

Report sponsor: Chair of Audit and Governance Committee  
Report author: Head of Internal Audit

## **Audit and Governance Committee – Draft Work Programme 2023-24**

### **Purpose**

- 1.1 This report provides details of the draft work programme for this Committee for the 2023/24 Municipal Year.

### **Recommendations**

- 2.1 To agree the work programme for the Committee. A draft work programme is attached in Appendix 1.

### **Reasons**

- 3.1 It provides a proposed 'core business' work programme for the Committee.

### **Supporting information**

- 4.1 The annual work programme (draft attached in Appendix 1) provides a timetable for reports being submitted to the Committee.
- 4.2 Given the delays in the external audit of the 2020/21 and 2021/22 accounts there are still a number of reports from the External Auditor that will need to be brought to this Committee during 2023/24. This has also delayed the scheduling of the reports in the Work Programme in relation to the 2022/23 external audit.
- 4.3 Any required updates to the work programme will be reported to Committee on a regular basis.

### **Public/stakeholder engagement**

- 5.1 None

### **Other options**

- 6.1 None

## Financial and value for money issues

7.1 None

## Legal implications

8.1 None

## Climate implications

9.1 None

## Socio-Economic implications

10.1 None arising directly from this report

## Other significant implications

11.1 None

This report has been approved by the following people:

Role	Name	Date of sign-off
Legal Finance Service Director(s) Report sponsor Other(s)		
Background papers:	None	
List of appendices:	Appendix 1 – Committee work programme 2023/24	

**AUDIT AND GOVERNANCE COMMITTEE**  
**DRAFT PROGRAMME OF WORK TO MARCH 2024**

<b>Committee</b>	<b>Primary Activities</b>	<b>Other Activities</b>
Wednesday 14 <sup>th</sup> June 2023	<ul style="list-style-type: none"> <li>• Audit and Governance Committee – Outstanding Resolutions</li> <li>• Committee Work Programme 2023/24</li> <li>• Internal Audit Annual Report 2022/23 including the Head of Internal Audit's Annual Opinion</li> <li>• Internal Audit Plan Qtr 2 2023/24</li> <li>• Draft Annual Report of the Audit &amp; Governance Committee 2022/23</li> <li>• Review of the Effectiveness of Internal Audit</li> <li>• Verbal Update on the Annual Statement of Accounts</li> </ul> <p>Deferred from 22<sup>nd</sup> March 2023 meeting:</p> <ul style="list-style-type: none"> <li>• Derby City Council Audit planning report Year ended 31 March 2022.</li> </ul>	<ul style="list-style-type: none"> <li>• Information Security report</li> </ul>
Wednesday 26 <sup>th</sup> July 2023	<ul style="list-style-type: none"> <li>• Audit and Governance Committee – Outstanding Resolutions</li> <li>• Internal Audit Progress Report</li> <li>• Counter Fraud Annual Report 2022/23</li> <li>• Risk Assurance Annual Report 2022/23</li> <li>• Draft Annual Governance Statement 2022/23</li> <li>• </li> </ul>	<ul style="list-style-type: none"> <li>• Annual Report 2022/23</li> <li>• Waivers Update</li> </ul>
Wednesday 11 <sup>th</sup> October 2023	<ul style="list-style-type: none"> <li>• Audit and Governance Committee – Outstanding Resolutions</li> </ul>	<ul style="list-style-type: none"> <li>• </li> </ul>

Committee	Primary Activities	Other Activities
	<ul style="list-style-type: none"> <li>• Internal Audit Plan Qtr 3 2023/24</li> <li>• Level and adequacy of insurance arrangements (incl No of Claims)</li> </ul>	
Wednesday 6 <sup>th</sup> December 2023	<ul style="list-style-type: none"> <li>• Audit and Governance Committee – Outstanding Resolutions</li> <li>• Internal Audit Progress Report</li> <li>• Internal Audit Plan Qtr 4 2023/24</li> <li>• Counter Fraud Update</li> </ul>	<ul style="list-style-type: none"> <li>• Treasury Management mid-year progress</li> </ul>
Wednesday 24 <sup>th</sup> January 2024	<ul style="list-style-type: none"> <li>• Audit and Governance Committee – Outstanding Resolutions</li> <li>• Internal Audit Progress Report</li> <li>• Risk Assurance Update</li> <li>• PMO Update</li> <li>• Audit &amp; Governance Committee self-assessment</li> </ul>	<ul style="list-style-type: none"> <li>• Information Governance Update</li> <li>• Waivers update</li> </ul>
Wednesday 27 <sup>th</sup> March 2024	<ul style="list-style-type: none"> <li>• Audit and Governance Committee – Outstanding Resolutions</li> <li>• Internal Audit Progress Report</li> <li>• Internal Audit Annual Plan Qtr 1- 2024/25</li> <li>• Audit &amp; Governance Committee Terms of Reference – Review</li> <li>• Accounting Policies 2023/24</li> <li>• Local Code of Governance</li> <li>• Partnership Governance – Annual Report</li> <li>• External Regulators – Annual Report on activity</li> </ul>	<ul style="list-style-type: none"> <li>• Risk Based Verification Policy</li> </ul>

Other Activities not scheduled as yet:

- EY - ISA 260 - Report to those charged with governance (re 2020/21 Accounts)
- EY - Annual Audit Letter 2020/21
- EY - ISA 260 - Report to those charged with governance (re 2021/22 Accounts)
- EY - Annual Audit Letter 2021/22
- EY - External Audit Plan y/e 31st March 2023
- EY - ISA 260 - Report to those charged with governance (re 2022/23 Accounts)
- EY - Annual Audit Letter 2022/23
- Statement of Accounts 2022/23
- Updates on the Combined East Midlands Authority
- New Auditors - External Audit Plan y/e 31st March 2024