advisory Committee
- Patients group representatives
- Chief Executive of Derby Homes

This gives a total of around 18 witnesses and there may be one or two others who the Commission subsequently decide they wish to interview.

It is realistic to interview to interview five witnesses/day, (three in the morning session 0900-1200 and two in the afternoon 1400-1600), so for 18 witnesses, the evidence gathering could be completed in four days.

In addition to the evidence gathering interviews it is also suggested that the Commission conducts a questionnaire survey to establish the views of a representative sample of Derby residents. A sample draft questionnaire is contained in Appendix 3 of this report. It is proposed to engage a consultant to refine the questionnaire and to carry out this aspect of the review.

It is hoped to work with the Derby Evening Telegraph and to ask the newspaper to encourage as wide as possible a response to the questionnaire.

5. Draft Terms of Reference

The draft Terms of Reference of this review are as shown in the following table

| Dra | Draft Terms of Reference | | | |
|-----|--|--|--|--|
| | Issue | Action | | |
| 1. | Confirm the objectives of the proposed re-organisation and clarify the reasoning behind the options. | Examination of the existing Consultation reports. Interviews with witnesses | | |
| 2. | Determine why the Derbyshire Programme Board thinks that a single countywide PCT for Derbyshire is the preferred option | Interviews with witnesses | | |
| 3. | Consideration SHA Board views on the proposals | Interview of SHA representative(s) | | |
| 4. | Consider views of non-PCT | | | |

| | stakeholders | Interviews with witnesses Analysis of questionnaire responses |
|----|---|---|
| 5. | Assess the financial implications of the proposals to see: How much money will be available for both options How the money will break down What the money will buy Whether the funding for a single Derby City PCT will be sufficient to provide the desired level of service | Interviews with witnesses The request and examination of information relating to the demand and funding level of the options |
| 6. | Identification of the PCT structure that the Commission considers will best provide for the needs of Derby residents | Consideration of the evidence obtained in the course of the review |

6. Draft Timetable for the Review

The draft timetable for the review is set out in the following table.

PCT Consultation draft Timetable

| | Action | Date |
|----|---|--|
| 1. | Commission meeting with Nina Ennis. This will be part of the scheduled business meeting of the Commission and will probably not provide sufficient time to fully explore the issue with Nina. An additional meeting may therefore be required. This should be held in late January/early February 2006. | 16 January 2006 Possible additional Commission meeting in late Jan/early Feb 2006 |
| 2. | Interviews with the witnesses identified in Section 4 Proposed Methodology | These will be conducted as a series of interviews during the week commencing 13 February 2006 This is likely to involve around four full days of interviews |
| 3. | Consideration of the financial implications | Complete by 17 February 2006 |

| 4. | Seek views of the wider public on the preferred option for Derby | Appoint QA Research to conduct a postal survey of Derby residents on the two options to be completed by 19 February Supplement this by a questionnaire on Councils web page. |
|----|---|--|
| 5. | Assessment of evidence, preparation of the draft report and development of the draft recommendations | Do this during the two week period 20 February-3 March 2006 |
| 6. | Commission to consider draft report and recommendations, amend where appropriate, and agree final report and recommendations. | Additional Commission meeting during week commencing 6 March 2006 |
| 7. | Deadline for the response | 22 March 2006 |



Tell us your views on the proposed reorganisation of Derby Primary Care Trusts

Why we need you help

Derby City Council wants you to have your say about the Governments proposal to reorganise the Primary Care Trusts - PCTs in the city. The role of the PCTs is to improve the health of the local community, provide high quality health services and integrate health and social care locally. These services are delivered by managing services provided by doctors, dentists, opticians and pharmacists that people see when they first have a health problem.

The PCTS work with local councils and other agencies that provide local health and social care to make sure that the needs of residents in their area are met.

To help you give us you views, we've produced this questionnaire for you to fill in. It should take you about 15 minutes to complete.

What we want you to tell us

We want to find out:

- which reorganisation option you think is best for Derby
- your reasons for choosing the option you prefer

What happens after we get your views?

Your views will be considered by the Social Care and Health Overview and Scrutiny Commission and form part of a submission to the Government on the best option for Derby.

Date and address for returning your questionnaire

Please return your completed questionnaire by **19 February 2006** in the envelope provided to:

QA Research FREEPOST NAT5853 York Y024 1ZY

You don't need a postage stamp

All completed questionnaires returned by 19 February will be entered in a prize draw and you could win **£100**.

Please contact Mahroof Hussain, Overview and Scrutiny Officer on 01332 255597 e-mail <u>mahroof.hussain@derby.gov.uk</u> if you have any questions about this form or would like some help to fill it in.

Background Information

Please read this information before you start to fill in the questionnaire.

| How are the Primary Care Trusts organised in Derby now? | Technically there are two primary Care Trusts in Derby- Central Derby PCT and Greater Derby PCT with separate management Boards. However, they have effectively been managed as a single organisation since January 2004 |
|--|---|
| What are the governments reasons for the proposed reorganisation? | The main reasons for the reconfiguration is to provide a patient led service and deliver at least 15% savings in management costs |
| Will my views really affect the final outcome ? | Yes. The Social Care and Health Commission will assess the merits of the two options along with the views of the local residents in its final submission to the government. |
| How will you tell me about the results of this consultation? | The results of this consultation will be published on the Council's web page and can also be made available to people on request. |
| Who do I contact if I want to find out more detailed information about this proposed reorganisation? | More information can be obtained from Trent Strategic Health Authority on at <u>www.tsha.nhs.uk</u> Or from Mahroof Hussain at Derby City Council tel. 01332 255597 mahroof.hussain@derby.gov.uk |

Primary Care Trust Reorganisation Options

Q1. Please tell us which re-organisation option you would prefer for Derby? Tick one option only.

| A single Primary Care Trust that covers the whole of Derbyshire, including the city of Derby | a |
|---|------------|
| Two Primary Care Trusts, one covering the city of Derby, and the other the rest of Derbyshire | b |
| Don't know | с С |

Q2. Thinking about the option you ticked in Q1, please tell us why you think this is the best option for Derby. Write your comments in this box.



| We want to make sure that we h so please answer the next few o | | | ever your age or k | background, |
|---|---|---|--------------------------------------|---------------------------|
| Q3. Are you Male |]₁ Fe | male 🔲 2 | Please ✓ just c | one box |
| Q4. What was your age on your I | ast birthday? | Please write in. | | |
| Q5. To which of these groups d | o you consider | you belong? | Please ✓ just | one box |
| Asian or Asian British Indian Pakistani Bangladeshi Any other Asian background please write in | $ \begin{array}{c} 1 \\ 2 \\ 3 \\ 4 \end{array} $ | White and Bl White and As Any other du | ack Caribbean ack African sian | 9 10 11 11 12 |
| Black or Black British Caribbean African Any other Black background please write in | 5 6 7 | White British Irish Any other Wł please write i | nite background in | 13 14 15 |
| Chinese | | Other, please | e write in | 16 |
| Chinese | 8 | | | |
| The Disability Discrimination Act 1 a 'physical or mental impairment, their ability to carry out normal day | which has a su | bstantial and lo | • | • |
| Q6. I consider that I am a disable | d person as de | fined by the Di | sability Discriminat | tion Act |
| Yes 🗋 No [| 2 | | Please ✓ just c | one box |
| Q7. Please tell us your postcode. | | | | |
| Please give us your telephone num /ou, if you are the lucky winner of th | | | | |
| Telephone number | | | | |
| All information provided will Act 1998. | be treated in | accordance w | vith the Data Prote | ection |

Thank you for taking the time to complete and return this questionnaire