



Contract and Financial Procedure Matters Report

SUMMARY

- 1.1 This report deals with the following items that require reporting to and approval by Council Cabinet under contract and financial procedure rules:
- appointment of advisers to BSF phase 2 / post contract
 - use of reserves – Springwood Leisure Centre reserve
 - use of reserves - £95,666 from the Derby City Partnership - DCP - reserve.
 - extension of the existing contract for the Community and Legal Advice Centre
 - use of Climate Change Board reserve to fund heat recovery at Moorways
 - allocation of Early Years' grants to providers
 - reallocation of the Neighbourhoods Department capital and revenue funding to ensure continuity of a programme of works.
 - purchase of an automatic meter reading system from the Climate Change budget
 - approval of proposed changes to the Capital programme
 - approval of capital scheme commencements.

RECOMMENDATIONS

- 2.1 To re-appoint Faithful and Gould, Deloitte, Mouchel, and Eversheds as the technical, financial, ICT and Legal advisers to the BSF Programme, as set out in paragraph 3.
- 2.2 To waive contract procedure rules and re-appoint John Young Consulting Ltd as the educational adviser to the BSF Programme, as set out in paragraph 3.6.
- 2.3 To approve the amendment of the existing use of corporate reserves for Springwood Leisure Centre to fund essential repairs to leisure centres and revenue costs associated with the Leisure Strategy, as set out in paragraph 4.
- 2.4 To approve the appropriation of £95,666 from DCP reserves to fund the 10/11 DCP budget requirement, as set out in paragraph 5.
- 2.5 To approve the extension of the Community and Legal Advice Centre, CLAC, contract for an additional 12 months, as set out in paragraph 6.
- 2.6 To approve a budget transfer from Neighbourhoods department Moorways revenue budget to transfers to reserves to repay climate change board climate change project funding, as set out in paragraph 7

- 2.7 To approve the allocations of early years' capital grants to providers as listed in Appendix 2, as set out in paragraph 8.
- 2.8 To approve the use of £385,000 revenue budget originally earmarked for Surface Car Park resurfacing in the 2010/11 Neighbourhood capital programme to fund 2010/11 reclassified revenue Local Transport Plan - LTP - schemes outlined in Appendix 3 and to approve the use of LTP non ring fenced capital allocation of £385,000 to fund 10/11 Surface Car Park resurfacing in the 10/11 Neighbourhoods capital programme, as set out in paragraph 9.
- 2.9 To approve an allocation from the Climate Change budget to purchase an automatic meter reading system, as set out in paragraph 10.
- 2.10 To approve the changes detailed in Appendix 4 and to update the 2010/11 – 2012/13 capital programme, as set out in paragraph 11.
- 2.11 To note the revised capital programme and associated funding detailed in Table 1 for 2010/11, as set out in paragraph 11.1.
- 2.12 To approve scheme commencements for the schemes detailed in paragraph 12 and Appendix 5.

SUPPORTING INFORMATION

3. Building Schools for the Future, BSF, Technical, Financial and Education Advisers – Post Contract/Phase 2

- 3.1 In 2007, technical, legal and financial advisers were appointed to support Derby's BSF programme up until the award of the BSF contract in October 2010. The following advisers were selected primarily from the Partnerships for Schools - PfS - BSF Adviser Framework in line Partnerships for Schools, PfS, procurement guidelines:

Technical Advisers: Faithful & Gould

Financial Advisers: Deloitte

Legal Advisers: Eversheds

ICT: Mouchel (via BECTA framework)

- 3.2 The contracts for the above advisers come to an end in October 2010 and there is an option to either extend the existing appointments or issue a tender for advisory services to cover the post contract period of the BSF programme. The legal contract allows for Phase 2 work to be included within the current contract. These specialist advisers are also essential for the next phase of Derby's programme. For information, the phase 1b and phase 2 schools are:

- Lees Brook Community School (£22m)
- Bemrose Community School (£22m)
- Littleover Community School (£20m)
- St Benedict Catholic School and Performing Arts College (£15.8m)
- Kingsmead Special School / PRU – Bateman Street site (£5.7m)

- St Clare's Special School (£8.3m)
- Da Vinci – ICT only
- Sinfin – ICT only
- Merrill – ICT only.

