

Report sponsor: Chair of Audit and Governance Committee
Report author: Head of Internal Audit

Audit and Governance Committee – Updated Work Programme 2022-23

Purpose

- 1.1 This report provides details of the updated work programme for this Committee for the 2022/23 Municipal Year.

Recommendations

- 2.1 To agree the latest version of the work programme for the Committee attached in Appendix 1.

Reasons

- 3.1 It provides a proposed 'core business' work programme for the Committee.

Supporting information

- 4.1 The annual work programme (updated version attached in Appendix 1) provides a timetable for reports being submitted to the Committee.
- 4.2 The revised work programme reflects the uncertainty surrounding when External Audit documents in relation to both the 2020/21 and 2021/22 Audit of Accounts will be available to bring before the Committee.
- 4.3 Any required updates to the work programme will be reported to Committee on a regular basis.

Public/stakeholder engagement

- 5.1 None

Other options

- 6.1 None

Financial and value for money issues

7.1 None

Legal implications

8.1 None

Climate implications

9.1 None

Other significant implications

10.1 None

This report has been approved by the following people:

Role	Name	Date of sign-off
Legal		
Finance		
Service Director(s)		
Report sponsor	Chair of Audit and Governance Committee	
Other(s)	Strategic Director of Corporate Resources	

Background papers:	None
List of appendices:	Appendix 1 – Updated Committee work programme 2022/23

AUDIT AND GOVERNANCE COMMITTEE
UPDATED PROGRAMME OF WORK TO MARCH 2023

Committee	Primary Activities	Other Activities
Wednesday 15 th June 2022	<ul style="list-style-type: none"> • Audit and Governance Committee – Outstanding Resolutions • Committee Work Programme 2022/23 • Internal Audit Annual Report 2021/22 including the Head of Internal Audit's Annual Opinion • Internal Audit Plan Qtr 2 2022/23 • Draft Annual Report of the Audit & Governance Committee 2021/22 • Review of the Effectiveness of Internal Audit 	
Wednesday 27 th July 2022	<ul style="list-style-type: none"> • Audit and Governance Committee – Outstanding Resolutions • Internal Audit Progress Report • Counter Fraud Annual Report 2021/22 • Risk Assurance Annual Report 2021/22 	<ul style="list-style-type: none"> • Information Security Annual report • Annual Report 2021/22 • Waivers Q1
Wednesday 5 th October 2022	<ul style="list-style-type: none"> • Audit and Governance Committee – Outstanding Resolutions • Draft Annual Governance Statement 2021/22 • Internal Audit Plan Qtr 3 2022/23 • Internal Audit Progress Report • SEND – Internal Audit Report • Forest for the Future 	

Committee	Primary Activities	Other Activities
	<ul style="list-style-type: none"> • Level and adequacy of insurance arrangements (incl No of Claims) 	
Wednesday 30 th November 2022	<ul style="list-style-type: none"> • Audit and Governance Committee – Outstanding Resolutions • Internal Audit Progress Report • Internal Audit Plan Qtr 4 2021/22 • Internal Audit – External Quality Assessment • Counter Fraud Update • Whistleblowing Policy • Appointment of Independent Persons to the Audit and Governance Committee 	<ul style="list-style-type: none"> • Waivers Q2 • Treasury Management mid-year progress
Wednesday 25 th January 2023	<ul style="list-style-type: none"> • Audit and Governance Committee – Outstanding Resolutions • Internal Audit Progress Report • Risk Assurance Update • PMO Update • Audit & Governance Committee self-assessment 	<ul style="list-style-type: none"> • Information Governance Update • Waivers Q3
Wednesday 22 nd March 2023	<ul style="list-style-type: none"> • Audit and Governance Committee – Outstanding Resolutions • Internal Audit Progress Report • Internal Audit Annual Plan Qtr 1- 2023/24 • Accounting Policies 2022/23 • Risk Management Strategy and Handbook • Local Code of Governance • Partnership Governance – Annual Report • External Regulators – Annual Report on activity 	<ul style="list-style-type: none"> • Risk Based Verification Policy • Contract Procedure Rules – Revised

Other Activities not scheduled as yet:

- Counter Fraud Policy – Review
- Audit & Governance Committee Terms of Reference – Review
- Outcome of PSAA appointment of new External Auditors from 1st April 2023
- Final Statement of Accounts 2020/21
- EY - ISA 260 - Report to those charged with governance
- EY - Annual Audit Letter 2020/21
- External Audit Plan y/e 31st March 2022
- Final Statement of Accounts 2021/22
- EY - ISA 260 - Report to those charged with governance (re 2021/22 Accounts)
- Annual Governance Statement 2021/22 – Final version
- EY - Annual Audit Letter 2021/22
- External Audit Plan y/e 31st March 2023