

# PART3

# SchemeofDelegations

July 2016

# ResponsibilityforFunctions

#### Local AuthorityFunctions

- 1. Councilfunctionsfallintofourcategories:
  - thosethataretheresponsibilityoftheCouncilunlessdelegatedtoregulatorycommitt eesorofficers;
  - those that are partly the responsibility of the Executive Leader;
  - thosewherethereisalocalchoice whethertheyaretheresponsibility of the Council or the Executive Leader;
  - allotherfunctions, these being the responsibility of the Executive Leader.

#### ${\bf Functions that are the responsibility of the Council}$

- 2. Decisions about the policy framework and budget and other constitutional matters may only be taken by Council. A full list of these matters is shown in Article 4.
- 3. Inmostcases, Councilisalsoresponsible for regulatory functions. A full list is of statutory functions within the Scheme of Delegations.

# Functions that are partly the responsibility of the Council and partly the responsibility of the Executive Leader

- 4. Somefunctions are partly the responsibility of Council and partly the responsibility of the Executive Leader. These are:
  - thebudgetandanyplanorstrategyforthe controlofthecouncil'sborrowingorcapitalexpenditure(capital plan).
  - thepolicyframework,thatistheplansandstrategies whichthecouncil approvesoradopts, which is detailed in Article 4.
- 5. TheExecutiveLeaderand CouncilCabinet willberesponsibleforpreparingthedraftbudget,draftplansandstrategiesandproposing themtoCouncil.
- 6. Council willberesponsiblefor:
  - adoptingorapprovingthedraftbudget,planorstrategy.
  - askingtheCouncilCabinettoreconsiderit, or
  - amendingthebudget,planorstrategy.
- 7. TheExecutiveLeaderand CouncilCabinet will beresponsibleforimplementingthecouncil'spoliciesandspending thebudgetinaccordancewiththecouncil'sfinancial rulesandregulations.
- 8. Someplansandstrategiesrequireministerialapprovalafterthey have been approved.Iftheministerrequiresanyamendments,theCouncil Cabinetwillapprove changes.

#### Localchoicefunctions

9. Insomecasesthereisalocal

choiceastowhetherthefunctionistheresponsibilityoftheCouncilortheExecutiveLeader.Thel ist of statutory functions showshowthesehavebeen allocated.

#### SCHEMEOFDELEGATIONS

#### INTRODUCTION

The following scheme gives details of the allocation of responsibilities, including any delegation store gulatory committees and officers and those from the Executive Leader to Council Cabinet, individual Council Cabinet members, officers, and neighbourhood boards, for ums and ward committees.

Withinthisscheme, decisions are divided into:

- A MattersreservedtoCouncil.
- **B** MattersreservedtotheCouncilCabinet.
- **C** Mattersdelegatedtoneighbourhoodboards,forumsandwardcommittees.
- Mattersdelegatedtothe Health and Wellbeing Board,StandardsCommittee,scrutinyboards,theAppointmentsPanel,the Adoption Panel,thePersonnelCommittee,DisputesResolutionCommittee,ConservationArea AdvisoryCommitteeand FosteringPanel
- **E** Mattersdelegatedtoregulatoryandappealscommittees,theInvestigatingandDisciplina ryCommitteeandtheDisciplinaryAppealsCommittee.
- F MattersdelegatedtoOfficers.

#### PARTA MATTERSRESERVEDTOCOUNCIL

Councilreservestoitselfthefollowingpowers:

- a. Adoptingand changing the Council Constitution, subject to the delegation to the Monitoring Officer to make consequential changes;
- b. Approvingandadoptingthepolicyframework and thebudget;
- c. Approvinganyapplication to the Secretary of State in respectof any Housing Land transfer;
- d. SubjecttotheurgencyprocedurecontainedintheAccesstoInformationProcedureRu lesinPart4ofthisConstitution,makingdecisionsonanyexecutivefunctions where thedecisionwouldbecontrarytothepolicyframework,ornotwhollyin accordancewiththebudget;
- e. ElectingtheExecutiveLeader;
- f. Agreeingand/oramendingtermsof referencefor boards,committees,and neighbourhood boards, forums and ward committees,deciding on their composition andmakingappointmentsto themincludingtheappointments ofChairsandViceChairs;
- g. Approvingmembershipof, and appointing representatives to, outside bodies unless the function has been delegated by Council;
- h. Adoptingaschemeformembersallowances,followingreceiptofrecommendations fromtheIndependentRemunerationPanel;
- i. Changingthenameofthearea,conferringthetitleofhonoraryaldermanorfreedomoft hecity;
- j. ConfirmingtheappointmentoftheHead ofPaidService;
- k. Makingarrangementsforthedischargeofanyofthecouncil'sfunctionsbyanotherloc alauthorityorajointcommitteewithotherauthorities;
- I. Making, amending revoking, re-enacting or adopting by laws and promoting or petitioning against any bills in parliament, provi ding that incases of urgency the Council Cabinet may authorise a petition against abillor order;
- m. Approvinganyproposalsrelatingtolocal governmentareasand the transfer, confermentor with drawal of powers of local authorities;
- n. Mattersrelatingtothe variation of parliamentary and local government electoral areas and representation;
- o. ConsideringrecommendationsfromtheCouncilCabinetregardingactionfollowingrec eiptofreportsofexternal auditors, external inspectorate and the Local Government Ombudsman;
- p. Dealingwithallnonexecutivepolicies,strategiesandproceduresthatdonotformpartofthepolicyframewo rk;
- q. Makingdecisionsaboutanyfunctionsthatbylaw,or asaresultoflocalchoice arenottheresponsibility of the Council Cabinet and have not been delegated to a committee or officer; and
- r. Considering petitions where this is required under the Petitions Scheme.

# PARTB MATTERSRESERVEDTOTHECOUNCILCABINET

# $\label{eq:secutiveLeader, Council Cabinetor individual members of the Council Cabinet cannot otde al$

with the matters reserved to the Councilin PartA and some functions mainly relating to regulatory matters that are dealt within PartE and the list of statutory functions.

Bylaw, all functions which are the responsibility of

the Executive (Council Cabinet) are discharged by the Leader unless the Leader has arranged for an yof them to be discharged by:

- a) theCouncilCabinet;
- b) anothermember of the Council Cabinet;
- c) acommitteeoftheCouncilCabinet;or
- d) anofficer.

The Leaderhas directed that the executive functions shall be discharged in accordance with this Constitution.

Part Cofthe Appendixshows the local choice functions that will be the responsibility of the Executive Leader, Council Cabinetor ind ividual members of the Council Cabinet unless they are delegated to Officers, Neighbourhood Bo ards and Neighbourhood Forums.

#### The following matters are reserved to the Council Cabinet:

- 1. MakingrecommendationstoCouncilonthebudgetandthepolicyframework;
- 2. Implementingthebudgetandpolicyframework inrespectofallfunctionsexceptnonexecutivefunctions;
- 3. Makingkeydecisionsaboutimplementationofanyofthecouncil'sfunctionsexceptnonexecutivefunctions.Thisincludesallpoliciesproceduresandstrategiesnotincluded inthepolicyframework;
- 4. Coordinatingandsecuringbestvalue withinthebudgetandpolicyframeworkagreed byCouncil;
- 5. Undertakingactivitiesrelatingtovirementand otherissuesspecified underFinancial ProcedureRules;
- 6. MakingrecommendationstoCouncilonintendedactionfollowingthereceiptof reportsfromtheexternalauditor,externalinspectoratesandtheLocal GovernmentOmbudsman;
- 7. Formingpartnerships; and
- 8. Monitoringperformanceindicators,generalserviceperformanceandfinancial management.

#### $The following matters are reserved to the {\tt Executive Leader}$

1. Makingexecutive, non-keydecisions, withinhis/herarea of responsibility, and within the limitations

of the Financial Procedure Rules, which result in the council incurring expenditure or making so fbetween £100,000 and £249,999;

2. Monitoringserviceperformance

and taking action to improve performance where necessary, inconjunction with the relevant service director;

- 3. Approvingminorpoliciesandproceduresthatdonothaveasignificant impactontwo ormorewards,withinhis/herareaofresponsibility;
- 4. Approvingconsultationarrangementsandinitialproposalsforpolicies,plansand strategieswithinthebudgetandpolicyframeworkinaccordancewiththeBudgetandP olicyFrameworkRules;
- 5. MakingrecommendationstoCouncilCabinetonmattersreservedtoCounci ICabinet
- OtherthanexecutivemattersreservedtotheCouncilCabinet,todischargeexecutivefunc tionswhichhavenotbeenallocatedtoanindividualmemberoftheCouncil Cabinetoranofficer,ortoarrangeforthedischargeofthosefunctionsbyan individualmemberoftheCouncilCabinetoran officer;
- 7. Performingthefunctionsof, or taking decisions on matters reserved to, an individual member of the Council Cabinetif the Monitoring Officer determines that the relevant individual member is unable to act;
- Takingdecisions incases of urgency inrespectofany executive matter, including key decisions;
- 9. Receiving and considering petitions in accordance with the Petitions Scheme; and
- 10. Exercise of the Derby Homes Single Shareholder Vote. This function may be allocated by the Executive Leader to another member of the Council Cabinet.

# $The following matters are reserved to an individual member of the {\tt Council Cabinet}$

- Makingexecutive, non-keydecisions, withinhis/herarea of responsibility, and within the limitations of the Financial Procedure Rules, which result in the council incurring expenditure or making savings of between £100,000 and £249,999;
- 2. Monitoringserviceperformance, withinhis/herareaofresponsibility, and taking action onto improve performance where necessary, inconjunction with the relevant service director;
- 3. Approvingconsultationarrangementsandinitialproposalsforpolicies,plansand strategieswithinthebudgetandpolicyframeworkinaccordancewiththeBudgetandP olicyFrameworkRules;
- 4. Approvingminorpoliciesandproceduresthatdonothaveasignificant impactontwo ormorewards,withinhis/herareaofresponsibility;
- 5. MakingrecommendationstotheCouncilCabinet,withinhis/herareasof responsibility,onmattersreservedtotheCouncilCabinet;
- 6. Receiving and considering petitions in accordance with the Petitions Scheme.

# **DeputyLeader**

Inaddition, any member identified by the ExecutiveLeaderas the DeputyLeader will deputise for the ExecutiveLeader in his/herabsence in respecto fany matters reserved to the ExecutiveLeader.

# **DecisionMaking**

 $\label{eq:whentakingdecisions,} when taking decisions, an individual member of the Council Cabinet must take into account professional advice from official takes and the council cabinet must be a set of the council cabinet must be$ 

ers, includingthestatutoryofficers.

# PARTC MATTERSTHATAREDELEGATEDTONEIGHBOURHOODBOARDS,FORUMSANDWARD COMMITTEES

#### ThefollowingmattersarereservedtoNeighbourhoodBoards

- 1) Toconsult, involve and engagelocal residents; voluntary and community groups; businesses, services and partner organisations in agreeing an annual neighbourhood agreement and/orplan for the neighbourhood;
- 2) Toproduceaneighbourhoodplanandprofile that reflects the priorities for the local neighbourhood and is driven by the aims and aspirations of local residents;
- To produce an annual neighbourhood agreement for the neighbourhood that compliments the Derby Planand relevant delivery plans and out comes;
- 4) Tomanage and monitor the implementation of the neighbourhood agreement within a set performance management framework, ensure its propermonitoring and evaluation;
- 5) To holdallpartners within theneighbourhood, residents, publicagencies and service providers accountable within the terms of the neighbourhood agreement.
- 6) Toincreaseandimprovelocalcommunityinvolvementintheneighbourhoodand to involve and engageresidents in improving their neighbourhood;
- 7) To providelinks with DerbyStreet pride and the local neighbourhood;
- 8) Tofacilitate enforcement workanditsprocedureswithintheneighbourhood;
- 9) To deal withpetitions onlocalissuesinaccordancewith the Petitions Scheme; and
- 10) Torecommendtheuseoffundsallocatedtotheboardforapprovalbythe wardcommittee

#### ThefollowingmattersarereservedtoNeighbourhoodForums

- 1) Toprovide the neighbourhood with a regular open for um to discuss and raise concerns at a local level;
- 2) Toidentify and agreekey priorities for action for inclusion in the neighbourhood tasking and co-ordination framework;
- 3) Toagree onanannual basisresidentrepresentativestoserveonthe Neighbourhood Board; and
- 4) To enable the involvement and participation of residents in the workings of their neighbourhood.

