



DERBY CITY COUNCIL

**AUDIT AND ACCOUNTS  
COMMITTEE  
28 SEPTEMBER 2006**

# ITEM 18

Report of the Corporate Director  
– Resources and Housing

## WORK PROGRAMME OF THE AUDIT AND ACCOUNTS COMMITTEE

### RECOMMENDATION

- 1.1 To agree the updated work programme of the Committee set out in Appendix 2.

### SUPPORTING INFORMATION

- 2.1 The updated work programme, set out in Appendix 2, has been updated from the work programme approved by the Committee on 29 June 2006.
- 2.2 Due to the number of reports scheduled to go to the meeting on 28 September 2006, it was agreed with the Chair that a further meeting would be scheduled for 25 October 2006. The work programme reflects this.
- 2.3 This work programme will be updated on a regular basis and reported to Committee.

**For more information contact:** Richard Boneham, Head of Audit and Risk Management, 01332 255688  
richard.boneham@derby.gov.uk  
Philip Walker, Assistant Director (Corporate Finance) 01332 256288  
Philip.Walker@derby.gov.uk

**Background papers:** Appendix 1 – Implications  
**List of appendices:** Appendix 2 – Audit Committee Programme of Work to June 2007

<b>IMPLICATIONS</b>
---------------------

**Financial**

1. None directly arising.

**Legal**

2. None directly arising.

**Personnel**

3. None directly arising.

**Equalities impact**

4. None directly arising.

**Corporate objectives and priorities for change**

5. The functions of the Committee have been established to support delivery of corporate objectives by enhancing scrutiny of various aspects of the Council's controls and governance arrangements.

**AUDIT AND ACCOUNTS COMMITTEE  
PROGRAMME OF WORK TO JUNE 2007**

<b>Committee</b>	<b>Cyclical Activities</b>	<b>Review Activities</b>
September 2006	<ul style="list-style-type: none"> <li>- Training Session – External Audit</li> <li>- Final Accounts 2005/6 - External Audit – Report to those charged with governance/ISA260</li> <li>- Final Accounts 2005/6 – Post Audit Reapproval</li> <li>- Indicative External Audit Plan for 2006/7</li> <li>- Review of Terms of Reference</li> <li>- Updated Work Programme</li> <li>- Management Letter of Representation</li> <li>- Appointments to Remuneration Panel on Members Allowances</li> </ul>	<ul style="list-style-type: none"> <li>- Update on IT Disaster Recovery and IT Site Security</li> <li>- Governance issues in contracts - Review of RTI - Chellaston</li> <li>- Consideration of governance, risk and control issues in Council policies – Tree Management Policy</li> <li>- Implications of the Accounts and Audit Regulation Amendments 2006</li> <li>- Progress made on implementation of recommendations in the Home to School Transport audit report</li> <li>- Dale Community Primary School – Report of Corporate Director for Children and Young People</li> </ul>
October 2006	<ul style="list-style-type: none"> <li>- Training Session – Head of Audit &amp; Risk Management</li> <li>- Internal Audit Progress Report – Half Year position</li> <li>- Changes required to the Internal Audit Plan 2006/7</li> <li>- Anti-Fraud and Corruption Strategy</li> <li>- Review of Risk Management – Update and future developments</li> </ul>	<ul style="list-style-type: none"> <li>- Confidential Reporting Code</li> <li>- Fraud Response Plan</li> <li>- Markets – Car Park Income</li> </ul>
December 2006	<ul style="list-style-type: none"> <li>- Internal Audit Progress Report</li> <li>- External Audit Plan 2006/7</li> <li>- Updated Work Programme</li> </ul>	<ul style="list-style-type: none"> <li>- Follow Up Reports (as required)</li> <li>- Review of Local Code of Corporate Governance</li> <li>- Review of Risk Management Strategy</li> </ul>

<b>Committee</b>	<b>Cyclical Activities</b>	<b>Review Activities</b>
April 2007	<ul style="list-style-type: none"> <li>- Internal Audit Progress Report</li> <li>- Internal Audit Annual Plan 2007/8</li> <li>- Updated Work Programme</li> </ul>	<ul style="list-style-type: none"> <li>- Follow Up Reports (as required)</li> <li>- Scrutiny of risk registers</li> <li>- Review of Risk Management methodology</li> </ul>
June 2007	<ul style="list-style-type: none"> <li>- Internal Audit Opinion 2006/7</li> <li>- Internal Audit Progress Report</li> <li>- Statement on Internal Control 2006/7</li> <li>- Statement of Accounts 2006/7</li> <li>- Updated Work Programme</li> </ul>	<ul style="list-style-type: none"> <li>- Follow Up Reports (as required)</li> <li>- Review of Best Value Performance Plan (for consistency with the internal control framework)</li> <li>- Review of Contract Procedure Rules and procurement guidelines</li> </ul>
To be determined	<ul style="list-style-type: none"> <li>- Audit Commission – Annual Audit and Inspection Letter 2005/6</li> </ul>	<ul style="list-style-type: none"> <li>- Review of Corporate Plan (for consistency with the internal control framework)</li> <li>- Corporate Governance Health Check</li> </ul>