- 3.3 The proposal is to continue the role of the existing advisers (Technical, Financial, and ICT) using the framework agreements and formally extend the legal contract to include the next stages. For technical, financial and legal advisers, whilst PfS frameworks indicate that a mini competition should be run, there are options to make direct appointments.
- 3.4 There are significant advantages in making a direct appointment in terms of continuity, capability and efficiency, particularly given the specialist knowledge required and extremely tight deadlines for Derby's single wave BSF programme. The existing advisers have significant in depth knowledge of Derby's programme which has been gained over a three year period whilst supporting the development and procurement of Derby's programme. It would be extremely difficult for any new advisers to develop a sufficient understanding of the programme, particularly as there are urgent government deadlines for the BSF programme to secure government approval and funding for phase 2. It would be very difficult for any new advisers to fully understand our programme, and it would not be cost effective way of using their time in gaining a full understanding.
- 3.5 In view of Derby's programme being a single wave, and recognising the existing advisers have very strong capabilities and BSF expertise, the proposal is to extend Eversheds contract, to make a direct appointment for Faithful and Gould as the technical advisers, and Deloitte as the financial advisers for phase 2 of the BSF Programme. Deloitte have already provided some support on modelling the affordability of the Lees Brook PFI scheme and have agreed to maintain their fees at the same levels as their existing contract, as capped fees against scope of service. The post contract submissions from both of these advisers offer value for money. The ICT advisers, Mouchel, were appointed via the BECTA framework agreements. The BECTA agreements allow for a direct appointment to be made. The proposal is to appoint Mouchel as the ICT advisers for phase 2 schools.
- 3.6 In terms of the required BSF Educational support, John Young Consulting Ltd have been providing the educational advisory support in developing the Local Authority's Strategy for Change for secondary education to transform learning, and Schools Strategies for Change. Educational input has also been essential in designing the sample schools, and this will also be required for the phase 2 schools. This contract also comes to an end in October 2010. The proposal is to appoint John Young Consultants Ltd for the phase 2 schools. John Young Consulting Ltd has a daily rate of £450, which offers significant value for money as most of the educational consultants on the PfS framework agreements cost around £1,400 a day.
- 3.7 It is also necessary to appoint external legal advisers for phase 2 schools. For legal advisers, the national PfS framework agreements are not clear on making a direct appointment therefore the proposal is to run a mini-competition. This is currently being developed as there is significant specialist legal input required for phase 2 schools.

- 3.8 The table below shows a brief overview of role and costs. The cost of these specialist advisers for phase 2 of Derby's BSF programme will be funded from external adviser element of the existing BSF Delivery Budget.
- 3.9 The formal appointment of these specialist advisers for phase 2 of Derby's programme will be made subject to any changes to the national BSF programme. The PfS adviser to the Derby BSF has met with the Project Team and confirmed that the work on the phase 2 of the programme does need to take place as there is currently no indication that the phase 2 funding will be halted.
- 3.10 In light of the possible future review to the BSF programme the contracts will be awarded on the basis that if there are any changes to BSF funding this will impact on the scope of these appointments. The work carried out by the advisers will be in stages so that if funding were to be lost the contracts could be terminated at that point and the Authority will not be exposed to further costs beyond that stage.

Adviser	Overview of role	Cost of post BSF contract work
Faithful and Gould - Technical	Technical advice and support to Derby City Council: <ul style="list-style-type: none"> during the delivery phase of the sample schools (Noel Baker/St Martins - PFI New Build & Derby Moor refurbishment scheme) on the New Project Approvals Process for phase 2 schools in evaluating the performance of the LEP. 	£294,150
Deloitte - Financial	Financial advice and support to Derby City Council: <ul style="list-style-type: none"> review shadow bid model review bidder model update PFI contracts Final Business Case Due Diligence for financial close post financial close support. 	£62,544
Mouchel - ICT	ICT advice and support to Derby City Council: <ul style="list-style-type: none"> during the delivery of the sample schemes on the New Project Approval Process for phase 2 and ICT only schools developing city wide processes and structures to support the managed service in evaluating the performance of the LEP. 	£115,000