#### Thefollowingmattersarereservedto WardCommittees

- 1) ToconsiderrecommendationsoftheNeighbourhoodBoardrelatingtoprovisionally approvedapplicationsforfunding.
- 2) To authorise the allocation of the Neighbourhood Board's delegated budget.

#### PARTD MATTERSTHATARE DELEGATEDTOTHE HEALTH AND WELLBEING BOARD,STANDARDSCOMMITTEE,OVERVIEWAND SCRUTINYBOARDS, THE CORPORATE PARENTING COMMITTEE,THEAPPOINTMENTSPANEL,THEADOPTIONPANEL,THEPERSONNELCOMMITT EE,DISPUTESRESOLUTIONCOMMITTEE,THECONSERVATIONAREAADVISORYCOMMITTEEA NDTHEFOSTERINGPANEL

#### Health and Wellbeing Board

The Health and Wellbeing Board was established as a statutory committee of the council under the Health and Social Care Act 2012.

Derby Health and Wellbeing Board will lead and advise on work to improve the health and wellbeing of the population of Derby and specifically to reduce health inequalities. It will support the development of improved and joined up health and social care services.

The Health and Wellbeing Board has a duty (under the Health and Social Care Act 2012; Local Government and Public Involvement Act 2007; National Health Service Act 2006) to:

- 1) Encourage integrated working through promoting an ethos of integration and partnership in the planning, commissioning and delivery of services to improve the health and wellbeing of the population of Derby and reduce health inequalities;
- 2) Prepare and publish a Joint Strategic Needs Assessment (JSNA) of current and future health and social care needs in relation to the population of the local authority;
- 3) Prepare and publish a Pharmaceutical Needs Assessment (PNA) to assess the need for pharmaceutical services in Derby;
- 4) Prepare and publish a Health and Wellbeing Strategy a strategy for meeting the needs identified within the JSNA. The local Healthwatch and people living or working in the area must be involved in the development of the strategy; and
- 5) Receive the Commissioning Plan of Southern Derbyshire CCG this includes involvement in preparation of the plan and ensuring that it takes due regard of the JSNA and Health and Wellbeing Strategy.

In addition to the stated statutory functions, the Health and Wellbeing Board will also:

- 6) Be a designated outcome board of Derby City and Neighbourhoods Partnership with responsibility for the implementation and monitoring of elements of the Derby Plan;
- 7) Establish time limited task and finish groups as required to carry out work on behalf of the board.

#### StandardsCommittee

The Standards Committee is established to:

- 1) Promoteandmaintainhighstandardsofconductbycouncillors,co-opted membersandchurchandparentgovernorrepresentatives;
- 2) Assistcouncillors,co-optedmembers andchurchandparentgovernorrepresentativestoobserve

theMembers'CodeofConduct;

- 3) Advise the councilon the adoption or revision of the Members' Code of Conduct;
- 4) MonitortheeffectivenessoftheMembers'CodeofConduct;
- 5) Advise the councilon the adoption or revision of related codes or protocols;
- 6) Monitortheeffectivenessofrelatedcodesorprotocols;
- 7) Advise ontrainingfor councillors, co-opted members and church and parent governor representative son matters relating to the Members Code of Conduct; and
- 8) Assess, review and determine complaints about members.

#### **OverviewandScrutinyBoards**

#### Corporate Scrutiny and Governance Board

Within thescopeoftheirtermsofreferencesetoutinArticle6to:

- 1) Review and/or scrutinise decisions made or actions taken in connection with the discharge of the council's functions;
- 2) Make reports or recommendations to Council, Council Cabinet or any committees of the council in respect of the discharge of the council's functions;
- 3) Deliver the scrutiny of decisions subject to call-in following the call-in procedure to consider if a decision has been made in accordance with decision-making principles, and determine whether to ask the decision maker to reconsider or review the decision in-line with these principles;
- 4) Consider recommendations from scrutiny review boards in respect of areas for performance review, and to undertake any such performance review;
- 5) Make recommendations to scrutiny review boards on areas to consider for policy development and review;
- 6) Provide an annual report to Council on the workings of the scrutiny function; and
- 7) Carry out any other statutory duties and responsibilities allocated to local authority scrutiny committees.

Scrutiny Review Boards (Inspiring Young People Board, Integrating Communities Board, Protecting Vulnerable Adults Board, Regenerating Our City Board and Supporting Derby's Workforce Board

Within thescopeoftheirtermsofreferencesetoutinArticle6to:

- 1) Agree a programme of policy development and review in relation to the board's allocated topic area, and to undertake any policy development and review arising;
- 2) Consider service updates in relation to council and non-council functions within the board's allocated topic area;
- 3) Make reports or recommendations to Council, Council Cabinet or any committees of the council in respect of the discharge of the council's functions;
- 4) Make recommendations to the Corporate Scrutiny and Governance Board in respect of performance areas for review;

In addition, the Corporate Scrutiny and Governance Board is specifically allocated as the council's statutory health scrutiny committee and statutory crime and disorder committee, however these matters may be considered by theProtecting Vulnerable Adults Boardand Integrated Communities Board respectively through delegation from the Corporate Scrutiny and Governance Board.

#### AdoptionPanel

Todeal with all cases referred to it and make recommendations about the matters required to be considered by the Adoption Agencies Regulations 2005.

#### FosteringPanel

То

dealwithallmattersreferredtoitandmakerecommendationstotheCouncilCabinetorStrategicD irector,People Services aboutmattersrequiredtobeconsideredbytheFosteringServicesRegulations2002.

#### **Corporate Parenting Committee**

To consider matters relating to the council's duties in respect of looked after children, including the role of elected members and the authority as a whole as 'Corporate Parents'.

#### AppointmentsPanel

Subject to the Officer Employment Procedure Rules, an Appointments Panel will:

- make recommendations

   abouttheappointmentoftheChiefExecutiveandHeadofthePaidService,
   MonitoringOfficer,Chief Financial Officer (Section 151 Officer); and
- 2) make appointments of other Strategic Directors and Service Directors.

With the exception of appointments relating to the Director of Public Health (detailed below), an Appointments Panel shall comprise at least three members to include a Council Cabinet member, provided that at least one member of each political group, recognised by Council, is represented or given due opportunity to be represented.

#### Appointment of Chief Executive and Head of Paid Service

For the appointment of the Chief Executive and Head of Paid Service, the panel shall be determined by the Monitoring Officer.

# Appointment of Monitoring Officer, Chief Financial Officer (Section 151 Officer), and all other Strategic and Service Directors

For the appointment of the above, the panel shall be determined by the Chief Executive.

# Appointment of Director of Public Health

For the appointment of the Director of Public Health, the panel shall comprise:

- A councillor to be determined by the Chief Executive;
- The Chief Executive or his/her nominated deputy;
- An external faculty assessor appointed following consultation with the Faculty of Public Health and agreed by Public Health England;
- The Regional Director of Public Health or his/her nominated deputy; and

• A senior NHS representative to be determined by the Chief Executive.

#### PersonnelCommittee

To approve corporate personnel and health and safety policies, other than minor or technical changes to existing policies which do not affect the underlying principles of the policies.

Toapprovechanges tostaffinglevelsororganisationalstructuresthatresult inacostof £100,000ayearormore,or wherethereisnobudgetprovision,unlessthechangeis incidentaltoa key decisiontakenbytheCouncilCabinet.

Totakekeypolicydecisionsinrelationtoequal pay, single statusandjobevaluation.

#### DisputesResolutionCommittee

To consider disputes over terms and conditions of employment.

#### ConservationAreaAdvisoryCommittee

 Toconsiderapplicationsforplanningpermission, statutory notifications and related consents which could affect the character, appearance or setting of a World Heritage Site, Conservation Area, buildings of architectural or historic interest, ancient monuments and others it esofarchaeological interest, and to give appropriate advice on such matters to the Planning Control Committee and/or the service director in the appropriate council de

to the Planning Control Committee and /or these rvicedirector in the appropriate council de partment;

- 2) ToconsiderproposalsfornewConservationAreasandfortheextensionoralteration oftheboundariesofexistingones;
- 3) TomakerecommendationstotheStrategicDirectorofCommunities and Place for actionin existingandproposedConservationAreas; and
- 4) Tofosterinterest inConservationAreas, buildingsofarchitecturalorhistoricinterest, ancientmonuments and archaeological sites and through the organisations and associations represented on the committee to encourage positive action by private individual sboth acting alone and insupport of the actions to be carried out by the council including the operation of a wardschemes approved by the Planning Contr ol Committee.

# PARTE MATTERSTHATAREDELEGATEDTOREGULATORYANDAPPEALSCOMMITTEES,THEINVESTIGATI NGANDDISCIPLINARYCOMMITTEEANDDISCIPLINARYAPPEALSCOMMITTEE

Bylaw, Councilmust dealwith some functions unless they are delegated to regulatory committee sorofficers. There are some other functions where there is local choice whether the Council or the Council Cabinet deals with them. These are detailed later in the Scheme of Delegations.

#### AuditandAccountsCommittee

#### Statement of purpose

The Audit and Accounts Committee is a key component of the council's corporate governance. It provides a high-level focus on the audit, assurance and reporting arrangements that underpin good governance and financial standards.

The purpose of the Audit and Accounts Committee is to provide independent assurance to the members of the adequacy of the risk management framework and the internal control environment. It provides independent review of the council's governance, risk management and control frameworks.

The Audit and Accounts Committee is authorised to discharge the following functions:

#### Internal Audit

- 1. To consider and approve the annual internal audit plan, including internal audit's resource requirements;
- 2. To approve the internal audit charter;
- 3. To approve significant interim changes to the annual audit plan and resource requirements;
- 4. To consider (periodic) reports from the Head of Internal Audit on:
  - internal audit's performance during the year, including updates on key findings, issues of concern and action in hand;
  - regular reports on the results of the Quality Assurance and Improvement Programme; and
  - reports on instances where the internal audit function does not conform to the Public Sector Internal Audit Standards and Local Government Application Note, considering whether the non-conformance is significant enough to be included in the Annual Governance Statement;
- 5. To consider the Head of Internal Audit's annual report, including:
  - the statement of the level of conformance with the Public Sector Internal Audit Standards and Local Government Application note;
  - the results of the Quality Assurance and Improvement Programme;
  - the opinion on the overall adequacy and effectiveness of the council's framework of governance, risk management and control together with the summary of the work supporting the opinion;
- 6. To consider summaries of specific internal audit reports as requested;
- 7. To consider reports dealing with the management and performance of the providers of internal audit services;

- 8. To consider a report from internal audit on agreed recommendations not implemented within a reasonable timescale and where management has accepted a level of risk that may be unacceptable to the authority;
- 9. In conjunction with the council's Section 151 Officer, to commission work from internal audit;
- 10. To contribute to the Quality Assurance and Improvement Programme and in particular, to the external quality assessment of internal audit that takes place at least once every five years;
- 11. To consider a report on the effectiveness of internal audit to support the Annual Governance Statement, where required to do so by the Accounts and Audit Regulations; and
- 12. To support the development of effective communication with the head of internal audit.

#### External Audit

- 13. To consider the external auditor's annual letter, relevant reports, and the report to those charged with governance and such specific reports as are agreed with external audit;
- 14. To comment on the scope and depth of external audit work and to ensure it gives value for money;
- 15. To advise and recommend on the effectiveness of relationships between external and internal audit and other inspection agencies or relevant bodies; and
- 16. In conjunction with the council's Section 151 Officer, to commission work from external audit.

#### Governance, Risk, Control

- 17. To review the council's arrangements for corporate governance against the good governance framework and consider quarterly governance reports and assurances;
- 18. To review and approve the authority's Annual Governance Statement;
- 19. To maintain an overview of the council's constitution in respect of contract procedure rules, financial regulations and codes of conduct and behaviour;
- 20. To review any issue referred to it by the chief executive or a strategic director, or any council body;
- 21. To consider, approve and monitor the effective development and operation of risk management in the council;
- 22. To consider, approve and monitor council policies on whistleblowing (Confidential Reporting Code), counter fraud measures and the council's complaints process;
- 23. To monitor progress in addressing risk-related issues reported to the committee;
- 24. To consider the council's compliance with its own and other published standards and controls; and
- 25. To monitor Treasury Management performance.

# Financial reporting

26. To review and approve the annual statement of accounts. Specifically, to consider whether appropriate accounting policies have been followed and whether there are concerns arising from the financial statements or from the audit that need to be brought to the attention of the council; and

27. To consider the external auditor's report to those charged with governance on issues arising from the audit of the accounts and other reports, letters etc on the statement of accounts.

