

Report sponsor: Strategic Director of Corporate Resources  
Report author: Democratic Services Officer

## **Audit & Governance Committee – Outstanding Resolutions**

### **Purpose**

- 1.1 At its meeting on 5 February 2020, the Audit and Accounts Committee resolved:
  - to agree that a Resolution Tracking report be included in future agendas of the Audit and Accounts Committee.
- 1.2 Outstanding resolutions and actions are attached at Appendices 1 and 2 of the report.
- 1.3 Any completed resolutions and actions will be removed after the meeting.

### **Recommendations**

- 2.1 To track and review the outstanding resolutions and actions at Appendices 1 and 2 of the report.

### **Reasons**

- 3.1 To provide the Audit and Accounts Governance with the opportunity to track and review any outstanding resolutions arising from meetings of that Committee.

### **Supporting information**

- 4.1 Appendix 1 lists outstanding resolutions arising from meetings of the Audit and Governance Committee.
- 4.2 Appendix 2 lists the outstanding proposed actions arising from The Self-Assessments in 2017/18 & 2019/20.
- 4.3 For an exhaustive list of resolutions, refer to minutes of the meetings of the Audit and Governance Committee on the Council's Democracy Portal at the following link:

[https://democracy.derby.gov.uk/Committees/tabid/101/ctl/ViewCMIS\\_CommitteeDetails/mid/734/id/1894/Default.aspx](https://democracy.derby.gov.uk/Committees/tabid/101/ctl/ViewCMIS_CommitteeDetails/mid/734/id/1894/Default.aspx)

## Public/stakeholder engagement

5.1 None.

## Other options

6.1 None.

## Financial and value for money issues

7.1 None arising from this report.

## Legal implications

8.1 None arising from this report.

## Climate implications

9.1 None.

## Other significant implications

10.1 None.

This report has been approved by the following people:

Role	Name	Date of sign-off
Legal Finance Service Director(s) Report sponsor Other(s)	Simon Riley – Strategic Director of Corporate Resources Alex Hough - Head of Democracy	
Background papers:	Audit and Governance Committee agenda papers – on the Council's Democracy Portal	
List of appendices:	Appendix 1 - Outstanding Resolutions Appendix 2 - Proposed Actions Arising from The Self-Assessments in 2017/18 & 2019/20	

## Audit and Governance Committee

### Outstanding Resolutions

Meeting Date	Item	Resolution/ Action	Comments	Responsible Officer
3 November 2021	Minute Extract from Children and Young People Scrutiny Review Board - Special Needs Provision Recommendation to Audit & Governance Committee	To recommend that this is included in Internal Audit's quarter 4 SEND audit. To set up a small working group made up of the Committee Chair, Vice-chair, Head of Internal Audit and Strategic Director of Corporate Resources to address this matter alongside Internal Audit.	The SEND Audit Report has been completed. It was discussed at SLT on 12 July 22 and will be an agenda item for discussion at the next Committee meeting.	Richard Boneham

Meeting Date	Item	Resolution/ Action	Comments	Responsible Officer
26 January 2022	Committee Terms of Reference	To agree to the request of the Working Group that the three additional reports detailed in paragraph 4.7 are included in future Committee Work Programmes.  <ul style="list-style-type: none"> <li>A report on the level and adequacy of insurance arrangements – also to highlight number of claims etc</li> <li>An annual report outlining all activity involving the Council by external regulators</li> </ul>	These additional reports are now included on the 2022/23 Work Programme for the following meetings: <ul style="list-style-type: none"> <li>Insurance - October 2022</li> <li>External Regulators – March 23</li> <li>Partnership Governance – March 23</li> </ul>	Richard Boneham

		<ul style="list-style-type: none"> <li>An annual report on assurance around partnership governance</li> </ul>		
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Meeting Date	Item	Resolution/ Action	Comments	Responsible Officer
15 June 2022	Minutes of the meeting held on 23 March 2022	The Head of Internal Audit agreed to provide an update on the DDOS attacks and circulate it to the Committee members before the next meeting.	An update has been sent to all Committee members.	Richard Boneham
15 June 2022	Appointment of Independent Persons to the Audit and Governance Committee	Resolved to delegate authority to the Chair to investigate the potential for advertising for independent members to ensure that they are represented on the Committee and to bring a report back to the September meeting of the Committee.	Work is currently underway to benchmark payments to Co-opted members against other local authorities. The role will be advertised later this summer.	
15 June 2022	Audit and Governance Committee Annual Report 2021/22	To authorise the Chair of Committee to present the annual report to Council.	The annual report was presented to Council on Wednesday 20 July.	
15 June 2022	Internal Audit Annual Report 2021-22 and Head of Internal Audit Opinion	Members of the Committee referred to internal audit awareness training for staff and suggested that training be rolled out to staff wherever possible.	The intention is to start rolling this out from September 2022.	Richard Boneham
15 June 2022	Audit and Governance Committee – Draft Work Programme 2022/23	“Forest for the Future” - To ask relevant officers and decision-makers to attend the next meeting of the Committee to allow an opportunity for the decision-making process to be explored.	An update will be provided at the October meeting.	

## Appendix 2

**Proposed Actions Arising From The Self-Assessments in 2017/18 & 2019/20**

<b>Ref</b>	<b>Issue</b>	<b>Comment</b>	<b>Proposed Action</b>	<b>Progress Made</b>
	<b>Actions Arising From The Self-Assessment in 2017/18 that are still outstanding</b>			
19	Are meetings free and open without political influences being displayed?	Some Members felt that the Committee meetings were still being used to make political statements	The Chair reminds each Member that the Committee should be apolitical and shuts down any such activity.	Ongoing action - The need for the Committee to be apolitical is made clear to all members within the Committee's induction process. The Chair is aware of the need to prevent members of the committee making political statements in meetings.