



COUNCIL CABINET
10 February 2020

ITEM 14

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Finance

**2020/21 Quarter 3 Financial Monitoring for General Fund, Capital Budgets,
Dedicated Schools Grant, Collection Fund and Housing Revenue Account**

Purpose

- 1.1 To summarise the Council's forecasted financial outturn position to 31 March 2021 based on the financial position as at 30th December 2020.

Summary

- a) **Revenue budget:** The Council is currently forecasting an overspend of £6.415m against our base budget requirement of £238.384m. This is an improved position from Quarter 1.

The Council's forecast overspend for 2020/21 is 2.69% of the current budget. Within this forecast is the expectation that £2.970m savings is delivered against a target of £4.746m included within the 2020/21 budget approved by Council in February

- b) **Capital budget:** Capital expenditure to date is £58.139m and our forecast is estimated at £127.769m against an approved capital budget of £183.663m
- c) **Reserves:** Following cabinets approval on 16th December 2020 to reallocate reserves the General Fund Reserve balance at 30th December is £8.933m, our Earmarked Reserves have a future years' forecast balance of £8.669m and the Budget Risk Reserve now has a future years' forecast balance of £1.755m after taking account the current forecast overspend of £6.415m. Although the reallocation of reserves has improved the future years balance on the Budget Risk Reserve, careful consideration and scrutiny needs to be given for the further need to drawdown from reserves to ensure there is adequate cover for current and future planned needs, unforeseen eventualities and ensure financial resilience is upheld in relation to our reserves balances. The planned use of reserves to support the budget alongside the in-year call on reserves to fund the overspend has significantly reduced the level of reserves available to support the future years MTFP or fund any unforeseen pressures or expenditure
- d) **Treasury Management:** Total debt is £447.716m and total investments are £77.689m compared to £448.008m and £76.873m as at 31 March 2020. No new long term borrowing has been made or is anticipated to be made in the year. A large PWLB loan of £20.000m is due to be repaid in March 2021
- e) **Dedicated Schools Grant (DSG):** The total grant of £253.189m has been allocated to schools and retained educational services. There is an overspend

forecast on the High Needs Block of the DSG of £1.35m. DSG Reserve Balances were reported at £2.582m at the start of the financial year and is estimated to be significantly reduced by the end of the financial year

- f) **Collection Fund:** Council Tax billed for the 2020/21 financial year is £124.33m of which £98.85m or 79.07% has been collected. Business Rates billed for the 2020/21 financial year is £53.70m of which £41.91m or 77.72% has been collected
- g) **Housing Revenue Account (HRA):** The full year forecast projects a planned use of the HRA reserve of £4.592m
- h) **Performance on sundry debt collection:** As at 31 December we have billed £53.19m in Sundry Debts and we have collected 90.63%.
- i) **Covid forecast:** The current full year potential forecast pressure for both revenue and capital is £29.6m. To date we have received £23.5m in Government funding of which £0.527m was used to fund pressures in 2019/20 leaving a balance of £23m (this includes the funding allocation for the 4th tranche of covid pressures funding recently announced), we are forecasting to receive £5.5m from the income support scheme but these allocations have not yet been confirmed. Based on current forecasts and planned use of the Housing Revenue Account reserve to fund associated HRA pressures, the support from Government is forecast to meet the additional costs and impact on Council income arising from the pandemic. However, if expenditure continues to increase there is a risk that any further shortfall will need to be funded from reserves if no more Government funding is available.

1.2 Further analysis and explanations of key variances are provided in section 4 of the report.

1.3 A summary of the net revenue forecast by directorate is set out in the table below.

Table 1 – Net Revenue Forecast to 31 March 2021 by Directorate

Directorate position as at 30 th September 2020	Approved Budget £m	Current Budget £m	Actual Spend £m	% of Current Budget to Actual	Full year forecast £m	Forecast Variance £m	Covid 19 Forecasts £m ****
*Peoples Services	155.039	155.788	102.414	66%	162.529	6.741	11.460
**Communities and Place	42.371	43.677	15.551	36%	43.977	0.300	13.422
Corporate Resources	33.810	34.790	34.151	98%	34.631	(0.159)	2.913
***Corporate	9.752	6.901	(68.470)	(992%)	6.434	(0.467)	0.409
Sub Total	240.972	241.156	83.646	35%	247.571	6.415	28.204
Original approved transfer from reserves	(2.772)	(2.772)			(2.772)		
TOTAL	238.200	238.384	83.646	35%	244.799	6.415	28.204

*Peoples Directorate, Children's services actual spend includes (£5.8m) credit for schools balances

- appropriations.
- ** Communities and Place actual spend includes (£12.284m) HRA spend and Covid forecast includes £2.186m HRA Covid pressure
- *** Corporate, Actuals include Covid Government grants including Council Tax Hardship and BIES.
- **** Covid 19 impact to be funded from Government Funding and Council Reserves (as required)

Recommendations

2.1 To note:

- a) The revenue projected outturn and key budget variances set out in the report in section 4.2 and the savings to be delivered in the year in section 4.3
- b) The current forecast Covid spend and funding as set out section 4.10 and in appendix 2
- c) The capital programme forecast, and actual capital expenditure incurred during the Quarter summarised in section 4.4
- d) The changes already approved under scheme of delegation to the capital programme detailed in Appendix 3
- e) The direct revenue financing as detailed in section 4.4.42 to 4.4.46
- f) The Council's reserves position, as set out in section 4.5 and Appendix 1
- g) The Council's treasury position and performance in the quarter, as set out in section 4.6
- h) The forecast Dedicated Schools Grant position summarised in section 4.7
- i) The Council Tax and Business Rates Collection performance as set out in section 4.8
- j) The Housing Revenue Account performance and projected outturn as set out in section 4.9

2.2 To approve:

- a) To approve changes to the 2020/21 - 2022/23 capital programme outlined in section 4.4 and detailed in Appendix 4.
- b) To approve the creation of a Collection Fund Smoothing Reserve and to approve the yearend transfer to this reserve as a result of the 75% Income Scheme for 2019/20. Furthermore, that delegated approval is given to the Strategic Director of Corporate Resources and S151 Officer to use this reserve in line with its intended use as appropriate, as set out in section 4.8.6.
- c) To approve carry forward of any unspent reserve funding relating to the Market Place projects which was approved in November 2020, as set out in section 4.2.29 to 4.2.31.
- d) To approve the transfer to reserves of £0.050m for works relating to City Vision that have been delayed during 2020/21, as set out in 4.2.38.

Reasons

- 3.1 To provide assurance that the Budget approved by Council on 26th February 2020 is being effectively monitored and any major variances reported to Cabinet on a regular basis.

Supporting information

- 4.1 The following notes, in sections 4.2 to 4.9, provide further analysis and explanations of key variances for each element of the financial forecast outturn.

4.2 Revenue Budget

The Council Budget 2020/21 approved at 26th February 2020 Full Council outlined the 2020/21 approved budget position. After this report was approved a number of further technical adjustments were actioned which included cross directorate transfers. These were required to give an accurate reflection of the detailed required budget position by directorate. These adjustments did not change the net approved budget total of £238.200m.

