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Finance

2020/21 Quarter 2 Financial Monitoring for General Fund, Capital Budgets, Dedicated Schools Grant, Collection Fund and Housing Revenue Account

Purpose

- 1.1 To summarise the Council's forecasted financial outturn position to 31 March 2021 based on the financial position as at 30th September 2020.

Summary

- a) Revenue budget:** The Council is currently forecasting an overspend of £7.093m against our base budget requirement of £238.358m. This is an improved position from Quarter 1.

The Council's forecast overspend for 2020/21 is 3% of the current budget. Within this forecast is the expectation that £2.970m savings is delivered against a target of £4.746m included within the 2020/21 budget approved by Council in February

- b) Capital budget:** Capital expenditure to date is £31.565m and our forecast is estimated at £159.308m against an approved capital budget of £183.663m
- c) Reserves:** The General Reserve balance remains at £10.933m and our Earmarked Reserves have a future years' forecast balance of £7.372m after taking account of the current forecast overspend of £7.093m. However, within the Earmarked Reserves future forecast is an over-commitment of £2.091m at 2023/24 against the budget risk reserve, primarily due to the current forecast outturn impacting on our previous forecasts. The Council will continue to seek to reduce the in-year overspend and will review the level and need for specific earmarked reserve levels as part of the Budget process.
- d) Treasury Management:** Total debt is £447.184m and total investments are £80.747m compared to £447.967m and £76.873m as at 31 March 2020. No new long term borrowing has been made or is anticipated to be made in the year. A large PWLB loan of £20m is due to be repaid in March 2021
- e) Dedicated Schools Grant (DSG):** The total grant of £253.297m has been allocated to schools and retained educational services. There is an overspend forecast on the High Needs Block of the DSG of £3.3m. DSG Reserve Balances were reported at £2.582m at the start of the financial year therefore it is highly probable that the DSG will close in a deficit position. Actions Plans are being developed to try and mitigate this

- f) **Collection Fund:** Council Tax billed for the 2020/21 financial year is £124.699m of which £66.558m or 53.38% has been collected. Business Rates billed for the 2020/21 financial year is £55.163m of which £28.607m or 51.86% has been collected
- g) **Housing Revenue Account (HRA):** The full year forecast projects a planned use of the HRA reserve of £4.592m
- h) **Performance on sundry debt collection:** As at 30th September the council have billed £34.28m in Sundry Debts and we have collected 92.90%.
- i) **Covid forecast:** The current full year potential forecast revenue and capital pressure is £27.4m. To date we have received £23.5m in government funding of which £0.527m was used to fund pressures in 2019/20 leaving a balance of £23m (this includes the funding allocation for the 4th tranche of covid pressures funding recently announced) and £5.5m from the income support scheme but these allocations have not yet been confirmed. Based on current forecasts the support from Government is forecast to meet the additional costs and impact on Council Income arising from the pandemic. If expenditure increases there is a risk that the shortfall will need to be funded from reserves if no more Government funding is available.

1.2 Further analysis and explanations of key variances are provided in section 4 of the report.

1.3 A summary of the net revenue forecast by directorate is set out in the table below.

Table 1 – Net Revenue Forecast to 31 March 2021 by Directorate

Directorate position as at 30 th September 2020	Approved Budget £m	Current Budget £m	Actual Spend £m	% of Current Budget to Actual	Full year forecast £m	Forecast Variance £m	Covid 19 Forecasts £m ****
*Peoples Services	155.039	155.541	65.846	42%	162.242	6.701	10.456
**Communities and Place	42.371	43.912	21.469	49%	44.567	0.655	10.567
Corporate Resources	33.810	34.713	22.705	65%	35.086	0.373	2.686
***Corporate	9.752	6.964	(55.431)	(796%)	6.328	(0.636)	0.565
Sub Total	240.972	241.130	54.589	23%	248.223	7.093	24.274
Original approved transfer from reserves	(2.772)	(2.772)			(2.772)		
TOTAL	238.200	238.358	54.589	23%	245.451	7.093	24.274

* Peoples Directorate, Children's services actual spend includes (£5.8m) credit for schools balances appropriations.

** Communities and Place actual spend includes £3.2m HRA spend.

*** Corporate, Actuals include Covid Government grants including Council Tax Hardship and BIES.

**** Covid 19 impact to be funded from Government Funding and Council Reserves (as required)

Recommendations

2.1 To note:

- a) The revenue projected outturn and key budget variances set out in the report in section 4.2 and the savings to be delivered in the year in section 4.3
- b) The current forecast Covid spend and funding as set out section 4.10 and in appendix 2
- c) The capital programme forecast, and actual capital expenditure incurred during the quarter summarised in section 4.4
- d) The changes already approved under scheme of delegation to the capital programme detailed in Appendix 3
- e) To note the use of the remaining Future provisions capital allocation, as set out in section 4.4.47
- f) The Council's reserves position, as set out in section 4.5 and Appendix 1
- g) The Council's treasury position and performance in the quarter, as set out in section 4.6
- h) The forecast Dedicated Schools Grant position summarised in section 4.7
- i) The Council Tax and Business Rates Collection Performance as set out in section 4.8
- j) The Housing Revenue Account Performance and projected outturn as set out in section 4.9

2.2 To approve:

- a) To approve changes to the 2020/21 - 2022/23 capital programme outlined in section 4.4 and detailed in Appendix 4.
- b) To approve £0.400m revenue funding from the Budget Risk Reserve, for projects on the Market Place during the autumn period including Christmas as detailed in section 4.2.29.
- c) To approve capitalisation of the Market Place funding as appropriate once expenditure is defined as detailed in 4.2.29.

Reasons

- 3.1 To provide assurance that the Budget approved by Council on 26th February 2020 is being effectively monitored and any major variances reported to Cabinet on a regular basis.

Supporting information

- 4.1 The following notes, in sections 4.2 to 4.9, provide further analysis and explanations of key variances for each element of the financial forecast outturn.

4.2 Revenue Budget

The Council Budget 2020/21 approved at 26th February 2020 Full Council outlined the 2020/21 approved budget position. After this report was approved a number of further technical adjustments were actioned which included cross directorate transfers. These were required to give an accurate reflection of the detailed required budget position by directorate. These adjustments did not change the net approved budget total of £238.200m.

- 4.2.1 **Peoples Services Directorate** – The Directorate’s full year revenue forecast indicates an overspend of £6.701m, as set out in the table below:

Directorate position as at 30 September 2020	Approved Budget £m	Current Budget £m	Actual Spend £m	% of Current Budget to Actual	Full year forecast £m	Forecast Variance £m
Adult Services	77.355	77.523	37.059	48%	76.924	(0.599)
Children Services	61.749	62.008	23.596	38%	69.308	7.300
Public Health	15.935	16.009	5.190	32%	16.009	-
Sub Total	155.039	155.540	65.846	42%	162.241	6.701

- 4.2.2 The Peoples Services directorate is a significant and complex service area for the Council, providing mainly statutory services to the people of Derby.
- 4.2.3 The services include covering the provision of education and learning in Derby schools, specialist support including services to children in care and care leavers, special educational needs and disabled children services, safeguarding of the most vulnerable children and adults and the associated regulatory duties.
- 4.2.4 Adult social care offers support services to vulnerable adults, as well as providing information and advice about social care to the general public more broadly and to people who fund their own support. Specifically, adult social care supports older people as the single largest group of individuals in the city, plus an increasing number of adults of working age with physical, mental health and learning disability support needs. The Council also has a duty to ensure sufficiency and quality of adult care services in the local area for all residents.
- 4.2.5 The Directorate also discharges the full suite of statutory requirements relating to public health, with responsibility for improving the health of the local population and for public health services including most sexual health services, public health in children’s nursing, statutory advice to NHS commissioners and services aimed at reducing drug and alcohol misuse.

4.2.6 The coronavirus (Covid) pandemic represents a time of severe pressure across society particularly across health and social care services. There have been a raft of new requirements including:

- **NHS Covid 19 Hospital Discharge Service**

The introduction of this was to primarily ensure that the capacity to support people who have acute healthcare needs in hospitals was protected by organising a safe and rapid discharge of those people who no longer need to be in a hospital bed. A Derbyshire wide Strategic Coordination Group across the NHS and social care worked together to ensure the creation and maintenance of acute bed capacity through faster rates of appropriate discharge from NHS beds. Since quarter 1 reporting this scheme has moved into the next phase, phase two. Clients from phase 1 are to be assessed and transitioned into health or social care responsibility under a business as usual transition with phase 2 discharges being administered through a maximum of a six week support through this scheme. The forecast at quarter 2 accounts for the phase 1 transitions into social care support where appropriate.

- **Additional financial support to care providers including the Infection Control Fund**

The Council, through additional grants received from Central Government, has provided additional financial support to providers of adult social care services to ensure that the additional costs of care are met through the Pandemic. PPE costs and staffing costs have increased and although some government funding has been targeted at care homes primarily, to assist them with measures to prevent the spread of the virus amongst staff and residents, this initial support ended in September 2020. A second tranche of funding has been received to support the wider social care sector (including home care for example) through the winter demands of the continued pandemic, and this funding will extend until March 2021.

There is a high risk that the cost of care will permanently increase without any further long-term funding injected into the system and also that the demand for adult social care will increase - in part as a result of the pressure Covid is placing on family carers and also the knock on health and care impact for vulnerable people who may contract the virus.

4.2.7 **Adults Services and Public Health** - are forecasting an underspend of £0.599m at quarter 2 which is an improvement from a balanced position reported at quarter 1. This is predominately due to additional funding received from the Government's national Infection Control Programme where it has supported the cost increases and pressures affecting the Council's own in-house care providers as they respond to Covid as they too are eligible for the additional funding.

4.2.8 Children's Services

For Children's Services the Covid Pandemic has also had some significant financial implications. At the start of the pandemic there was an immediate reduction in the numbers of contacts and referrals to children's social care; however, since children and young people returned to school in September demand has significantly increased and we have seen a sharp rise in referrals to children's social care and expect this to increase through quarter 3.

Numbers of looked after children have also increased in quarter 2 from 619 seen at the end of June compared to 640 on 30th September; forecasts are indicating that the numbers could increase to circa 678 as we reach the end of the financial year. Whilst this increase is in part due to new admissions to care, this is also because children are staying in care for longer durations due to the significant backlog of cases in the family proceedings court which is causing significant bottle necks in placement provision and in some cases, children securing permanence. Whilst foster care recruitment has remained positive during the pandemic, there is a significant number of foster carers unable to take additional children because they are shielding from the virus or have existing placements "staying put".

