

Report sponsor: Strategic Director of Corporate Resources  
Report author: Democratic Services Officer

## **Audit & Governance Committee – Outstanding Resolutions**

### **Purpose**

- 1.1 At its meeting on 5 February 2020, the Audit and Accounts Committee resolved:
  - to agree that a Resolution Tracking report be included in future agendas of the Audit and Accounts Committee.
- 1.2 Outstanding resolutions and actions are attached at Appendices 1 and 2 of the report.
- 1.3 Any completed resolutions and actions will be removed after the meeting.

### **Recommendations**

- 2.1 To track and review the outstanding resolutions and actions at Appendices 1 and 2 of the report.

### **Reasons**

- 3.1 To provide the Audit and Accounts Governance with the opportunity to track and review any outstanding resolutions arising from meetings of that Committee.

### **Supporting information**

- 4.1 Appendix 1 lists outstanding resolutions arising from meetings of the Audit and Governance Committee.
- 4.2 Appendix 2 lists the outstanding proposed actions arising from The Self-Assessments in 2017/18 & 2019/20.
- 4.3 For an exhaustive list of resolutions, refer to minutes of the meetings of the Audit and Governance Committee on the Council's Democracy Portal at the following link:

[https://democracy.derby.gov.uk/Committees/tabid/101/ctl/ViewCMIS\\_CommitteeDetails/mid/734/id/1894/Default.aspx](https://democracy.derby.gov.uk/Committees/tabid/101/ctl/ViewCMIS_CommitteeDetails/mid/734/id/1894/Default.aspx)

## Public/stakeholder engagement

5.1 None.

## Other options

6.1 None.

## Financial and value for money issues

7.1 None arising from this report.

## Legal implications

8.1 None arising from this report.

## Climate implications

9.1 None.

## Other significant implications

10.1 None.

This report has been approved by the following people:

| Role  | Name   | Date of sign-off |
|---|--|------------------|
| Legal<br>Finance<br>Service Director(s)<br>Report sponsor<br>Other(s) | Simon Riley – Strategic Director of Corporate Resources<br>Alex Hough - Head of Democracy                                    |                  |
| Background papers:  | Audit and Governance Committee agenda papers – on the Council's Democracy Portal   |                  |
| List of appendices:   | Appendix 1 - Outstanding Resolutions<br>Appendix 2 - Proposed Actions Arising from The Self-Assessments in 2017/18 & 2019/20 |                  |

## Audit and Governance Committee

### Outstanding Resolutions

| Meeting Date    | Item   | Resolution  | Comments                             | Responsible Officer |
|-----------------|--|---|--------------------------------------|---------------------|
| 3 November 2021 | Minute Extract from Children and Young People Scrutiny Review Board - Special Needs Provision Recommendation to Audit & Governance Committee | To recommend that this is included in Internal Audit's quarter 4 SEND audit.<br>To set up a small working group made up of the Committee Chair, Vice-chair, Head of Internal Audit and Strategic Director of Corporate Resources to address this matter alongside Internal Audit. | The Audit commenced in January 2022. | Richard Boneham     |

| Meeting Date    | Item                         | Resolution  | Comments   | Responsible Officer |
|-----------------|------------------------------|---|--|---------------------|
| 26 January 2022 | Committee Terms of Reference | To agree to the request of the Working Group that the three additional reports detailed in paragraph 4.7 are included in future Committee Work Programmes.<br><br><ul style="list-style-type: none"> <li>A report on the level and adequacy of insurance arrangements – also to highlight number of claims etc</li> <li>An annual report outlining all activity involving the Council by external regulators</li> </ul> | These will be built into the 2022/23 work programme. | Richard Boneham     |

|  |  |   |  |  |
|--|--|---|--|--|
|  |  | <ul style="list-style-type: none"> <li>An annual report on assurance around partnership governance</li> </ul> |  |  |
|--|--|---|--|--|

## Appendix 2

### Proposed Actions Arising From The Self-Assessments in 2017/18 & 2019/20

| Ref | Issue   | Comment  | Proposed Action   | Progress Made   |
|-----|---|--|---|---|
|     | <b>Actions Arising From The Self-Assessment in 2017/18 that are still outstanding</b> |  |   |   |
| 19  | Are meetings free and open without political influences being displayed?              | Some Members felt that the Committee meetings were still being used to make political statements | The Chair reminds each Member that the Committee should be apolitical and shuts down any such activity. | Ongoing action - The need for the Committee to be apolitical is made clear to all members within the Committee's induction process. The Chair is aware of the need to prevent members of the committee making political statements in meetings. |