# PlanningControlCommittee

Willdealwith:

- 1. Decisionsrelatingtotownand countryplanning,commonsregistrationandtheuse and regulation of highways, that would fall within the definition of key decisions if these were Executive functions.
- 2. Determining individual applications for planning permission and advertisement control and any other application made under planning legislation where:
  - a) 15 or more duly made objections (within 28 days of notification of an application) have been received, whichraisematerialplanningconsiderations and the officerrecommendation is to approve permission/consent(objections from the same house or house hold or family members will be treated as a single objection), or
  - b) the application is contrary to a Local Planal location and the officer recommendation is to approve, or
  - c) aMemberoftheCouncilhas, withinthreeweeksofbeingsentnotification of anapplication, writtento the Directorof Partnerships, Planning and Streetpriderequestingitsdetermination by the Committee, giving reasons for the request. Where the member concerned does not attend th emeeting in person, or submit written representations, the application will be deemed to be determined in accordance with the recommendation of the Director of Partnerships, Planning and Street pride.
- 3. Dealingwithproposalstodiscontinuetheuseofland
- 4. Considering anyobjectionstothemakingorconfirmation of ordersmadeunder highwaysorplanninglegislationwheretherehavebeenobjectionsincluding:
  - treepreservationorders; or
  - orderstocreatedivertorclosefootpathsorbridleways; or
  - tomodifythedefinitive map.

# LicensingCommittee

Will, with incouncil policies:

- 1. Takedecisionsrelatingtolicensingandappeals functionsthatwouldfall within thedefinition of keydecisionsifthesewereexecutive functions;
- 2. Approveandamendpoliciesrelatingtothesefunctions; and
- 3. Approvearrangementsformeetingsof sub committees and panels, unless otherwise determined by Council.

inrelationtolicencesthatcoveralcohol, regulated entertainment, and other licences granted under the:

• LicensingAct2003

- LocalGovernment(MiscellaneousProvisions)Act1982
- TheatresAct1968
- CinemaAct1985
- GamblingAct2005
- EnvironmentalProtectionAct1990(distributionofliterature)
- AnimalBoardingEstablishmentsAct1963
- DangerousWildAnimalsAct1976
- BreedingofDogsAct1973
- PetAnimalsAct1951
- RidingEstablishmentsActs1964and1970
- BurialAct1857
- ScrapMetalDealersAct1964
- MotorSalvageOperationsRegulations2002
- Vehicles(Crime)Act2001
- CaravanSitesandControlofDevelopmentAct1960

And in relation to other aspects previously covered by the Taxi Licensing and Appeals Committee until it was merged with the General Licensing Committee to form a single Licensing Committee by Council in May 2015.

#### GeneralLicensingSubCommittee

Will, within Council policies

- A Inrelationtolicencesthatcoveralcohol, regulated entertainment, and other licences granted under the Licensing Act 2003, Local Government (Miscellaneous Provisions) Act 1982. and Gambling Act 2005:
  - 1. Determineapplicationsforapersonallicenceifthereisapolice objection;
  - 2. Determineapplicationsforapersonallicencefrompersonswithanunspent conviction;
  - 3. Determineapplicationsforpremiseslicence/clubpremisescertificateifa relevantrepresentationismade;
  - 4. Determineapplicationsforaprovisionalstatementifarelevantrepresentationis made;
  - 5. Determineapplicationstovaryapremiseslicence/clubpremisescertificateifa relevantrepresentation ismade;
  - 6. Determineapplicationstovaryadesignatedpersonallicenceholderifthereisa policeobjection;
  - 7. Determineapplicationsforatransferofpremiseslicenceifthereisapolice objection;
  - 8. Determineapplicationsforinterimauthoritiesifthereisapoliceobjection;
  - 9. Determineapplicationstoreviewpremiseslicence/clubpremisescertificate;
  - 10. Decidewhethertoobject whenthelocalauthorityisaconsulteeandnot the lead authority; and
  - 11. Determineapolicerepresentationtoatemporaryeventnotice.
- B CarryoutallstatutoryfunctionsastheLicensingAuthority,withregard topremises licences andpermits as stipulatedundertheGambling Act2005andthecouncil's GamblingAct StatementofPrinciples.

# TaxiLicensingSubCommittee

Will, withincouncilpolicies and inrelation

to hack ney carriages and private hirevehicles, their proprietors, operators and drivers:

- 1. DetermineindividualapplicationsormattersreferredtotheSubCommitteebythe DirectorofCommunities, Environment andRegulatoryServices, unless withincouncil policiesitisan automaticgroundofrefusal;
- 2. Suspendorrevokelicencesorissue written warnings;
- 3. Determineappealsbyapplicantsforadvertisingonhackneycarriageswhoare aggrieved atadecisionoftheDirector for Communities, Environment and RegulatoryServices; and
- 4. Determining individual applications where there is relevant information supplied by the Chief Officer of Police.

#### EmployeeAppealsSub-Committee

Willdealwith appeals by employees operating at Tier 3 and below, specifically

- 1. Re-gradingappealsbyallemployeesofthecouncil;
- 2. Appealsfromemployeesagainstdismissal; and
- 3. Thefinal stages of the grievance and harassment procedures.

#### InvestigatingandDisciplinaryCommittee

The committee will:

- 1. Deal withallmattersofdisciplinerelatingtochiefofficersemployedbythe councilunderJointNegotiatingCommittee(JNC)terms andconditions, other than the Chief Executive and Head of Paid Service, Monitoring Officer and Chief Finance Officer, in accordancewiththerelevantproceduresset out in Part 7 of the Council Constitution; and
- 2. Reviewandmake recommendations to Council to amendthedisciplinaryproceduresfromtimetotime.However,in doingso,thecommitteeisrequiredtohaveregardtoanychangestothemodel procedures,containedintheJNCConditionsofServiceforChiefExecutivesandtheJNC ConditionsofServiceforChief Officersrespectively,whichmaybeimplementedfromtimetotime.

The membership of the committee is set out in the disciplinary procedures within Part7 of the Council Constitution. The Chair of the committee shall be selected from the members of the committee by majority vote.

Councilagreedatitsmeetingon17September2014thatdelegatedpowertotakedisciplinaryaction inaccordancewiththedisciplinaryproceduresbegrantedtothecommittee. This was amended by a further decision of Council on 22 July 2015, through which separate procedures were introduced for the Chief Executive and Head of Paid Service, Monitoring Officer and Section 151 Officer.

TheCouncilatitsmeeting on 17September 2014 agreed that application of the current restriction which prohibits a meeting from sitting longer than four hours, be removed in relation to this committee, subject to a requirement on the Committee to take appropriate breaks so as to ensure the comfort of those participating in the meeting.

#### **Disciplinary Appeals Committee**

The committee will dealwithappealsfromchiefofficersemployedbythe councilunderJointNegotiatingCommittee(JNC)terms andconditions, other than the Chief Executive and Head of Paid Service, Monitoring Officer and Chief Finance Officer, arising out of disciplinary action taken against them by the Council's Investigating and Disciplinary Committee, in accordance with the relevant procedures set out in Part 7 of the Council Constitution

The membership of the committee is set out in the disciplinary procedures within Part 7 of the Council Constitution. The chair of the committee shall be selected from the members of the committee by majority vote.

Note: Council approved on 22 July 2015 that disciplinary procedures for the Chief Executive and Head of Paid Service, Monitoring Officer and Chief Finance Officer shall not be conducted through the Investigating and Disciplinary Committee or the Disciplinary Appeals Committee, but through the arrangements required under the Local Authorities (Standing Orders) (England) (Amendment) Regulations 2015, as set out within Part 7 of the Council Constitution.

# PARTF MATTERSTHATAREDELEGATEDTOOFFICERS

# 1. GENERAL

ThisschemedelegatestotheChiefExecutive,strategicdirectorsandotherofficersthepowersand duties necessaryforthedischargeofthecouncil'sfunctionssubjecttothespecific conditionsstatedin2and3below.Itisadopted withthe aimof streamlining and simplifying the decision-making processes of the counciland accordingly the scheme should be interpreted widely rather than narrowly. Where functions are delegated these should be taken to in cludeall powers and duties necessary to

carryoutthosefunctionsunderallpresentandfuturelegislationandall incidentalpowers includingpowertoservestatutorynotices(afterconsultationwith theDirector of Governance (Monitoring Officer)wherespecified), and carryoutworks indefault.

# 2. CONDITIONS

- 2.1 TheschemedoesnotdelegatetotheChiefExecutive,StrategicDirectororother Officers anykeydecisions,ormattersdelegatedtoindividualmembersoftheCouncil Cabinet.Keydecisions aredefinedinArticle13.
- 2.2 Allpowersand duties that the Chief Executive as trategic director or other officer is authorised to exercise or performmust be exercised or performed on behalf of and in the name of the council and in accordance with the Constitution and, in the case of a strategic director or other officer, any direction and / or advice of the Chief Executive.
- 2.3 Whereanymatterinvolvesprofessional or technicalconsiderationsnotwithinthe sphereof thecompetenceoftheChiefExecutive,strategicdirectororotherofficer they mustconsulttheappropriateprofessionalortechnicalofficerofthecouncil beforeauthorisingaction.
- 2.4 Appointmentstoactas authorisedorproperofficersmustbemadebytheDirector of Governance (Monitoring Officer)unlessspecifiedlaterinthescheme.
- 2.5 TheDirectorofGovernance (Monitoring Officer) isappointedtoactasauthorised orproperofficerforthepurposesofanyActofParliamentorStatutory Instrumentwherequalifiedtodosoand anotherofficerhasnotbeen appointed.
- 2.6 TheDirectorofGovernance (Monitoring Officer) mayappointotherofficerstoactas authorisedorproperofficersforthepurposesofanyActofParliamentorStatutory Instrumentandtoappearonbehalfofthecouncilinproceedingsbeforea MagistratesorCountyCourt.
- 2.7 InexercisingdelegatedpowerstheChiefExecutive,astrategicdirectororother officershouldonlyactwithin thecouncil'spolicyframeworkandtherevenueand

capital budgets for the relevants ervice as approved by the Council subject to any variation sthat are permitted by the Council's Financial Procedure Rules.

- 2.8 TheLeaderoftheCouncilandthe ChiefExecutivemustbe consultedabout responsestoconsultationpapersissuedbyGovernmentdepartmentsornationalor regionalbodies.TheappropriatememberoftheCouncilCabinetmustbeconsulted aboutresponsestolong-termorstrategicplansofneighbouringplanningauthorities.
- 2.9 ConsultantsmayonlybeappointedinaccordancewithContractProcedureRules.
- 2.10 Anyreference to the Chief Executive, astrategic director or other officer includes any other personauthor is edinwriting by that officer to act on their behalf.
- 2.11 Inadditiontothegeneralpowers conferreduponthemasChiefExecutiveorasa strategicdirector, these officers will be authorised to exercise all the powers and duties specifically conferred on subordinate officers in their department. All powers and duties conferred upon subord in a teofficers will be exercised in accordance with any direction is sued by the Chief Executive or their Strategic Director.
- 2.12 Referencetoanystatutoryprovision includesanystatutoryprovisionamending, consolidating, orreplacing it for the time being inforce.
- 2.13 Decisionsongrantsorloans tovoluntary bodiesupto£25,000mustbemade followingconsultationwiththerelevantCouncilCabinetmember.

#### 3. STAFFINGCONDITIONS

- 3.1 TheChiefExecutiveandStrategicDirectors willberesponsibleforappointing, managing, disciplining and dismissing all employees within their departments subject to complying with:
  - Corporateemploymentpoliciesandconditionsofservice;
  - Anyappropriateschemesorarrangementslaid downbytheCouncilortheCouncilCabinet;
  - AnydirectionsthatmaybeissuedfromtimetotimebytheDirectorofGovernance (Monitoring Officer); and
  - OfficerEmploymentProcedureRules.
- 3.2 ThefollowingmattersmustbedealtwithinconjunctionwiththeDirectorof Governance (Monitoring Officer):
  - Authorisingpaymentsofhonorariathatexceed£2,000inthecase ofanyoneemployeeorincircumstances thatarenotcoveredbythepolicyguidelines;
  - Reviewingandauthorisingchanges todepartmentalstructuresand establishments;
  - Authorisingovertimepaymentsforemployeesnot otherwise entitled;
  - Determiningapplicationsfromemployeesfortheirposttobe regraded.