- 4.2.1 **Peoples Services Directorate** – The Directorate's full year revenue forecast indicates an overspend of £6.741m, as set out in the table below:

Directorate position as at 30 September 2020	Approved Budget £m	Current Budget £m	Actual Spend £m	% of Current Budget to Actual	Full year forecast £m	Forecast Variance £m
Adult Services	77.355	77.513	53.459	69%	76.429	(1.084)
Children Services	61.749	62.271	41.766	67%	70.346	8.075
Public Health	15.935	16.004	7.189	45%	15.754	(0.250)
Sub Total	155.039	155.788	102.414	66%	162.529	6.741

- 4.2.2 The Peoples Services directorate is a significant and complex service area for the Council, providing mainly statutory services to the people of Derby.
- 4.2.3 The services include covering the provision of education and learning in Derby schools, specialist support including services to children in care and care leavers, special educational needs and disabled children services, safeguarding of the most vulnerable children and adults and the associated regulatory duties.
- 4.2.4 Adult social care offers support services to vulnerable adults, as well as providing information and advice about social care to the general public more broadly and to people who fund their own support. Specifically, adult social care supports older people as the single largest group of individuals in the city, plus an increasing number of adults of working age with physical, mental health and learning disability support needs. The Council also has a duty to ensure sufficiency and quality of adult care services in the local area for all residents.

- 4.2.5 The Directorate also discharges the full suite of statutory requirements relating to public health, with responsibility for improving the health of the local population and for public health services including most sexual health services, public health in children's nursing, statutory advice to NHS commissioners and services aimed at reducing drug and alcohol misuse. Our public health team has been directly involved in leading the city through the Covid pandemic.
- 4.2.6 The coronavirus (Covid 19) pandemic represents a time of severe pressure across society particularly across health and social care services. There have been a raft of new requirements including:

- **NHS Covid 19 Hospital Discharge Service**

The introduction of this was to primarily ensure that the capacity to support people who have acute healthcare needs in hospitals was protected by organising a safe and rapid discharge of those people who no longer need to be in a hospital bed. A Derbyshire wide Strategic Coordination Group across the NHS and social care worked together to ensure the creation and maintenance of acute bed capacity through faster rates of appropriate discharge from NHS beds. Since Quarter 1 reporting this scheme has moved into the next phase, phase two. Clients from phase 1 are to be assessed and transitioned into health or social care responsibility under a business as usual transition with phase 2 discharges being administered through a maximum of a six week support through this scheme. The forecast at Quarter 3 accounts for the phase 1 transitions into social care support where appropriate.

- **Additional financial support to care providers including the Infection Control Fund**

The Council, through additional grants received from Central Government, has provided additional financial support to providers of adult social care services to ensure that the additional costs of care are met through the Pandemic. PPE costs and staffing costs have increased and although some Government funding has been targeted at care homes to assist them with measures to prevent the spread of the virus amongst staff and residents, this initial support ended in September 2020 although a second tranche of funding has been received to support the sector through the winter demands of the continued pandemic.

There is a high risk that the cost of care will permanently increase without any further long-term funding injected into the system and also that the demand for adult social care will increase. These risks and challenges have been highlighted nationally by the Association of Directors of Adult Social Services (ADASS).

- 4.2.7 **Adults Services and Public Health** - are forecasting an underspend of £1.084m for Adult Social Care and £0.250 for Public Health at Quarter 3 which is a significant improvement from an underspend of £0.599m reported at Quarter 2. This is predominately due to additional funding received for Covid19 support to the vulnerable; staff and resources have been reallocated to provide this and from the national Infection Control Programme where it has supported the Council's own inhouse care providers as they too are eligible for the additional funding.

4.2.8 **Children's Services**

As predicted, when the schools reopened in September demand increased across all areas of children's safeguarding services and this continued throughout Quarter 3. The final two weeks of September recorded the highest ever rates of contacts to Reception Services (our initial referral point). 1994 contacts were recorded in September 2020. This compares to 1702 recorded in September 2019 and represents a 17% increase. The subsequent generation of referrals from these increased contacts has also risen by 27%. (282 vs 359). There has also been a subsequent rise in the numbers of children subject to a child protection plan (CPP) with a significant increase of 72 new CPP cases over the course of Quarter 3 rising from 394 at 30 Sept 2020 to 466 at 31 Dec 2020. This is the highest figure we've seen since September 2018. This pressure and increase in demand are not unique to Derby and has been robustly flagged to the Department for Education by the Association for the Directors of Children's Services (ADCS).

The numbers of children in care in Derby have remained relatively steady and throughout Quarter 3 ranged from 640—645. The biggest challenge and a direct result of the pandemic is that children are staying in care for longer durations due to the significant backlog of cases in the family proceedings court which is causing significant bottle necks in placement provision and in some cases, children securing permanence. We also have a significant number of foster carers unable to take additional children because they are shielding from the virus or have existing placements "staying put".

- 4.2.9 As a result of these issues and the fact that some of the transformational and demand management programme work temporarily ceased during the earlier part of the year the service is currently forecasting an overspend of £8.075m. This overspend is predominantly linked to the cost of care, at the end of Quarter 3 the numbers of looked after children had risen to 644 from 570 at the point the budget for 2020/21 was set and 640 at Quarter 2. There are indications that the numbers could increase to circa 678 as we reach the end of the financial year although this level has not been built into the financial forecast.
- 4.2.10 Whilst the numbers of children in care has remained relatively steady in Quarter 3, the numbers of care placements commissioned from the private and independent sector had risen to unprecedented levels, beyond that assumed at the time the budget was set where an additional £2.1m had been invested into this area. This highlights the significant pressure and challenge with the external care market where demand outweighs supply which in turn pushes up costs for local authorities. The Department for Education have agreed to undertake a Care Review but there has been delay in this getting underway.
- 4.2.11 To reduce the overspend, the Council has established a number of transformational work streams to address the market sufficiency issue. These include increased corporate support and governance - a corporate demand management board has been set up, which is coordinating the range of actions and proposals outlined below. The board is chaired by the Strategic Director of Peoples Services. Areas of focus include:

- 4.2.12
- A corporate approach to foster care recruitment to improve the number of internal fostering households and reduce the need to buy places from the independent sector which are more expensive than those provided through our own carers; this is making impact with significantly 40% increase more fostering applications and assessments underway this year compared to the last two years. In addition, there has been a 71% increase in friend's family assessments which has reduced the need for independent sector accommodation.
 - Work has now been completed to reconfigure a building on the site of one of our children's homes leading to the opening of a two bedded home in Quarter 4 which would then enable step down from the more expensive agency residential placements
 - Strategic Housing and Derby Homes are working closely with children's services to support demand and have purchased four flats that have come on stream which will enable young people to be stepped down from our internal residential homes and in turn we can then appropriately transition young people from external residential care into our own children homes.
 - The Council has successfully implemented a new Children in Care placement framework across Derby, Derbyshire, Nottingham and Nottinghamshire LAs (D2N2), to replace the current East Midlands Regional Framework due to expire shortly. The new D2N2 framework will enable more flexible packages of care
 - Continued challenge for permanency solutions for children in long term placements
 - A review of processes and payments to ensure system efficiencies are maximised with new systems implemented May 2020. It's difficult to quantify what savings this would deliver immediately; the opportunities will become more apparent as we analyse the data from this
 - An additional financial investment into the Exit from Care and Child Permanency Teams which will enable the service to employ additional social work capacity to ensure that where appropriate and safely to do so children are supported through to their permanent arrangements and exiting the care system. If we use the average cost per care episode from 2019/20 (£48,549), the number of exits from care through the Exit team could yield £1.1 million based on 24 exits in a full year. One of the Council's two in-house care homes temporarily closed in 2019/20 has successfully reopened with the second one expected to reopen in Quarter 4, providing valuable in-house placements where we are currently having to place more children that what would be the usual number in expensive independent care homes. This would save £2 million in a full year as 8 external residential placements are returned.

