

Report sponsor: Chair of Audit and Governance Committee  
Report author: Head of Internal Audit

## **Audit and Governance Committee Work Programme 2021-22**

### **Purpose**

- 1.1 This report provides details of the draft work programme for this Committee for the 2021/22 Municipal Year.

### **Recommendations**

- 2.1 To agree the work programme for the Committee as set out in Appendix 1.

### **Reasons**

- 3.1 It provides a proposed 'core business' work programme for the Committee.

### **Supporting information**

- 4.1 The annual work programme, set out in Appendix 1, provides a timetable for reports being submitted to the Committee.
- 4.2 Any required updates to the work programme will be reported to Committee on a regular basis.

### **Public/stakeholder engagement**

- 5.1 None

### **Other options**

- 6.1 None

### **Financial and value for money issues**

- 7.1 None

## Legal implications

8.1 None

## Climate implications

9.1 None

## Other significant implications

10.1 None

This report has been approved by the following people:

Role	Name	Date of sign-off
Legal Finance Service Director(s) Report sponsor Other(s)	Chair of Audit and Governance Committee	7 <sup>th</sup> June 2021
Background papers:	None	
List of appendices:	Appendix 1 – Committee work programme 2021/22	

**AUDIT AND GOVERNANCE COMMITTEE**  
**DRAFT PROGRAMME OF WORK TO MARCH 2022**

<b>Committee</b>	<b>Primary Activities</b>	<b>Other Activities</b>
Wednesday 16 <sup>th</sup> June 2021	<ul style="list-style-type: none"> <li>• Audit and Governance Committee – Outstanding Resolutions</li> <li>• Committee Work Programme 2021/22</li> <li>• Internal Audit Annual Report 2020/21 including the Head of Audit Opinion</li> <li>• Internal Audit Plan Qtr 2 2021/22</li> <li>• EY - Annual Audit Letter 2019/20</li> <li>• Draft Annual Report of the Audit &amp; Accounts Committee 2020/21</li> <li>• Review of the Effectiveness of Internal Audit</li> </ul>	<ul style="list-style-type: none"> <li>•</li> </ul>
Wednesday 28 <sup>th</sup> July 2021 at 10am	<ul style="list-style-type: none"> <li>• Audit and Governance Committee – Outstanding Resolutions</li> <li>• External Audit Plan y/e 31<sup>st</sup> March 2021</li> <li>• Internal Audit Progress Report</li> <li>• Counter Fraud Annual Report 2020/21</li> <li>• Risk Assurance Update</li> </ul>	<ul style="list-style-type: none"> <li>• Information Security Annual report</li> <li>• Annual Report 2020/21</li> <li>• PMO Update (Provisional)</li> </ul>
Wednesday 29 <sup>th</sup> September 2021	<ul style="list-style-type: none"> <li>• Audit and Governance Committee – Outstanding Resolutions</li> <li>• Final Statement of Accounts 2020/21</li> <li>• EY - ISA 260 - Report to those charged with governance</li> <li>• Annual Governance Statement 2020/21</li> <li>• Internal Audit Plan Qtr 3 2021/22</li> </ul>	

Committee	Primary Activities	Other Activities
Wednesday 3 <sup>rd</sup> November 2021	<ul style="list-style-type: none"> <li>• Audit and Governance Committee – Outstanding Resolutions</li> <li>• EY - Annual Audit Letter 2020/21</li> <li>• Internal Audit Progress Report</li> <li>• Internal Audit Plan Qtr 4 2021/22</li> <li>• Counter Fraud Update</li> <li>• Risk Assurance Update</li> <li>• Audit &amp; Governance Committee Terms of Reference - Review</li> </ul>	<ul style="list-style-type: none"> <li>• Waivers</li> <li>• Information Governance Update</li> <li>• Treasury Management mid-year progress</li> </ul>
Wednesday 26 <sup>th</sup> January 2022 at 10am	<ul style="list-style-type: none"> <li>• Audit and Governance Committee – Outstanding Resolutions</li> <li>• Internal Audit Progress Report</li> <li>• Accounting Policies 2021/22</li> <li>• Audit &amp; Governance Committee self-assessment</li> </ul>	<ul style="list-style-type: none"> <li>•</li> </ul>
Wednesday 24 <sup>th</sup> March 2022 at 10am	<ul style="list-style-type: none"> <li>• Audit and Governance Committee – Outstanding Resolutions</li> <li>• Internal Audit Progress Report</li> <li>• Internal Audit Annual Plan Qtr 1- 2022/23</li> <li>• External Audit Plan y/e 31st March 2022</li> <li>• Risk Assurance Update</li> </ul>	<ul style="list-style-type: none"> <li>• Waivers</li> <li>• Information Governance Update</li> </ul>