- InconsultationwiththeDirector of Finance,authorisingpayments foremployeesinthecaseofearlyretirement,voluntaryredundancyand redeployment.
- Authorising the creation of additional temporary posts for more than 6 months, where budget provision exists.
- Authorisingrequestsforleavewhichareeithernotcoveredbyapolicyorwhichexc eedthelimitsintherelevantpolicy.
- Payingcompensationtoemployeesforpainand sufferingincurredbythemas aresultofassaultand/orharassmentand/orattacksbyanimalswhilstundertakingthei rdutiesandresponsibilities.
- Grantingextensionsofsicknesspaytoemployees.
- Authorisingthepaymentofinjuryallowances.
- 3.3 TheDirectorof Communities, Environment and Regulatory Servicesisresponsiblefor takingactiontosecurethesafetyandwelfare ofemployeesandtotakeimmediateactionwhereinhis/heropinionthereisdangertolifeandl imb.
- 3.4 The Director of Strategic Services and Organisational Development is responsible for:
  - Developing, reviewing and monitoring personnel policy and standards across the council;
  - Establishingandmaintainingeffectiveconsultationandnegotiation arrangementswithrecognisedtradeunions;
  - Developing, reviewing and monitoring employment policy in relation to equality of opport unity;
  - InconjunctionwiththeDirector of Finance, reviewing annually the amounts of compensation provided for in the council's employment policies to make sure the eyremain at appropriate levels and in line within flation;
  - Approving the implementation of grades resulting from the jobe valuation process; and
  - Approving changes to terms and conditions of employment where there is a cost of less than £100,000 in a financial year.

# 4. SUB DELEGATION

- 4.1 This scheme includes the power for officers to further delegate any function which has been delegated to them under this scheme, to another officer or to other officers. Every such sub-delegation shall be in writing, setting out the name of the delegate, the terms and conditions upon which that function is to be performed, and accountability for the performance of the sub-delegated function. The officer making such sub delegation shall record the sub-delegation in a register maintained for the purpose by the Director of Governance (Monitoring Officer).
- 4.2 Directors shall devolve operational responsibilities for day to day service delivery and management to the nearest practicable point to the service user.
- 4.3 The officer named shall have the power to act for the purposes of these delegated matters as if he/she were the director or authorised officer. Sub-delegated decisionsare taken in the name of the director or authorised Officer.

#### **OFFICERS'AREASOFRESPONSIBILITY**

Allnon-executivefunctions are detailed later in the scheme.

#### CHIEFEXECUTIVE

TheChiefExecutive willhaveresponsibilityforallmanagement, operationalandnonkeydecisions aboutthefollowingfunctionsandareasofresponsibility otherthanthosemattersdelegatedtoindividualmembersoftheCouncilCabinetsetoutinPartB.

#### A StatutoryExecutiveFunctions

- 1) Thefunctionsofthecouncilinrespectof CouncilTaxandnationalnon-domestic rates, except where these are reserved to Council or the Council Cabinet;
- 2) TheHousingandCouncilTaxBenefitsschemes; and
- 3) RegistrationServices, including births, deaths, marriages, civil ceremonies and civil partnerships.

#### **B** AreasofResponsibility

- 1) ActingasHeadofthe PaidService, including providing clear, strong and motivational leadership for all officers;
- 2) Developingcorporatestrategies, policies and briefs for all service areas;
- 3) Having overall responsibility for promoting the Council's values, delivering its strategic aims and priorities and for promoting a positive culture across the organisation;
- 4) Givingdirectionsand/oradviceto otherofficersintheexercise of their powers and duties under this scheme;
- 5) Promoting high standards of governance and ensure that robust systems are in place for budgeting, planning, performance management and risk management and that the organisation complies with all of its statutory responsibilities;
- 6) Delivering high quality, impartial policy advice and support to the Leader and all elected members and to promote high standards of governance and strong and transparent, member/officer relationships;
- 7) Monitoringtheachievement, impact and effectiveness of the council's corporate aims, priorities and policies;
- 8) Managingcorporate consultation and communication policies and initiatives;
- 9) Preparingandpublicisingthecouncil'snationalperformanceindicators;
- 10) Drawingupandimplementingcorporatepoliciesonperformancemanagement;
- 11) Establishinginsurancearrangementsas appropriatetosafeguardtheassets of, andservices to,thecouncilinconsultation withtheServiceDirector-PropertyServicesastothevaluationofinterestsinlandandproperty;
- 12) Managingthecouncil'sIS/ITfunctionande-Businessfunctions;
- 13) Responsible for compliance with Data Protection and Freedom of Information Acts;
- 14) Management of corporate complaints function;
- 15) ManagementoftheStrategicProcurementfunction;
- 16) ManagingtheCouncil's centralpurchasingfunction;
- 17) Dealingwithmayoral, civicand ceremonial matters in consultation with the Mayor and the Deputy Mayor;
- 18) Seekingtheeviction of unauthorised travellers occupying Councilland and repairing damage caused by them;
- 19) Dealingwithcorporateadministrative services;
- 20) Managinglocallandcharges, searchesand enquiries;

- 21) Approvingattendanceofmembers atconferencesandseminars;
- 22) Approvingtheuseofthecouncilsuitebyoutsidebodiesandorganisations;
- 23) Dealingwith corporate personnel functions including reviews, policy and industrial relations, equalities and employeed evelopment; and
- 24) Managingthedeliveryofpubliccatering, cleaning and caretaking (including in schools).

# C ActingasAuthorised/ProperOfficerforthefollowingmatters

The Chief Executive will:

- actasauthorisedorproperofficerforthepurposesofanyActofParliamentorStatutoryInstru mentwherequalifiedtodosoandnootherOfficerhas beenappointed
- appointofficerstoactasauthorised/properOfficersfor thepurposesof anyActofParliamentorStatutoryInstrument

# D Drawingupthefollowingpoliciesandplansaspartofthepolicy framework

The Council Plan and Annual Report

# E Statutorynon-executivefunctions, regulatoryandother non-executivematters

Making decisionsonbehalfofthecouncilincasesofurgencyindischargeofanynonexecutivefunctions ofthecouncilotherthanthose whicharealreadydelegatedtoanofficerunderthisscheme or whichbylawcan be dischargedonlybythe Council.

# SECTION1510FFICER, ASSPECIFIED IN ARTICLE 120FTHE COUNCILCONSTITUTION

# A StatutoryExecutiveFunctions

- 1) The powers conferred in the council's Financial Procedure Rules;
- Thepowersand dutiesasapprovedbythecouncilandincluded intheTreasury ManagementCodeofPracticeanddocumentsissuedthereunder;
- 3) Determininglocalaverageratesinaccordance with theprovisions of paragraphs3 and of Schedule 16 to the Housing Act 1985; and
- 4) Grantingloansto industrialists under Section3oftheLocalAuthorities (Land) Act 1963.

# B AreasofResponsibility

- 1) RegulatingandcontrollingthefinancesoftheCouncilandactingasChief Financial Officerforthepurposes ofSection151oftheLocalGovernmentAct1972;
- 2) DealingwithEuropeanMonetary Unionmatters;
- 3) Authorisingmaking loanstopurchase, construct, improveor repairhouses;
- 4) Varying the interest rate as required on loans to housing associations;
- 5) Fixing interestrates on loans but not loans for the purchase of houses and in any case where the council has discretion about the rate of interest to be charged;
- 6) ManagingWelfareRightsandAdviceServices;
- 7) ToacceptoffersofgrantsmadebyGovernmentDepartments; and
- 8) Effectiveness of Internal Audit.

# D Drawingupthefollowingpoliciesandplansaspartofthepolicy framework

- Thebudget;
- Anyplanorstrategyforthe controlof theCouncil'sborrowingorcapital expenditure(thecapitalplan);
- Annualstatement of the authority's accounts, income and expenditure and balances heets; and
- AnyreviewoftheTreasuryManagementPolicyStatement.

# DIRECTOR OF DIGITAL SERVICES

# B AreasofResponsibility

1) Act as the council's Senior Information Risk Officer responsible for matters of information security.

# DIRECTOR OF GOVERNANCE (MONITORING OFFICER)

# C AreasofResponsibility

- 1) Actingassolicitorto thecouncil(includingthepowertoobtainCounsel'sopinion) andasthecouncil'sMonitoringOfficer;
- 2) Instituting, defending, withdrawing or settling any claims or legal proceedings on behalf of the council, either civilor criminal, or authorising other officers to;
- 3) Issuing formalcautionsfor criminaloffencesinconsultation with the chief officernamed as responsible for that function;
- 4) Executingonbehalfofthecouncilanylegaldocumentincludinganycontract, agreement,leaseorlicenceandaffixingtheCommonSeal ofthecounciltoall necessarydocuments;
- 5) ExternalAuditincludinganti-fraudstrategyandinitiatives; and
- 6) InternalAuditincludinganti-fraudstrategyandinitiatives.
- D ActingasAuthorised/ProperOfficerforthefollowingmatters:
- Appointofficers toappearonbehalfofthecouncilinproceedingsbeforea MagistratesorCountyCourt;
- Servenoticesunder anyActsofParliamentor StatutoryInstrument;
- ActasElectoralRegistrationOfficerandReturningOfficerforlocal governmentelections.TheElectoralServices andLandChargesManagerwillactasDeputyElectoral RegistrationOfficer; and
- Proper Officer for Registration of Births, Deaths, Marriages and Civil Partnerships

# HEAD OF DEMOCRACY

# D Acting as Authorised/Proper Officer for the following matters:

- Secretary to the Independent Remuneration Panel for Members' Allowances; and
- Scrutiny Officer.

#### STATUTORYPUBLICHEALTHFUNCTIONSOFTHELOCALAUTHORITY

# Functions of local authorities as to improve ment of public health (as defined within the Health and Social Care Act 2012):

- The councilmusttakesuchstepsasit considersappropriateforimprovingthehealthofthepeopleinitsarea; and
   The council has powers toprovidegrantsorloans to organisations or individuals in
- order to improve public health (onsuchtermsasthelocalauthorityconsidersappropriate).

Section 6Cof the NHS Act 2006 and Part 2 of the Local Authorities (Public Health Functions and Entry to Premises by Local Healthwatch Representatives) Regulations 2013 sets out the steps that must be taken by the council in exercising its public health functions:

- 1) Provide for the weighing and measurement of relevant children (as defined within Regulation 3);
- 2) Provide or make arrangements for health check assessments for eligible people and record relevant information as specified (as defined within Regulations 4 and 5);
- 3) Provide or make arrangements (as defined within Regulation 6) to secure the provision of open access sexual health services in their area (excluding HIV treatments and care, abortion, vasectomy and sterilisation services);
- 4) Provide or make arrangements (as defined within Regulation 7) to secure the provision of a public health advice service to any Clinical Commissioning Group (CCG) in its area. The matters covered in the advice should be kept under review and agreed between the council and the CCG; and
- 5) Provide information and advice to relevant persons and bodies in the council's area to promote the preparation of, or participation in, health protection arrangements against threats to the health of the local population, including infections disease, environmental hazards and extreme weather events (as defined in Regulation 8).

Part 3, Regulation 9 of the Local Authorities (Public Health Functions and Entry to Premises by Local Healthwatch Representatives) Regulations 2013 provides for the council to charge for certain actions in its health improvement duty. Where the council provides services as part of the comprehensive health service, these services must be free at the point of use.

The Crime and Disorder Act 1998 and Drugs Act 2005 set out requirements in relation to compulsory drug testing and treatment. To discharge these requirements, appropriate drug testing and treatment services must be available. Under the Health and Social Care Act 2012, responsibility for the provision of substance misuse services became part of the public health functions of the council. Funding for the provision of these services is included within the ring-fenced public health grant. The council therefore has responsibility for the provision of appropriate substance misuse services within its area.

The council receives a public health grant. The grant can only be used to meet eligible expenditure incurred or to be incurred by the council for the purposes of its public health functions.

The council must publish an annual report on the health of the people in the area prepared by the Director of Public Health.

Under Section 29 of the Health and Social Care Act 2012 (amending the NHS Act 2006), the

council has responsibility for functions around oral public health and a duty to help deliver and sustain good health among the prison population. The commissioning of services relating to these functions is not, however, the responsibility of the council.

Under Section 31 of the Health and Social Care Act 2012, the council must pay due regard to guidance from the Secretary of State when exercising its public health functions. In particular, the council must have regard to the Department of Health's Public Health Outcomes Framework (PHOF).

Under Section 237 of the 2012 Act, the council is required to comply with National Institute for Health and Care Excellence (NICE) recommendations to fund treatments under public health functions.