Eversheds - Legal	<p>Legal advice and support to Derby City Council including:</p> <ul style="list-style-type: none"> • advising the Local Authority on Design & Build Contracts and tailoring the documents to reflect the project requirements. • advising the Local Authority on the PFI Project Agreement in relation to Lees Brook (phase 1b school). • liaising with PfS and assisting the LEP in obtaining approval to all derogations. • reviewing and commenting on the proposed heads of terms for the Construction Sub-Contract. • carrying out due diligence on final forms of sub-contracts and interface agreements and advising the LA on the appropriateness of the arrangements. • advice in relation to ICT only schools (the level of advice required is subject to further discussion and therefore the cost of this work is yet to be determined) 	£292,228
John Young Consulting Ltd – Educational	<p>Educational advice and support to Derby City Council:</p> <ul style="list-style-type: none"> • LA's Strategy for Change and PfS submissions • Develop school strategies for change for phase 2 schools • Educational support for the design and development of phase 2 schools • Educational input for ICT for phase 2 schools, including change management. <p>ICT working group / LEP ICT Partner.</p>	£58,500

3.10 Cabinet is asked to approve the re-appointment of Faithful and Gould, Deloitte, Eversheds, and John Young Consulting Ltd as the technical, financial, legal and educational advisers to the BSF programme.

4. Release of Springwood Leisure Centre Reserve

4.1 Cabinet on 29 September 2009 approved an amendment to the Springwood Leisure Centre contingency reserve to enable £100,000 from the £160,000 reserve to fund essential repairs to the leisure centres in the lead up to major capital improvements.

- 4.2 It is proposed to use the remaining £60,000 in the reserve to fund essential repairs at leisure centres during the period up to major capital and cover revenue costs associated with the introduction of the new Leisure Strategy that cannot be capitalised within the main works. There is no available budget within leisure centres for these.
- 4.3 The reserve was initially created to cover the net increased running costs of the extended gym. Due to the success of the extended gym, retained membership income is now forecasted to cover the extra costs associated with the improvements and therefore allowing the reserve to be made available for alternative use.
- 4.4 Cabinet is asked to approve the amendment to the existing use of reserve and to allocate £60,000 to fund essential repairs to leisure centre buildings, plant and equipment and revenue costs associated with the new Leisure Strategy.
- 4.5 Cabinet is asked to approve the transfer of £60,000 from the specific reserve to the Neighbourhoods Department revenue budget in 2010/11 and 2011/12 as required.

5. Use of Reserves – Derby City Partnership - DCP

- 5.1 The full DCP budget for 10/11 has now been prepared which requires an appropriation from reserves. An earmarked reserve of £276,600 was approved at 1 September 2009 cabinet and ring-fenced to DCP for this purpose. It is proposed that £95,666 is appropriated to the DCP revenue budget. The remaining reserve is £180,934.
- 5.2 Cabinet is asked to approve the use of reserves to support the 2010/11 DCP revenue budget.

6. Community and Legal Advice Centre contract.

- 6.1 The original contract to operate the Community Legal Advice Centre - CLAC - was let for three years – 2008/11 with an option to extend by a year by agreement of the funders – the Council, the Legal Services Commission - LSC - and Derbyshire Access to Law, DA2L, and the provider of the contract.
- 6.2 On the whole, funders, Community Commission and Council Cabinet are pleased with the progress made so far due in some parts to a significant over performance against targets set in the current contract. The LSC and DA2L have indicated that they would wish to see a 12 month contract extension pursued at the expiry of the current contract at the end of 2010/11. This has been supported at the funders' liaison group and the full CLAC Liaison Board.
- 6.3 The Council's previous intention had been to achieve a budget saving of £50,000 from 2011/12 as a result of a merger of operations between the CLAC and Derby Advice. If the contract extension goes ahead, this saving would not be achieved for that financial year, but could be pursued in the following financial year. The additional cost of £50,000 would therefore be a one off additional cost to the Council. This would be met for 2011/12 from additional Carelink income (£20,000) and holding vacancies within the Housing Department (£30,000).
- 6.4 Cabinet is asked to approve the extension of the CLAC contract for a further 12 months.

7. Climate Change Fund scheme

- 7.1 A climate change scheme to reduce energy and water usage through a heat recovery process at Moorways Leisure centre pool has been approved, under delegated powers, at a cost of £15k.
- 7.2 This is a self-financing scheme from utility costs savings. As the cost is low value it does not meet the criteria for funding from self-financing prudential borrowing. It is to be funded from the Climate Change Board reserve on a repayable basis over three years.
- 7.3 As required by Financial Procedure Rules, Council Cabinet approval is required for the following budget transfers from the Moorways revenue budget held by the Neighbourhoods to 'transfers to reserves' to action the repayment to the climate change reserve:

	£000
• 2010/11	3
• 2011/12	6
• 2012/13	6

- 7.4 Cabinet is asked to approve the use of the Climate Change budget for energy improvement measures at Moorways, and to transfer funding to repay the investment from the Neighbourhoods Directorate to reserves over the financial years 2010 to 2013.

