

Report sponsor: Chair of Audit and Governance Committee
Report author: Head of Internal Audit

Audit and Governance Committee – Draft Work Programme 2022-23

Purpose

- 1.1 This report provides details of the draft work programme for this Committee for the 2022/23 Municipal Year.

Recommendations

- 2.1 To agree the work programme for the Committee. A draft work programme is attached in Appendix 1.

Reasons

- 3.1 It provides a proposed 'core business' work programme for the Committee.

Supporting information

- 4.1 The annual work programme (draft attached in Appendix 1) provides a timetable for reports being submitted to the Committee.
- 4.2 Any required updates to the work programme will be reported to Committee on a regular basis.

Public/stakeholder engagement

- 5.1 None

Other options

- 6.1 None

Financial and value for money issues

- 7.1 None

Legal implications

8.1 None

Climate implications

9.1 None

Other significant implications

10.1 None

This report has been approved by the following people:

Role	Name	Date of sign-off
Legal		
Finance		
Service Director(s)		
Report sponsor	Chair of Audit and Governance Committee	6 th June 2022
Other(s)	Strategic Director of Corporate Resources	6 th June 2022

Background papers:	None
List of appendices:	Appendix 1 – Committee work programme 2022/23

AUDIT AND GOVERNANCE COMMITTEE
DRAFT PROGRAMME OF WORK TO MARCH 2023

Committee	Primary Activities	Other Activities
Wednesday 15 th June 2022	<ul style="list-style-type: none"> • Audit and Governance Committee – Outstanding Resolutions • Committee Work Programme 2022/23 • Internal Audit Annual Report 2021/22 including the Head of Internal Audit's Annual Opinion • Internal Audit Plan Qtr 2 2022/23 • Draft Annual Report of the Audit & Governance Committee 2021/22 • Review of the Effectiveness of Internal Audit 	
Wednesday 27 th July 2022	<ul style="list-style-type: none"> • Audit and Governance Committee – Outstanding Resolutions • Final Statement of Accounts 2020/21 • EY - ISA 260 - Report to those charged with governance • Internal Audit Progress Report • Counter Fraud Annual Report 2021/22 • Risk Assurance Annual Report 2021/22 • Whistleblowing Policy 	<ul style="list-style-type: none"> • Information Security Annual report • Annual Report 2021/22 • Waivers Q1
Wednesday 5 th October 2022	<ul style="list-style-type: none"> • Audit and Governance Committee – Outstanding Resolutions • EY - Annual Audit Letter 2020/21 • External Audit Plan y/e 31st March 2022 • Draft Annual Governance Statement 2021/22 	<ul style="list-style-type: none"> • Contract Procedure Rules - Revised

Committee	Primary Activities	Other Activities
	<ul style="list-style-type: none"> Internal Audit Plan Qtr 3 2022/23 	
Wednesday 30 th November 2022	<ul style="list-style-type: none"> Audit and Governance Committee – Outstanding Resolutions Final Statement of Accounts 2021/22 EY - ISA 260 - Report to those charged with governance Annual Governance Statement 2021/22 – Final version Internal Audit Progress Report Internal Audit Plan Qtr 4 2021/22 Counter Fraud Update 	<ul style="list-style-type: none"> Waivers Q2 Treasury Management mid-year progress
Wednesday 25 th January 2023	<ul style="list-style-type: none"> Audit and Governance Committee – Outstanding Resolutions EY - Annual Audit Letter 2021/22 Internal Audit Progress Report Risk Assurance Update PMO Update Audit & Governance Committee self-assessment Review of PFI Contracts 	<ul style="list-style-type: none"> Information Governance Update Waivers Q3
Wednesday 22 nd March 2023	<ul style="list-style-type: none"> Audit and Governance Committee – Outstanding Resolutions Internal Audit Progress Report Internal Audit Annual Plan Qtr 1- 2023/24 External Audit Plan y/e 31st March 2022 Accounting Policies 2022/23 Risk Management Strategy and Handbook Local Code of Governance 	<ul style="list-style-type: none"> Risk Based Verification Policy

Other Activities not scheduled as yet:

- A report on the level and adequacy of insurance arrangements – also to highlight number of claims etc
- An annual report outlining all activity involving the Council by external regulators
- An annual report on assurance around partnership governance
- Counter Fraud Policy – Review
- Audit & Governance Committee Terms of Reference – Review
- Outcome of PSAA appointment of external auditor from 1st April 2023