- 4.2.9 As a result of these issues and the fact that some of the transformational and demand management programme work temporarily ceased during the earlier part of the year the service is currently forecasting an overspend of £7.3m. This overspend is predominantly linked to the cost of care given the rise in LAC numbers reported above.
- 4.2.10 At the end of quarter 2 the numbers of care placements commissioned from the private and independent sector had risen to unprecedented levels, beyond that assumed at the time the budget was set where an additional £2.1m had been invested into this area.

Children's Social Care Agency Placements

2020-21	Budgeted placements	Estimated no. of placements at end of year
Agency Residential	14	31
Agency Residential – Disabled	10	6
Agency Fostering (IFA)	305	393
	329	430

- 4.2.11 With the average cost of a residential placement is £5,000 per week (£260,000 full year) and a fostering placement £850 per week (£44,000 full year) this quickly translates into a substantial overspend with 17 residential places and 88 fostering places over that provided for within the budget.

- 4.2.12 The current temporary closure of two of our internal residential homes, the impact of the pandemic on court proceedings and the ability to move children have all compounded the pressure on placement costs. We estimate that at least 20 children fall into these categories costing an additional £2.5m per year; 8 children are in external residential places that would otherwise be in our internal provision (circa costs £2m) 4 children are staying put in current fostering placements post 18 years old and approximately 8 children have not moved to other permanent care arrangements due to a halt in court proceedings. As we move into quarter 3 we have seen the reopening of one of our care homes with the expected opening of the remaining one before Christmas and it is anticipated that with appropriate matching of placements into internal provision we will see a reduction in the demand on external placements.
- 4.2.13 To reduce this overspend, the Council has established a number of transformational work streams to address the market sufficiency issue. These include increased corporate support and governance - a corporate demand management board has been set up, which is coordinating the range of actions and proposals outlined below. The board is chaired by the Strategic Director of Peoples Services. Areas of focus include:
- 4.2.14
- A corporate approach to foster care recruitment to improve the number of internal fostering households and reduce the need to buy places from the independent sector which are more expensive than those provided through our own carers; this is making impact with significantly 40% increase more fostering applications and assessments underway this year compared to the last two years. In addition, there has been a 71% increase in friend's family assessments which has reduced the need for independent sector accommodation
 - Work is underway to reconfigure a building on the site of one of our children's homes leading to the opening of a two bedded home which would then enable step down from the more expensive agency residential placements. This should become operational in quarter 3
 - Strategic Housing and Derby Homes are working closely with children's services to support demand and have purchased four flats that have come on stream which will enable young people to be stepped down from our internal residential homes and in turn we can then appropriately transition young people from external residential care into our own children homes

- Implementing a new Children in Care placement framework across Derby, Derbyshire, Nottingham and Nottinghamshire LAs (D2N2), to replace the current East Midlands Regional Framework due to expire shortly. The new D2N2 framework will enable more flexible packages of care
- Continued challenge for permanency solutions for children in long term placements
- A review of processes and payments to ensure system efficiencies are maximised with new systems implemented May 2020. It's difficult to quantify what savings this would deliver immediately; the opportunities will become more apparent as we analyse the data from this
- An additional financial investment into the Exit from Care and Child Permanency Teams which will enable the service to employ additional social work capacity to ensure that where appropriate and safely to do so children are supported through to their permanent arrangements and exiting the care system. If we use the average cost per care episode from 2019/20 (£48,549), the number of exits from care through the Exit team could yield £1.1mn based on 24 exits in a full year
- A re-opening of the Council's two in-house care homes provide valuable in-house placements where we are currently having to place more children than what would be the usual number in expensive independent care homes. This would save £2m in a full year as 8 external residential placements are returned and we are well on the way to achieve this.

4.2.15 There are concerns about the significant costs and financial burden on the Council as well as the capacity and sufficiency of transport operators to run safely within a Covid context. There are challenges around the availability of vehicles (bus, coach, taxi); staffing/escort capacity (some tend to be shielded/ in the clinically vulnerable group). All of this means that the cost of home to school transport is a significant unquantifiable risk for the Council; we are forecasting an overspend of £0.25m but this remains very sensitive to the demands and challenges of transporting vulnerable children to school over the next two terms whilst adopting social distancing measures. The Government has provided some interim funding to help Councils in respect of additional costs of Home to School Transport.

4.2.16 Communities and Place Directorate

Directorate position as at 30 September 2020	Approved Budget £m	Current Budget £m	Actual Spend £m	% of Current Budget to Actual	Full year forecast £m	Forecast Variance £m
Communities & Place	42.371	43.912	*21.469	49%	44.567	0.655
Sub Total	42.371	43.912	21.469	49%	44.537	0.655

*Communities and Place actual spend includes HRA spend of £3.2m.

4.2.17 The Directorate's full year revenue forecast is a total spend of £44.537m, £0.655m over its allocated budget of £43.912m. The main variances can be explained as follows:

- 4.2.18 Prior to the Covid pandemic, Leisure, Culture and Tourism were projecting an underachievement in income which transpired in 2019/20. This underlying pressure is forecast to continue into 2020/21 with an estimated shortfall in income of £0.481m. This relates to historically set income targets, changes to provision in the city and downturn in national trends, and the impact of the current unavailability of the Guildhall theatre.
- 4.2.19 Parking income fell in 2019/20 due to reducing visitor numbers. This underlying pressure is forecast to continue into 2020/21 with an estimated £0.600m of underachieved income.
- 4.2.20 A delay to the implementation of the expansion of the Carelink service in line with original deadlines has resulted in a pressure of £0.280m in underachieved income.
- 4.2.21 The Streetpride (Licensing, Street Cleansing and Land Drainage) service is currently forecasting an underachievement of income of £0.153m as a result of their reduced ability to earn income.
- 4.2.22 There is a forecast salary pressure of £0.654m, relating to staff at top of grade, low turnover, filling of interim posts and salary increases, including the 0.75% unbudgeted pay award for 2020/21, across the directorate. This is partially offset by vacancies across the service (£0.049m) and salary costs being funded by Public Health (£0.191m).
- 4.2.23 There is a pressure of £0.064m relating to NNDR payment as a result of revaluations to Springwood Leisure Centre and Moorways Stadium.
- 4.2.24 There is pressure of £0.128m in Economic Regeneration as a result of a missed accrual relating to D2N2 contributions in 2019/20 financial year and abortive project costs for Cathedral Green and Project Mulberry.
- 4.2.25 Other minor pressures across the directorate £0.024m.
- 4.2.26 The overspends are offset by a forecast underspend in the Waste Management service of (£1.089m) which is due to lower than expected Garden Waste tonnages and a higher than expected diversion away from Residual Waste into other waste streams. The increased diversion results in a lower cost of disposal.
- 4.2.27 The underspend of (£0.400m) relating to concessionary fares is due to a freeze of 2019/20 passenger numbers under Covid 19 legislation, fare increases held at pre Covid 19 levels and Yourbus ceasing operations.
- 4.2.28 There is an additional pressure of £10.567m relating to the Covid 19 Pandemic. This is due to the loss of income across all services and increased costs associated with the pandemic. It is assumed that this pressure will be managed within the Covid allocations from central government at this point.

4.2.29 **Programme of Events**

To support the ongoing vibrancy of the city centre approval is sought to transfer £0.400m from the budget risk reserve to support estimated capital (£0.200m) and revenue (£0.200m) expenditure for a programme of events which builds on the successful Market Place eat-out initiative. The funding will support a programme of high-impact, relatively low-cost interventions including Street Art, Lighting, Canopies, Decorated/ illuminated/ animated windows, a photography exhibition, parklets/planting. The funding will be applied to the revenue budget and capitalised if appropriate once the expenditure category is defined.

4.2.30 **Corporate Resources Directorate and Corporately Held Budgets**

The Directorate's full year revenue forecasts alongside Corporately held budgets shows an underspend of (£0.263m) against current allocated budget of £41.677m, as set out in the table below:

Directorate position as at 30 September 2020	Approved Budget £m	Current Budget £m	Actual Spend £m	% of Current Budget to Actual	Full year forecast £m	Forecast Variance £m
Corporate Resources	33.810	34.713	22.705	65%	35.086	0.373
Corporate	9.752	6.964	*(55.431)	(796%)	6.328	(0.636)
TOTAL	43.562	41.677	(32.726)	(79%)	41.414	(0.263)

*Corporate actuals include Government Covid 19 funding, including Business Support grant funding and Council Tax Hardship support funding.

4.2.31 **Corporate Resources**

Corporate Resources budgets are forecasting an outturn overspend of £0.373m, this assumes £2.686m of Covid grant funding is allocated to the directorate to meet associated pressures. The main variances are outlined below:

- 4.2.32 There is a directorate wide pressure of £0.219m which has been included in the forecast to allow for the actual pay award of 0.75% above the 2% already included in the base budget.
- 4.2.33 Financial Services are forecasting an outturn overspend of £0.021m assuming £1.468m of Covid grant funding. The main variances are new burdens grant funding (£0.123m), Housing Benefit payments £0.172m mainly as a result of reduced overpayment recovery and other minor variances across the service of £0.028m.
- 4.2.34 Legal, Procurement and Democratic Services are forecasting an outturn overspend of £0.493m assuming £0.198m of Covid grant funding. The main variances are £0.277m relating to additional insurance premiums and £0.231m due to estimated additional insurance provisions required. There is unachievable land charges income due to lower demand £0.179m, one-off savings relating to postponement of local elections (£0.165m) and other minor net variances across the service of (£0.029m).
- 4.2.35 Internal Audit are forecasting an outturn overspend of £0.022m as a result of a contribution to Central Midlands Audit Partnership (CMAP) budget shortfall.

- 4.2.36 Human Resources are forecasting an outturn underspend of (£0.049m) assuming £0.008m of Covid grant funding. The main variances are additional internal recharge income for recruitment, administration and advert charges (£0.029m) together with other net variances across the service of (£0.020m).
- 4.2.37 Corporate Core are forecasting an outturn underspend of (£0.068m) assuming £0.135m of Covid grant funding. The main variances are lower than anticipated spend on City Vision (£0.070m) and other net variances of £0.002m.
- 4.2.38 Digital Services and Customer Management are forecasting a balanced outturn position assuming £0.509m of Covid grant funding.
- 4.2.39 Property Services are forecasting an outturn underspend of (£0.265m) assuming £0.368m of Covid grant funding. The main variances are unused property maintenance budgets across the service of (£0.299m) and other net variances across the service of £0.034m.
- 4.2.40 **Corporate Budgets**
The full year forecast projects an underspend of (£0.636m) against a current base budget of £6.964m. This is mainly due to Corporate contingency underspends of (£0.527m), Corporate revenue contingency budget uncommitted balance (£0.155m) and uncommitted opportunity fund balance (£0.073m). There is a forecast overachievement of annual leave purchase saving (£0.083m), a one off banking contract saving of (£0.048m) and a pressure due to an unachievable layers and levels saving £0.250m.
- 4.2.41 The Corporate budgets contain an income accrual of £1.073m to the Derbyshire Pension fund for the Pension costs for service payment and historical pension deficit. Estimates suggest that the figure supplied by the actuary were overstated and the current forecasts assume that the service pension overpayment will be repaid by Derbyshire County Council to the Council. Negotiations with the fund are ongoing.