8. Early Years Capital Grants

- 8.1 The government allocated a total of £2,738,283 to the Council as a ringfenced capital grant for early years providers over the 3 years 2008/9 to 2010/11. The final allocation of £912,761 is therefore due this year. The current commitments against the total amount to £860,620, leaving a balance of £1,877,663 that can be spent. Council cabinet received a report on the initial allocation of grants on 2 September 2008.
- 8.2 Providers have been requested to submit bids for the use of this grant and these bids have been scrutinised by a team and an independent panel.
- 8.3 The proposed grants and their recipients are listed in Appendix 2 and amount to £1,137,954, leaving just under £740,000 as a balance remaining.
- 8.4 Cabinet is asked to approve the allocation of £1,137,954 Early Years capital grant as listed in appendix 2.

9. Reallocation of Neighbourhoods Department funding

- 9.1 The Highways and Transport Work Programme was approved at Council Cabinet 16 February 2010. The approved LTP schemes outlined in Appendix 3 were incorrectly classified as capital schemes requiring capital funding. These schemes have been reclassified as LTP revenue schemes, however restrictions on the funding allocation has meant there is a requirement to switch the funding with an alternative source to satisfy audit guidelines.

- 9.2 The Surface Car park resurfacing scheme of £562,000 was approved at Council Cabinet 16 February 2010. This scheme is a capital scheme funded from revenue budget. The flexibility of this funding allows £385,000 of this allocation to be swapped with LTP funding allocation £385,000 to ensure the continuity of the Neighbourhoods programme using the appropriate funding.
- 9.3 Cabinet is asked to approve the switch of revenue and capital funding within the Local Transport Plan programme in the Neighbourhood Directorate.

10. Automatic Meter Reading

- 10.1 The 2010/11 capital programme includes a £298k capital budget for Climate Change Initiatives. The revised capital programme below includes a £156k allocation from this budget to fund a capital scheme to purchase an Automatic Meter Reading system.
- 10.2 Derby City council has approximately 700 electricity and gas meters located at a diverse range of around 400 sites. At the present time the vast majority of these meters require a member of staff to visit the meter in order to note the meter reading.
- 10.3 From April 2010 Derby City Council will be one of around 5000 organisations required to comply with the Carbon Reduction Commitment Energy Efficiency Scheme - CRC. It is also required under Local Authority targets to reduce its carbon emissions to meet specific National Indicators. It is anticipated that both the NI and CRC will continue for the foreseeable future.
- 10.4 In order to comply with the statutory CRC obligations and facilitate effective energy management an accurate and auditable record on energy consumption is required i.e. periodic accurate meter readings. CRC energy data requirements will need to cover the entire organisation and be reported by due dates with the required consolidation or disaggregation as required by the scheme requirements from time to time. Penalties will apply for non-compliance.
- 10.5 Following a review of future options it is proposed to deliver these requirements through an Automatic Meter reading system which will:
- provide and store meter readings at a standard frequency of 2 per hour as a secure and accurate record that will meet any audit requirement arising from CRC or internal procedure
 - provide data management information for analysis which will enable the clear identification of areas of the council operations where step change improvements can be made in energy consumptions and where energy consumption is gradually increasing
 - support ongoing cost benefit assessment of carbon reduction projects by providing robust data on the actual outcome of carbon reduction projects. This can be used to help rank future carbon projects in terms of marginal abatement costs.
- 10.6 A tendering exercise is currently underway and it is estimated that the capital cost will be approximately £156,000.

- 10.7 Council Cabinet is asked to approve an allocation of £156,000 from the Climate Change budget to fund the purchase of an Automatic Meter Reading system.

11. Changes to the capital programme

- 11.1 The latest approved 2010/11 capital programme as at 8 June Cabinet was £113.5m. Various changes are now required to decrease this total by £0.100m making a revised capital programme total of £113.4m. Table 1 shows the changes and revised 2010/11 programme.