4.2.13 **Communities and Place Directorate**

Directorate position as at 30 December 2020	Approved Budget £m	Current Budget £m	Actual Spend £m	% of Current Budget to Actual	Full year forecast £m	Forecast Variance £m
Communities & Place	42.371	43.677	15.551	36%	43.977	0.300
Sub Total	42.371	43.677	15.551	36%	43.977	0.300

**Communities and Place actual spend includes HRA spend of (£12.284m).

- 4.2.14 The Directorate's full year revenue forecast is a total spend of £43.977m, £0.300m over its allocated budget of £43.677m. The main variances can be explained as follows:
- 4.2.15 Prior to the Covid pandemic, Leisure, Culture and Tourism were projecting an underachievement in income which transpired in 2019/20. This underlying pressure is forecast to continue into 2020/21 with an estimated shortfall in income of £0.477m. This relates to historically set income targets, changes to provision in the city and downturn in national trends, and, the impact of the current unavailability of the Guildhall theatre. A pressure of £0.460m has been included in the 2021/22 MTFP to address this estimated income shortfall.
- 4.2.16 Parking income fell in 2019/20 due to reducing visitor numbers. This underlying pressure is forecast to continue into 2020/21 with an estimated £0.600m of underachieved income.
- 4.2.17 A delay to the implementation of the expansion of the Carelink service in line with original deadlines has resulted in a pressure of £0.280m in underachieved income.
- 4.2.18 The Streetpride service (excluding Waste) is currently forecasting an underachievement of income of £0.112m as a result of their reduced ability to earn income.
- 4.2.19 There is a forecast salary pressure of £0.689m, relating to staff at top of grade, low turnover, filling of interim posts and salary increases, including the 0.75% unbudgeted pay award for 2020, across the directorate. This is partially offset by vacancies across the service (£0.228m) and salary costs being funded by Public Health (£0.191m).
- 4.2.20 There is an ongoing pressure of £0.063m against NNDR as a result of revaluations to Springwood Leisure Centre and Moorways Stadium. These are currently in the process of being appealed.
- 4.2.21 There is a pressure of £0.424m in the Regeneration service as a result of a missed accrual relating to D2N2 contributions in 2019/2020 financial year and abortive project costs for Cathedral Green and Project Mulberry.
- 4.2.22 There is a pressure of £0.075m against Strategic Housing as a result of costs associated with the Milestone House roof maintenance issue.
- 4.2.23 The overspends are offset by a forecast underspend in the Waste Management service of (£1.273m) which is due to lower than expected Garden Waste tonnages and a higher than expected diversion away from Residual Waste into other waste streams. The increased diversion results in a lower cost of disposal
- 4.2.24 The overspends are further offset by overachievement of income (£0.163m) partially reduced by increased costs £0.081m within Highways maintenance as a result of additional capital work being carried.
- 4.2.25 Concessionary fares are forecasting a (£0.400m) underspend due to a freeze of 19/20 passenger numbers under Covid-19 legislation, fare increases held at pre Covid-19 levels and Yourbus ceasing operations

- 4.2.26 The position has benefitted from a (£0.225m) refund relating to the prior years expenditure on Alfreton Road Bridge repairs.
- 4.2.27 Other minor savings across the directorate (£0.021m).
- 4.2.28 There is an additional pressure of £11.236m relating to the Covid-19 Pandemic. This is due to the loss of income across all services and increased costs associated with the pandemic. It is assumed that this pressure will be managed within the Covid Allocations from Central Government in the short term. .
- 4.2.29 **Derby Market Place projects reserve funding**
Funding was given to progress a number of small, high impact projects that would help to halt the decline of the city centre which has been accelerated by Covid by encouraging people to come back into the city with an improved experience of it. These projects included Derby Market Place project from the Summer outdoor dining offer to support the hospitality industry throughout Autumn (at which point the infrastructure was then used as part of a Covid safe, Festive Derby offer). The projects also included creative interventions to improve the experience of being in the city and halt any feeling of decline caused by business closures. As a result of the second wave and further lockdowns, it has not been possible to progress all off the projects.
- 4.2.30 The second wave of the Pandemic and further lock downs have had the effect of delaying the point at which we will be encouraging people to come back into the city safely. It will doubtless increase the need for the projects as a result of further business failure and more retail vacancies. The projects will essentially remain the same and include; lighting and projections, window decoration, urban art, greening and planting/ parklets and pop up cultural activity. They also feed into the Meanwhile Use and Derby Makers projects.
- 4.2.31 It is recommended to approve carry forward of any unspent reserve funding relating to the Market Place projects which was approved in November 2020.
- 4.2.32 **Corporate Resources Directorate and Corporately Held Budgets**
The Directorate's full year revenue forecasts alongside Corporately held budgets shows an underspend of (£0.626m) against current allocated budget of £41.691m, as set out in the table below:

Directorate position as at 31 December 2020	Approved Budget £m	Current Budget £m	Actual Spend £m	% of Current Budget to Actual	Full year forecast £m	Forecast Variance £m
Corporate Resources	33.810	34.790	34.151	98%	34.631	(0.159)
Corporate	9.752	6.901	(68.470)*	(992%)	6.434	(0.467)
TOTAL	43.562	41.691	(34.319)	(82%)	41.065	(0.626)

*Corporate actuals include Government Covid 19 funding, including Business Support grant funding and Council Tax Hardship support funding.

4.2.33 **Corporate Resources**

Corporate Resources budgets are forecasting an outturn underspend of (£0.159m), this assumes £2.913m of Covid grant funding is allocated to the directorate to meet associated pressures. The main variances are outlined below:

- 4.2.34 Financial Services are forecasting an outturn overspend of £0.078m assuming £1.491m of Covid grant funding. The main variances are staffing underspends (£0.140m), new burdens grant funding (£0.200m), Housing Benefit payments £0.183m mainly as a result of reduced overpayment recovery, increased external audit fees of £0.175m and other minor variances across the service of £0.060m.
- 4.2.35 Legal, Procurement and Democratic Services are forecasting an outturn overspend of £0.505m assuming £0.098m of Covid grant funding. The main variances are £0.292m relating to additional insurance premiums and £0.231m due to estimated additional insurance provisions required. There is unachievable land charges income due to lower demand £0.179m, one-off savings relating to postponement of local elections (£0.162m) and other minor net variances across the service of (£0.035m).
- 4.2.36 Internal Audit are forecasting an outturn overspend of £0.022m as a result of a contribution to Central Midlands Audit Partnership (CMAP) budget shortfall.
- 4.2.37 Human Resources are forecasting an outturn underspend of (£0.103m) assuming £0.007m of Covid grant funding. The main variances are staffing underspends of (£0.061m) together with other net variances across the service of (£0.042m).
- 4.2.38 Corporate Core are forecasting an outturn underspend of (£0.046m) assuming a transfer to reserves of £0.050m for the one-off allocation for City Vision works that have been delayed in year because of Covid-19. It is recommended to approve this transfer to reserves and the use of this reserve in 2021/22. The main variances are staffing underspends (£0.031m) and other net variances of (£0.015m).
- 4.2.39 Digital Services and Customer Management are forecasting an outturn overspend of £0.015m assuming £0.536m of Covid grant funding. The variance is due to staffing turnover in Customer Management.
- 4.2.40 Property Services are forecasting an outturn underspend of (£0.630m) assuming £0.781m of Covid grant funding. The main variances are additional fee income (£0.295m), additional income from DWP for extended opening hours until March 2021 (£0.184m), staffing underspends of (£0.126m) and various net minor variances (£0.025m).