4.3 Savings delivery

The Council's Revenue budget for 2020/21 included savings targets for each directorate in order to support a balanced budget position.

2020/21 Directorate Savings Targets	Approved Savings Targets £m	Savings Delivered £m	Year End Shortfall £m	Forecast Variance Delivered %
Peoples Services	1.596	0.200	1.396	13%
Communities and Place	1.415	1.035	0.380	73%
Corporate Resources	1.735	1.735	-	100%
Total	4.746	2.970	1.776	63%

- 4.3.1 The following notes provide a summary of each directorate's performance in delivering its savings targets.

4.3.2 **People's Services:** - of the current £1.396m shortfall, £0.500m for the review of adults care packages and £0.296m for Remodelling of Child Placement Service through Social Impact bonds are at risk of deliverability in year due to Covid priorities, £0.400m foster care and £0.200m Early Help management restructure savings are work in progress, it is too early to assess deliverability of these savings particularly with the impacts of Covid.

4.3.3 **Communities and Place** are forecasting to achieve £1.035 of the target. Of the current £0.380m shortfall, the £0.280m Carelink saving is currently a work in progress, and may not be achieved until 2021/22 due to the delays in implementation during the Covid period.

The only unachievable saving is the £0.100m additional Leisure income due to the reduced income levels whilst the service has been closed.

4.3.4 **Corporate Resources:** There are three savings currently forecast to be unachievable within Corporate budgets. There is a staffing 'layers and levels' saving of (£0.250m), however this has been mitigated in 2020/21 through other one-off underspends within the Corporate budgets. There is an undeliverable Commercialisation saving of (£0.250m) and a grey fleet mileage saving of (£0.035m) which is currently assumed to be funded one off for this year only through Covid funding as these savings cannot be implemented due to the current pandemic. All other Corporate Resources savings are on track to be delivered either wholly or in part with alternative mitigation in place where appropriate.

4.4 **Capital Monitoring**

The capital expenditure forecast for 2020/21 has been reviewed and the updated forecast is now £159.308m and detailed at section 4.4.1 to 4.4.50. There is a forecast underspend of (£24.355m) against the approved budget of £183.663m agreed by Full Council on 26th February 2020. The majority of this underspend will slip (along with its financing source) into future years.

- 4.4.1 The table below analyses the main variances by service against the profiled quarter 2 budget and the actual spend.

2020/21 Capital Programme by Service Area	Approved Capital Budget	Outturn slippage approved July 2020	Revised Approved	Actual Spend	Current full year forecast/ Revised Budget	Forecast Variance to original Approved Budget
	£m	£m	£m	£m	£m	£m
Schools	32.325	0.417	14.853	3.883	13.878	(18.447)
Housing General Fund	6.167	0.272	6.439	0.573	3.241	(2.926)
Property Improvement	29.552	1.750	28.497	8.545	27.891	(1.655)
Flood Defence	0.250	1.250	1.498	0.192	1.621	1.371
Highways & Transport	28.313	5.948	45.944	8.761	46.177	17.864
Vehicles Plant & Equipment	2.092	0.294	5.851	2.609	6.378	4.286
Regeneration	42.021	2.191	41.348	2.278	32.804	(9.217)
Information and Communication Technologies (ICT)	3.921	0.581	2.364	0.821	2.646	(1.275)
Housing Revenue Account (HRA)	33.022	0.711	26.162	3.904	20.912	(12.110)
Corporate	6.000	-	3.754	-	3.754	(2.246)
Total	183.663	13.414	176.710	31.565	159.308	(24.355)

- 4.4.2 Capital expenditure to date is low against the original approved budget due to the heavy profiling of schemes spend towards the end of the year.
- 4.4.3 The revised approved capital programme including outturn slippage was £197.077m. Further reductions totalling (£19.450m) have been previously approved by Cabinet and changes this quarter requiring approval of (£18.319m) to reduce the programme, are detailed below and in Appendices 3 and 4.
- 4.4.4 The main changes over and above outturn slippage are:
- Removal of the Project Mulberry scheme due to the economic climate
 - Removal of the Cathedral scheme due to significant rise in home working and a reduction in demand for office space as a result of Covid
 - The impact of Covid on the ability for capital schemes to go ahead as planned.

- 4.4.5 **Schools**
The school's capital programme requires net changes of (£1.192m) as detailed in Appendices 3 and 4. The significant changes are outlined below.

- 4.4.6 The Fellow Lands Way Primary school scheme is slipping by (£0.950m). It is necessary to re-profile this s106 contribution due to a delay in bringing forward the Snelsmoor Grange housing development. The developer has not progressed with the housing scheme as initially anticipated; this has therefore delayed the receipt of the full s106 sought to fund the new school. It is therefore necessary to reprofile the previously secured s106 to fall in line with a revised school opening of September 2022. The Council will continue to monitor progress with the development and any further changes as required.
- 4.4.7 The Bemrose School secondary expansion has underspent and has therefore been reduced by (£0.200m) and reallocated back to the schools programme. The anticipated final costs of the Bemrose scheme were confirmed by the DfE on commencement of works. A contingency budget was retained for any additional costs arising during the construction programme, for anything arising due to unforeseen circumstances or additional requirements. The DfE have not required any further contribution from the Council and there is a saving as a result.
- 4.4.8 The Brackensdale Infant & Junior - Expansion Design scheme is slipping by (£0.340m). It is necessary to re-profile the remaining budget, which was set aside for off-site access improvements to the pedestrian access route between the school and the Manor Kingsway housing development. The major A38 improvement scheme, led by Highways England, will include significant changes to the A38/Kingsway Junction. It is proposed to review these, once the scheme has been finalised and completed, before developing a programme for any further works should they be required.
- 4.4.9 **Housing General Fund**
The Housing General Fund capital programme requires net changes of (£3.198m) as detailed in Appendix 4. The significant changes are outlined below.
- 4.4.10 The Disabled Facilities Grant scheme has slippage of (£0.813m). The commencement and progress of works has severely been affected by covid restrictions. The client group eligible for this type of work predominantly falls into vulnerable categories.
- 4.4.11 The City Living Scheme is slipping (£1.5m) to 2021/22. The City Living scheme is a loans scheme to provide developers with access to funds to help them build new housing. Programme commencement has been delayed by the impact of covid restrictions and market uncertainty.
- 4.4.12 The Acquisition of Temporary Accommodation scheme has been reduced by (£0.585m). The scheme was substantially completed in 2019/20. The original intention was to purchase the first tranche of properties and then review whether they were running at a surplus and if so at what level. Until that analysis is complete it was felt that any further purchases should be halted. The amount outstanding is required for final refurbishment works.
- 4.4.13 **Property Improvement (including Parks and Open Spaces)**
The Property Improvement capital programme requires net changes of £0.835m as detailed in Appendices 3 and 4. The significant changes are outlined below:

- 4.4.14 The Guildhall roof covering, and stonework replacement scheme has (£0.300m) slippage. The first phase of the project will be completed by this financial year and due to Covid the second phase has been delayed and now scheduled to commence in the new financial year.
- 4.4.15 Reallocation of (£0.225m) from the Darley Playing fields boundary wall scheme is necessary as the budget for this year is no longer needed and a budget will be reallocated in next year's programme. The works that need to be carried out are seasonal, as the wall will be rebuilt using lime mortar which is difficult to work with during the autumn and winter months, so scheduled to be done in Spring/early summer for this year. Due to Covid lockdown throughout Spring/early summer, it was too late for contractors to start, hence the works being carried out in Spring 2021.
- £0.035m has been transferred to Darley Park Improvements which was section 106 funded and has been transferred to the parks programme, £0.190m has been reallocated to Stores Road Tram Shed Demolition as the works are to be prioritised and accelerated because the current structure is dangerous and the scaffolding was for temporary works to ensure the danger was mitigated but now the building needs bringing down as soon as possible so the demolition needs to be done now rather than next financial year.
- 4.4.16 **Flood Defence**
The Flood Defence capital programme requires net changes of (£0.123m) this quarter as detailed in Appendix 3. There are no significant changes.
- 4.4.17 **Highways and Transport**
The Highways and Transport capital programme requires net changes of £0.233m this quarter as detailed in Appendix 3 & 4. There are no significant changes.
- 4.4.18 **Vehicles Plant and Equipment**
The Vehicles Plant and Equipment capital programme require net changes of £0.111m as detailed in Appendix 4. The significant changes are outlined below.
- 4.4.19 The vehicle replacement scheme has slippage of (£0.330m). The service who will benefit from the new cars are still currently testing options before deciding on the eventual cars that will be ordered. To align with the declaration of climate change emergency and to support work being carried out through other funding streams to encourage the use of sustainable transport Transforming Cities Fund (TCF) and to achieve the Government's Air Quality requirements, the council are procuring electric vehicles. This is a new and constantly developing industry and as such the council are intending to procure the most up to date models; these have only recently been released by the manufacturers, Ford and Renault. No purchases will be made this financial year.
- 4.4.20 **Regeneration**
The Regeneration capital programme requires net changes of (£8.544m) as detailed in Appendices 3 and 4. The significant changes are outlined below:

- 4.4.21 Carbon Reduction Fund scheme is slipping by (£0.500m). The governance framework for delivering the city's response to climate change is currently being developed, linked to the City's economic recovery plans. Once in place expenditure can begin to be defrayed and this will commence in 2021/22.
- 4.4.22 Alvaston District Centre Improvements is slipping by (£0.231m). A consultation with Members and other key stakeholders is in progress to prioritise the programme of Neighbourhood intervention works and therefore it is anticipated that the remaining capital budget allocation in the current capital programme will not be spent in 2020/21.
- 4.4.23 The Cathedral Green scheme (£9.041m for 2020/212 and 2021/22) has been removed from the programme. Following a review of the business case, it was concluded that it would not be prudent to invest in this scheme at the current time bearing in mind the significant rise in home working and a reduction in demand for office space as a result of Covid. It is proposed, therefore, to remove the scheme from the current capital programme together with the service financed borrowing to fund it. There will be some abortive costs as a result of not continuing with this scheme, which will be reported to a future Cabinet once agreed following closing down of the scheme.
- 4.4.24 The Accelerated Delivery Infinity Park Derby (IPD) contingency is slipping by (£0.470m). A review is being undertaken to identify the most appropriate priority use of this investment within the Infinity Park Derby programme and will be reported to a future Cabinet for approval following recommendation from the Infinity Park Derby Board.
- 4.4.25 The Ihub Plot preparation is slipping by (£0.202m). There has been a delay in progressing this project in part due to Covid and the focusing of resources on other priority activities within the Infinity Park Derby Programme. In 2021/22 works will commence on the site to prepare it for development.
- 4.4.26 The D2EGF Growth and Innovation Fund is slipping by (£0.350m). The GIP 2 project is nearly all fully committed as at October 2020, however, due to the Covid impact businesses have delayed starting their projects and therefore their planned spend. Subsequent claims are now therefore expected to occur in the 2021/22 financial year.
- 4.4.27 The City Growth Fund scheme is slipping by (£0.750m). The project is currently in design phase and is being developed to ensure it has maximum impact on business growth and job creation for the benefit of Derby residents and workers. It is expected to be launched by the end of the year and subsequent defrayal of claims are not expected to commence until 2021/22 requiring the £0.750m originally profiled in 2020/21 to be slipped to 2021/22.