Table 1 - Revised Capital Programme 2010/11

Table 1 – Revised 2010/11 Programme and Funding			
Programme	Latest Approved (8 June Cabinet) Capital Programme £000's	Further Changes (Appendix 4) £000's	Revised Programme 2010/11 £000's
Children and Young Peoples Department	31,791	(100)	31,691
Adult Social Care and Housing Resources	24,352		24,352
Neighbourhoods	1,881		1,881
Chief Executive	27,299		27,299
	28,199		28,199
Total Programme	113,522	(100)	113,422
Funding 2009/10			
Supported Capital Expenditure (Revenue)	7,585		7,585
Supported Capital Expenditure (Capital)	27,680	(100)	27,580
<i>Unsupported borrowing</i>			
Corporate Programme	19,527		19,527
Service Financing	1,547		1,547
Service Financing Spend to Save	1,785		1,785
Housing major repairs allowance	8,273		8,273
Government grants	20,405		20,405
Capital receipts	10,074		10,074
External contributions	2,936		2,936
Lottery	0		0
Capital Reserves	613		613
Revenue/Revenue Reserves	12,153		12,153
S106 External Contributions	943		943
Total Funding	113,522	(100)	113,422

11.2 Details of the changes are shown in Appendix 4, with a summary of some of the larger changes highlighted below:

- £335,000 increase to the Schools Fire Precaution Works scheme arising from fire risk assessment, funded from school's devolved contributions
- £100,000 allocation to Pear Tree Infant School replacement of two boilers funded from SCE Primary Capital Programme Funding
- £200,000 allocation to Chaddesden Park Junior School structural and Fire Risk Assessment works funded from SCE Primary Capital Programme Funding
- £100,000 re-phased from 2010/11 for Property adaptations for foster carers scheme; £50,000 to 2011/12 and £50,000 to 2012/13, with SCE (C) Modernisation Funding re-phased.

11.3 Changes to the 2010/11 capital programme have a knock on effect on the indicative programme for 2011/12 – 2012/13. The summary revised totals by department for the indicative programme are shown in Table 2.

Table 2 - Revised Indicative Programme 2010/11 to 2012/13

Programme	2010/11	2011/12	2012/13
	£000	£000	£000
Children and Young Peoples Services	31,691	51,287	98,071
Adult Social Care and Housing	24,352	17,189	11,362
Resources	1,881	-	-
Neighbourhoods	27,299	19,213	56,754
Chief Executive	28,199	23,698	9,750
Revised Programme	113,422	111,387	175,937

12. Scheme Commencements

12.1 Scheme commencement approval is requested for the following schemes, with further details in Appendix 5.

- Roe Farm Primary School Fire Risk Assessment works including improvements to fire doors and exits, accessibility and suitability works to relocate pupil toilets.
- Brackensdale Infant School boiler replacement and associated asbestos removal
- Pear Tree Infant School replacement of two boilers
- Chaddesden Park Junior School Vic Hallam structural repairs to include timber strengthening, works to the boiler chimney and Fire Risk Assessment works.
- Automatic Meter Reading – AMR

12.2 Cabinet is asked to approve the additions and amendments to the 2010/11 – 2012/13 capital programme and to agree scheme commencement for the schemes listed in Appendix 3.

12.3 **S106 Contributions** - It was agreed through the S106 working group that any allocation of S106 monies would be reported to Cabinet during the year to inform members what specific contributions are intended to be used for. There are no new allocations to report this month.

For more information contact:	David Enticott, Head of Finance, Adults, Health and Housing 01332 717228 e-mail david.enticott@derby.gov.uk
Background papers:	None
List of appendices:	Appendix 1 – Implications Appendix 2 – Allocations of early years' capital grants Appendix 3 – Local Transport Plan - LTP - schemes Appendix 4 – Summary of further changes to the capital programme 2010/2011 Appendix 5 – Scheme Commencements

IMPLICATIONS

Financial

- 1.1 As outlined in the report.

Legal

- 2.1 As outlined in the report.

Personnel

- 3.1 As outlined in the report.

Equalities Impact

- 4.1 As outlined in the report.

Corporate objectives and priorities for change

- 5.1 These recommendations, where relevant, are in line with approved budgets which accord with the Council's corporate priorities.