4.2.41 **Corporate Budgets**

The full year forecast projects an underspend of (£0.467m) assuming use of £0.409m of Covid grant funding against a current base budget of £6.901m. This is mainly due to Corporate contingency underspends of (£0.527m), Corporate revenue contingency budget uncommitted balance (£0.155m) and uncommitted Opportunity Fund balance (£0.054m). There is a forecast overachievement of annual leave purchase saving (£0.083m), a one off banking contract saving of (£0.048m), a one off pressure due to an unachievable layers and levels saving £0.250m (which has been removed as a permanent saving in next year's medium term financial plan) and an shortfall in budgeted income for the Scape Dividend £0.150m.

- 4.2.42 The Corporate budgets contain an income accrual of £1.073m to the Derbyshire Pension fund for the Pension costs for service payment and historical pension deficit. Estimates suggest that the figure supplied by the actuary were overstated and the current forecasts assume that the service pension overpayment will be repaid by Derbyshire County Council to the Council. Negotiations with the fund are ongoing.

4.3 Savings delivery

The Council's Revenue budget for 2020/21 included savings targets for each directorate in order to support a balanced budget position.

2020/21 Directorate Savings Targets	Approved Savings Targets £m	Savings Delivered £m	Year End Shortfall £m	Forecast Variance Delivered %
Peoples Services	1.596	0.200	1.396	13%
Communities and Place	1.415	1.035	0.380	73%
Corporate Resources	1.735	1.735	-	100%
Total	4.746	2.970	1.776	63%

- 4.3.1 The following notes provide a summary of each directorate's performance in delivering its savings targets.

- 4.3.2 **People's Services:** - of the current £1.396m shortfall, £0.500m for the review of adults care packages and £0.296m for Remodelling of Child Placement Service through Social Impact bonds are at risk of deliverability in year due to Covid priorities, £0.400m foster care and £0.200m Early Help management restructure savings are work in progress, it is too early to assess deliverability of these savings particularly with the impacts of Covid.

- 4.3.3 **Communities and Place** are forecasting to achieve £1.035m of the target. Of the current £0.380m shortfall, the £0.280m Carelink saving is currently a work in progress and may not be achieved until 2021/22 due to the delays in implementation during the Covid period.

The only unachievable saving is the £0.100m additional Leisure income due to the reduced income levels whilst the service has been closed.

- 4.3.4 **Corporate Resources:** There are three savings currently forecast to be unachievable within Corporate budgets. There is a staffing 'layers and levels' saving of (£0.250m), however this has been mitigated in 2020/21 through other one-off underspends within the Corporate budgets. There is an undeliverable Commercialisation saving of (£0.250m) and a grey fleet mileage saving of (£0.035m) which is currently assumed to be funded one off for this year only through Covid funding as these savings cannot be implemented due to the current pandemic. All other Corporate Resources savings are on track to be delivered either wholly or in part with alternative mitigation in place where appropriate.

4.4 Capital Monitoring

The capital expenditure forecast for 2020/21 has been reviewed and the updated forecast is now £127.769m and detailed at section 4.4.1 to 4.4.51. There is a forecast underspend of (£55.894m) against the approved budget of £183.663m agreed by Full Council on 26th February 2020. The majority of this underspend will slip (along with its financing source) into future years.

4.4.1 The table below analyses the main variances by service against the profiled Quarter 3 budget and the actual spend.

2020/21 Capital Programme by Service Area	Approved Capital Budget	Outturn slippage approved July 2020	Revised Approved	Actual Spend	Current full year forecast/ Revised Budget	Forecast Variance to original Approved Budget
	£m	£m	£m	£m	£m	£m
Schools	32.325	0.417	14.634	8.061	12.791	(19.534)
Housing General Fund	6.167	0.272	3.241	1.199	2.682	(3.485)
Property Improvement	29.552	1.750	27.937	15.060	27.542	(2.010)
Flood Defence	0.250	1.250	1.621	0.272	0.861	0.611
Highways & Transport	28.313	5.948	46.177	15.463	31.909	3.596
Vehicles Plant & Equipment	2.092	0.294	6.378	4.308	7.180	5.088
Regeneration	42.021	2.191	32.804	4.782	21.134	(20.887)
Information and Communication Technologies (ICT)	3.921	0.581	2.646	1.736	2.054	(1.867)
Housing Revenue Account (HRA)	33.022	0.711	21.212	7.258	21.212	(11.810)
Corporate	6.000	-	3.754	0.000	0.404	(5.596)
Total	183.663	13.414	160.404	58.139	127.769	(55.894)

4.4.2 Capital expenditure to date is low against the original approved budget due to the heavy profiling of schemes spend towards the end of the year and the impact of the COVID pandemic on scheme deliverability.

4.4.3 The revised approved capital programme including outturn slippage was £197.077m. Further reductions totalling (£31.812m) have been previously approved by Cabinet and changes this Quarter requiring approval of (£37.496m) to reduce the programme, are detailed below and in Appendices 3 and 4.

- 4.4.4 The main changes over and above outturn slippage are:
- Removal of the Project Mulberry scheme due to the economic climate
 - Removal of the Cathedral Green scheme due to significant rise in home working and a reduction in demand for office space as a result of Covid 19
 - The impact of Covid 19 on the ability for capital schemes to go ahead as planned
 - Slippage of the Transforming Cities grant Fund (TCF) allocation which was received late in the financial year therefore it has been difficult to be able to get schemes off the ground quickly
 - Additions of new schemes and funding onto the programme without full business case and gateway approval to progress the schemes forward, e.g. Smartparc (There are certain restrictions on spend on these projects until gateway approval is gained)
- 4.4.5 **Schools**
The school's capital programme requires net changes of (£1.890m) as detailed in Appendices 3 and 4. The significant changes are outlined below.
- 4.4.6 Following recent confirmation by the DfE, Chellaston Academy are scheduled to transfer to a new multi-academy trust in April 2021. This will require the Council to enter into a new grant agreement, with the new academy trust, for the expansion of Chellaston Academy. Until the school has transferred to the new trust it is not possible to enter into the grant agreement for the expansion. The Council have consulted with the DfE and the new trust, regarding the expansion, in order to secure continued support for the proposal. However, due to the timing of the transfer of the academy to a new trust in April, slippage of £1.200m is now required.
- 4.4.7 **Housing General Fund**
The Housing General Fund capital programme requires net changes of (£0.559m) as detailed in Appendix 4. The significant changes are outlined below.
- 4.4.8 Delays caused from the impact of Covid-19 have meant spend on Milestone House is not as expected within the delivery plan therefore (£0.465m) is to be slipped into 2021/22.
- 4.4.9 **Property Improvement (including Parks and Open Spaces)**
The Property Improvement capital programme requires net changes of (£0.395m) as detailed in Appendices 3 and 4. The significant changes are outlined below:
- 4.4.10 The second phase of Improvement works on flooring & roofing at Queens Leisure Centre has been delayed and will now commence in the new financial year resulting in a (£0.300m) slippage into 2021/22. This is due to the impact of Covid-19 working restrictions.
- 4.4.11 The second phase of Improvement works at Nottingham Road Cemetery has been delayed and will now be commencing in the new financial year resulting in a (£0.200m) slippage into 2021/22, this is due to the impact of Covid-19 on working conditions.