- 4.4.28 The Derby Enterprise Growth Fund Recycled scheme is slipping by (£0.400m). As a result of the delay or withdrawal of grants and loans previously being progressed under the DEGF scheme, there will be no payments made this financial year. A grant of £0.300m to a potential recipient has been withdrawn after lengthy due diligence which did not conclude satisfactorily. There is a possible grant of circa £0.090m in the pipeline but progressing it with the company to get to a point of a definite proposal to ask Investment Panel to consider is taking longer than originally anticipated. It is uncertain whether this will go ahead in 1920/21 therefore slippage is anticipated.
- 4.4.29 The Project Mulberry Scheme has been removed from the programme (£19.093m for 2020/21 to 2022/23). Project Mulberry is to be removed from the Council's capital programme due to the current economic uncertainty as a result of the Covid pandemic but the council will continue to work with Incora and hope to be able to reengage with discussions in early 2021.
- 4.4.30 A separate report on this agenda regarding the Market Hall capital scheme provides a scheme update and a request for changes to the budget for the scheme. No changes for this scheme have as yet been reflected in the capital budget figures presented in this report pending approval by Council Cabinet. Any approved changes will subsequently be incorporated into the approved capital programme after this Council Cabinet.
- 4.4.31 The Our City Our River (OCOR) scheme has been reduced by (£1.555m). The programme has been reallocated to the different packages within the OCOR programme to provide the ability to identify capital expenditure at risk of being abortive should works to the package 2 and package 3 elements not be delivered. The annual forecast has also been reduced due to slippage into future years resulting from delays, mainly due to Covid restrictions on programming works and clarifying delivery.
- A further reduction is due to the decision to remove the delivery of the Derwent Parade Pump Station activities. A funding application to MHCLG has also been withdrawn as detailed discussions with Severn Trent and Network Rail uncovered a significant number of issues that cannot be immediately resolved.
- 4.4.32 **Getting Building Fund (GBF) schemes**
Following successful bidding to the Getting Building Fund (GBF) £28.125m was added to the capital programme at quarter 1 to reflect £18.880m GBF funding and £9.250m council matched funding from prudential borrowing for two new schemes - Manufacturing Research Centre (MRC) Midlands (£12.125m) and Smartparc (£16m). Of this £12.1m is currently profiled in the 2020/21 capital programme. A full business case is being developed for both schemes and commencement is subject to approval through the Gateway Process, approval of additional prudential borrowing of up to £9.25m by Full Council and grant award following submission of the final GBF business case for each project. A separate report is on this Council Cabinet agenda in relation to the MRC Midlands scheme, and a report will be presented to a future Cabinet on the Smartparc scheme.
- 4.4.33 **ICT**
The ICT capital programme requires no changes this quarter.

4.4.34 **Housing Revenue Account**

The HRA capital programme requires net changes of (£5.252m) as detailed in Appendix 4. The significant changes are outlined below.

- 4.4.35 Hackwood farm has had a reduction of (£0.500m). The additional properties at Hackwood are being delivered by 2 different developers. Redrow completed their properties in 2019/20. The balance are being delivered by Millers in 2020/21 and the figure for 2020/21 included the deposit amount that had already been paid therefore the balance at Practical Completion is £1.584m.
- 4.4.36 The Knoll new build scheme is slipping (£0.300m). Due diligence has resulted in a delay to procurement; therefore, the programme has slipped. The work undertaken by a previous project manager has been reviewed and the project documentation has been revised. As this has taken a number of months the Expressions of Interest received based on the previous paperwork cannot be progressed and procurement for a construction partner has recommenced.
- 4.4.37 The New Build and acquisitions (NB&A) programme has had a reduction of (£0.394m). Due to Covid the NB&A programme was suspended and site works stopped during lockdown. This has now restarted but new working practises reflecting current Health and Safety guidelines will extend the work period. As a result, the spend expected has been reduced.
- 4.4.38 The Bridge Street scheme has had a reduction of (£0.225m). Following an updated feasibility and demolition estimate the university expressed interest in this site as part of an expansion in their service. As a result, no work was carried out and the demolition procurement was put on hold until the Council knew the outcome of discussions. The University have now confirmed they are not expanding at this time therefore work to progress demolition is restarting. This has meant the project did not achieve the expected spend.
- 4.4.39 The Riverview site is slipping by (£0.500m). A revised planning application submission has been delayed. Work is still on going on a revised planning application package by the design teams.
- 4.4.40 The Aida Bliss scheme is slipping by (£1.288m). £1m had been included as budgetary provision to allow demolition and enabling works to begin in 2020/21 if required. However, it was decided not to submit a separate application to demolish buildings on site in advance of the main planning application, but instead to combine these two, and to programme the enabling works to begin promptly after planning consent is granted (which is anticipated in mid-2021).

Spend on professional fees has also slipped because procurement of the project team took 2-3 months longer than was anticipated at the start of the year.

- 4.4.41 The Parliament Street scheme has a reduction of (£0.246m). The Cabinet approval was for a budget of £2.2m and was set at an early stage. Having reviewed the contract sum it is lower than originally anticipated. The change reflects that the council had paid for the land in 2018/19 which reduces the balance of spend in 2020/21. In addition, the developer agreed slightly lower price and the agreement was structured differently resulting in a lower Stamp Duty Land Tax payment.

- 4.4.42 The Crompton new build scheme is slipping by (£0.300m). Derby Homes have delayed the start on site whilst they finalise the materials to be used with planners.
- 4.4.43 The Oakland new build scheme is slipping by (£0.300m). Derby Homes have delayed the start on site whilst they finalise work with existing leaseholders.
- 4.4.44 The Chesapeake scheme is slipping by (£0.550m). Derby Homes delayed the demolition start because a more thorough asbestos survey was required.
- 4.4.45 The HRA maintenance programme has reductions totalling a net (£0.604m); this in the main is due to the inability to get on site as a result of the Covid pandemic. It has affected work on the windows and doors programme, the central heating programme, work on shops and the emergency call system replacement works. In addition, further works have been required on the estates pride scheme to undertake additional works on car parks and hardstanding within the housing estates.
- 4.4.46 **Corporate**
The Corporate capital programme requires no changes this quarter.
- 4.4.47 **Capital Futures Provision**
The capital programme currently includes a Futures provision allocation which was approved in the budget report at 26th February Council. There currently is £23.504m in this provision profiled as follows:
- 2020/21 £3.754m
2021/22 £9.750m
2022/23 £10.000
- It is proposed to allocate this remaining balance (subject to approval of reports elsewhere on the agenda) to the following schemes subject to the appropriate approvals:
- The Market Hall Project - £4.5m (A separate report is on the Agenda of this Cabinet outlining requirements and associated risks)
- Sinfin Waste Plant (commercial settlement – figure excluded from this monitoring report due to Council still being in commercial negotiations)
- The profiling of this expenditure once approved will be brought back to Cabinet at a future date.
- 4.4.48 **Section 106 Contributions**
Section 106 (S106) contributions are allocated through the S106 working group and are required to be reported to cabinet. There are no new S106 allocations to report this quarter.

- 4.4.49 Current S106 balances are £9.365m. The table below shows these balances and committed for all S106 contributions. Appendix 5 provides a detailed breakdown of all S106 contributions by ward and planned use:

Position	£m
Opening balance as at 01/4/20	10.579
Received to date	0.075
Adjustments/Clawbacks/Expired	(1.289)
Total Available	9.365
Committed 2020/21	2.759
Forecast Available Future Years	6.606

- 4.4.50 The S106 contributions are held under long term liabilities within the balance sheet as 'capital grant receipts' in advance.

4.5 Usable Reserves

- 4.5.1 **General Fund Balance** At 30th September 2020 the General Fund Balance is £10.933m. This is within the best practice accounting guidelines with expected percentage of budget being at 4.6% of the 2020/21 net budget requirement.
- 4.5.2 **Earmarked Reserves** Earmarked Reserves have a future year's forecast balance of £7.530m after taking account of the current forecast overspend. Any further residual overspend at the end of 2020/21 would be a call on the reserves and potentially impact on the Council's financial resilience. Within the Earmarked Reserves future forecast is an over-commitment of £2.091m at 2023/24 against the budget risk reserve, primarily due to the current forecast outturn impacting on our previous forecasts. Given the Council is already forecasting a significant overspend so early in the financial year, then action needs to be taken to reduce this overspend where possible. An assessment of reserve commitments is also being undertaken.

Earmarked Reserves are set out in full in Appendix 1 and are held to fund specific projects, such as the Council's regeneration fund programme and include other reserves which are not available to the Council for general use; such as School Balances. At 30th September 2020 forecast reserves total £56.131m and £7.372m in future years (excluding HRA ring-fenced balances and general ring-fenced reserve balances).

4.6 Treasury Management

All Treasury Management activities in quarter 2 were in accordance with the Treasury Management Strategy 2020/21, approved by Full Council Cabinet on 26th February 2020 and are within CIPFA's Prudential Code for Capital Finance in Local Authorities.

