

## **Audit & Governance Committee – Outstanding Resolutions**

### **Purpose**

- 1.1 At its meeting on 5 February 2020, the then Audit and Accounts Committee resolved:
  - to agree that a Resolution Tracking report be included in future agendas of the Audit and Accounts Committee.
- 1.2 Outstanding resolutions and actions are attached at Appendix 1 of the report.
- 1.3 Any completed resolutions and actions will be removed after the meeting.

### **Recommendations**

- 2.1 To track and review the outstanding resolutions and actions at Appendix 1 of the report.

### **Reasons**

- 3.1 To provide the Audit and Governance Committee with the opportunity to track and review any outstanding resolutions arising from meetings of that Committee.

### **Supporting information**

- 4.1 Appendix 1 lists outstanding resolutions arising from meetings of the Audit and Governance Committee.
- 4.2 For an exhaustive list of resolutions, refer to minutes of the meetings of the Audit and Governance Committee on the Council's Democracy Portal at the following link:

[https://democracy.derby.gov.uk/Committees/tabid/101/ctl/ViewCMIS\\_CommitteeDetails/mid/734/id/1894/Default.aspx](https://democracy.derby.gov.uk/Committees/tabid/101/ctl/ViewCMIS_CommitteeDetails/mid/734/id/1894/Default.aspx)

### **Public/stakeholder engagement**

- 5.1 None.

## Other options

6.1 None.

## Financial and value for money issues

7.1 None arising from this report.

## Legal implications

8.1 None arising from this report.

## Climate implications

9.1 None.

## Other significant implications

10.1 None.

This report has been approved by the following people:

Role	Name	Date of sign-off
Legal Finance Service Director(s) Report sponsor Other(s)	Alex Hough - Head of Democracy	

<b>Background papers:</b>	Audit and Governance Committee agenda papers – on the Council's Democracy Portal
<b>List of appendices:</b>	Appendix 1 - Outstanding Resolutions

## Audit and Governance Committee

### Outstanding Resolutions

Meeting Date	Item	Resolution/ Action	Comments	Responsible Officer
26 July 2023	Counter Fraud Annual Report 2022/23	Councillors asked whether mandatory e-learning for fraud awareness for all staff could be introduced. It was agreed that the Director of Finance and the Head of Internal Audit would discuss this and the associated costs.	Still awaiting details on e-Learning packages are available on Counter Fraud.	Richard Boneham Alison Parkin
11 October 2023	Annual Governance Statement 2022/23	to request that a report was brought to a future Committee meeting on changes to the Council's data management arrangements. Including detailed information on data breaches and how the Council planned to tackle the increase in data breaches.	A report will be brought to the June 2024 meeting.	Andy Brammall
11 October 2023	Allestree Hall and Golf Course – Internal Audit Investigation Report	Resolved to agree that a new Heritage Impact Assessment should be carried out.	Officers are currently making arrangements for a new heritage impact assessment to be carried out.	Paul Simpson
11 October 2023	Audit and Governance Committee – Updated Committee Work Programme 2023-24	A councillor asked for the Committee to receive a report on S106 and how it was currently implemented. It was agreed that Planning would be asked bring this to a future meeting and that a government representative would also be asked to attend.	A report is on the agenda for this meeting Including information on the impact of the Levelling-up and Regeneration Act 2023.	Paul Clarke

6 December 2023	Internal Audit Progress Report	Resolved to agree that services who did not respond to Internal Audit would automatically be invited to attend the next Audit and Governance Committee meeting.	An email from the Chair to all Service Directors and Heads of Service to remind them that they need to engage with Internal Audit has been drafted. The Chair has reviewed this and provided re-drafted versions for consideration.	Richard Boneham Alison Parkin
24 January 2024	Risk management – assurance update	It was agreed that future reports would include links to these risk registers and would also include more detail on anything reporting 'some /major slippage' for actions or where controls were not in place.		Sarah Walker
24 January 2024	Risk management – assurance update	It was agreed that training for all Committee members on risk would be provided. It was also agreed that links to the public risk registers would be shared with Committee members after the meeting.	Risk register links have been shared with members and risk training has taken place.	Dominic Monahan Sarah Walker
24 January 2024	Risk management – assurance update	A member of the Committee asked for future reports to include an additional column on risk tolerance against the current score. It was agreed that this would be included in future reports.	This will be incorporated into future reports.	Sarah Walker
24 January 2024	Programme Management Office (PMO) Update	It was noted that visibility of project progression was important and that links with consultation, external websites and performance would be included in future reports. It was also agreed that the definition of outcomes and what was being measured against would be looked into.	This will be incorporated into future reports.	Sarah Walker
24 January 2024	Programme Management Office (PMO) Update	Councillors discussed how member scrutiny of project scope could take place at the right points and whether training could be provided for all	Work is ongoing in this area.	Sarah Walker

		councillors and Co-opted members. The Head of Strategy, Performance and Partnerships agreed to look into this.		
24 January 2024	Audit and Governance Committee – Updated Committee Work Programme 2023-24	A councillor asked whether a review of AI implications could be included in the work programme. It was agreed that this would be added to the future items list.	This has been added to the future items list in the work programme.	Richard Boneham
24 January 2024	Treasury Management Mid-Year Report 2023/24	A member of the Committee asked for the second column in Table 11 to show a cumulative figure in future reports. The Director of Finance agreed to look into this.	Changes will be incorporated into future reports.	Alison Parkin