Allocations of early years' capital grants

Type of Early Years Capital Spend	Locality	Setting Name	Amount Approved	Details of Spend
Outdoor learning environment	3	Hardwick Primary	£25,000.00	Redesign outdoor area and canopies
Outdoor learning environment	1	Breadsall Hill Top Infant and Nursery School	£25,000.00	Redesign outdoor area and canopies
Outdoor learning environment	3	An Noor	£17,000.00	Installation of canopy, seating, storage
Outdoor learning environment	1	Leapfrog Oakwood	£25,000.00	Reconfiguration of the outdoor learning environment
Outdoor learning environment	2	Homefields	£25,000.00	Installation of canopy, development of storage area, install electric push pad door
Outdoor learning environment	5	Central Community Nursery	£25,000.00	Development of outdoor learning environment
Outdoor learning environment	4	Mary Poppins	£25,000.00	Canopy, storage, huts and dens, access in all weathers
Outdoor learning environment	2	Alvaston Infant and Nursery School	£25,000.00	Enhancements to the outdoor learning environment
Outdoor learning environment	5	Ashgate School	£24,000.00	Redevelopment of the outdoor learning environment
Outdoor learning environment	3	Peartree Infant School	£14,000.00	Redevelopment of the outdoor learning environment
Outdoor learning environment	1	First Friends at St Albans Out of School Club	£15,000.00	Enhancements to the outdoor learning environment
Outdoor learning environment	2	First Steps	£18,000.00	Enhancements to the outdoor learning environment
Outdoor learning environment	3	Harrington Nursery School	£25,000.00	Landscaping of the outdoor area ensure inclusive access

Type of Early Years Capital Spend	Locality	Setting Name	Amount Approved	Details of Spend
Outdoor learning environment	1	Cavendish Close Infant	£25,000.00	Landscaping of the outdoor area ensure inclusive access
Outdoor learning environment	3	Dale Community Primary	£25,000.00	Redevelopment of outdoor learning environment to ensure inclusion and accessibility
Outdoor learning environment	2	Oakwood Infant and Nursery School	£25,000.00	Soft play surfacing, canopies
Outdoor learning environment	4	Brookfield Primary	£25,000.00	Pending feasibility study
Outdoor learning environment	1	Parkview	£12,000.00	Canopies, seating, fixed equipment
Outdoor learning environment	1	The Park Playgroup	£15,000.00	Enhancement to the outdoor learning environment
Outdoor learning environment	3	Castle Nursery	£25,000.00	Resurfacing of soft play area, landscaping and re-siting storage
Outdoor learning environment	3	St Chad's CE (cont) Nursery and Infant School	£25,000.00	Redevelopment of the outdoor learning environment
Outdoor learning environment	5	The Old Vicarage School	£25,000.00	Installation of canopy, fixed sand and water play, increase accessibility
Outdoor learning environment	2	Lord Street Nursery	£13,500.00	Enhancements to the outdoor learning environment
Outdoor learning environment	4	Carlyle Infant	£25,000.00	Canopy and refurbishment of the windows
Outdoor learning environment	4	Lighthouse Children of Excellence	£15,000.00	Changes to the building to enhance access, carpeting
Outdoor learning environment	4	Silverhill Primary	£25,000.00	Redevelopment of the outdoor learning environment
Outdoor learning environment	2	Grampian Primary School	£25,000.00	Surfacing, canopy, storage, fixed equipment

Type of Early Years Capital Spend	Locality	Setting Name	Amount Approved	Details of Spend
Outdoor learning environment	2	Chellaston Children Centre	£25,000.00	Development of outdoor learning environment
Outdoor learning environment	5	Reigate Primary	£25,000.00	Development to the outdoor learning environment to enable access in all weathers
Outdoor learning environment	5	Brackensdale Infants	£25,000.00	Developments to the outdoor environment to ensure access in all weathers
Outdoor learning environment	4	Ravensdale Infants and Nursery School	£25,000.00	Creation of new entrance, surfacing, fencing and gates
Outdoor learning environment	5	Mackworth Morley Children Centre at Ashgate Nursery	£25,000.00	Enhancing the roofing to enable the children to access the outdoor area in all weathers
Outdoor learning environment	1	St Albans Catholic Primary	£25,000.00	Enhancements to the outdoor learning environment
Outdoor learning environment	3	Aboretum	£25,000.00	Landscaping
Flexible offer	1	Cherry Tree	£18,454.00	Create an outdoor play area fencing
Flexible offer	2	Lakeside Primary	£25,000.00	Development of outdoor learning environment
Flexible offer	2	Nightingale Infants	£16,550.00	Development of a covered area
Flexible offer	1	Derwent Community	£16,450.00	Lockable play lodge play surfacing
Flexible offer	3	Hardwick Primary	£25,000.00	Partitioning of rooms, revised entrance and fencing
Flexible offer	2	Boulton Primary	£23,000.00	Developments to the outdoor environment to ensure access in all weathers
Flexible offer	3	Castle Nursery	£25,000.00	Refurbishment of setting to support delivery of the flexible offer.
Flexible offer	1	Roe Farm	£25,000.00	Development of outdoor learning environment