# DIRECTOROFPUBLICHEALTH

TheDirectorofPublicHealth(DPH) is a statutorychiefofficerof the council, and holds apolitically restricted post, by section 2(6)(zb) of the Local Government and Housing Act 1989, inserted by Schedule 5 of the Health and Social Care Act 2012.

The DPH willhaveresponsibilityforallmanagement, operational and non-keydecisions about the following functions and areas of responsibility.

# Statutoryfunctionsandresponsibilities

Section73A(1)oftheNHSAct 2006, inserted by section30 of the health and Social CareAct 2012, gives the DPH responsibility for:

- 1) All oftheirlocal authority'sdutiestotakesteps toimprove the health of the people inits area;
- Anyof the SecretaryofState's publichealthprotectionorhealthimprovementfunctionsthats/hedelegatesto localauthorities;
- 3) Exercising their local authority's functions in planning for, and responding to, emergencies that present arisk to the public's health;
- 4) Theirlocalauthority'sroleinco-operating with the police, the probations ervice and the prison service to assess the risks posed by violent or sexual offenders;
- 5) SuchotherpublichealthfunctionsastheSecretaryofStatespecifiesinregulations;
- 6) The council'spublic healthresponseas aresponsibleauthorityunderthe LicensingAct2003;
- 7) ProvidingHealthyStartvitamins(afunctionconferredon localauthoritiesby the HealthyStartand WelfareFoodRegulations2005asamended), underregulationsmadeundersection73A(1) wherethelocalauthorityprovides orcommissionsamaternityor childhealthclinic;
- Taking a placeontheirlocalhealthandwellbeingboard (section194(2)(d) ofthe2012 Act);
- 9) Preparing an annual report on the health of the local population; and
- 10) Preparing and signing offan annual Statement of Assurance that the public health grant is spent in accordance with the conditions of the grant.

#### **Appointment and dismissal**

Undersection 73A of the 2006Act, inserted by section 30 of the 2012Act:

- 1) The DPHmustbeappointedjointlybytheirlocalauthorityandtheSecretaryofState (in practicePublicHealthEngland).Theirsubsequentemploymentrelationship iswiththecouncilexclusively;
- 2) TheSecretaryofStatecan direct the council to review the DPH's performance; and
- 3) The councilmust consult theSecretaryofStatebeforedismissingitsDPH.TheauthoritymaystillsuspenditsDPHfro mduty(followingitsstandardrulesand procedures) andtheSecretaryofState cannotvetoitsfinaldecisionondismissal.

#### Corporateaccountability

- 1) TheDPHisachiefofficerofthe localauthority and shares the same kind of corporated uties and responsibilities as other senior staff;
- 2) TheDPH maybea standing memberofthelocalauthority'smostsenior corporate managementteam;
- 3) TheDPHis directly accountable to theChiefExecutive fortheexercise ofthelocalauthority's

publichealthresponsibilities, and direct access to elected members; and

4) The DPHwill havefull accesstothepapersand otherinformationthattheyneed toinformandsupporttheiractivity, andday today responsibility fortheirauthority's publichealth budget- althoughformalaccountability willrest withthecouncil'sChiefExecutive.

#### STRATEGICDIRECTOR COMMUNITIES, PLACE & DEPUTY CHIEF EXECUTIVE

The StrategicDirector,Communities and Place (and Deputy Chief Executive)willhaveresponsibilityforallmanagement,operationalandnonkeydecisionsaboutth efollowingfunctionsandareasofresponsibilityother thanthosemattersdelegatedtoindividualmembersoftheCouncilCabinetsetoutin PartB.

#### A StatutoryExecutiveFunctions

- 1) Allexecutive functionsofthe council:
  - aslocalplanning

authority[NOTE:issuingsupplementaryplanningguidance,designatingconservationarea s,

are a so farchaeological interest and nature reserves, removing permitted development rights through Article 4 directions

andmakingcompulsorypurchaseordersareExecutivedecisions];

- ashighway authorityandinrespectof trafficregulation,publictransport,buildingcontrol,carparkingandroadsafety,rights of wayandopenspacesincludingthehealthrelatedfunctionsofthecouncilundertheHighway sAct1980,Section39oftheRoadTrafficAct1988andSections63 and93 oftheTransportAct1985;
- asdrainageauthority;
- inrelationtobuildingcontrol, dangerous buildings and structures and safety of sports grounds;
- inrelationtoarts andentertainments; and
- inrelationtolibrariesandmuseumsservices;
- 2) Crimeanddisorder;
- 3) Markets;
- 4) Allexecutivefunctionsofthecouncilin relationtoeconomicdevelopment;
- 5) Adoptinglandforrecreationaluse;
- 6) Allotments;
- Providingorsecuringtheprovision of recreational facilities under the Local Government (Miscellaneous Provisions) Act 1976;
- 8) Environmentalhealth, environmentalprotection, controlofpollution, foodsafety, licensing, healthandsafetyatwork, tradingstandards, weightsand measures, and the health-related functions of the council under Sections 180 and 181 of the Local Government Act 1972;
- 9) Providingorprocuringwastecollection, recycling, wastetreatment/disposaland streetcleaningservices, and providing and managing public conveniences including theh ealthrelated functions of the council relating to this;
- 10) The functions of the council as a housing authority, in particular:
  - provision of housing by the council;
  - privatesectorhousingservices;
  - housingstrategyanddevelopment;
  - homelessnessandhousingadvice; and
  - homeenergyconservation.
- 11) Commissioningthecouncil'sdelegatedhousingmanagementservices.

# B Areasofresponsibility

- Promoting the economic, social and environmental well being of the city and communities.
- Managing the council's external affairs, including regional and European issues and

actingasleadofficerfortheSub-Regional StrategicPartnership and the Local StrategicPartnership;

- Regulatingand controllingthe useofalllandandbuildingsownedbythe council;
- Managingthecouncil'sinterest's inlandand propertyandappropriating, acquiring and disposing of landand buildings where the consideration is £100,000 or less;
- Authorisingthegrantortaking ofleases,tenanciesandlicencesforlandorpremises other thanopenspace,where theSecretaryofState'sconsentisnotrequired orhasbeenobtained;
- Negotiatingandconcludingsettlementsinrelation toclaimsforcompensation relatingtothecouncil's interestsinlandandproperty;
- Dealingwithvaluationmatters;
- Dealingwithtwinningmatters;
- Economic regeneration of the city and its communities;
- Managingandmaintainingparks, recreationgrounds, recreational facilities, open spaces, horticultural features, leisuregardens, cemeteries and crematoria;
- Managinggroundsmaintenance, refuse collection, street cleaning and fleet management
   ;
- Providingbuilding and construction works;
- Co-operating with other organisations in the promotion of local investment and employment opport unities;
- Managingcorporateexternalfundingprogrammes;
- Managingareaandneighbourhoodworking, includingneighbourhoodboards and forums;
- Health, fitness, sportand recreation;
- Communitycentres,councilactivitycentres and playschemes.
- Implementingminorenvironmentalimprovementandlocaldrainageschemes.
- ApprovingplansandgivingnoticesunderSections16 and28oftheDerbyshireAct1981andrejectingplansunderSection28of theAct;
- Coordinatingandmanagingemergencyplanningpowers,mobilisingthecouncil's resourcesintheeventofamajorincident andliaisingwiththeemergencyservicesduringtheconductofamajorincidentandsubsequen trecoveryfroman incident;
- Zoningofallrelevantlandunder the Environmental Protection Act1990 PartIV;
- Promotingandorganisingseasonalfestivities;
- Developingandimplementingenvironmentalinitiativesandprojects;
- Administeringenvironmentalimprovementschemesaspartofareabased renewalprogrammes;
- Managingoccupationalhealth, safety and welfare;
- Takingenforcementactionagainstunsatisfactoryhousingconditions;
- Promotingstandardswithintheprivaterentedsector;
- Providingpropertyservices, includingprofessional architectural, quantity surveying, electric alandmechanical engineering services;
- Managingenergyefficiencyandreportingonenergyperformance;
- Maintaining and improving other property (other thand wellings);
- Promotinghomeenergyefficiency;
- Consideranddetermineapplicationstoestablishneighbourhoodareasand neighbourhoodforums;
- Maintainingandimprovingalldwellings
   andbuildingsownedbythecouncilotherthanthosefallingwithintheHousingRevenueAccou

nt;

- Approvingspecialexpenditureonfuneralsofresidentsincouncil homessuchas burialinspecialground,inadistantchurchyardorcemeteryinalocalitywherethedeceasedha d long standingconnections,or oncremationto complywiththewishesofthedeceased;
- Providinghousingadvice,administeringhousing allocations,includingmakingallocationsinexceptionalcircumstancesoutsidetheAllocation sScheme in accordancewiththeHousingAct1996 andtheHomelessnessAct2002,andsecuringaccommodationforhomelesspeople;
- Improvingandrenewingprivatesectorhousing,includingHousesinMultiple Occupationandimprovingand renewingareas/zones,bringingemptyhomesbackintouseand undertakingperiodichousingconditionsurveys;
- Takingenforcementactionagainstunsatisfactoryhousingconditions;
- Promotingstandardswithintheprivaterentedsector;
- Administeringdisabled facilitiesgrants;
- AgreeingtheDerbyHomesannualdeliveryplanand monitoringattainmentof financial and non-financial performance against the planand Derby Homes' Business Strategy;
- Designatingcouncilhousing foridentified communitiesofinterest, such as older and youngerpeople;
- Providingcommunityand housingresearch andstrategy,includingenablingtheprovision of newaffordablehousing;
- Reimbursingonanexgratiabasis, amountsover£1,000on claimsforaccidental damage causedto clients' houses orproperty by employees of the Adult Social Services Department or those under its direct control.

# C ActingasAuthorised/ProperOfficerforthefollowingmatters

- ToinvokepowersunderthePublicOrderAct 1986toensuretheprotection of thelocal authority'slandandassets;
- To Issue, inconsultation with the Director of Governance (Monitoring Officer), formal cautions in accordance with the Home Office Guidance for Offences under the New Roads and Street Works Act 1991;
- Appointinganymembersofstafftoactasauthorisedorproperofficersforthepurposes ofanylegislationrelating tothefunctionsallocatedinsectionsAand E;
- Appointingofficerstodischarge theenforcementfunctionsoftheDogs(Foulingof Land)Act1996inrespectof parksandrecreationgrounds;
- AppointingOfficialVeterinarySurgeonsandInspectorsforallpurposesundertheFreshMe atExport(HygieneandInspection)Regulations1987,theFresh Meat(HygieneandInspection)Regulations1992 andthePoultryMeat,FarmedGame,Bird MeatandRabbitMeat(HygieneandInspection)Regulations 1994andtheFood SafetyAct1990;
- To actasorappointthedesignatedofficerfordischargingthefunctionsand responsibilitiesundertheEnvironmentalProtectionAct1990;
- Appointingsuitablyqualified and experienced arbitrators for hackney carriage and private hirevehicles testing disputes as necessary;
- AppointingPublicandAgriculturalAnalystsforallpurposesunder the Food SafetyAct1990andAgricultureAct1970;
- Appointingproperofficersandalternateofficersforthecontrolof communicablediseases,foodpoisoning and compulsoryremovalofpersonsto hospitalundersection47oftheNationalAssistanceAct1948; and

 TocarryoutTradingStandardsfunctionsunder theEnterpriseAct2002theIntoxicatingSubstances(Supply)Act 1985,theCriminalJusticeAct1988, andtheAntisocialBehaviourAct2003.

The StrategicDirector,Communities and Place (and Deputy Chief Executive)isempowered torappointinganymembersofstafftoactasauthorised orproperofficersforall purposesunderthe delegations of the directors within that directorate, in their absence.

# D Drawingupthefollowingpoliciesandplansthatformpartofthepolicy framework:

- Sustainable Community Strategy;
- Crime and Disorder Reduction Strategy;
- Local Transport Plan;
- Plans and strategies which together comprise the Development Plan; and
- The Licensing Authority Policy Statement.

# DIRECTOR OF COMMUNITIES, ENVIRONMENTAND REGULATORY SERVICES

# **B** Areasofresponsibility

The Director of Communities Environment and Regulatory is responsible for establishing the Domestic Homicide Review. The review looks into the circumstances in which the death of a person aged 16 or over has, or appears to have, resulted from violence, abuse or neglect.

