

Audit & Governance Committee – Outstanding Resolutions

Purpose

- 1.1 At its meeting on 5 February 2020, the then Audit and Accounts Committee resolved:
 - to agree that a Resolution Tracking report be included in future agendas of the Audit and Accounts Committee.
- 1.2 Outstanding resolutions and actions are attached at Appendix 1 of the report.
- 1.3 Any completed resolutions and actions will be removed after the meeting.

Recommendations

- 2.1 To track and review the outstanding resolutions and actions at Appendix 1 of the report.

Reasons

- 3.1 To provide the Audit and Governance Committee with the opportunity to track and review any outstanding resolutions arising from meetings of that Committee.

Supporting information

- 4.1 Appendix 1 lists outstanding resolutions arising from meetings of the Audit and Governance Committee.
- 4.2 For an exhaustive list of resolutions, refer to minutes of the meetings of the Audit and Governance Committee on the Council's Democracy Portal at the following link:

https://democracy.derby.gov.uk/Committees/tabid/101/ctl/ViewCMIS_CommitteeDetails/mid/734/id/1894/Default.aspx

Public/stakeholder engagement

- 5.1 None.

Other options

6.1 None.

Financial and value for money issues

7.1 None arising from this report.

Legal implications

8.1 None arising from this report.

Climate implications

9.1 None.

Other significant implications

10.1 None.

This report has been approved by the following people:

Role	Name	Date of sign-off
Legal Finance Service Director(s) Report sponsor Other(s)	Alex Hough - Head of Democracy	

Background papers:	Audit and Governance Committee agenda papers – on the Council's Democracy Portal
List of appendices:	Appendix 1 - Outstanding Resolutions

Audit and Governance Committee

Outstanding Resolutions

Meeting Date	Item	Resolution/ Action	Comments	Responsible Officer
26 July 2023	Counter Fraud Annual Report 2022/23	Councillors asked whether mandatory e-learning for fraud awareness for all staff could be introduced. It was agreed that the Director of Finance and the Head of Internal Audit would discuss this and the associated costs.	Awaiting details on e-Learning packages are available on Counter Fraud.	Richard Boneham Alison Parkin
11 October 2023	Annual Governance Statement 2022/23	to request that a report was brought to a future Committee meeting on changes to the Council's data management arrangements. Including detailed information on data breaches and how the Council planned to tackle the increase in data breaches.	A report will be brought to a future meeting during the current municipal year.	Andy Brammall
11 October 2023	Allestree Hall and Golf Course – Internal Audit Investigation Report	Resolved to agree that a new Heritage Impact Assessment should be carried out.	Officers are currently making arrangements for a new heritage impact assessment to be carried out.	
11 October 2023	Audit and Governance Committee – Updated Committee Work Programme 2023-24	A councillor asked for the Committee to receive a report on S106 and how it was currently implemented. It was agreed that Planning would be asked bring this to a future meeting and that a government representative would also be asked to attend.	A report will be brought to a future meeting during the current municipal year.	Paul Clarke

6 December 2023	Internal Audit Progress Report	Resolved to agree that services who did not respond to Internal Audit would automatically be invited to attend the next Audit and Governance Committee meeting.	Director of Finance and Head of Internal Audit to draft an email from Chair to all Service Directors and Heads of Service to remind them that they need to engage with Internal Audit. In instances where non-engagement persists, the relevant Director/Head of Service will be asked to attend A&G to explain to the Committee the reasons for non-engagement.	Richard Boneham Alison Parkin
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