Type of Early Years Capital Spend	Locality	Setting Name	Amount Approved	Details of Spend
Flexible offer	1	St Albans Catholic Primary	£25,000.00	Enhancements to the environment to support the delivery of the flexible
Flexible offer	3	Arboretum	£25,000.00	Installation of canopy
Flexible offer	1	Breadsall Hill Top Infant and Nursery School	£25,000.00	Create an area suitable for lunchtime provision, replace flooring and installing folding door
Flexible offer	2	Oakwood Infant and Nursery School	£25,000.00	Creation of additional space to meet the flexible offer
Flexible offer	5	Central Community Nursery	£25,000.00	Enhancements to the environment to support the delivery of the flexible
Flexible offer	4	Brookfield Primary	£25,000.00	Pending feasibility study
Flexible offer	3	St Chad's CE (cont) Nursery and Infant School	£25,000.00	Improvements to the flooring, storage and screens, additional seating. Improvements to the entrance resources to support the delivery of the flexible offer
Flexible offer	5	Walter Evans	£20,000.00	Adaptations to the entrance area
Total amount			£1,137,954.00	

Local Transport Plan - LTP - schemes

Scheme Ref	Scheme	Description	Amount (£)
SI D03	Strategic modelling enhancements	Officer input or strategy and policy development: Update of model to inform LTP3 strategy and policy development	30,000
LS D04	Completion of Speed Limit Review	Design and Implementation: To address circular 01/06 A & B road speed limit review. Development and implementation in order to meet Government deadline of December 2011. Speed limit review to be completed 09/10. Implementation in 10/11 is for any Traffic Regulation Order's and signing changes required following commencement of the review in 2009/10.	10,000
LS D08	Speed Management Policies	Officer input or strategy and policy development: completion of review and development of LTP3 Strategy and policies including: <ul style="list-style-type: none"> • 20 mph zones • Vehicle Activated Signs • traffic calming Completion of policy/strategy guidance document. Links to speeding issues raised by a number of wards.	20,000
LS D06	Accident monitoring and review	Officer input or strategy and policy development: A61 Sir Frank Whittle Road Lime Lane Kings Corner Dale Road VAS Acorn Way A608 Mansfield Road	5,000
LS D31	Morley Estate, Mackworth	Investigation/Feasibility: Investigation of rat running and speed surveys undertaken in 2009/10. Further investigation and options appraisal in 10/11	10,000
LS D33	Hillsway/The Hollow	Investigation: Traffic Management & Safety Concerns	10,000
SP D99	Strategy Development	Officer input or strategy and policy development: Development of future years schemes and strategy development for LTP3	10,000
ST D01	Development of Network Management Plan	Officer input or strategy and policy development	15,000
ST D03	Permit scheme development	Officer input or strategy and policy development: Consultation and legal assistance. Development of a working group with other local authorities in the East Midlands to investigate the options for a common framework scheme.	20,000
ST D04	HDC Reactive works and investigation	Officer input or strategy and policy development: Pre-programme development checking and reactive Network Management. Contributes to further studies/design guide group – development of design guide.	10,000
ST D02	Network Management Duty Studies	Investigation/Feasibility: <ul style="list-style-type: none"> • Investigate and Feasibility Nottingham Road / Acorn Way congestion • Bus penetration issues identified by Arriva – Including three priorities identified; (Normanton Rd, Westbourne Park Mackworth and East Mackworth) • 5 Lamps Scoping Study (Feasibility to assess impact of Kings Street link) 	20,000

Scheme Ref	Scheme	Description	Amount (£)
ST D12	Travelwise type web development	Investigation/Feasibility: Feasibility of Real time congestion data, CCTV screen shots Linked to marketing, information. Bus journey times. Possible purchase of preliminary software. Link to Smarter Choices work	20,000
ST D20	Real Time Information	Implementation: Purchase of equipment and development of live link for real time traffic information. This will also enable better management and maintenance of Real Time Information equipment. Link to smarter choices work.	30,000
ST D27	Development of Map based TRO system	Investigation/Feasibility: Study of both in-house and external options of creating a statutory record of traffic regulation orders. Primarily for parking offences but has implications for moving traffic offences in future, such as ban turns.	10,000
ST D99	Local Transport Plan Progress/LTP3 Development, Network Management Plan and HAMP	Officer input or strategy and policy development: Development of LTP3	10,000
MH D01	Strategy Development	Officer input or strategy and policy development: Development of future years schemes and strategy development for LTP3	10,000
MH D02	Development of Highways Asset Management Plan	Officer input or strategy and policy development: Developing highway policies and procedures, as well as the continuation of lifecycle planning, service standard determination and a budget allocation model.	125,000
MH D33	Development of strategy and prioritisation process - identification of drainage schemes	Officer input or strategy and policy development	20,000
TOTAL			385,000