- 4.4.12 A feasibility and costing exercise required for additional works to be carried out by the Property Design and Maintenance team prior to any further works at Oakwood Community Centre have been undertaken and the scheme has come in £0.065m over the available budget. It is envisaged that a possible revised scheme of works can be drawn up following further discussions with the local ward members and Oakwood Community Association who run the building. All (£0.203m) will therefore be slipped into 2021/22.
- 4.4.13 Feasibility works on Parklife Strategic Football Hub have not been able to be carried out as specified in the delivery plan due to Covid 19 working practices. 20% of the budget will be required in 2020/21 with the remaining (£0.281m) slipped into 2021/22.
- 4.4.14 The Moorways Sports Village, swimming pool project is progressing well, with the whole team striving to uphold and where possible, improve delivery. £1.600m of spend has been brought forward to reflect accelerated procurement as materials are being held on site to ensure the robustness of the supply-chain delivery in response to the impacts of Covid-19. Furthermore, construction is professionally directed, there are comprehensive procedures in place to meet HMG guidance on Covid-19 and the site is presently operating effectively within the Government guidance.
- 4.4.15 **Flood Defence**
The Flood Defence capital programme requires net changes of (£0.760m) this Quarter as detailed in Appendix 3. The significant changes are outlined below.
- 4.4.16 Cuttlebrook Flood Defence Alleviation Scheme started in 2020/21 behind the original schedule due to delays in the contractor signing the delivery agreement in 2019/20. The scheme will not be completed in 2020/21 due to an unexpected planning application being required and some Covid-19 impacts. Derby City Council and Balfour Beatty are now programmed to deliver the scheme by autumn 2021 resulting in (£0.600m) slippage into 2021/22.
- 4.4.17 Merrill Way and Boulton Lane Rain Gardens is part of the European Regional Development Fund (ERDF) Derby and Nottingham City Council (DN) Metro Phase 2 projects. A reduction of (£0.229m) is required which is (£0.108m) slippage due to the delivery agreement with Nottingham City Council, the DN Metro partner, not being completed. Further delays are a result of staff departures in the Land Drainage and Flood Defence team.
- 4.4.18 **Highways and Transport**
The Highways and Transport capital programme requires net changes of (£14.268m) this Quarter as detailed in Appendix 3 & 4. The significant changes are outlined below.
- 4.4.19 The highways maintenance budget requires slippage of (£0.495m). Eligible projects have been diverted to Smarter Choices to meet grant conditions to maximise grant funding which must be spent by year end.

- 4.4.20 Structures maintenance requires slippage of (£0.632m). The slippage is a total across a number of schemes within this budget head; including the Structural Asset Improvement Scheme where work has been delayed due to the priority in completing the A52 scheme.
- 4.4.21 Strategic Network Management requires slippage of (£0.555m). A project to facilitate ultra-low emission vehicle uptake by the implementation of two electric vehicle hubs for hackney carriages in the city centre has been placed on hold awaiting a corporate decision on taxi Electric Vehicles transition targets. Current targets are not sufficiently aligned with commitments given to Government on receipt of the grant. Discussion is ongoing with Members, Taxi Licensing and Joint Air Quality Unit.
- 4.4.22 Public Transport requires slippage of (£0.726m). Work on this project has been delayed due to Covid-19 priorities and the closure of the bus station during lockdown 1.
- 4.4.23 A number of schemes within the Air Quality project have been delayed due to their dependence on the main junction improvement scheme which has been delayed and is now scheduled to start on site in January. Slippage of (£2.682m) is therefore required. In addition, there have been procurement and contractual difficulties with two of the intelligent transport system schemes.
- 4.4.24 The Transforming Cities programme has a number of slippages required across the programme totalling (£8.156m). The grant funding was announced in late March 2020 later than originally anticipated. This has inevitably delayed the establishment of project resources and impacted on delivery. Workstream-specific narrative is as follows:
- Interchange Hubs (£1.276m) slippage – A new experimental Traffic Regulations order has been introduced on Corporation Street and ongoing monitoring is taking place. A design brief has been issued to consultants for public realm and interchange improvements and consultation materials are being developed.
 - Public realm (£1.061m) slippage - Preliminary design is being developed in house and utilising existing procurement frameworks.
 - Cycle Expressway (£0.522m) slippage – Consultants have been procured to develop designs.
 - Bus priority (£0.207m) slippage – Consultants are reviewing and finalising the solutions for the identified pinch points on the network and will provide recommendations to resolve these where possible. The Pentagon island traffic signal refurbishment designs are being reviewed and the controller specification is being prepared.
 - Park & Ride (£1.211m) slippage. External consultancy support has been procured to develop designs and review the economic case. The Council are working on land assembly and pre-application discussions have taken place with the planning policy team.
 - Bus and Rapid Transport Links (£3.255m) slippage. External consultancy support has been procured to develop designs and review the economic case.

- Local Cycling and Walking Improvement Programme (£0.598m) slippage. In house design resources are also being used and works are due to start in January on Chequers Road in January 2021.
 - Other minor slippage (£0.026m)
- 4.4.25 Due to contractual, legal, and supply issues there has been a number of delays which extended further into the financial year than expected. Slippage of (£0.425m) is therefore required on the Street lighting LED scheme based on Balfour Beattys latest valuation which takes into account these delays.
- 4.4.26 **Vehicles Plant and Equipment**
The Vehicles Plant and Equipment capital programme require net changes of £0.802m as detailed in Appendix 4. There are no significant changes.
- 4.4.27 There is an increase to the Waste Plant scheme of £1.000m. Due to the lack of available information previous forecasting covered costs to the end of Quarter 3. The additional funding covers the scheme to year end. The funding for the waste plant will be corporate borrowing from the futures provision.
- 4.4.28 **Regeneration**
The Regeneration capital programme requires net changes of (£16.712m) as detailed in Appendices 3 and 4. The significant changes are outlined below:
- 4.4.29 The signage provision at Infinity Park Derby has been reviewed. The proposal does not represent best value for money and this funding will be utilised for the strategic priorities at IPD including the delivery of core infrastructure in relation to the wider drainage strategy. Therefore, a reallocation of £0.207m is required from the IPD wayfinding scheme to the IPD contingency budget.
- 4.4.30 The Silk Mill had slippage of (£0.914m) approved by Cabinet in July 2020 to provide a loan to the Museum's Trust of up to a maximum value of £0.914m to support the organisations cash-flow for 2020/21. It is not now anticipated that a loan will be required in 2020/21 however, whilst there is the ongoing Covid situation and until the scheme is fully complete in 2021 it is prudent to hold this provision in the capital programme and slip to 2021/22 to be reviewed at next financial year.
- 4.4.31 The budget for Becketwell for 2020/21 has been slipped (£0.430m) to align with the programme / cashflow forecast of the contractor now procured for the demolition of the Pennine Hotel, associated client-side project management fees and the latest programme from the contractor regarding demolition of Victoria House.
- 4.4.32 Becketwell Performance venue fees requires slippage of (£0.227m). Cabinet in July 2020 approved £0.556m for capital fees for the new Becketwell Performance Venue scheme which was initially added in total to the 2020/21 budget. Following further planning and programme development, it is estimated that £0.227m of professional fee work will now be incurred in 2021/22.