- 4.6.1 The Treasury Management forecast outturn for 2020/21 is a net overspend of £0.550m as at 30 September 2020. This is mainly made up of a £0.750m pressure due to amending the historic split between debt charges allocated to the General Fund and HRA. And a £0.195m saving due to the reduction in interest rates and therefore interest we pay on HRA balances. It is proposed that this overspend is met from the Treasury Management reserve which is utilised to manage the ebbs and flows of the cyclical treasury management function. This will be approved as part of the out-turn process when the final position is known.
- 4.6.2 As at the 30th September 2020 the total debt portfolio of the Council (including HRA debt) was £447.184m offset by investments of £80.747m resulting in an overall net debt position of £366.437m The net debt portfolio is set out in the table below:

4.6.3

External Borrowing	£m As at 31/03/20	£m As at 30/09/20
Fixed Rate PWLB	312.053	311.433
Fixed Rate Market	20.000	20.000
Other Local Authorities	25.000	25.000
Other Loans	1.873	1.710
Other Long-term Liabilities:		
- Transferred Debt from other Local Authorities	0.318	0.318
- PFI Financing	87.427	87.427
- Finance Lease Liabilities	1.296	1.296
Total Gross External Debt	447.967	447.184
Investments	(76.873)	(80.747)
Total Net External Debt	371.094	366.437

4.7 Dedicated Schools Grant - Centrally Retained Elements

- 4.7.1 The 2020/21 allocation for the Dedicated Schools Grant (DSG) is £253.297m and there continues to be significant pressures in the High Needs Block (HNB) supporting children with additional needs.

Working groups involving Council Senior Officers and Head Teachers are working on a number of strategic themes which includes revising the process and panel arrangements for decision making about high needs provision, reviewing current out of authority placements, reviewing the criteria to assess requests for high needs funding and the future configuration of provision for children and young people with social, emotional and mental health needs.

- 4.7.2 High Needs demand remains the single most significant pressure to the school's budget with a forecast £3m overspend. Whilst every effort is being made to make savings the demand and cost of higher needs provision is a concern. The DSG reserve is estimated to be depleted by the end of the financial year.

Schools Forum agreed to a 0.5% transfer from the Schools Bock (circa £1m) of which 50% supported the 2020/21 High Needs pressures and the remaining 50% is being used to fund some transformational programmes to redesign the system into a sustainable model which will commence during quarter 3.

4.8 **Collection Fund**

The collection fund forecast for the position at 31 March 2021 has been reviewed at quarter 2 and we are currently forecasting a deficit of which the Councils share will be £6.703m.

Council Tax	1.997m
Business Rates	<u>4.706m</u>
	6.703m

4.8.1 **Council Tax:**

At quarter 2 we are currently forecasting a Council Tax deficit of £2.410m with the Council's share of this being £1.997m, this is a movement of £0.989m from quarter 1 and is mainly due to an updated assessment of the likely collection rates - the Council are currently collecting around 1% less Council Tax than at the same time in 2019/20.

4.8.2 It is currently difficult to predict what the impact of Covid will be on our council tax base growth, losses as a result of local council tax support and future collection rates. The impact of Covid on these areas is being monitored and will be factored into these forecasts throughout the financial year as further information becomes available.

4.8.3 As at 30th September 2020 the amount of Council Tax billed for the 2020/21 financial year is £124.699m of which £66.558m or 53.38% has been collected. This compares with 54.04% at the same time last year. Given the on-going Covid pandemic it is very difficult to compare our current year performance with the position 12 months ago. From 01st July, the Council Tax team recommended recovery action, following a suspension for the first quarter of 2020/21.

4.8.4 **Business Rates:**

At quarter 2 we are currently forecasting a Business Rates deficit of £9.647m with the Council's share of this being £4.706m. Although this does not seem like much of a change from the £9.231m forecast at quarter 1 - there have been some significant movements.

The government have confirmed that they will provide further S31 funding in 2020/21 for additional expanded retail discount & nursery reliefs over and above the amounts estimated in the NNDR1 and April data collection exercise. The Council have submitted a return in October which estimates further funded reliefs in addition to those previously forecast of £7.128m, as a result this has reduced the deficit forecast from the position at quarter 1. However we are currently seeing an increase in non-collection of Business Rates and this forecast has factored in an additional 7% reduction in collection based on current estimates – this is a movement of £3.1m. Due to the unpredictable situation the UK economy finds itself in with the Covid pandemic these collection rates may fluctuate throughout the year and are being regularly monitored to ensure our forecasting remains robust.

There has also been a decrease in gross rates payable of £3.6m since quarter 1; we are awaiting further information regarding what this relates to as a large proportion of the reduction is on the prior year rates payable. The council are also awaiting the forecast appeals provision from Wilkes Head and Eves who use their market intelligence to produce a forecast on our behalf before we can fully determine any potential impact. The £3.6m reduction is currently included in the reported forecast deficit position but at this current time we are unclear if any of this reduction is related to appeals settled in year which may reduce the forecast deficit or whether there is any increase required on the appeals provision due to new appeals lodged (the last time our independent advisors reviewed our position).

- 4.8.5 As at 30th September 2020 the amount of Business Rates billed for the 2020/21 financial year is £55.163m of which £28.607m or 51.86% has been collected. This compares with 53.07% at the same time last year. As with Council Tax the on-going Covid pandemic it is very difficult to compare our current year performance with the position 12 months ago. From 01st July, the Business Rates Tax team recommenced recovery action, following a suspension for the first quarter of 2020/21.

4.9 **Housing Revenue Account**

The Housing Revenue Account (HRA) report on the management of the Council's housing stock.

4.9.1

Department position as at 30 September 2020	Original Budget (annual) £m	Actual Spend Qtr1 £m	% of Budget	Full year forecast £m	Forecast Variance £m
HRA	(4,592)	3.213	70%	(4.592)	0

- 4.9.2 As part of the 30 year HRA Business Plan, the HRA is budgeted to use £4.592m from the HRA reserve in 2020/21. It is currently forecast on target to use £4.592m from the reserve.

There is an additional pressure of £1.689m relating to the Covid Pandemic. This is a result of workforce pressures, rent arrears and higher than normal void levels.

4.10 **Covid Forecast:**

The current full year potential forecast pressure is £27.4m, this is excluding pressures on the collection fund and expenditure relating to specific grants received to date to support the market, such as Business grants, Test and Trace, Infection Control, Future High Street Fund and Hardship grants. To date the council have received £23.5m in government funding of which £0.527m was used to fund pressures in 2019/20 leaving a balance of £23m (this includes the funding allocation for the 4th tranche of covid pressures funding recently announced). We are making assumptions that the council will receive additional government funding of £5.5m from the income support scheme, but these allocations have not yet been confirmed. If expenditure increases there is a risk that any shortfall will need to be funded from reserves if no more Government funding becomes available. See Appendix 2 for a breakdown of pressures per directorates.

Public/stakeholder engagement

- 5.1 None directly arising.

Other options

- 6.1 None directly arising.

Financial and value for money issues

- 7.1 The financial and value for money implications are set out in the report.

Legal implications

- 8.1 The report confirms that the Council has met its statutory requirement to deliver a plan for a balanced budget.

Climate implications

- 9.1 None directly arising.

Other significant implications

10.1 **Environmental Sustainability**

The report sets out the implications of the capital programme.

10.2 **Risk Management and Safeguarding**

The report demonstrates it has the resources available to deliver the Council's priorities, but it will mean application of reserves if overspends are not reduced over the remainder of the year.

10.3 **Corporate objectives and priorities for change**

The budget provides the financial resources to deliver key objectives and priorities.

10.4 **Equality implications**

All appropriate equality impact assessments were considered when setting the budget

This report has been approved by the following people:

Role	Name	Date of sign-off
Legal		
Finance	Toni Nash Head of Finance	22/10/2020
Service Director(s)		
Report sponsor	Simon Riley – Strategic Director of Resources	23/10/2020
Other(s)		
For more information contact:	Toni Nash: Toni.Nash@derby.gov.uk	
Background papers:	None.	
List of appendices:	Appendix 1 – Summary of reserves Appendix 2 – Forecast Covid pressures summary Appendix 3 – Changes to capital programme under delegation Appendix 4 – Further changes to the capital programme Appendix 5 – S106 Contributions by Ward	

Summary of Reserves Movement as at 30th September 2020

Statement of Reserves	2020/21 Opening Balance £m	In Year Movement £m	2020/21 Commitments £m	2020/21 Closing Balance £m	Future Years Commitments £m	Ringfenced £m	Future Years Closing Balance £m
General Fund							
Unallocated General Fund Balance	(10.933)	0	0	(10.933)	0	0	(10.933)
Balances Held By Schools	(5.866)	0	1.317	(4.549)	0	4.549	0
TOTAL	(16.799)	0	1.317	(15.482)	0	4.549	(10.933)
Revenue Earmarked Reserves							
Budget Risk Reserve	(20.186)	7.835	9.549	(2.802)	4.893	0	2.091
Covid Reserve	0	(7.142)	7.142	0	0	0	0
Central Schools Budget Reserve	(2.582)	0	0	(2.582)	0	2.582	0
General Insurance Reserve	(2.667)	0	0	(2.667)	0	0	(2.667)
Trading Services Reserve	(0.117)	0	0.056	(0.061)	0.061	0	0
Year end grants with restrictions	(3.874)	0.191	1.646	(2.037)	1.938	0.099	0
DEGF Interest Reserve	(0.717)	0.157	0.488	(0.072)	0.072	0	0
Regeneration Fund Reserve	(1.493)	0	0.428	(1.065)	1.065	0	0
Assembly Rooms Reserve	(2.030)	0	0.146	(1.884)	1.884	0	0
Delivering Change Reserve	(2.036)	0	1.702	(0.334)	0	0	(0.334)
Better Care Fund Reserve	(0.939)	0	0.939	0	0	0	0
Business Rate Pilot Reserve	(2.906)	0	2.740	(0.166)	0.166	0	0
Business Rates Smoothing Reserve	(1.552)	0	1.552	0	0	0	0
Treasury Management Reserve	(1.987)	0	0	(1.987)	1.987	0	0
Public Health Reserve	(0.540)	0	0.240	(0.300)	0.300	0	0
Adult Social Care Reserve	(0.265)	0	0.008	(0.257)	0.257	0	0
Capital Feasibility Reserve	(0.919)	0	0.225	(0.694)	0	0	(0.694)
Other Service Reserves	(8.732)	(0.922)	2.394	(7.260)	1.317	0.435	(5.508)
PFI Reserves	(29.029)	0	(0.842)	(29.871)	29.871	0	0
Earmarked Reserves to support the capital programme	(2.254)	0.004	0.158	(2.092)	0	1.832	(0.260)
TOTAL	(84.825)	0.123	28.571	(56.131)	43.811	4.948	(7.372)

Statement of Reserves	2020/21 Opening Balance £m	In Year Movement £m	2020/21 Commitments £m	2020/21 Closing Balance £m	Future Years Commitments £m	Ring- fenced £m	Future Years Closing Balance £m
Housing Revenue Account (Ring-fenced)							
Housing Revenue Account (Ring-fenced)	(47.311)	0	4.592	(42.719)	18.184	24.535	0
Major Repairs Reserve	(2.885)	0	0	(2.885)	0	2.885	0
Other Earmarked HRA Reserves	0	0	0	0	0	0	0
TOTAL	(50.196)	0	4.592	(45.604)	18.184	27.420	0

Forecast Covid Pressures Summary

Summary by Directorate	2020/21 £m
Peoples – Adults	1.755
Peoples - Public Health	0.260
Peoples - Children's	8.441
Communities and Place	10.567
Corporate Resources	2.686
Corporate	0.565
TOTAL REVENUE PRESSURES:	24.274
Housing Revenue Account	1.689
Capital	1.427
TOTAL REVENUE AND CAPITAL PRESSURES:	27.390

Covid Pressures	2020/21 £m
Expenditure Pressures	17.442
Income Pressures	9.948
TOTAL PRESSURES:	27.390

- *Collection fund loses are not included within this forecast as these will impact the collection fund in the next financial year 2021/22*
- *Revenue/Capital/HRA pressures included in the above summary*
- *There is currently £23.2m funding from Government to mitigate this pressure (this includes the allocations recently announced for the 4th tranche of covid funding). There will also be further funding from the Income Guarantee allocation.*

Changes to the Capital Programme made under Delegation.