TheDirectorof Communities, Environment and Regulatory Services isresponsibleforappointinganymembersofstafftoactasauthorised orproper officers underthefollowingActs, insofarastheappointmentrelates tofunctionslisted:

- AdministrationofJusticeAct 1970
- Agriculture(MiscellaneousProvisions)Act1968
- AgricultureAct1970
- AgricultureProduce(GradingandMarking)Act1928
- AnimalBoardingEstablishmentsAct1963
- Animal Gatherings Order 2010
- AnimalHealth&WelfareAct 1984
- AnimalWelfareAct2006
- AntiSocialBehaviourAct2003
- Anti Social Behaviour, Crime and Policing Act 2014
- BreedingandSaleofDogs(Welfare)Act 1999
- BuildingAct1984
- BurialsAct1957
- BusinessNamesAct1985
- Business Protection from Misleading Marketing Regulations 2008
- CaravanSitesandControlofDevelopmentAct1960
- CharitiesAct2006
- Children&YoungPersons(ProtectionfromTobacco)Act1991
- Children&YoungPersonsAct 1933
- CinemasAct1985
- Classification, Packaging and Labelling of Dangerous Substances Regulations 1984
- CleanAirAct1993
- CleanNeighbourhoods and EnvironmentAct 2005

- CompaniesAct1985
- Consumer Contracts (Information, Cancellation and additional Charges) Regulations 2013
- ConsumerCreditActs1974and2006
- Consumers, EstateAgentsandRedressAct(CEARA)2007(includingRedress SchemesforLettingsAgencyWorkandPropertyManagementWork(RequirementtoBelon gtoaSchemeetc.)(England)Order 2014madeunderthisAct)
- ConsumerProtectionAct1987
- Consumer Protection from Unfair Trading Regulations 2008
- Consumers Right Act 2015
- ControlofDogsOrder 1992
- Control of Horses Act 2015
- ControlofPollutionAct1974
- ControlofPollution(Amendment)Act1989
- Copyright, Designs and Patents Act 1988
- CriminalAttemptsAct1981
- Criminal JusticeAct1988
- CriminalJusticeandPoliceAct2001Danger ousDogsAct1991
- DangerousWildAnimalsAct1976
- Data Protection Act 1998
- DisabilityDiscriminationAct1995
- Dogs(FoulingofLand)Act1996
- DogsAct1871and1906
- Education Reform Act 1988 Energy Conservation Act 1981
- Energy Act 2011
- Energy Act 2013
- Energy Efficiency (Private Rented Property) (England and Wales) Regulations 2015
- Energy Information Regulations 2011
- Energy Performance of Buildings (England and Wales) Regulations 2012
- Environment Act 1995 Parts II, IV, V
- Environmental Protection Act 1990
- Enterprise Act 2002
- Equalities Act 2010
- Estate Agents Act 1979
- European Communities Act 1972
- Explosives (Age of Purchase etc) Act 1976 Explosives Act 1875
- Explosives Act 1923
- Factories Act 1961 Fair Trading Act 1973
- Farm and Garden Chemicals Act 1967
- Food and Environmental Protection Act 1985
- Food Information to Consumers Regulation 2014
- Food Safety Act 1990
- Food Standards Act 1999
- Forgery and Counterfeiting Act 1981
- Fraud Act 2006
- Freedom of Information Act 2000
- Gambling Act 2005
- General Product Safety Regulations 2005

- Hallmarking Act 1973
- Health Act 2006
- Health and Safety at Work Etc. Act 1974
- Highways Act 1980
- House to House Collections Act 1939
- Housing Acts 1980, 1985, 2004
- Housing and Planning Act 2016 (Part 2)
- Insurance Brokers (Registration) Act 1977
- Insurance Companies Act 1981
- Intoxicating Substances (Supply) Act 1985
- Licensing Act 2003
- Local Government (Miscellaneous Provisions) Act 1976
- Local Government (Miscellaneous Provisions) Act 1982
- Local Government Act 2000
- Magistrates Courts Act 1980
- Medicines Act 1968
- Money Laundering Regulations 2007 power under Regulation 46 for local authority to prosecute also for Accredited Financial Investigators
- Motor Cycle Noise Act 1987
- National Assistance Act 1948
- Noise Act 1996
- Noise and Statutory Nuisance Act 1993
- Offensive Weapons Act 1996
- Offices, Shops and Railway Premises Act 1963
- Pet Animals Act 1951
- Petroleum (Consolidation) Act 1928
- Petroleum (Transfer of Licences) Act 1936
- Poisons Act 1972
- Pollution Prevention and Control Act 1999
- Prevention of Damage by Pests Act 1949
- Prices Acts 1974 and 1975
- Proceeds of Crime Act 2002
- Property Misdescriptions Act 1991
- ProtectionofAnimals(Amendment)Act1954
- Protection of Animals Act 1911
- PublicHealth(ControlofDisease)Act1984
- PublicHealthAct1961
- PublicHealthAct1936
- RefuseDisposal(Amenity)Act1978
- Regulatory Enforcement and Sanctions Act 2008
- RidingEstablishmentsAct1964and1970
- RoadTraffic(ForeignVehicles)Act1972
- RoadTrafficActs1988&1991
- RoadTrafficRegulationAct1984
- ScotchWhiskyAct1988
- ScrapMetalDealersAct1964
- SolicitorsAct1974
- SunbedRegulationsAct2010
- SundayTradingAct1994
- TelecommunicationsAct1984

- TheatresAct1968
- TheftActs1968and1978
- TimeshareAct1992
- TownandCountryPlanning,Churches andPlacesof Religious TownandCountryPlanningAct1990(asamended)
- TownPoliceClausesAct1847
- TradeDescriptionsAct1968
- TradeMarksActs1938 & 1994
- TradingRepresentations(DisabledPersons)Act1958
- TradingStampsAct 1964
- Transmissible Spongiform Encephalopathies (England) Regulations 2010
- TransportAct1985
- UnsolicitedGoods andServicesAct1971Vehicle(Crime)Act2001
- VideoRecordingsAct1984
- WeightsandMeasuresActs1976and1985
- WildlifeandCountrysideAct1981
- WorshipandBurialGroundsRegulations1950

AndforappointingInspectors forallpurposes under the:

- HealthandSafety atWorkAct1974includingappointingpeopleto accompanyHealthand Safety Inspectorspursuanttosection20oftheAct
- The SundayTradingAct1994
- The Bovine Products (Production and Dispatch) Regulations 1997
- ThePetroleum(Consolidation)Act1928

# DIRECTOR OF REGENERATION, PROPERTY AND HOUSING

# B Areasofresponsibility

TheDirectorof Regeneration, Property and Housing is responsible for appointing any members of staff to act as authorised or proper officers under the following Acts:

- EnergyConservationAct1996
- EnvironmentalProtectionAct1990
- HomeEnergyConservationAct1995
- HomelessnessAct2002
- HousingActs1985,1996and2004
- Housing, Grants, Construction and Regeneration Act 1996
- LocalGovernmentAct2000
- LocalGovernment andHousingAct1989
- LocalGovernment,MiscellaneousProvisionsAct1976
- LocalGovernment, Miscellaneous Provisions Act 1982
- PublicHealthAct1936
- PublicHealthAct1961
- PreventionofDamagebyPestsAct1949
- RegulatoryReform(HousingAssistant)(EnglandandWales)Order2002BuildingAct 1984
- WaterIndustryAct1991

#### STRATEGICDIRECTORPEOPLE SERVICES

TheStrategicDirector, People Services will act as statutory Director of Children's Services and as statutory Director of Adult Services.

The Strategic Director, People Services willhaveresponsibilityforall management, operational and non-keydecisions about the following functions and areas of responsibility other than those matters delegated to individual members of the Council Cabinets et out in Part B.

#### A StatutoryExecutiveFunctions:

- 1) Thefunctions of the council as Local Education Authority, in particular:
  - centrallyfundedschoolservices;
  - supportandchallengetoschoolimprovement;
  - specialeducationneedsservices;
  - adult andyouthservices;
  - mandatoryanddiscretionaryawards;
  - theeducationwelfareservice;
  - planningandsecuring the provisionofsufficientschoolplacesand determininganadmissionspolicy;
  - safeguardingandimprovingeducationstandardsandprovision; and
  - thehealth-related functions of the Council undersection 57 of the Education Act 1996 and as education authority undersections 5, 7 or 8 of the Disabled Persons (Services, Consultation and Representation) Act 1986;
- 2) Thefunctions of the council which are social services functions within the meaning of the Local Authority Social Services Act 1970s of a rasthose functions relate to children and in particular:
  - assessmentandcareplanningforchildrenandfamilies;
  - functionsrelatingtochildprotection;
  - provisionofreceptionand hospitalservices;
  - functionsrelatingtodisabledchildren;
  - functionsrelatingtofamilysupportservices;
  - commissioningofaccommodationandresidentialcareforchildren;
  - actingasafosteringagency;
  - actingasanadoptionagency;
  - functionsrelatingtoprivatefosteringdaycareand childminding; and
  - anyhealthrelated functionsexercisablebytheCouncilundersection31of theHealthAct1999 sofaras theyrelatetoChildrenandYoungPeople;
- 3) Thefunctions of the council as achildren's services authority;
- 4) LeadresponsibilityfortheYouthOffendingService;
- 5) Thefunctions of the council as a Social Services Authority (within the meaning of the Local Authority Social Services Act 1970), in particular:
  - assessment, careplanning and provision of community careservices;
  - functionsrelatingtodisability and sensory services;
  - commissioningofadult social care;
  - healthimprovementplanning;
  - functionsrelatingtomentalhealth;
  - theregistrationandinspectionfunctionsasaSocialServices Authority;
  - anyhealthrelated functions of the council exercisable by the council undersection 31 of the Health Act 1999 othe

rthanthoserelatingtochildrenand youngpeople's services; and

 thefunctions requiredbytheCivilDefenceActs1939and1948,theWarCharitiesAct1940andthe WirelessTelegraphyAct 1949.

# B AreasofResponsibility

- Approvingfeesforaccommodatingpupils involuntaryand otherauthorityschools previouslyapprovedbytheDepartmentforEducationandSkills ifsuitableaccommodationforthechild concernedisnotavailableinaDerbyCityschool;
- ConsideringschoolOfstedreportsandbringingsignificantmattersrequiringlocal authority actiontotheattentionof theCouncilCabinetand,whereappropriate,theEducation Commission;
- Reimbursing onanexgratiabasis, amountsover£1000on claimsforaccidental damage causedto clients' houses orproperty byemployeesofthedirectorate orthoseunderitsdirectcontrol;
- 4) Appointing appropriatestaffas membersofPrimaryCareTrustProfessional ExecutiveCommittees,jointlywiththeChief Executive;
- 5) Waivingorreducingchargesforservicesprovidedbythedepartmentwherefull paymentofthechargeswouldcauseparticular hardshiporotherwisebeinappropriate;
- 6) Determininggrantsforindividual clients;
- 7) Determiningcareinthecommunitygrantsandothersocialcaregrantsforindividual clients;
- 8) ManagingtheSupportingPeopleprogramme;
- 9) OperatingDerbyCareLink;
- 10) Waivingorreducingchargesforservicesprovidedbythedepartmentwherefull paymentofthechargeswouldcauseparticular hardshiporotherwisebeinappropriate;
- 11) Entering and inspecting any premises and any recordskept in them for any purposes under the Care Act 2014.

# C ActingasAuthorised/ProperOfficerforthefollowingmatters

- 1) Entering and inspecting any records keptinthem for any purposes under the Children Act 1989, the Adoption and Children Act 2002 or the Children Act 2004;
- 2) Inspecting any children in such premises and arranging for the welfare of them;
- Acting as, or appointing a receiver, to deal with appropriate matters where the Courtof Protection has to appoint an officer of the council to act for children or youngpeople who are incapable of managing their own affairs;
- ApplyingtotheCourtoraJusticeof thePeaceforemergencyprotectionordersoremergencyapplicationsundertheAdo ptionandChildrenAct2004;
- 5) Todischargetheenforcementfunctionsofthecouncil inrelationtoattendanceatschool;
- 6) Providing reports for court under the Adoption Act 1976, the Children Act 1989 and Children Act 2004;
- 7) Licensingtheemploymentofchildren;
- 8) Dealingwitheducation supervisionorders;
- 9) Actingas, or appointing a receiver, to deal with appropriate matters

where the Court of Protection has to appoint an officer of the council to act for people who are incapable of managing their own affairs;

- 10) Responsible for the issue of Deprivation of Liberty authorisations; and
- 11) ExercisingallthepowersanddutiesofthecouncilundertheMental HealthAct1983including:
  - receivingdocumentsserved onthecouncil, including applications for guardianship;
  - giving the council's consent to the amendment of guardianship applications; and
  - authorisingemployeestocarry outtheduties of Approved Social Workers if they are qualified to do so.

