



**HEALTH AND WELLBEING BOARD**  
**21 January 2016**

Report of the Chief Executive

# ITEM 11

## **Inspections of Children and Young People's and Adult Services**

### **SUMMARY**

- 1.1 Derby City Council's services for both children and young people and adults, including commissioned services and providers, are subject to regular external scrutiny by various inspectorates including; Ofsted, CQC and HMI Probation.
- 1.2 Preparing for an inspection is essential to achieving a successful outcome as it promotes internal self-awareness and allows corrective action to be taken as and when it is required to deliver improvements in both the quality of services and outcomes.
- 1.3 Although inspectorates review and challenge the Local Authority, as the lead agency in many inspection frameworks, the role of partners in supporting the services delivered and achieving positive outcomes is also evaluated (Often through an assessment of 'Leadership, Management and Governance').
- 1.4 It should however be noted that the profile of the local area as a whole, as evaluated through inspections, is in fact growing. There are two children's services inspection frameworks due to be launched early this year that will evaluate the local area's response to need in targeted areas rather than just that of the Local Authority (please refer to the table under paragraph 5.2)
- 1.5 The purpose of this report is to present members of the Health and Wellbeing Board with an update on the current inspection frameworks within both children and young people's and adult services. This is intended to support an increase in Board Member's knowledge and awareness of inspections frameworks. It should also prompt a local assessment of any risks for the Health and Wellbeing Board and the Board may want to commission further work linked to current services that it oversees and outcomes for key customer groups within priority areas, linked to the inspection frameworks.

### **RECOMMENDATIONS**

- 2.1 To note the current inspections frameworks, outcomes from recent inspections and areas likely to be inspected in 2016.
- 2.2 To consider areas of risk related to the priorities of the Health and Wellbeing Board and what further action may be needed, with the service leads, to support improvements.

## REASON FOR RECOMMENDATIONS

- 3.1 All inspections focus on outcomes for services users and the contribution of all agencies that support vulnerable children, young people and adults across the city.

## SUPPORTING INFORMATION

### 4 Children and Young People's Services

- 4.1 Children and young people services is a highly regulated area comprising largely of statutory services all of which are subject to the following external inspections by either; Ofsted, CQC or HMI Probation.
- 4.2 Overall, the number of frameworks has grown over the last three years with a number of new frameworks to be finalised in 2016...

Service area(s)	Inspection framework	Frequency of inspections	Links to previous frameworks	Risk rating for HWB*
Early help, safeguarding, looked after children, leaving care, adoption, LSCB, quality of services and includes judgements about corporate leadership, management & governance (LMG)	Single Inspection Framework (SIF)  <i>Inspection of services for children in need of help and protection, children looked after and care leavers</i>	Once every three years (commenced in 2013) unless judged as 'inadequate' when authorities will be re-inspected within 12 months following a period of intervention	Child Protection Inspection – 2012 Derby judged as good  Safeguarding and looked after children inspection – 2011 Derby judged as satisfactory  Fostering – 2011 Derby judged as good  Adoption – 2009 Derby judged as good	Medium / high  <i>Assessments of the health of looked after children, emotional health and wellbeing and safeguarding alongside LMG</i>
Children centres, early years, safeguarding, access to services, quality of services, parenting support, health provision and LMG	<i>Inspection of Children's Centres</i>	Once every five years although Ofsted paused completing any further inspections pending the outcome of a review on the future of children's centre inspections	Centres inspected in Locality's 1, 2 and 3 in 2014 and all judged as 'requires improvement'.	Low – inspections suspended
Services for special educational needs and disabilities	<i>NEW framework to be confirmed</i>	Each local area will be inspected once within a three year cycle – inspections scheduled to commence from May 2016	N/A – new	High  <i>Assessment of <u>local area</u> response to SEND, assessment of need and quality of services to respond to need</i>

Service area(s)	Inspection framework	Frequency of inspections	Links to previous frameworks	Risk rating for HWB*
				<i>alongside outcomes</i>
LSCB, safeguarding, early help, child protection, domestic abuse, older children at risk, children at risk of intra-familial sexual abuse, child neglect, girls at risk of female genital mutilation, young people at risk of sexual exploitation and or abuse and children who go missing / missing education	<i>NEW framework to be confirmed - Integrated inspections of services for children in need of help and protection, children looked after and care leavers and joint inspections of the Local Safeguarding Children Board</i>	New framework commencing early 2016 initially with pilots in 6 LAs	Child Protection inspection and SIF	High  <i>Assessment of <u>local area</u> response in targeted areas – it is proposed that inspections will be thematic</i>
Children's Homes, LMG	<i>Inspection of children's homes framework</i>	Every 12 months – interim and full	N/A	Low
School improvement, quality of education, educational outcomes and LMG	<i>Inspection of local authority arrangements for supporting school improvement</i>	Risk based inspection so not all authorities will receive an inspection – Derby hits a number of the triggers for an inspection	N/A	Low
Youth offending, prevention, safeguarding, risk management, offending and re-offending and public protection	<i>Core Case Inspection of youth offending work in England and Wales</i>	Full inspection or short quality screening questionnaire – frameworks under review	Short Quality Screening questionnaire – 2014 No graded judgement but positive feedback  Full inspection in 2012 Derby judged as 'low risk'	Low
Adult Learning Service	<i>Inspections of adult and community learning</i>	Inspections are completed on a three - five year cycle according to risk	2011 Derby was judged as good	Low

