

Report sponsor: Strategic Director – Corporate Resources
Report author: Head of Internal Audit

Internal Audit Progress Report

Purpose

- 1.1 The progress report attached at Appendix 1 provides the Committee with an overview of the output from Internal Audit assurance work for the period from 1st October 2021 to 31st December 2021.

Recommendations

- 2.1 To note the progress being made by Internal Audit on its work in 2021/22 in the report at Appendix 1.
- 2.2 To review the control issues being raised by Internal Audit and management's response to the risks.
- 2.3 To review the progress being made by management in respect of the implementation of internal audit recommendations.

Reasons

- 3.1 The Audit and Governance Committee is responsible for providing assurance to the Council on the effectiveness of its governance arrangements, its risk management framework and the internal control environment. The work of Internal Audit supports the Committee in providing this assurance.

Supporting information

- 4.1 An internal audit plan needs to take account of changes in the Council's risks. The move to a rolling quarterly based internal audit plan has helped to achieve a more responsive approach to changes in risk.
- 4.2 A report on the progress against the internal audit plan is attached at Appendix 1.
- 4.3 At the Committee meeting on 3rd November 2021, Members requested that officers be invited to this meeting to update the Committee on the implementation of internal audit recommendations in respect of the CCTV audit and the Bus Station audit. The Director of Public Protection and Streetpride will be attending the meeting for the CCTV audit and the Head of Traffic and Transportation will be attending for the Bus Station audit. Further details of those recommendation that are still to be implemented are attached to this report at Appendix 2 (CCTV) and Appendix 3 (Bus Station).

Public/stakeholder engagement

5.1 None

Other options

6.1 None

Financial and value for money issues

7.1 None

Legal implications

8.1 None

Climate implications

9.1 None

Other significant implications

10.1 None

This report has been approved by the following people:

Role	Name	Date of sign-off
Legal Finance Service Director(s) Report sponsor Other(s)	Simon Riley, Strategic Director – Corporate Resources	15 th January 2022
Background papers: List of appendices:	None Appendix 1 – Internal Audit Progress Report Appendix 2 – CCTV – Details of Recommendations not yet implemented Appendix 3 – Bus Station – Details of recommendations not yet implemented	