- 4.4.33 An additional £4.500m approved for the Market Hall was not profiled due to limited information being available on profiling at the time of writing the November report to gain specific total budget approvals. (£2.5m) is now required to be reprofiled into 2021/22 based on further confirmations from the main contractor with regards to main works and fees together with clarification on the timing of costs of trader decant.
- 4.4.34 **Getting Building Fund (GBF) schemes**
Smartparc profiling to future years of (£12.000m). A total of £16m was included in the capital budget in principle for this scheme at Quarter 1, subject to a future Cabinet report and Gateway approval. Of this, £12m was originally added to the 2020/21 budget and £4m matched funding added to 2021/22. This scheme is the subject of a separate Cabinet report, which will include the proposed spend profile of the £16m, following which the future year's capital budgets will be updated accordingly in line with Cabinet approvals.
- 4.4.35 **ICT**
The ICT capital programme requires net changes of (£0.592m) as detailed in Appendix 4. The significant changes are outlined below.
- 4.4.36 Major IT hardware developments including key corporate projects such as the refresh of the Council Chamber, public device rollout in libraries and the Moorways Swimming Pool IT Refresh have been delayed due to Covid impacts and therefore slippage of (£0.538m) is required.
- 4.4.37 **Housing Revenue Account**
The HRA capital programme requires no changes this Quarter.
- 4.4.38 **Corporate**
The Corporate capital programme requires net changes of (£3.350m) this Quarter. £3.350m has been reallocated from the corporate futures provision to fund the ongoing rectification costs on the waste disposal plant.
- 4.4.39 **Section 106 Contributions**
Section 106 (S106) contributions are allocated through the S106 working group and are required to be reported to cabinet. There are no new S106 allocations to report this Quarter.
- 4.4.40 Current S106 balances are £11.787m. The table below shows these balances and committed for all S106 contributions. Appendix 5 provides a detailed breakdown of all S106 contributions by ward and planned use:

Position	£m
Opening balance as at 01/4/20	10.579
Received to date	1.208
Adjustments/Clawbacks/Expired	(0.379)
Total Available	11.787
Committed 2020/21	(0.872)
Forecast Available Future Years	10.915

4.4.41 The S106 contributions are held under long term liabilities within the balance sheet as 'capital grant receipts' in advance.

4.4.42 **Direct Revenue Financing**

4.4.43 Public Protection & Streetpride use a mixture of service finance borrowing, capital receipts and direct revenue financing from the base budget to purchase vehicles, plant and equipment. In 2020/21 the following direct revenue financing is required up to:

Refuse	£0.045m
Street Cleansing	£0.086m
Grounds Maintenance	£0.208m
Highways Maintenance	£0.206m

4.4.44 Street Cleansing require up to £0.068m direct revenue financing to fund the capital purchase of a new Online Case Management System.

4.4.45 Waste Management require up to £0.080m direct revenue financing to fund the capital purchase of a Welfare Cabin and a replacement programme of Refuse Collection Vehicle handheld devices.

4.4.46 CCTV require up to £0.018m direct revenue financing to fund the capital purchase of new CCTV equipment.

4.5 **Usable Reserves**

4.5.1 **General Fund Balance** At 30th December 2020 the General Fund Balance is £8.933m. This is within the best practice accounting guidelines with expected percentage of budget being at 3.75% of the 2020/21 net budget requirement.

4.5.2 **Earmarked Reserves** Earmarked Reserves have a future year's forecast balance of £8.669m. Earmarked reserves are held to fund specific projects, such as the Council's regeneration fund programme and the General Insurance Reserve.

4.5.3 **Budget Risk Reserve** has a future year's forecast balance of £1.755m after taking account of the current forecast overspend. Any further residual overspend at the end of 2020/21 would be a call on the reserves and potentially impact on the Council's financial resilience.

Council Cabinet approved the reallocation of reserves on 16 December 2020 and although this has improved the future years balance on the Budget Risk Reserve this has had no impact on the total reserves balance, careful consideration and scrutiny needs to be given for the further need to drawdown from reserves to ensure there is adequate cover for current and future planned needs, unforeseen eventualities and ensure financial resilience is upheld in relation to our reserves balances.

- 4.5.4 Reserves are set out in full in Appendix 1. The table includes other reserves which are not available to the Council for general use; such as School Balances. At 30th December 2020 forecast reserves as at 31st March 2021 total £60.444m of which £50.020m is committed in future years, resulting in a future years forecast balance of £10.424m (excluding HRA ring-fenced balances, the general fund reserve and school balances).

4.6 Treasury Management

All Treasury Management activities in Quarter 3 were in accordance with the Treasury Management Strategy 2020/21, approved by Full Council Cabinet on 12th February 2020 and are within CIPFA's Prudential Code for Capital Finance in Local Authorities.

- 4.6.1 The Treasury Management forecast outturn for 2020/21 is a net overspend of £0.470m as at 30 December 2020. This is mainly made up of a £0.750m pressure due to amending the historic split between debt charges allocated to the General Fund and HRA. And a £0.295m saving due to the reduction in interest rates and therefore interest we pay on HRA and PFI balances. It is proposed that this overspend is met from the Treasury Management reserve.
- 4.6.2 As at the 30th December 2020 the total debt portfolio of the Council (including HRA debt) was £447.716m offset by investments of £77.689 resulting in an overall net debt position of £370.027m. The net debt portfolio is set out in the table below:

4.6.3	External Borrowing	£m As at 31/03/20	£m As at 30/12/20
	Fixed Rate PWLB	312.053	311.396
	Fixed Rate Market	20.000	20.000
	Other Local Authorities	25.000	25.000
	Other Loans	1.873	1.616
	Other Long-term Liabilities:		
	- Transferred Debt from other Local Authorities	0.359	0.359
	- PFI Financing	87.427	87.427
	- Finance Lease Liabilities	1.296	1.918
	Total Gross External Debt	448.008	447.716
	Investments	(76.873)	(77.689)
	Total Net External Debt	371.135	370.027

4.7 Dedicated Schools Grant - Centrally Retained Elements

- 4.7.1 The 2020/21 allocation for the Dedicated Schools Grant (DSG) is £253.189m and there continues to be significant pressures in the High Needs Block (HNB) supporting children with additional needs.

