



Derby City Council

AUDIT & ACCOUNTS COMMITTEE
19 September 2017

Report of the Director of Governance &
Monitoring Officer

ITEM 5

Update to the Annual Governance Statement to accompany the Statement of Accounts 2015 / 2016

SUMMARY

- 1.1 An Annual Governance Statement is required to support the Statement of Accounts 2015 / 2016.
- 1.2 It is proposed that, given the passage of time, the Audit and Accounts Committee accepts the Interim Annual Governance Statement presented to the meeting on 29 March 2017, together with the Update to the Annual Governance as it appears at Appendix Two of this Report, as the complete Annual Governance Statement for the period 2015 / 2016. It is, however, acknowledged that this same document also addresses a number of issues which have arisen during the 2016 / 2017 period.
- 1.3 This Update to the Annual Governance Statement acknowledges the Council's receipt of the s24 Statutory Recommendation from Ernst & Young and also provides a further update to the progress against the Public Interest Report issued in June 2016.

RECOMMENDATION

- 2.1 To accept the Interim Annual Governance Statement presented to the Audit & Accounts Committee on 29 March 2017, together with the Update to the Annual Governance Statement as it appears at Appendix Two of this Report as the Annual Governance Statement for the year 2015 / 2016.
- 2.2 To note that the said Annual Governance Statement also addresses a number of issues which have arisen during the 2016 / 2017 period given the passage of time and the delays in presenting the 2015 / 2016 Statement of Accounts.

REASONS FOR RECOMMENDATION

- 3.1 An Annual Governance Statement is required to support the Council's Statement of Accounts.

SUPPORTING INFORMATION

- 4.1 Given the delays with the preparation of the 2015 / 2016 Statement of Accounts, the Audit & Accounts Committee has received successive detailed updates to the Annual Governance Statement on 28 September 2016, and 30 November 2016 and 29 March 2017.
- 4.2 Given the passage of time, it is considered that it is no longer possible to update the Annual Governance Statement relating to 2015 / 2016; however it is accepted that the s24 Statutory Recommendation issued by Ernst and Young in June 2017, does directly relate to the preparation of the 2015 / 2016 Statement of Accounts and hence a short Update to the Annual Governance Statement has been prepared and appears at Appendix Two of this Report.
- 4.3 This opportunity has also been taken to provide a further update in respect of progress against the Public Interest Report issued by Grant Thornton in June 2016, namely the implementation of the Administrative System for delivery of Taxi Licensing and an update in respect of ongoing Code of Conduct related matters.
- 4.3 The Interim Annual Governance Statement has previously been presented with comprehensive supporting material to the Audit & Accounts Committee meetings on 28 September 2016, 30 November 2016, and 29 March 2017.
- 4.4 The overall Annual Governance Statement to accompany the Statement of Accounts for 2015 / 16 does contain significant material which relates to the year 2016 / 2017, and an updating Annual Governance Statement will be presented to a future meeting of the Audit & Accounts Committee alongside the accounts for 2016 / 2017.

OTHER OPTIONS CONSIDERED

- 5.1 None

This report has been approved by the following officers:

Legal officer Financial officer Human Resources officer Estates/Property officer Service Director(s) Other(s)	Janie Berry, Director of Governance & Monitoring Officer Mark Taylor, Interim Director of Finance & s151 Paul Robinson, Chief Executive
For more information contact: Background papers: List of appendices:	Janie Berry 01332 643616 janie.berry@derby.gov.uk Audit & Accounts Committee Agenda and Minutes for the meetings: <ul style="list-style-type: none"> • 28th September 2016; • 30th November 2016; and • 29th March 2017 Appendix 1 – Implications Appendix 2 – Update to the Annual Governance Statement for 2015/16

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IMPLICATIONS

Financial and Value for Money

- 1.1 None directly arising

Legal

- 2.1 There is a statutory requirement to produce an Annual Governance Statement alongside the Statement of Accounts.

Personnel

- 3.1 None directly arising

IT

- 4.1 None directly arising

Equalities Impact

- 5.1 None directly arising

Health and Safety

- 6.1 None directly arising

Environmental Sustainability

- 7.1 None directly arising

Property and Asset Management

- 8.1 None directly arising

Risk Management and Safeguarding

- 9.1 None directly arising

Corporate objectives and priorities for change

- 10.1 None directly arising