Scheme Area	Latest Approved Capital Programme 2020/21 £m	Revised Capital Programme 2020/21 £m	Change £m
Schools			
Landau Expansion	0.022	0.044	0.022
Stonehill Nursery – Fire Risk Assessment (FRA) External Fire Escape Route	0.000	0.001	0.001
Buildings at Risk	0.296	0.279	(0.017)
Oakwood Junior School - Drainage Works	0	0.003	0.003
Littleover Community School - Fire alarm works	0	0.012	0.012
Redwood Primary School - Electrical rewire, roof cladding	0.280	0.310	0.030
Mickleover Primary School	1.534	1.621	0.087
Lawn Primary School - FRA Works to Infant Block	0	0.001	0.001
Markeaton Primary Capital Programme Scheme	0.019	0	(0.019)
Total Delegated Changes Schools	2.151	2.271	0.120
Highways and Transport			
Intelligent Transport Systems Maintenance	0.355	0.265	(0.090)
Strategic Network Management	1.481	1.561	0.080
Public Transport	0.795	0.781	(0.014)
Total Delegated Changes Highways and Transport	2.631	2.607	(0.024)
Vehicles Plant & Equipment Programme			
Grounds Plant & Equipment	0.400	0.507	0.107
Refuse Vehicles & Plant	0.020	0.065	0.045
Street Cleaning Equipment	0.162	0.342	0.180
New Vehicle Fleet	1.860	1.945	0.085
Replacement of Leased Vehicles	0.330	0	(0.330)
Parking Services - Vehicles	0	0.024	0.024
Total Delegated Changes Vehicles Plant and Equipment	2.772	2.883	0.111
Total Net Changes	7.555	7.762	0.207

Further Changes Required to the 2020/2021 Capital Programme

Summary of Further Changes to the Capital Programme 2020/2021	Latest Approved Capital Programme 2020/21 £m	Revised Capital Programme 2020/21 £m	Change £m	Category
Schools				
Fellow Lands Way Primary s106	1.004	0.054	(0.950)	S
Bemrose School - Secondary expansion	0.200	0	(0.200)	R2
Basic Need	0	0.178	0.178	R2
Brackensdale Infant & Junior - Expansion Design	0.375	0.035	(0.340)	S
Total Changes to the Schools Programme	1.579	0.267	(1.312)	
Housing General Fund Programme				
Disabled Facilities Grant 96 Act	2.413	1.600	(0.813)	S
Healthy Housing Assistance	0.200	0.120	(0.080)	S
Empty Property Assistance	0.249	0.209	(0.040)	S
Community Energy Savings Project (CESP)	0.200	0.100	(0.100)	S
Affordable Housing External Registered Provider	0.500	0.610	0.110	R1
Long Term Debtor - City Centre Living	1.500	0	(1.500)	S
Acquisition of Temporary Accommodation	0.628	0.043	(0.585)	R1
Works at Shelton Lock	0.150	0	(0.150)	S
Rapid Rehousing Pathway - Property Refurbishment	0.040	0	(0.040)	R1
Total Changes to Housing General Fund Programme	5.880	2.682	(3.198)	
Property Improvement Programme				
Guildhall Roof Covering and Stonework replacement	0.681	0.381	(0.300)	S
Council House Detailed Survey	0.075	0	(0.075)	S
Darley playing Fields-boundary walls	0.480	0.255	(0.225)	R1
Stores Road Tram Shed Demolition	0.084	0.274	0.190	R1
Roe Farm Community Centre-roof repairs	0.048	0.041	(0.007)	R1
Darley Park Playing Fields Changing Mess	0.203	0.010	(0.193)	R1
Children's Homes - ECP Building at Moorfields	0.215	0.408	0.193	R1
Arboretum Park	0.088	0	(0.088)	S
Roe Farm Play Area - Parks Programme	0	0.007	0.007	R1
Chellaston Brickwork Improvements	0.009	0	(0.009)	S

Summary of Further Changes to the Capital Programme 2020/2021	Latest Approved Capital Programme 2020/21 £m	Revised Capital Programme 2020/21 £m	Change £m	Category
Oakwood Park Improvements	0.067	0	(0.067)	S
Heatherton Community Centre	0.053	0.016	(0.037)	S
Chellaston Park Pavilion	0.025	0.075	0.050	S
Chellaston Community Centre	0.054	0.015	(0.039)	S
Total Changes to the Property Improvement Programme	2.082	1.482	(0.600)	
Flood Defence programme				
Gully Replacement Programme	0.007	0.130	0.123	R2
Total Changes to Flood Defence Programme	0.007	0.130	0.123	
Highways and Transport Programme				
Transforming Cities Fund (TCF) Tranche 2 - Interchange hubs	1.445	1.498	0.053	S
TCF Tranche 2 - Public Realm	1.105	1.145	0.040	S
TCF Tranche 2 - Cycle expressway	0.527	0.546	0.019	S
TCF Tranche 2 - Bus Priority	0.391	0.405	0.014	S
TCF Tranche 2 - Park & Ride	1.557	1.614	0.057	S
TCF Tranche 2 - Bus & rapid transit links	3.868	4.009	0.141	S
TCF Tranche 2 – LCWIP	1.530	1.586	0.056	S
Highways Infrastructure	2.425	2.302	(0.123)	R3
Total Changes Highways and Transport	12.848	13.105	0.257	
Regeneration				
Project Mulberry	0.093	0	(0.093)	R1
Carbon Reduction Fund	0.500	0	(0.500)	S
Alvaston District Centre Improvement	0.231	0	(0.231)	S
Becket Well	1.904	1.896	(0.008)	S
Cathedral Green	3.985	0	(3.985)	R1
Accelerated Delivery – Infinity Park Derby (IPD) Contingency	0.470	0	(0.470)	S
iHub plot preparation	0.683	0.481	(0.202)	S
D2EGF Growth & Innovation	0.450	0.100	(0.350)	S
City Growth Fund	0.750	0	(0.750)	S
Derby Enterprise Growth Fund – Recycled	0.400	0.00	(0.400)	S
Our City Our River All PackAges (PK)	2.795	0.434	(2.361)	R2/S
Our City Our River PK1	0.076	0.468	0.392	R2
Our City Our River PK2	0	0.156	0.156	R2
Our City Our River PK3	0	0.101	0.101	R2
Our City Our River Munio	0.184	0.341	0.157	R2
Total Changes to the Regeneration Programme	12.521	3.977	(8.544)	

Summary of Further Changes to the Capital Programme 2020/2021	Latest Approved Capital Programme 2020/21	Revised Capital Programme 2020/21 £m	Change £m	Category
HRA				
New & Replacement Central Heating	1.600	1.150	(0.450)	R1
Hackwood Farm	1.600	1.100	(0.500)	R1
The Knoll New Build (NB)	0.500	0.200	(0.300)	S
New Build and Acquisitions	7.741	7.347	(0.394)	R1
Perth Street NB	0.150	0.155	0.005	R1
Bridge Street	0.300	0.075	(0.225)	R1
Cummings Street Car Park	0	0.010	0.010	R1
Riverview Site	0.500	0	(0.500)	S
Aida Bliss	1.602	0.314	(1.288)	S
Berwick Avenue	0.090	0.030	(0.060)	S
Parliament Street	1.746	1.500	(0.246)	R1
Crompton	0.400	0.100	(0.300)	S
Oakland	0.400	0.100	(0.300)	S
Chesapeake	0.600	0.050	(0.550)	S
Estates Pride – General	0.250	0.580	0.330	R1
PVCU Windows & Doors	1.384	1.250	(0.134)	R1
HRA Shops	0.150	0.050	(0.100)	R1
Emergency Call Out System Replacement	0.550	0.300	(0.250)	S
Total Changes to The HRA Programme	19.563	14.311	(5.252)	
TOTAL CHANGES TO PROGRAMME	54.480	35.954	(18.526)	
		Key of Categories		
		A	Additional schemes from new funding secured	
		A1	Scheme increase funded by previous years' reserves income	
		S	Re-phasing	
		R1	Other Adjustments - Scheme Reductions/Increases	
		R2	Re-allocated Within Departments Programme	
		R3	Re-allocated To Different Departments Programme	

S106 Contributions by Ward

S106	Ward	Received	Expiry	Planned use	Amount
Elmhurst, Lonsdale Place	Abbey	14/15	No Specified Time	Provision or improvements to or maintenance of transport facilities within the A5250 Burton Rod/ A516(T) Uttoxeter Road corridor	0.019m
Elmhurst, Lonsdale Place	Abbey	14/15	No Specified Time	Offsite open space reasonable capable of serving the development	0.015m
Kingsway Retail Park	Abbey	2011/12	16-Feb-21	Provision of art in the vicinity of the development	0.013m
Kingsway Retail Park	Abbey	2013/14	16-Feb-21	Provision of improvements to or maintenance of transport facilities within the A516 Uttoxeter Road and A5250 Burton Road	0.012m
Parcel Terrace	Abbey	2019/20	24-Jul-29	Towards the provision of improvements to the pedestrian route along Parcel Terrace and cycling routes surrounding Parcel Terrace.	0.012m
Devonshire Drive	Allestree	2015/16	09-Nov-25	Kedleston road corridor to include highway work, public transport, cycling and pedestrian facilities	0.014m
Devonshire Drive	Allestree	2015/16	09-Nov-20	POS reasonably capable of serving the site	0.020m
Devonshire Drive	Allestree	2015/16	09-Nov-25	Public Realm in the City of Derby	0.001m
Palm Court Island	Allestree	2014/15	22-Jan-25	Facilities within the A6 Duffield Road/Kedleston Road corridor to include highway, public transport, pedestrian or cycling	0.006m
Belmore Way	Alvaston	2013/14 & 2014/15	26-Jun-23	Provision of improvements to or maintenance of transport facilities within the A6(T) London Road corridor which may include highway works public transport cycling and/or pedestrian facilities	0.015m
Belmore Way – Westside Park	Alvaston	2015/16	09-Jun-25	Towards the provision of improvements to or maintenance of transport facilities within the A6 London Rd corridor, this may include highways works, public transport, cycling and/or pedestrian facilities.	0.061m

