

ANNUAL GOVERNANCE STATEMENT 2012/13

SUMMARY

- 1.1 This report presents the updated Annual Governance Statement 2012/13 for final review. The Statement provides an assessment of the effectiveness of the Council's governance framework, highlights any issues arising and proposed actions to address them.

RECOMMENDATIONS

- 2.1 To approve the Annual Governance Statement 2012/13.
- 2.2 To refer the Annual Governance Statement 2012/13 to the Council Leader and Chief Executive for signing.

REASON FOR RECOMMENDATIONS

- 3.1 The Council has to publish an Annual Governance Statement to accompany the annual accounts. Best practice recommends that the body charged with governance should approve the statement prior to signing by the Leader and Chief Executive.

SUPPORTING INFORMATION

- 4.1 The Audit and Accounts Committee received an initial draft of the Annual Governance Statement (AGS) on 26 June 2013. The Statement sets out the Council's responsibilities, the purpose of the governance framework, the governance environment, its effectiveness and any significant areas of weakness identified with proposed actions to address these.
- 4.2 The preparation and publication of the AGS is done in accordance with the CIPFA/SOLACE "Delivering Good Governance in Local Government" framework as is necessary to meet statutory requirements.

- 4.3 The review of the effectiveness of the governance environment is informed by a number of sources including the work of Internal Audit and the Head of Governance and Assurance's Annual Audit Opinion, External Audit and other external review bodies, the Governance Board, the Audit and Accounts Committee, the Standards Committee and the Scrutiny Commissions. The AGS is also reviewed by Chief Officer Group. There are signed assurances from each Strategic Director.
- 4.4 There has been one revision to the draft AGS that Committee reviewed at the June meeting, to update the position on the electoral fraud case. The final version is shown in **Appendix 2**.
- 4.5 Assurances on the effectiveness of the governance framework are obtained throughout the year. This allows the Council to take any remedial action necessary at the earliest opportunity.
- 4.6 Once the AGS has been approved and signed by the Council Leader / Chief Executive, the document will be published online alongside the Statement of Accounts.

OTHER OPTIONS CONSIDERED

- 5.1 None noted.

This report has been approved by the following officers:

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|---------------------------------|---------------------------------------|
| Legal officer | n/a |
| Financial officer | n/a |
| Human Resources officer | n/a |
| Estates/property officer | n/a |
| Service Director(s) | n/a |
| Other(s) | Governance Board, Chief Officer Group |

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|--------------------------------------|---|
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| Background papers: | None |
| List of appendices: | Appendix 1 – Implications Appendix 2 – Annual Governance Statement 2012/13 |

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| IMPLICATIONS |
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Financial and Value for Money

- 1.1 None directly arising.

Legal

- 2.1 When publishing its annual accounts, the Council is required by regulation 4 (3) of the Accounts and Audit (England) Regulations 2011 to consider and approve an Annual Governance Statement (AGS) that accompanies the annual accounts.

Personnel

- 3.1 None directly arising.

Equalities Impact

- 4.1 None directly arising.

Health and Safety

- 5.1 None directly arising.

Environmental Sustainability

- 6.1 None directly arising.

Asset Management

- 7.1 None directly arising.

Risk Management

- 8.1 Sound risk management practices are a key principle of good governance. The AGS contains actions to improve current risk management arrangements.

Corporate objectives and priorities for change

- 9.1 The governance framework includes arrangements to plan and monitor delivery of the Council's priorities.

