

Report sponsor: Strategic Director of Corporate Resources
Report author: Democratic Services Officer

Audit & Governance Committee – Outstanding Resolutions

Purpose

- 1.1 At its meeting on 5 February 2020, the Audit and Accounts Committee resolved:
 - to agree that a Resolution Tracking report be included in future agendas of the Audit and Accounts Committee.
- 1.2 Outstanding resolutions and actions are attached at Appendices 1 and 2 of the report.
- 1.3 Any completed resolutions and actions will be removed after the meeting.

Recommendations

- 2.1 To track and review the outstanding resolutions and actions at Appendices 1 and 2 of the report.

Reasons

- 3.1 To provide the Audit and Accounts Governance with the opportunity to track and review any outstanding resolutions arising from meetings of that Committee.

Supporting information

- 4.1 Appendix 1 lists outstanding resolutions arising from meetings of the Audit and Governance Committee.
- 4.2 Appendix 2 lists the outstanding proposed actions arising from The Self-Assessments in 2017/18 & 2019/20.
- 4.3 For an exhaustive list of resolutions, refer to minutes of the meetings of the Audit and Governance Committee on the Council's Democracy Portal at the following link:

https://democracy.derby.gov.uk/Committees/tabid/101/ctl/ViewCMIS_CommitteeDetails/mid/734/id/1894/Default.aspx

Public/stakeholder engagement

5.1 None.

Other options

6.1 None.

Financial and value for money issues

7.1 None arising from this report.

Legal implications

8.1 None arising from this report.

Climate implications

9.1 None.

Other significant implications

10.1 None.

This report has been approved by the following people:

Role	Name	Date of sign-off
Legal Finance Service Director(s) Report sponsor Other(s)	Simon Riley – Strategic Director of Corporate Resources Alex Hough - Head of Democracy	
Background papers:	Audit and Governance Committee agenda papers – on the Council's Democracy Portal	
List of appendices:	Appendix 1 - Outstanding Resolutions Appendix 2 - Proposed Actions Arising from The Self-Assessments in 2017/18 & 2019/20	

Audit and Governance Committee

Outstanding Resolutions

Meeting Date	Item	Resolution	Comments	Responsible Officer
3 November 2021	Minute Extract from Children and Young People Scrutiny Review Board - Special Needs Provision Recommendation to Audit & Governance Committee	To recommend that this is included in Internal Audit's quarter 4 SEND audit. To set up a small working group made up of the Committee Chair, Vice-chair, Head of Internal Audit and Strategic Director of Corporate Resources to address this matter alongside Internal Audit.	The Audit commenced in January 2022. Update: The SEND Audit Report has been issued in draft to the relevant Directors. The deadline for responses to the issues raised is 16 June 2022.	Richard Boneham

Meeting Date	Item	Resolution	Comments	Responsible Officer
26 January 2022	Committee Terms of Reference	To agree to the request of the Working Group that the three additional reports detailed in paragraph 4.7 are included in future Committee Work Programmes. <ul style="list-style-type: none"> A report on the level and adequacy of insurance arrangements – also to highlight number of claims etc An annual report outlining all activity involving the Council by external regulators 	These will be built into the 2022/23 work programme. The additional reports are included on the 2022/23 Work Programme as "Other Activities not scheduled as yet". We are currently awaiting confirmation of the proposed Committee meeting date that	Richard Boneham

		<ul style="list-style-type: none"> An annual report on assurance around partnership governance 	each report can be tabled from the relevant officer.	
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Meeting Date	Item	Resolution	Comments	Responsible Officer
23 March 2022	Internal Audit Progress Report	That the Chair, along with the Strategic Director of Corporate Resources, would write to managers encouraging them to engage with Internal Audit's work.	This action has been completed. An email was sent to all Directors and Heads of Service.	Simon Riley

Appendix 2

Proposed Actions Arising From The Self-Assessments in 2017/18 & 2019/20

Ref	Issue	Comment	Proposed Action	Progress Made
	Actions Arising From The Self-Assessment in 2017/18 that are still outstanding			
19	Are meetings free and open without political influences being displayed?	Some Members felt that the Committee meetings were still being used to make political statements	The Chair reminds each Member that the Committee should be apolitical and shuts down any such activity.	Ongoing action - The need for the Committee to be apolitical is made clear to all members within the Committee's induction process. The Chair is aware of the need to prevent members of the committee making political statements in meetings.