Working groups involving Council Senior Officers and Head Teachers are working on a number of strategic themes which includes revising the process and panel arrangements for decision making about high needs provision, reviewing current out of authority placements, reviewing the criteria to assess requests for high needs funding and the future configuration of provision for children and young people with social, emotional and mental health needs.

- 4.7.2 High Needs demand remains the single most significant pressure to the school's budget with a forecast £1.35m overspend. Whilst every effort is being made to make savings the demand and cost of higher needs provision is a concern. The DSG reserve is estimated to be significantly reduced by the end of the financial year.

Schools Forum agreed to a 0.5% transfer from the Schools Bock (circa £1 million) of which 50% supported the 2020/21 High Needs pressures and the remaining 50% is being used to fund some transformational programmes to redesign the system into a sustainable model.

4.8 **Collection Fund**

The collection fund forecast for the position at 31 March 2021 has been reviewed at quarter 3 and we are currently forecasting a deficit of which the Councils share will be £6.703m.

Council Tax	0.870m
Business Rates	<u>3.804m</u>
	4.674m*

*Due to revised regulations as a result of the impact of COVID, the 2020/21 element of the deficit can be spread across three financial years in the MTFP (the impact of this is disclosed in the narrative).

4.8.1 **Council Tax:**

At quarter 3 we are currently forecasting a Council Tax deficit of £1.050m with the Council's share of this being £0.870m, this is a movement of £1.360m from the Council Tax deficit reported at quarter 2 which includes the fire and police shares and is mainly due to an updated assessment of the likely collection rates. Although in-year collection rates are around 1% lower than the previous year, it is currently anticipated that collection will be consistent with the budgeted position despite the impact of COVID-19 but it may take longer to recover.

- 4.8.2 It is currently difficult to predict what the impact of COVID-19 will be on our Council tax base growth, losses as a result of local council tax support and future collection rates in the long term. The impact of COVID-19 on these areas is being monitored and will be factored into these forecasts throughout the financial year as further information becomes available.

- 4.8.3 As we have been able to spread the impact of the in-year deficit across three financial years the impact on the MTFP of the forecast deficit position is a deficit of £0.479m in 2021/22 and deficits of £0.196m in the following two financial years. We are currently anticipating receiving £0.233m S31 funding as a result of the income guarantee scheme and it is proposed that this is put into a specific reserve and used to smooth the impact of the Collection Fund deficit across the three years of the MTFP.

4.8.4 **Business Rates:**

At quarter 3 we are currently forecasting a Business Rates deficit of £7.763m with the Council's share of this being £3.804m. This reduction in the forecast is mainly due to a review of collection rates.

- 4.8.5 Due to the unpredictable situation the UK economy finds itself in with the COVID-19 pandemic these collection rates may fluctuate throughout the year and are being regularly monitored to ensure our forecasting remains robust.
- 4.8.6 As we have been able to spread the impact of the in-year deficit across three financial years the impact on the MTFP of the forecast deficit position is a deficit of £1.488m in 2021/22 and deficits of £1.158m in the following two financial years. We are currently anticipating receiving £2.588m S31 funding as a result of the income guarantee scheme and it is proposed that this is put into a specific reserve and used to smooth the impact of the Collection Fund deficit across the three years of the MTFP. We are currently forecasting a pressure of £0.289m on S31 grant funding for 2020/21.
- 4.8.7 Derby City Council will be receiving funding via the local tax income guarantee scheme in which the Government will compensate local authorities for 75% of irrecoverable losses in council tax and business rates income in respect of 2020-21. The final figure due to the Council will be calculated at the year end. It is recommended to set up a new collection fund deficit smoothing reserve to support the future years collection fund deficit. It is also recommended to approve the transfer of the above S31 funding to this reserve, as detailed in section 4.8.3 and 4.8.5 and to delegate authority to the Strategic Director of Corporate Resources and S151 officer for the future use of this reserve.
- 4.8.8 As at 31 December 2020 the amount of Council Tax billed for the 2020/21 financial year is £124.33m of which £98.85m or 79.07% has been collected. This compares with 80.48% at the same time last year. Compared with the position 12 months ago, in cash terms, we have collected £1.831m more from Council Tax payers. Given the on-going covid-19 pandemic it remains very difficult to compare our current year performance with the position 12 months ago, but the position as at the end of quarter 3 is encouraging.
- 4.8.9 As at 31 December 2020 the amount of Business Rates billed for the 2020/21 financial year is £53.70m of which £41.91m or 77.72% has been collected. This compares with 81.91% at the same time last year. As with Council Tax the on-going covid-19 pandemic it is very difficult to compare our current year performance with the position 12 months ago.

4.9 Housing Revenue Account

The Housing Revenue Account (HRA) report on the management of the Council's housing stock.

4.9.1

Department position as at 30 September 2020	Original Budget (annual) £m	Actual Spend Qtr1 £m	% of Budget	Full year forecast £m	Forecast Variance £m
HRA	(4.592)	(12.284)	268%	(4.592)	0

- 4.9.2 As part of the 30 year HRA Business Plan, the HRA is budgeted to use £4.592m from the HRA reserve in 2020/21. It is currently forecast on target to use £4.592m from the reserve.

There is an additional pressure of £2.186m relating to the Covid 19 Pandemic. This is a result of workforce pressures, rent arrears and higher than normal void levels.

4.10 **Covid Forecast**

The current full year potential forecast pressure is £29.6m, this is excluding pressures on the Collection Fund and expenditure relating to specific grants received to date to support the economy, such as Business grants, Test and Trace, Infection Control, Future High Street Fund and Hardship grants. To date the Council have received £23.5m in Government funding of which £0.527m was used to fund pressures in 2019/20 leaving a balance of £23m (this includes the funding allocation for the 4th tranche of Covid pressures funding recently announced). We are making assumptions that the Council will receive additional Government funding of £5.5m from the Income Support Scheme, but these allocations have not yet been confirmed. If expenditure increases there is a risk that any shortfall will need to be funded from reserves if no more Government funding becomes available. See Appendix 2 for a breakdown of pressures per directorates.

Public/stakeholder engagement

- 5.1 None directly arising.

Other options

- 6.1 None directly arising.

Financial and value for money issues

- 7.1 The financial and value for money implications are set out in the report.

Legal implications

- 8.1 The report confirms that the Council has met its statutory requirement to deliver a plan for a balanced budget.

Climate implications

- 9.1 None directly arising.

Other significant implications

10.1 Environmental Sustainability

The report sets out the implications of the capital programme.

10.2 Risk Management and Safeguarding

The report demonstrates it has the resources available to deliver the Council's priorities, but it will mean application of reserves if overspends are not reduced over the remainder of the year.

10.3 Corporate objectives and priorities for change

The budget provides the financial resources to deliver key objectives and priorities.

