



MEETING: Audit and Governance Committee
Date: 3 November 2021

ITEM 12

Report sponsor: Director of Legal, Procurement
and Democratic Services
Report author: Head of Procurement and
Contracting

Contract Waivers for the period 1 January 2021 to 30 September 2021

Purpose

- 1.1 To bring to the attention of the Audit and Governance Committee approved waivers in line with Contract Procedure Rules.

Recommendation

- 2.1 That the Committee note the contents of the report and the quarterly comparison of the total number of waivers being reported.

Reason

- 3.1 The information is for reporting purposes only; no decision is required by the committee.

Supporting information

- 4.1 There are a total of 129 waivers within this report covering the above period and broken down by directorate below:-

Directorate	Number of Waivers	Classification	
		Unavoidable	Avoidable
Communities & Place	35	25	10
Corporate Resources	11	3	8
Peoples Services	83	73	10
Total Number of Waivers	129	101	28

As the table shows, of the 129 waivers, 101 were considered to be unavoidable.

This compares with 110 waivers that were considered by Audit and Governance Committee on 24 March 2021 for the period 1 July 2020 to 31 December 2020. Of that 110, 89 were considered to be unavoidable.

- 4.2 All avoidable waivers over £10,000 are reported at appendix 1. Each waiver is signed off by the relevant Director stating they agree with the recommendation.
- 4.3 A waiver is required if the relevant process outlined in the Council's Contract Procedure Rules for awarding a contract cannot be followed.
- 4.4 Of the unavoidable waivers, 10 were unavoidable due to Covid-19 relating to Supported Accommodation and Homecare
- 4.5 Quarterly Comparison

Quarter	Oct-Dec 2020	Jan-Mar 2021	Apr-Jun 2021	Jul-Sep 2021
Avoidable	3	8	15	5
Unavoidable	68	37	21	43
Total	71	45	36	48

Public/stakeholder engagement

- 5.1 Not applicable to this report

Other options

- 6.1 Not applicable to this report

Financial and value for money issues

- 7.1 There are no financial implications from this report.

Legal implications

8.1 There are no legal implications from this report.

Climate implications

9.1 There are no climate implications from this report.

Other significant implications

10.1 Not applicable to this report

This report has been approved by the following people:

Role	Name	Date of sign-off
Legal Finance Service Director(s) Report sponsor Other(s)	Emily Feenan	21/10/2021

Background papers: List of appendices: Appendix 1	Avoidable waiver details
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Appendix 1 – avoidable waivers

Directorate	Brief details of request	Value	Waiver End Date	Date Submitted	Ref
Communities & Place	Traffic Signal Maintenance - contract extension	£80,000	30/06/2021	07/01/2021	W19-336
Communities & Place	Signalised Pedestrian Crossing Refurbishments	£66,350	31/12/2021	27/01/2021	W20-162
Communities & Place	Derby Street Lighting Private Finance Initiative – LED Project Invest to Save	£1,318,657	01/12/2020	19/10/2020	W20-178
Communities & Place	Specialised Anti-Social Behaviour training for the Public Protection Team	£13,205	31/03/2021	05/03/2021	W20-179
Communities & Place	Preparation of a Master Plan for an area within the city centre referred to as the cultural heart	£50,000	12/07/2021	09/04/2021	W20-187
Communities & Place	Inspirational talks for students - Active Travel Scheme	£15,000	31/03/2022	07/05/2021	W21-019
Communities & Place	Derby Football Hub Site Investigation	£11,250	30/07/2021	13/05/2021	W21-023
Communities & Place	Derby Bus Station Electronic Doors	£14,124	22/07/2022	12/08/2021	W21-074
Communities & Place	Catering Staff Recruitment	£100,000	30/06/2022	15/09/2021	W21-098
Communities & Place	Traffic Management Support	£22,000	31/03/2022	14/09/2021	W21-101
Corporate Resources	Asbestos removal and remediation services	£30,000	31/03/2023	19/04/2021	W21-002
Corporate Resources	Building Management Remote Monitoring System	£90,000	18/03/2023	19/04/2021	W21-003
Corporate Resources	Project Manager	£90,000	26/04/2022	19/04/2021	W21-004
Corporate Resources	Projects Supervisor Contract	£74,000	01/05/2022	19/04/2021	W21-005
Corporate Resources	Lift Consultant	£92,000	18/03/2023	20/04/2021	W21-006
Corporate Resources	Asset Surveyor	£26,738	31/03/2022	22/04/2021	W21-008

Corporate Resources	Specification production for Snelsmoor Grange Primary School	£10,000	31/05/2021	30/04/2021	W21-017
Corporate Resources	Business Intelligence to retain the services to provide technical expertise and capacity for development of the Outline Business Case (OBC).	£61,970	31/03/2022	24/06/2021	W21-035
Peoples Services	Provision of Mentoring Services to families	£20,000	31/03/2022	14/01/2021	W20-153
Peoples Services	Provision of Careers and Skills Project Coordination	£20,000	31/08/2021	01/02/2021	W20-161
Peoples Services	Substance Misuse Point of Care Testing (POCT) Devices and Associated Laboratory Screening	£38,000	01/04/2021	22/03/2021	W20-184
Peoples Services	Direct award of contract to Purpose Media to develop eight short films to be made with Derby City's cornerstone employers	£13,000	31/08/2021	12/04/2021	W20-188
Peoples Services	Recruitment Services for Children's Home Manager	£40,000	31/07/2021	19/04/2021	W21-007
Peoples Services	Off DPS Supported Living	£28,205	30/06/2021	29/04/2021	W21-016
Peoples Services	Best Interest Assessor	£70,000	30/12/2021	30/06/2021	W21-042
Peoples Services	Derby Grouped Schools PFI Contract - Benchmarking Support	£20,000	07/09/2021	23/11/2020	W21-044
Peoples Services	Transition Project	£75,000	31/07/2022	20/07/2021	W21-046
Peoples Services	Digital Transfer Equipment - DORO Care	£90,000	28/02/2022	06/08/2021	W21-061