S106	Ward	Received	Expiry	Planned use	
Coleman Street	Alvaston	2015/16	23-Jul-25	For the provision of improvements to or maintenance of transport facilities within the A514 Osmaston Rd corridor, which may include highway works, public transport, cycling and/or pedestrian facilities	0.019m
Coleman Street	Alvaston	2015/16	23-Jul-2020	Provision of public art on the cycle route adjacent to the property	0.010m
Dunstall Park Road Phase 1	Alvaston	2018/19	10 Years	For the provision of or improvements to public transport, cycling and pedestrian facilities in the Ascot Drive area or Highway improvements on Osmaston Road.	0.011m
Dunstall Park Road Phase 1	Alvaston	2018/19	10 Years	Monitor the travel plan	0.005m
Northridge House Lidl	Alvaston	2020/21	10 Years	Towards the improvement of the pedestrian facilities within the Alvaston district between the development and along Raynesway to the junction of Raynesway and London Road	0.075m
Roundhouse PH, London Rd	Alvaston	2016/17	23-May-21	Improvements to public realm within the City of Derby	0.001m
Roundhouse PH, London Rd	Alvaston	2016/17	23-May-26	POS reasonably capable of serving the site	0.012m
Boulton Moor	Alvaston	2008/09	No Specified Time	Improvements on the London Road corridor to deliver a bus priority scheme along to the corridor to Alvaston	0.126m
59 Wilkins Drive	Alvaston	2019/20	No Specified Time	Improvements to Brigden Avenue allotments	0.016m
Former Sixth Kenning site, Cathedral Road	Arboretum	2016/17	04-Oct-26	Improvements to health facilities reasonably capable of serving the development	0.010m
Bramble Business Centre	Arboretum	2017/18	TBC	Towards the provision or enhancement of offsite POS reasonably serving the application site.	0.013m
Bramble Business Centre	Arboretum	2017/18	TBC	Provision of or enhancement of Public realm within the City.	0.001m
Beaconsfield Club	Arboretum	2015/16	18-Mar-26	Towards the provision of improvements to transport facilities reasonably capable of serving the application site.	0.003m

S106	Ward	Received	Expiry	Planned use	
Former Sixth Kenning site, Cathedral Road	Arboretum	2016/17	04-Oct-26	Major open space within the vicinity of the property	0.030m
Former Sixth Kenning site, Cathedral Road	Arboretum	2016/17	04-Oct-26	Public realm within the city	0.016m
Former Sixth Kenning site, Cathedral Road	Arboretum	2016/17	04-Oct-26	Swimming pools reasonably capable of serving the site	0.011m
Cathedral Road/Willow Row	Arboretum	2017/18	31-Jan-24	Application and implementation of a TRO to restrict loading along the site frontage to times outside the peak period for traffic	0.007m
Cathedral Road/Willow Row	Arboretum	2018/19	5 Years	Improvements to open space facilities at Darley Park	0.065m
Cathedral Road/Willow Row	Arboretum	2018/19	10 Years	Improvements to public realm	0.013m
Cathedral Road/Willow Row	Arboretum	2018/19	5 Years	Improvements to Riverside Gardens, Bass's Rec, Cathedral Green, Alvaston Park and any open space between Riverside Gardens and Alvaston Park along the Derwent	0.032m
Cathedral Road/Willow Row	Arboretum	2018/19	10 Years	Improvements to swimming pools	0.030m
Cathedral Road/Willow Row	Arboretum	2018/19	10 Years	Health facilities at Macklin Surgery	0.035m
Full Street	Arboretum	2014/15	20-Jul-24	To be used solely for the provision of improvements to the cycling facilities or other sustainable transport or public transport improvements within the city of Derby.	0.005m
Full Street	Arboretum	2014/15	11-Apr-24	That the Public Realm Contribution shall be used solely for the provision	0.031m
Jurys Inn, King Street	Arboretum	2008/09	No spend Limit	Provision of Public Art reasonably capable of serving the application site	0.002m
45-47 Mount Street	Arboretum	2018/19	19-Apr-23	Improvements to play areas and facilities within Arboretum Park	0.016m
45-47 Mount Street	Arboretum	2018/19	19-Apr-28	improvements to public realm within St Peter's St, Albion St and/or Exchange street or reasonably capable of serving the application site	0.001m

S106	Ward	Received	Expiry	Planned use	
Normanton Road/Burton Road	Arboretum	2018/19	30-Apr-28	Public art capable of serving the site	0.008m
Normanton Road/Burton Road	Arboretum	2018/19	30-Apr-28	For the provision of improvements to or maintenance of transport facilities within the Normanton Road corridor, this may include highway works, public transport, cycling and/or pedestrian facilities.	0.050m
4-6 Stafford Street and car park to rear	Arboretum	2005/06	20-Apr-24	Provision or enhancement of off-site public open space reasonable capable of serving the application site	0.027m
St Joseph's Church, Mill Hill Lane	Arboretum	2015/16	10 Years	Towards the provision of improvements to or maintenance of transport facilities within the A516 Uttoxeter Rd/ A5250 Burton Rd corridor which may include highway works, public transport, cycling and/or pedestrian facilities	0.018m
St Joseph's Church, Mill Hill Lane	Arboretum	2015/16	10 Years	Provision of or enhancements to public realm within the City	0.008m
St Joseph's Church, Mill Hill Lane	Arboretum	2015/16	5 Years	Off-site POS reasonably capable of serving the application site	0.001m
36 St Peter's Churchyard	Arboretum	2016/17	09-Dec-26	Public transport, cycling and pedestrian facilities within the city centre	0.006m
36 St Peter's Churchyard	Arboretum	2016/17	09-Dec-26	Public realm within the city centre	0.001m
36 St Peter's Churchyard	Arboretum	2016/17	09-Dec-26	Public open space capable of serving the application site	0.013m
69 Wardwick	Arboretum		11-Jun-24	Provision of facilities and/or improvements to Silk Mill Park	0.010m
Stenson Road	Blagreaves	2014/15	31-Mar-21	Provision of cycle route along Sinfin Lane between Redwood Road and Wordsworth Avenue comprising 500m of signing and lining	0.014m
Stenson Road	Blagreaves	2014/15	31-Mar-21	650m Heatherton Cycle route between Stenson Road and the proposed City Park	0.038m
Stenson Road	Blagreaves			Real time passenger information for 14 buses and 20 bus stops or by agreement between us and the County alternative enhancement to buses, installation of MOVA and bus detection facilities at Stenson Road bridge.	0.202m

S106	Ward	Received	Expiry	Planned use	
Swarkestone Drive	Blagreaves	2004/05	No time limit on spending	provision or enhancement of off-site open space reasonably capable of serving the application site	0.001m
Woodlands Lane, Chellaston	Chellaston	2017/18	12-Apr-22	Affordable housing within the city	0.151m
West Chellaston	Chellaston	2005/06	No time limit	Affordable housing within the city-New unties of affordable Accommodation	0.460m
Fellow Lands Way	Chellaston	2016/17 & 2017/18	06-Apr-26	Primary education capable of serving the site	0.481m
Fellow Lands Way	Chellaston	2016/17 & 2017/18	06-Apr-26	Secondary education serving the application site	0.731m
Holmleigh Way (The Tadpole)	Chellaston	2017/18	15-Jan-28	Provision of primary school facilities s	0.071m
Holmleigh Way (The Tadpole)	Chellaston	2017/18	15-Jan-28	Provision of secondary school facilities	0.078m
Chellaston Fields	Chellaston		17-Jan-27	Improvements to A514 Transport Corridor	0.016m
Fellow Lands Way	Chellaston	2016/2017	06-Apr-21	Improvements to sports facilities and open space at Chellaston Park	0.002m
Fellow Lands Way	Chellaston	2016/17	06-Apr-26	Improvements to Snellsmoor Community Room	0.038m
Fellow Lands Way	Chellaston	2016/17	06-Apr-26	£50,000 for A514 corridor improvements, £20,000 for improvements to signalling at High Street, improved signage and anti-skid surfacing and £30,000 for extending the 40mph limit at Acrefield Way	0.103m
Innovation Centre	Chellaston	2015/16	No time limit	For the provision of, or improvements to public transport, cycling and pedestrian facilities within the A514 Osmaston Road corridor (which may include revenue funding to support services, including Council staff time to support, design and implement the schemes, services and travel behaviour initiatives) and unless agreed by the Owner to no other purpose.	0.058m
Merrill College	Chellaston	2011/12	07-Feb-22	Improvements to or maintenance of transport facilities within the A514 corridor which may include highway works, public transport, cycling and/or pedestrian facilities	0.069m

S106	Ward	Received	Expiry	Planned use	
Merrill College	Chellaston	2012/13	11-Sep-24	Provision of or improvements to the public realm	0.001m
Merrill College	Chellaston	2011/12	07-Feb-22	Community centres reasonably capable of serving the site	0.054m
Merrill College	Chellaston	2011/12	07-Feb-22	Provision of, or improvements to library facilities	0.015m
Rose & Crown	Chellaston	2018/19	10 Years	For the installation, alteration, improvements and/or maintenance of traffic signals at High St/Station rd./Swarkestone Rd and Improvement and provision of public transport, cycling and pedestrian facilities on or adjacent to the A514.	0.066m
Rose & Crown	Chellaston	2018/19	10 Years	A scheme to be commissioned and implemented by the Council in the vicinity of the application site	0.015m
Swarkestone Road	Chellaston	2015/16	04-Oct-23	Provision of POS reasonably capable of serving the site	0.020m
Swarkestone Road	Chellaston	2015/16	04-Oct-28	Public realm within the city	0.001m
Swarkestone Road	Chellaston	2015/16	04-Oct-23	Towards the provision of improvements to or maintenance of transport facilities within the A514/Osmaston Road corridor which may include highway works, public transport, cycling and/or pedestrian facilities.	0.014m
Woodgate Drive	Chellaston	2016/17	No Specified Time	Towards the construction of the new Moorways sports centre	0.028m
Woodgate Drive	Chellaston	2016/17	No Specified Time	Improvements to Chellaston Community Centre	0.100m
Woodlands Lane	Chellaston	2015/16	02-Feb-21	Improvements to the Snellsmoor Community Room	0.038m
Woodlands Lane	Chellaston	2015/16	02-Feb-21	Major open space and/or sports facilities reasonably capable of serving the site	0.030m
Woodlands Lane	Chellaston	2015/16	02-Feb-21	Public transport and pedestrian facilities in the A514 corridor	0.041m
Agard Street phase 2 (student)	Darley	2016/17	21-Jan-29	Health facilities reasonably capable of serving the site	0.008m
Agard Street phase 2 (student)	Darley	2016/17	21-Jan-24	Improvements to open space at Darley Fields or Parker's Piece and/or open space in connection with Friar Gate Bridge	0.049m
Agard Street phase 2 (student)	Darley	2016/17	21-Jan-24	Public realm in the vicinity of Friar Gate Bridge	0.008m