## In relation to the above,

any member of staffs oauthor is edmay take with the munder such authority any other person who sepresence

on the premises may be required in order to give effect to the proper exercise of the council's statutory powers.

# D DrawingUpthefollowingpoliciesandplansthatformpart of the policy framework:

- ChildrenandYoung People's Plan
- Youth Justice Plan

# E Statutorynon-executivefunctions

Power to license the employment of children.

# LISTOFSTATUTORYFUNCTIONS

Thefollowingfunctions are either the responsibility of the responsible body named in the second column of the responsible of ficer named in the third column. The responsible body will exercise the functions for all matters which fall within Parts At oE of the Scheme of Delegations. The responsible of ficer will exercise the functions for all matters which fall outside Parts Ato E of the Scheme of Delegations.

# KEY

**Responsible bodies:** Planning Control Committee (PCC), Licensing Committee (LC), General Licensing Sub Committee (GLSC), Taxi Licensing Sub Committee (TLSC), Audit and Accounts Committee (AAC), Council Cabinet (CC)

**Responsible officers:** Director of Strategic Partnerships, Planning and Streetpride(DSPPS), Director of Governance (DG), Director of Communities, Environment and Regulatory Services (DCERS), Director of Younger Adults (DYA), Director of Regeneration, Property and Housing (DRPH), Strategic Director, People Services (SDPS), Chief Executive (CEX), Director of Leisure, Culture and Tourism (DLCT), Director of Finance (DF)

# PARTA

# NONEXECUTIVEFUNCTIONS

## PlanningandDevelopmentControlFunctions

Ref	Function	Responsible body	Responsible officer
1	Powertodetermine applicationforplanningpermission	PCC	DSPPS
2	Power todetermine applicationstodevelop land withoutcompliancewith conditionspreviouslyattached	PCC	DSPPS
3	Powertogrant planning permission for developmental ready carr ied out	PCC	DSPPS
4	Powertodeclineto determineapplicationforplanningpermission	PCC	DSPPS
5	Duties relating to the making of determinations of planning applications	-	DSPPS
6	Power todetermine applicationsforplanning permissionmadebyalocal authority, aloneorjointly with another	PCC	DSPPS
7	Powertomake determinations, give approvals and agreecertain othermatters relating to the exercise of permitted development rights	-	DSPPS
8	Powertoenter into agreementregulatingdevelopmentoruse ofland	-	DSPPS following consultation with Chair and Vice Chair of PCC
9	Powertoissueacertificate of existing orproposedlawfuluseordevelopment	-	DSPPS
9a	As per 9, but specifically in relation to existing use or redevelopment	-	DSPPS following

Ref	Function	Responsible body	Responsible officer
			consultation with DG
10	Powerto serveacompletion notice		DSPPS following consultation with DG
11	Powertograntconsentfor displayof advertisements	PCC	DSPPS
12	Power to authorise entry on to land	-	DSPPS
13	Power to require discontinuance of land	PCC	-
14	Powertoserveaplanning contraventionnotice, breachof conditionnotice orstopnotice	-	DSPPS following consultation with DG
14a	Powertoissuea temporarystopnotice	PCC	DSPPS
15	Powertoissuean enforcementnotice	-	DSPPS following consultation with DG
16	Powertoapplyforan injunctionrestrainingabreach ofplanningcontrol	-	DSPPS following consultation with DG
17	Powertodetermine applicationsforhazardous substancesconsent, and relatedpowers	PCC	DSPPS
18	Dutytodetermine conditionstowhich oldminingpermissions, relevantplanningpermissionsrelatingt o dormantsitesoractivePhaseIorIIsitesormineral permissionsrelatingorminingsites, as the case may be, are to besubject	PCC	DSPPS
19	Powertorequireproper maintenanceofland	_	DSPPS
20	Powertodetermine applicationforlistedbuildingconsent, and relatedpowers	PCC	DSPPS
21	Powertodetermineapplicationsforconservation areaconsent	PCC	DSPPS
22	Dutiesrelatingto applicationsforlistedbuildingconsentandconservationarea consent	-	DSPPS
23	Powertoserveabuilding preservationnotice andrelated power	-	DSPPS following consultation with DG
24	Powertoissueenforcement noticeinrelationtodemolition of unlisted building in conservationarea	-	DSPPS following consultation with DG
25	Powertoacquirea listedbuildinginneed of repairand toservea repairsnotice	-	DSPPS following consultation with DG
26	Powertoapplyforan injunctioninrelation toalisted building	-	DSPPS following consultation with DG
27	Powertoexecuteurgent works	-	DSPPS

## LicensingandRegistrationFunctions

Ref	Function	Responsible	Responsible
1		body	Officer
1	Powertoissuelicences authorisingtheuse ofland asa	GLSC	DCERS
2	caravansite Powertolicensetheuseof moveabledwellingsand	GLSC	DCERS
Z	campingsites	GLSC	DCERS
3	PowertolicenseHackney Carriagesandprivatehirevehicles	TLSC	DCERS
4	Powertolicensedriversof	TLSC	DCERS
-	HackneyCarriagesandprivatehirevehicles	1250	DELIIS
5	Powertolicenseoperatorsof	TLSC	DCERS
5	HackneyCarriagesandprivatehirevehicles	1250	DELIIS
6	Powertoregisterpool promoters	_	DCERS
7	Powerto grant trackbettinglicences	GLSC	DCERS
8	Powertolicenseinter-track bettingschemes	GLSC	DCERS
9	Powertogrant permitsin respectofpremises with	-	DCERS
5	amusementmachines		DELIIS
10	Powertoregistersocieties wishingtopromote lotteries	_	DCERS
11	Powertograntpermitsin	_	DCERS
	respectofpremiseswhereamusementswithprizesareprovided		
12	Powertoissuecinemaand cinemaclublicences	GLSC	DCERS
13	Powertoissuetheatre licences	GLSC	DCERS
14	Powertoissue entertainmentlicences	GLSC	DCERS
14a	1) Functionsrelatingto	Council	-
	determininglicensingpolicyandestablishinglicensingcommitte		
	esundersections5and6oftheLicensingAct		
	2) Functionsrelatingto the discharge of the council's	LC or GLSC as	DCERS
	licensingfunctionsundersection7oftheLicensingAct2003	shown in Part	
		E of Part 3	
	3) Functionsrelatingto keepingaregisteroflicensed	-	DCERS
	premises undersection 8 of the Licensing Act 2003		
	4) Powerto determine	-	DCERS
	applicationsunder the Minor Variations Procedure, Licensing Act		
	2003		
14b	Powertoresolvenot to issueacasinopremiseslicence	GLSC	DCERS
14c	Powertodesignateofficer of alicensing authority as an	-	DCERS
	authorisedpersonfora purpose relatingtopremises		
	underSection304 oftheGamblingAct2005		
14d	Powerto institutecriminal	-	DCERS
	proceedingsforoffencesunderSection342oftheGamblingAct2		
	005		
14e	Powertoexchange information inaccordancewith	-	DCERS
	Section350oftheGamblingAct2005		
14f	Functionrelatingtothe determination	GLSC	DCERS
	offeesforpremiseslicencesundertheGambling(PremisesLicenc		
	eFees)(EnglandandWales) Regulations2007		
15	Powertolicensesexshops and sexcinemas	GLSC	DCERS
16	Powertolicense performancesofhypnotism	GLSC	DCERS
17	Powertolicensepremises	GLSC	DCERS
10	foracupuncture,tattooing,earpiercingandelectrolysis		
18	Powertolicensepleasure boatsandpleasurevessels	-	DCERS
19	Powertoregisterdoorstaff	N/A	N/A

20	Powertolicensemarket and street trading	GLSC	DRPH
21	Powertolicensenightcafes andtakeawayfoodshops	GLSC	DCERS

Ref	Function	Responsible body	Responsible officer
22	Dutytokeeplistofpersons entitledtosellnon-medicinal poisons	-	DCERS
23	Powertolicensedealersin	GLSC	DCERS
	gameand the killing and selling of game		
24	Powertolicenseand	GLSC	DCERS
	registerpremisesforthepreparationoffood		
25	Powertolicensescrapyards	GLSC	DCERS
26	Powertoissue, amendor replaces a fety certificates	-	DSPPS
	(whethergeneral orspecial)forsportsgrounds		
27	Powertoissue, cancel,	-	DSPPS
	amendorreplacesafetycertificatesforregulatedstands		
	ofsportsgrounds		
28	Powertoissuefire certificates	N/A	N/A
29	Powertolicensepremises forthebreeding ofdogs	GLSC	DCERS
30	Powertolicensepetshops and otherestablishments where	GLSC	DCERS
	animalsare bredor keptforthepurposesof carrying on		
	abusiness		
31	Powertoregister animal trainersandexhibitors	-	DCERS
32	Powertolicensezoos	GLSC	DCERS
33	Powertolicensedangerous wildanimals	GLSC	DCERS
34	Powertolicenseknackers yards	GLSC	DCERS
35	Powertolicensethe employmentofchildren	GLSC	SDPS
36	Power to approve premises for the solemnisation of	-	DG
50	marriages		
37	Powertoregistercommon landortownor villagegreens	PCC	DSPPS
38	Powertoregistervariations of rights of common	PCC	DSPPS
39	Powertolicensepersonsto collectfor	GLSC	DCERS
55	charitableandothercauses	GESC	DELIIS
40	Powertograntconsentto usealoudspeaker		DCERS
40	Powertograntastreet workslicence	PCC	DSPPS
42	Powertolicenseagencies forthesupplyofnurses	N/A	N/A
43	Powertoissuelicensefor themovementofpigs	GLSC	DCERS
43	Powertolicensethesaleof pigs	GLSC	DCERS
44	Powertolicensecollecting centresforthemovementof pigs	GLSC	DCERS
45	Powertoissuealicenseto movecattlefromamarket		
		GLSC	DCERS
46a	Powertograntpermission	PCC	DSPPS
	forprovisionofservices, amenities, recreation and		
47	refreshmentfacilitieson highway, and related powers		DCDDC
47	Powertopermitdeposit of builder'sskipsonhighways	-	DSPPS
47a	Dutytopublishnoticein respectofproposaltograntpermission	PCC	DSPPS
40	under 115Eof the Highways Act 1980		
48	Powertolicenseplanting, retention and maintenance of	PCC	DSPPS
40	treesetc inpartofthehighway		DCDDC
49	Powertoauthoriseerection ofstiles	PCC	DSPPS
	etconfootpathsorbridleways		Depar
50	Powertolicenseworksin relationtobuildingsetcwhich obstructthehighway	PCC	DSPPS
51	Powertoconsentto temporarydepositsorexcavationsinstreets	PCC	DSPPS
52	Powertodispensewith obligationtoerecthoardingorfencing	PCC	DSPPS
53	Powertorestricttheplacing of rails, beamset cover the highway	PCC	DSPPS
54	Powertoconsentto constructionofcellarsetcunderstreets	PCC	DSPPS
Ref	Function	Responsible	Responsible
Nei		body	officer

55	Powertoconsenttothe	-	DSPPS
	makingofopeningsintocellarsetcunderstreets, and		
	pavementlightsandventilators		
56	Powertosanctionuseof partofbuildingsforstorageof celluloid	N/A	N/A
57	Powertoapprovemeat productpremises	-	DCERS
58	Powertoapprovepremises	-	DCERS
	fortheproductionofmincedmeatormeatpreparation		
59	Powertoapprovedairyestablishments	-	DCERS
60	Powertoapproveegg productestablishments	-	DCERS
61	Powertoissuelicencesto	-	DCERS
	retailbutcherscarryingoutcommercialoperationsin		
	relationtounwrappedrawmeatandsellingorsupplyingbothraw		
	meatandreadytoeatfoods		
62	Powertoapprovefish productspremises	-	DCERS
63	Powertoapprovedispatch orpurificationcentres	-	DCERS
64	Powertoregisterfishing vesselsonboardwhichshrimps	N/A	N/A
	ormolluscsarecooked		
65	Powertoapprovefactoryvesselsandfisheryproductestablishm	-	DCERS
	ents		
66	Powertoregisterauction and wholes a lemarkets	-	DCERS
67	Dutytokeeparegisterof foodbusinesspremises	-	DCERS
68	Powertoregisterfood businesspremises	-	DCERS
69	Powertoissuenearbeer licences	N/A	N/A
70	Powertoregisterpremises or stallsfor the sale of	N/A	N/A
	goodsbywayofcompetitivebidding		