Appendix 4

Summary of further changes to the capital programme 2010/2011	Latest Approved Capital Programme 2010/11 £000	Revised Capital Programme 2010/11 £000	Change £000	Category
Children & Young Peoples Department				
Schools Access Initiative				
Schools Access Initiative (Allocation of £17k to the scheme below)	443	426	(17)	R2
Rosehill Infants - SAI Install roof lights over hall to remove glare	35	52	17	R2
Schools Devolved Funding (funding source) allocations to the schemes below	4,071	3,645	(426)	R2
Schools Fire Precaution Works - increase programme by agreed school's devolved contributions	1,500	1,835	335	R2
Roe Farm Primary School refurbishment / toilet redesign and FPW - add in £91k agreed school's devolved contribution and reduce by £91K Primary Capital Programme funding	300	300	-	R2
Primary Capital programme (funding source) add back £91k from Roe Farm scheme	35	126	91	R2
Boiler Replacement Programme				
Boiler Replacement Programme - various Schools (Allocation of £100k to the scheme below)	400	300	(100)	R2
Pear Tree Infant School replacement of two boilers	-	100	100	R2
Vic Hallam repairs at various schools.				
Vic Hallam repairs at various schools. Urgent structural repairs for Chaddesden Park inf & Jun, Mickleover Primary, Ridgeway inf Schools (Allocation of £100k to the scheme below)	300	100	(200)	R2
Chaddesden Park Junior School structural and Fire Risk Assessment works	-	200	200	R2
Property adaptations for foster carers - rephase budget over three years	150	50	(100)	S
Total changes to Children & Young Peoples Department	7,234	7,134	(100)	
Chief Executive's Department				
Climate Change	298	142	(156)	R2
Automatic Meter Reading - AMR	-	156	156	R2
Total changes to Chief Executive's Department	298	298	-	
TOTAL CHANGES TO PROGRAMME	7,532	7,432	(100)	

Key of Categories	
A	Additional schemes from new funding secured
A1	Scheme increase funded by previous years reserves income
S	Re-phasing
R1	Other Adjustments - Scheme Reductions/Increases
Re-allocation:	
R2	Within Department's programme
R3	To different Departments programme

Scheme Commencements

Approval is sought for the following scheme commencements. Proposed funding details are shown below.

- ***Roe Farm Primary School Fire Risk Assessment works, accessibility and suitability works***

Budget	£'000
2010/11	300
2011/12	0
Total Expenditure	300
Funding	
SCE (C) 2010/11 Primary Capital Programme Funding	209
Schools devolved Contribution	91
Total Funding	300

- ***Brackensdale Infant School boiler replacement and associated asbestos removal***

Budget	£'000
2010/11	300
2011/12	25
Total Expenditure	325
Funding	
SCE (C) 2010/11 Primary Capital Programme Funding	300
Basic Needs SCE (R)	25
Schools devolved Contribution*	
Total Funding	325

* Schools devolved contribution to be negotiated with school.

- ***Pear Tree Infant School replacement of two boilers***

Budget	£'000
2010/11	100
2011/12	0
Total Expenditure	100
Funding	
SCE (C) 2010/11 Primary Capital Programme Funding	100
Schools devolved Contribution*	
Total Funding	100

*Schools devolved contribution to be negotiated with school.

- **Chaddesden Park Junior School structural and Fire Risk Assessment works**

Budget	£'000
2010/11	200
2011/12	0
Total Expenditure	200
Funding	
SCE (C) 2010/11 Primary Capital Programme Funding	200
Schools devolved Contribution*	
Total Funding	200

*Schools devolved contribution to be negotiated with school.

- **Automatic Meter Reading - AMR**

Budget	£'000
2010/11	156
Total Expenditure	156
Funding	
Revenue Contribution to Capital Outlay	156
Total Funding	156