S106	Ward	Received	Expiry	Planned use	S106
Agard Street phase 2 (student)	Darley	2016/17	21-Jan-24	Public art in the vicinity of Friar Gate Bridge	0.011m
Agard Street phase 2 (student)	Darley	2016/17	21-Jan-29	Swimming pools reasonably capable of serving the site	0.019m
Bath Street Mills	Darley	2016/17	Open Ended	Flood mitigation landscaping in the vicinity of the site	0.096m
Broadway Baptist Church	Darley	2014/15	27-Jun-24	Tree planting and maintenance reasonably serving the site.	0.021m
Brook Street builders yard	Darley	2013/14	17-Sep-23	Provision of or improvements to swimming pools or fitness suites reasonably capable of serving the application site	0.029m
Highfields, Broadway	Darley	2007/08	No time limit on spending	Junction improvements	0.173m
Agard Street Phase 1 DOV	Darley	2016/17	04-Jun-26	Public Realm/public art associated with Friar Gate Bridge	0.016m
Lodge Lane	Darley	2015/16	17-Sep-23	Public realm , highways, affordable housing, open space	0.003m
Milner House	Darley	2012/13	01-Sep-22	Improvements to public realm within the City of Derby	0.001m
Oaklands, 103 Duffield Road	Darley	2017/18	26-Jul-27	Provision of, or improvements to public transport, cycling & pedestrian facilities between junctions at Five Lamps and Broadway, within A6 Duffield Road & Kedleston Road corridor	0.014m
Oaklands, 103 Duffield Road	Darley	2017/18	26-Jul-22	Provision of or improvements to POS reasonably capable of serving application site	0.018m
Oaklands, 103 Duffield Road	Darley	2017/18	26-Jul-27	Provision of or improvements to public realm within City of Derby	0.001m
St Helens Street/King Street	Darley	2015/16	10-Dec-25	Provision of or enhancement of off-site Public Open Space and/or Public Realm reasonably capable for serving the Application Site.	0.035m
St Helens Street/King Street	Darley	2015/16	10-Dec-25	Provision of improvements to or maintenance of transport facilities within the A6(T) Duffield Road/Kedleston Road corridor which may include highway works public transport cycling and/or pedestrian facilities	0.035m

S106	Ward	Received	Expiry	Planned use	
Agard Street/Friar Gate/Ford Street	Darley	2015/16	01-May-25	Public realm and/or public art associated with Friar Gate bridge	0.054m
Mansfield Road, Breadsall Hilltop	Derwent	2016/17	5 years	POS at Darley Park and Darley Playing Fields	0.310m
Mansfield Road, Breadsall Hilltop	Derwent	2016/17	10 Years	Public transport, cycling and pedestrian facilities in the A61 transport corridor	0.149m
Sawley Packaging	Derwent	2014/15	04-Sep-24	Public art serving the site	0.007m
Manor Kingsway Phase 2	Littleover	2014/15	10 years	Primary education reasonably capable of serving the application site	0.350m
Manor Kingsway Phase 2	Littleover	2014/15	20-Jan-25	Towards the provision of community facilities capable of serving the application site.	0.069m
Manor Kingsway site	Littleover	2014/15	20-Jan-25	the provision of improvements to or maintenance of transport facilities within the A516 Uttoxeter Road/A520 Burton Road corridor which may include highway works public transport cycling and/or pedestrian facilities	0.024m
Manor Kingsway site	Littleover	2014/15	20-Jan-25	Improvements to library facilities reasonably capable of serving the application site	0.019m
Manor Kingsway Phase 2	Littleover	2014/15	20-Jan-25	Towards the provision of improvements to or maintenance of transport facilities within the A516 Uttoxeter Rd/ A5250 Burton Road corridor which may include Highway works, public transport, cycling and/or pedestrian facilities.	0.193m
Manor Kingsway Phase 2	Littleover	2014/15	10 Years	Community facilities capable of serving the site	0.122m
Manor Kingsway Phase 2	Littleover	2014/15	10 Years	Library facilities capable of serving the site	0.445m
Nuffield Hospital	Littleover	2014/15	15-May-24	Highways improvements	0.016m
Follows, Heatherton	Littleover	2006/07	04-Oct-21	Education facilities and/ or community facilities	0.053m

S106	Ward	Received	Expiry	Planned use	
Prince Charles Avenue Phase 1	Mackworth	2010/11	10 Years	Enhancement of healthcare facilities capable of serving the application site	0.158m
Arthur Neal House	Mackworth	2011/12	31-Jul-21	Towards the provision of improvements to or maintenance of transport facilities within the A52 Ashbourne Road corridor which may include highway works public transport cycling and/or pedestrian facilities	0.019m
Mackworth College, Prince Charles Avenue	Mackworth	2012/13	31-Jan-22	Provisions of improvements to or maintenance of transport facilities within the A52 Ashbourne Road corridor	0.137m
Mackworth College, Prince Charles Avenue	Mackworth	2012/13	31-Jan-22	Provision of or improvements to public realm	0.022m
Prince Charles Avenue Phase 2	Mackworth	2014/15	08-Apr-25	Improvements to or maintenance of transport facilities within the A52 Ashbourne Rd corridor which may include highway works public transport, cycling and /or pedestrian facilities and/or travel planning	0.137m
Radbourne Lane	Mackworth	2017/18	14-Apr-24	Improvements to alleviate rat running in Mackworth estate, congestion on Station Road/Radbourn Lane and the upgrading of Windmill Hill Lane footpath to a multi-user route	0.354m
Hackwood Farm	Mickleover	2017/18	Open Ended	Architects fees associated with the primary school	0.318m
Hackwood Farm	Mickleover	2017/18	Open Ended	Improvements to a ramp leading to Mickleover greenway	0.017m
Mickleover Campus	Mickleover	2010/11	No time limit	Goal posts	0.003m
Sunny Hill Infants	Normanton	2014/15		Provision of, or improvements to, health facilities reasonably capable of serving the site	0.034m
Grange Hotel, Ingleby Ave	Normanton	2016/17	02-Aug-26	Improvements to the public realm within Derby	0.002m

S106	Ward	Received	Expiry	Planned use	
Former Normanton Junior site, Grange Avenue	Normanton	2017/18	15-Jan-28	For local traffic management measures, including TROs, markings, signage, informal crossing provision, tactile paving, warning signs, pedestrian guardrails or any other works as identified to mitigate local highway impacts	0.015m
Former Normanton Junior site, Grange Avenue	Normanton	2017/18	15-Jan-28	Highway junction improvements between Stenson Road/Village Street and the junction of the A5111 and Stenson Road	0.065m
Grange Hotel, Ingleby Ave	Normanton	2016/17	2-Aug-26	Provision of improvements to the Stenson Road corridor which may include highway work, pedestrian, public transport or cycling	0.023m
Sunny Hill Infants	Normanton	20/03/2013	10 years	Public Realm in the City of Derby	0.005m
Sunny Hill Infants	Normanton	20/03/2013	10 years	POS reasonably capable of serving the site	0.040m
Mansfield Road/Lime Lane	Oakwood	17/03/2016	10 years	Additional meeting room facilities at Oakwood Community Centre	0.203m
Mansfield Road/Lime Lane	Oakwood	17/03/2016	10 years	Improvements to the A61 Mansfield Road corridor which may include highway works, public transport, cycling and/or pedestrian facilities and transport planning	0.312m
Mansfield Road/Lime Lane	Oakwood	17/03/2016	10 years	Improvements to or provision of a swimming pool at Springwood Leisure Centre	0.128m
Mansfield Road/Lime Lane	Oakwood	17/03/2016	5 years	Improvements to Oakwood Park	0.200m
Mansfield Road/Lime Lane	Oakwood	17/03/2016	15 years	Improvements to. Management of and maintenance of Chaddesden Wood	0.113m
Wragley Way	Sinfin	2017/18	11-Jul-27	Facilities at Sinfin Moor Community Centre, Moorways Sports Centre, Sinfin Library, healthcare centre	0.277m
Wragley Way	Sinfin	2014/15	16-Aug-28	public transport, pedestrian or cycle facilities within the Stenson Road corridor	0.220m
Wragley Way	Sinfin	2014/15	No Specified Time	Improvements to the A50 junction on the Chellaston roundabout (must be sent to HA)	0.023m
Former Spondon Reservoir, Huntley Avenue	Spondon	2013/14	10 years	Provision of improvements to or maintenance of transport facilities within the A52/Nottingham Road corridor which may include highways works, public transport, cycling or pedestrian facilities	0.015m

S106	Ward	Received	Expiry	Planned use	
New Raynesway	Spondon	2014/15	No Specified Time	Offsite highways works	0.061m
New Raynesway	Spondon	2014/15	No Specified Time	Public transport contribution	0.228m
The Yarn Spinner	Spondon	2017/18	5 years	Improvements to the access to Sandringham Drive park	0.023m
The Yarn Spinner	Spondon	2017/18	10 years	Schemes included in the city centre Masterplan or reasonably capable of serving the site	0.002m
	Total				<u>9.365m</u>

Summary per Ward

Ward	Remaining Balance
Abbey	0.071m
Allestree	0.042m
Alvaston	0.351m
Arboretum	0.463m
Blagreaves	0.255m
Chaddesden	0.000m
Chellaston	2.681m
Darley	0.591m
Derwent	0.466m
Littleover	1.291m
Mackworth	0.827m
Mickleover	0.338m
Normanton	0.184m
Oakwood	0.956m
Sinfin	0.520m
Spondon	0.329m
Total	9.365m