4.3 Based on the frameworks available there are three core inspections that carry a high risk for the Health and Wellbeing Board (all of which may be inspected in 2016)...

- Inspection of services for children in need of help and protection, children looked after and care leavers.
- Inspection of services for special educational needs and disabilities.
- Integrated inspections of services for children in need of help and protection, children looked after and care leavers and joint inspections of the Local Safeguarding Children Board.

4.4 Full details of the inspection frameworks presented in paragraph 4.2 is available from the Performance and Intelligence Team or alternatively through Ofsted's / CQC's website.

## **5 Adult Services Inspections**

5.1 Compliance with essential standards of quality and care based on the Health and Social Care Act is an important requirement for all residential and nursing care homes in England and Wales. Individual locations and providers are registered under the Act and inspected according to CQC's inspection programme.

### **Care Homes**

5.2 At the end of December 2015 74% of care homes within the city were fully compliant on all required inspection standards, which is above the national CQC benchmark of 65%.

5.3 The full compliance measure is quite a high bar for performance because at any given time all care homes need to be compliant with all inspected standards. This penalises those homes that have CQC improvement actions identified on some inspected standards and are subsequently compliant in those standards at a later date. A home could be compliant in 4 out of 5 inspected standards but would not be considered fully compliant.

5.4 To overcome this, an alternative way of looking at compliance is to take into account all inspected standards that care homes are compliant with. The overall compliance picture is more positive with 92.5% compliance on all inspected standards in all homes on the old system of inspection.

### **Home Care Agencies**

5.5 Home care agencies have performed well on the old inspections regime with a rating at the end of December 2015 of 85.7% with 36 out of 42 agencies fully compliant with all standards.

## **6 Sector Led Improvement (Peer Challenge)**

- 6.1 It should be noted that alongside the many mandatory inspection frameworks both adult and children's services are evaluated against that the services also contribute to the regional peer assessment process.
- 6.2 Through the regional programme all authorities receive an assessment on an identified key line of enquiry of their choice within 2 – 3 year period. Both adults and children's are scheduled to have an assessment in summer 2016 (the key lines of enquiry are yet to be confirmed).
- 6.3 It should also be noted that Derby City Council will be hosting a corporate peer review in spring / summer 2016.

### **OTHER OPTIONS CONSIDERED**

- 7.1 None.

**This report has been approved by the following officers:**

<b>Legal officer</b> <b>Financial officer</b> <b>Human Resources officer</b> <b>Estates/Property officer</b> <b>Service Director(s)</b>  <b>Other(s)</b>	Acting Strategic Director of Children and Young People's Services Acting Strategic Director of Adults and Health Service Director for Strategic Services and Organisational Development Head of Performance and Intelligence
<b>For more information contact:</b>  <b>Background papers:</b> <b>List of appendices:</b>	Sarah Walker – Policy and Improvement Manager 01332 643466 sarah.walker1@derby.gov.uk None Appendix 1 – Implications

<b>IMPLICATIONS</b>
---------------------

**Financial and Value for Money**

- 1.1 None arising from this report.

**Legal**

- 2.1 Inspections are completed by inspectorates in line with their statutory responsibilities.

**Personnel**

- 3.1 None arising from this report.

**IT**

- 4.1 None arising from this report.

**Equalities Impact**

- 5.1 Inspections assess how services are addressing equality and diversity needs of both individuals and communities.

**Health and Safety**

- 6.1 None arising from this report.

**Environmental Sustainability**

- 7.1 None arising from this report.

**Property and Asset Management**

- 8.1 None arising from this report.

**Risk Management**

- 9.1 None arising from this report.

## **Corporate objectives and priorities for change**

- 10.1 The content of this report and supporting presentation underpins the priority commitments of:
- Early help and protection, compliance and safeguarding vulnerable children and young people and adults under the Council pledge of 'safe'.
  - Educational attainment and skills under the Council pledge of 'ambitious'
  - Health and well-being under the Council pledge of 'strong'.