

Report sponsor: Strategic Director of Corporate Resources
Report author: Democratic Services Officer

Audit & Governance Committee – Outstanding Resolutions

Purpose

- 1.1 At its meeting on 5 February 2020, the Audit and Accounts Committee resolved:
 - to agree that a Resolution Tracking report be included in future agendas of the Audit and Accounts Committee.
- 1.2 Outstanding resolutions and actions are attached at Appendix 1 of the report.
- 1.3 Any completed resolutions and actions will be removed after the meeting.

Recommendations

- 2.1 To track and review the outstanding resolutions and actions at Appendix 1 of the report.

Reasons

- 3.1 To provide the Audit and Accounts Governance with the opportunity to track and review any outstanding resolutions arising from meetings of that Committee.

Supporting information

- 4.1 Appendix 1 lists outstanding resolutions arising from meetings of the Audit and Governance Committee.
- 4.2 For an exhaustive list of resolutions, refer to minutes of the meetings of the Audit and Governance Committee on the Council's Democracy Portal at the following link:

https://democracy.derby.gov.uk/Committees/tabid/101/ctl/ViewCMIS_CommitteeDetails/mid/734/id/1894/Default.aspx

Public/stakeholder engagement

5.1 None.

Other options

6.1 None.

Financial and value for money issues

7.1 None arising from this report.

Legal implications

8.1 None arising from this report.

Climate implications

9.1 None.

Other significant implications

10.1 None.

This report has been approved by the following people:

Role	Name	Date of sign-off
Legal		
Finance		
Service Director(s)		
Report sponsor	Simon Riley – Strategic Director of Corporate Resources	
Other(s)	Alex Hough - Head of Democracy	

Background papers:	Audit and Governance Committee agenda papers – on the Council's Democracy Portal
List of appendices:	Appendix 1 - Outstanding Resolutions

Audit and Governance Committee

Outstanding Resolutions

Meeting Date	Item	Resolution/ Action	Comments	Responsible Officer
15 June 2022	Appointment of Independent Persons to the Audit and Governance Committee	Resolved to delegate authority to the Chair to investigate the potential for advertising for independent members to ensure that they are represented on the Committee and to bring a report back to the September meeting of the Committee.	Report on the agenda for the November meeting.	Alex Hough/Richard Boneham
15 June 2022	Internal Audit Annual Report 2021-22 and Head of Internal Audit Opinion	Members of the Committee referred to internal audit awareness training for staff and suggested that training be rolled out to staff wherever possible.	The Head of Internal Audit will offer dedicated awareness sessions for teams. These will be advertised via the Council's weekly In-Touch email	Richard Boneham

Meeting Date	Item	Resolution/ Action	Comments	Responsible Officer
27 July 2022	Final Statement of Accounts 2020/21 – verbal update	To write to the LGA, CIPFA and Highways England encouraging them to resolve issues relating to highways infrastructure assets.	Completed.	Dominic Monahan

Meeting Date	Item	Resolution/ Action	Comments	Responsible Officer
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05 October 2022	Audit and Governance Committee – Updated Work Programme 2022-23	To add Combined East Midlands Authority to the Other Activities not scheduled as yet section of the Work Programme.	Added to revised Work Programme.	Richard Boneham
05 October 2022	Annual Governance Statement 2021/22	The Strategic Director of Corporate Resources agreed to expand upon how the Council ensured that it was compliant through its information governance arrangements.		Simon Riley
05 October 2022	Annual Governance Statement 2021/22	To request that the draft Annual Governance Statement is published on the Council's website alongside an explanation of why the Statement of Accounts has not yet been published.		Simon Riley
05 October 2022	Internal Audit Progress Report	The Committee agreed to discuss Neighbourhood Boards again at the November 2022 meeting.	This will be discussed during the Internal Audit Progress Report.	Dominic Monahan
05 October 2022	Internal Audit Progress Report	The Head of Internal Audit agreed to discuss issues around MyAccount users with the IT auditor.	Completed.	Richard Boneham
05 October 2022	Internal Audit Progress Report	To invite the officers responsible for CCTV and Fixed Assets to attend the November Committee meeting.	Officers have been invited to attend this meeting.	Dominic Monahan
05 October 2022	Internal Audit Report - SEND	The Strategic Director of Corporate Resources agreed to ask officers for information on how many late applications there had been for home school transport.		Simon Riley
05 October 2022	Internal Audit Report - SEND	To recommend that SEND is audited again in autumn 2023.	Included in indicative audits for 2023/24 – see Internal Audit Quarter 4 plan report.	Richard Boneham
05 October 2022	Forest for the Future	<p>The Strategic Director of Corporate Resources agreed to ask officers to provide the Committee with information on the following questions:</p> <p>Where the trees from this project were now? What the climate and economic benefits to the city were from this project? What the lifespan of these trees would be?</p>		Simon Riley

		Whether any trees had been lost? What the storage and transport costs were for these trees?		
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