# FunctionsRelatingToHealthAndSafetyAtWork

Ref	Function	Responsible Body	Responsible Officer
1	Functionsunderanyof the relevantstatutoryprovisions withinthemeaningofpart 1of theHealthandSafety atWorkAct1974, otherthan those dischargedinthecouncil's capacityas anemployer	-	DCERS

# FunctionsRelatingtotheElections

Re	Function	Responsibl	Responsibl
f		e Body	e Officer
1	Dutyto appointanelectoral registrationofficer	Council	DG
2	Powertoassignofficersin relationtorequisitions	-	DG
	oftheregistrationofficer		
3	Functionsinrelationto parishesandparishcouncils	N/A	N/A
4	Powertodissolvesmall parishcouncils	N/A	N/A
5	Powertomakeordersfor	N/A	N/A
	group in gparishes, dissolving groups and separating parishes from groups and separating parishes fr		
	ups		
6	Dutytoappointreturning officerforlocalelections	Council	DG
7	Dutytoprovideassistanceat Europeanelections	Council	DG
8	Dutytodivideconstituency intopollingdistricts	Council	DG
9	Powertodividewardsinto pollingdistrictsatlocal government	Council	DG
	elections		
10	Powertoapprovepolling placesforpollingdistricts	-	DG
11	Powersinrespect ofholdingelections(parishcouncils)	N/A	N/A
Re	Function	Responsibl	Responsibl

f		e body	e officer
12	Powertopayexpenses properlyincurredbyelectoral	-	DG
	registrationofficers		
13	Powertofillvacanciesin theeventofinsufficientnominations(parish	N/A	N/A
	councils)		
14	Dutytodeclarevacancyin officeincertaincases	-	DG
15	Duty to give public notice of casual vacancy	-	DG
16	Powertomaketemporary appointmentstoparish councils	N/A	N/A
17	Powertodeterminefees and conditionsfor supplyof	-	DG
	copiesof, or extracts from, elections documents		
18	Powertosubmitproposals totheSecretaryofStateforan	Council	DG
	orderunderSection10(PilotSchemesforlocalelectionsin		
	Englandand Wales, oftherepresentationofthePeople Act2000)		

### $\label{eq:Functions} Functions Relating To Name And Status Of Areas And Individuals$

Ref	Function	Responsible	Responsible
		Body	Officer
1	Powertochangethename of the district	Council	CEX
2	Powertochangethename of aparish	N/A	N/A
3	Powerto confertitleof	Council	CEX
	honoraryaldermanortoadmittobeanhonoraryfreeman		
4	Power topetition fora chartertoconferborough status	N/A	N/A

# Power toMake,Amend,Revoke,Re-enactorEnforceByLaws

Ref	Function	Responsible Body	Responsible Officer
1	Powertomake, amend, revoke, re-enact orenforce by laws	Council	DG

# PowerToPromoteOrOpposeLocalOrPersonalBills

Ref	Function	Responsible Body	Responsible Officer
1	Powerto promoteor opposelocal orpersonalbills	Council	DG

# FunctionsRelatingToPensions

Ref	Function	Responsible Body	Responsible Officer
1	Functions relatingtolocal governmentpensionsetc	N/A	N/A
2	Functionsunderthefireman's pensionscheme	N/A	N/A

#### MiscellaneousFunctions

Ref	Function	Responsible	Responsible
		Body	Officer
1	Powertocreatefootpathsand bridlewaysbyagreement	PCC	DSPPS
2	Powertocreatefootpathsand bridleways	PCC	DSPPS
3	Dutytokeepregister of	-	DSPPS
	information with respect to maps, statements and declarations		
Ref	Function	Responsible	Responsible
		body	officer
4	Power tostopupfootpathsand bridleways	PCC	DSPPS
5	Powertodetermineapplication	PCC	DSPPS

	forpublicpathextinguishmentsorderatrequestofowner		
	whereland isusedforagricultural purposes		
6	Powertomakearailcrossing extinguishmentorder	-	DSPPS
7	Powertomakeaspecial	PCC	DCERS
,	extinguishmentordertopreventcrime	1.66	following
			consultation
			with DSPPS
8	Powertodivertfootpaths and bridleways	PCC	DSPPS
9	Powertomakeapublicpath diversionorder	PCC	DSPPS
10	Powertomakearailcrossing diversionorder	PCC	DSPPS
11	Powertomakeaspecial diversionordertopreventcrime	PCC	DLCT
			following
			consultation
			with DSPPS
12	Powertorequireapplicantfor aspecialdiversion orderto	PCC	DLCT
	enterintoanagreement undersection		following
	119AoftheHighwaysAct1980		consultation
			with DSPPS
13	PowertomakeaSSSI diversionorder	PCC	DSPPS
14	Dutytokeepregisterwith	-	DSPPS
	respecttoapplicationsundersections118Z,118C,119ZAand		
	119CoftheHighwaysAct1980		
15	Powertodeclinetodetermine	PCC	DSPPS
	certainapplicationsundersection		
	121CoftheHighwaysAct1980		
16	Dutytoassertand protectrightsofthepublicforuse and	-	DSPPS
	enjoymentofthehighway		
17	Dutytoservenoticeof proposedactioninrelationtoan	-	DSPPS
	obstruction whereanoticeto remove the obstruction has		
	been served onthecouncil		
18	Powertoapply forvariation of an order requiring the council to	-	DSPPS
	remove		following
	anobstructionundersection130BoftheHighwaysAct1980		consultation
			with DG
19	Powertoauthorisetemporary disturbanceonsurfaceof	-	DSPPS
20	footpathorbridleway		
20	Powertemporarilytodivert footpathorbridleway	-	DSPPS
21	Functions relatingtothemakinggoodof	-	DSPPS
22	damageandtheremovalofobstructions		DCDDC
22	Powersrelatedtotheremoval ofthingssodeposited	-	DSPPS
22	onthehighwaysas tobeanuisance Powertoextinguishcertain publicrights of	DCC	DCDDC
23	Powertoextinguishcertain publicrights of wayinconnection with the compulsory purchase of land	PCC	DSPPS
24	Dutyto keepadefinitivemap andstatementunderreview		DSPPS
25	Powertoinclude modificationsinotherorders	PCC	DSPPS
25	Dutytokeepregisterof prescribedinformationwith		DSPPS
20		-	DOLLO
	respect to application supports oction E2/E lot the Wildlite and		
	respecttoapplicationsundersection53(5)of the Wildlife and		
27	Countrysideact1981		
27	Countrysideact1981 Dutytoreclassify roadsused aspublic paths	-	DSPPS
27 28	Countrysideact1981	-	DSPPS DSPPS

Re f	Function	Responsi ble body	Responsi ble officer
30	Powertoextinguishpublic rightof wayoverlandacquired forclearance	PCC	DSPPS
30 a	Power toauthorisestopping upofdiversion onhighway	-	DSPPS
31	Powertoauthorisestopping upordiversionof footpathorbridleway	PCC	DSPPS
32	Powertoextinguishpublic rightsof wayover landheldforplanningpurposes	PCC	DSPPS
33	Powertoenterinto agreementswithrespectto meansofaccess	-	DSPPS
34	Powertoprovideaccessin absenceofagreement	PCC	DSPPS
35	Functionsrelatingtosea fisheries	N/A	N/A
36	Powertomake standing orders	Council	DG
37	Powertoappointstaff, and to determine the terms and conditions on which they hold office (including procedures for their dismissal)	As detailed earlier in this Scheme of Delegations	
38	Powertomake standingordersastocontracts	Council	DG
39	Dutytomakearrangements forproperadministrationof financialaffairsetc	AAC	DF
40	Powertoappointproperofficersforparticular purposesundersection270(3)oftheLocal GovernmentAct1972	-	DG
41	Powertomakealimestone pavementorder	PCC	DSPPS
42	Powertomakeclosingorderwithrespecttotake-awayfood shops	-	DCERS
43	Dutytodesignateofficeras HeadofPaid Service, and carry out the functions insection 4(1) of the Local Governmenta nd Housing Act 1989,	Council	DG
44	Dutytodesignateofficerto actastheMonitoringOfficer, and tocarryoutthefunctionsin section5(1) of theLocal GovernmentandHousingAct1989	Council	CEX
44 a	Dutytoprovidestaffetcto personnominatedbyMonitoringOfficer	Council	-
44 b	Powersrelatingtooverview andscrutinycommittees(votingrightsofco- optedmembers)	Council	-
45	Dutytoapprovetheauthority'saccounts, incomeand expenditureandbalancesheet,orrecordofpayments andreceipts (asthecasemaybe)	AAC	DF
46	Powersrelatingtothe protectionofimportanthedgerows	PCC	DSPPS
47	Powersrelatingtothe preservationoftrees	PCC	DSPPS
47	Power to dealwith complaintsarising fromhigh hedges	PCC	DSPPS
а			
48	Powertomake payments or provide other benefits incases of maladministrationetc	-	DG
49	PowertoissueDeprivations of Liberty authorisations	-	SDPS
50	Givingeffecttothewishesof Political Groupsonappointments to relevantbodies	-	DG
51	Appointmentofan independentmemberofanotherauthority'sStandards Committee, wherenecessary,becauseofa conflictofinterestornon- availability,toserveasa temporarymemberoftheStandardsCommittee.	-	DG

R ef	Function	Respon sible body	Respon sible officer
5 2	Followingconsultation withthe politicalgroupleaders, appointment of anelected membert othe Standards Committee, on a temporary basis, where necessary because of a conflict of interestor non-availability	-	DG
5 3	Powertoconsultwith DerbyshireConstabularyon CrackhouseClosures(Section2Anti-SocialBehaviourAct2003)	-	DCERS
5 4	Powertoconsultwith DerbyshireConstabularyonASB Closures(Section2 ofAnti-Social BehaviourActasamendedby Section118CriminalJustice & PoliceAct)	-	DCERS
5 5	Powertoconsultwith DerbyshireConstabularyon DispersalofGroups (Section30Anti-SocialBehaviourAct2003)	-	DCERS
5 6	Powertoconsultwith DerbyshireConstabularyfortheapplicationofanASBO(Section1CrimeandDis orderAct1998)	-	DCERS
5 7	PowertoapplyforaDrink BanningOrder(Sections1-5and9- 14ViolentCrimeReductionAct2006)	-	DCERS
5 8	PowertoconsultDerbyshireConstabularyonGangInjunctions (Section47Police&CrimeAct2009)	-	DCERS
5 9	Powertoconsultwith DerbyshireConstabularyontheapplicationforaDesignatedPublicPlaceOrder (Section13CriminalJustice&PoliceAct)	-	DCERS
6 0	Powertoauthorisedirectedsurveillanceandtheuseofcoverthumanintelligen cesourcesas prescribedforthe purposesof s30(1)oftheRegulationof InvestigatoryPowersAct2000.	-	DYA or DCERS

### PARTB

## LOCALCHOICEFUNCTIONS-NONEXECUTIVEFUNCTIONS

Re	Function	Responsi	Responsi
f		ble Body	ble
			Officer
1	Determiningcomplaints other than those about elected members	-	Relevant
			Strategic
			Director
2	Appealsagainstdecisionstaken onallocationsofhomelessness	-	DRPH
3	Obtaining information undersection 330 Town and Country Planning Acta	-	DG
	stointerestsinland		
4	Obtainingparticulars of people interested in land undersection	-	DG
	16LocalGovernment(MiscellaneousProvisions)Act1976		
5	Appointmentsandrevocationsof appointmentsto outsidebodies	Council	DG
6	Appointmentsand revocations of recommendations on	Council	DG
	appointmentstoeducation governingbodies		
7	Appointment and revocations of	-	DG
	appointmentstotheIndependentAppealsPanel		
8	Making arrangements for appeals against school exclusions or	-	DG
	admissions		
9	Designationof AlcoholFreeZones	Council	DG

#### PARTC

#### LOCALCHOICEFUNCTIONS-EXECUTIVEFUNCTIONS

Ref	Function	Responsible Body	Responsible Officer
1	Inspection of areatodetectany Statutory Nuisance	CC	DCERS
2	Investigationofanycomplaint as totheexistence ofaStatutoryNuisance	СС	DCERS
3	Makingagreementsforhighway works	CC	DG following consultation with DSPPS
4	Functions related to contaminated land	CC	DCERS
5	Controlofpollutionand managementofairquality	CC	DCERS