10.4 Equality implications

All appropriate equality impact assessments were considered when setting the budget

This report has been approved by the following people:

Role	Name	Date of sign-off
Legal		
Finance	Toni Nash Head of Finance	21/02/2021
	Alison Parkin	21/02/2021
Service Director(s)		
Report sponsor	Simon Riley – Strategic Director of Resources	
Other(s)		
For more information contact:	Toni Nash: Toni.Nash@derby.gov.uk	
Background papers:	None.	
List of appendices:	Appendix 1 – Summary of reserves	
	Appendix 2 – Forecast Covid pressures summary	
	Appendix 3 – Changes to capital programme under delegation	
	Appendix 4 – Further changes to the capital programme	
	Appendix 5 – S106 Contributions by Ward	

Summary of Reserves Movement as at 30th December 2020

Statement of Reserves	2020/21 Opening Balance £m	In Year Movement £m	2020/21 Commitments £m	2020/21 Closing Balance £m	Future Years Commitments £m	Ringfenced £m	Future Years Closing Balance £m
General Fund							
Unallocated General Fund Balance	(10.933)	2.000	0.000	(8.933)	0.000	0.000	(8.933)
Balances Held By Schools	(5.866)	0.000	1.317	(4.549)	0.000	4.549	0.000
Budget Risk Reserve	(20.186)	3.389	9.074	(7.723)	5.968	0.000	(1.755)
TOTAL	(36.985)	5.389	10.391	(21.205)	5.968	4.549	(10.688)
Revenue Earmarked Reserves							
Covid 19 Reserve	0.000	(7.142)	7.142	0.000	0.000	0.000	0
Central Schools Budget Reserve	(2.582)	0.000	1.882	(0.700)	0.000	0.700	0
General Insurance Reserve	(2.667)	0.000	0	(2.667)	0.000	0.000	(2.667)
Trading Services Reserve	(0.117)	0.000	0	(0.117)	0.061	0.000	(0.056)
Year-end grants with restrictions	(3.874)	0.329	2.909	(0.636)	0.537	0.099	0
DEGF Interest Reserve	(0.717)	0.136	0.488	(0.093)	0.093	0.000	0
Regeneration Fund Reserve	(1.493)	0.000	0.428	(1.065)	1.065	0.000	0
Assembly Rooms Reserve	(2.030)	0.000	0.146	(1.884)	1.884	0.000	0
Delivering Change Reserve	(2.036)	0.429	1.607	(0.000)	0.000	0.000	0
Better Care Fund Reserve	(0.939)	0.939	0	0.000	0.000	0.000	0
Business Rate Pilot Reserve	(2.906)	0.000	2.740	(0.166)	0.166	0.000	0
Business Rates Smoothing Reserve	(1.552)	0.000	1.552	0.000	0.000	0.000	0
Collection Fund Deficit Smoothing Reserve	0.000	0.000	(2.821)	(2.821)	2.821	0.000	0
Treasury Management Reserve	(1.987)	0.000	0.500	(1.487)	1.487	0.000	0
Public Health Reserve	(0.540)	0.000	0.240	(0.300)	0.300	0.000	0
Adult Social Care Reserve	(0.265)	0.000	0	(0.265)	0.257	0.000	(0.008)
Capital Feasibility Reserve	(0.919)	0.000	0.300	(0.619)	0.000	0.000	(0.619)
Other Service Reserves	(8.732)	(1.793)	2.426	(8.099)	3.206	0.111	(4.782)
PFI Reserves	(29.029)	0.000	(0.319)	(29.348)	29.348	0.000	0
Earmarked Reserves to support the capital programme	(2.254)	0.000	(0.200)	(2.454)	0.081	1.836	(0.537)
TOTAL	(64.639)	(7.102)	19.020	(52.721)	41.306	2.746	(8.669)

Statement of Reserves	2020/21 Opening Balance £m	In Year Movement £m	2020/21 Commitments £m	2020/21 Closing Balance £m	Future Years Commitments £m	Ringfenced £m	Future Years Closing Balance £m
Housing Revenue Account (Ringfenced)							
Housing Revenue Account (Ringfenced)	(47.311)	0	4.592	(42.719)	18.184	24.535	0
Major Repairs Reserve	(2.885)	0	0	(2.885)	0	2.885	0
Other Earmarked HRA Reserves	(0.000)	0	0	(0.000)	0	0	0
TOTAL	(50.196)	0	4.592	(45.604)	18.184	27.420	0

Forecast Covid Pressures Summary

Summary by Directorate	2020/21 £m
Peoples – Adults	1.947
Peoples - Public Health	0.261
Peoples - Children's	9.251
Communities and Place	11.236
Housing Revenue Account	2.186
Corporate Resources	2.913
Corporate	0.409
TOTAL REVENUE PRESSURES:	28.204
Capital	1.423
TOTAL REVENUE AND CAPITAL PRESSURES:	29.627

Covid Pressures	2020/21 £m
Expenditure Pressures	18.533
Income Pressures	11.095
TOTAL PRESSURES:	29.627

- *Collection fund losses are not included within this forecast as these will impact the collection fund in the next financial year 2021/22*
- *Revenue/HRA/Capital pressures included in the above summary*
- *There is currently £23.2m funding from Government to mitigate this pressure (this includes the allocations recently announced for the 4th tranche of covid funding). There will also be further funding from the Income Guarantee allocation and potential HRA reserve funding.*

Changes to the Capital Programme made under Delegation

Scheme Area	Latest Approved Capital Programme 2020/21 £m	Revised Capital Programme 2020/21 £m	Change £m
Schools			
New Castleward School	5.495	5.345	(0.150)
Oakwood School Kitchen	0.190	0.050	(0.140)
Ashgate Primary School- Final Phase Refurbishment	0.100	0.040	(0.060)
Littleover community School - fire alarm works	0.089	0	(0.089)
Wren Park Additional Classroom Feasibility	0.035	0.005	(0.030)
Chellaston Junior School - Hall extension	0	0.002	0.002
KI/CW : Alvaston Infant - EY Bids	0	0.010	0.010
Ravensdale Infant - New Build	0.673	0.626	(0.047)
Littleover Community School - Fire alarm works 2018	0.012	0.013	0.001
Rosehill Infant School - Guttering, Roof Void & Fire Safety Works	0.045	0	(0.045)
SA : Springfield Primary - Additional classroom 2017	0.102	0.082	(0.020)
Dale Primary - Heating replacement - block C	0.324	0.347	0.023
Redwood Primary School - Electrical rewire, roof cladding	0.310	0.308	(0.002)
Total Delegated Changes Schools	7.375	6.828	(0.547)
Highways and Transport			
Structures Maintenance	1.053	0.421	(0.632)
Land Drainage	0.202	0.173	(0.029)
Intelligent Transport Systems Maintenance	0.265	0.100	(0.165)
Strategic Network Management	1.561	1.006	(0.555)
Local Traffic Management	0.580	0.646	0.066
Pedestrian Accessibility	0.070	0	(0.070)
Cycle Derby	0.459	0.260	(0.199)
Public Transport	0.781	0.055	(0.726)
Air Quality	4.410	1.728	(2.682)
Transforming Cities Fund (TCF) Tranche 2 - Interchange hubs	1.498	0.222	(1.276)
TCF Tranche 2 - Public Realm	1.145	0.084	(1.061)
TCF Tranche 2 - Cycle expressway	0.546	0.024	(0.522)
TCF Tranche 2 - Bus Priority	0.405	0.198	(0.207)
TCF Tranche 2 - Park & Ride	1.614	0.403	(1.211)
TCF Tranche 2 - Bus & rapid transit links	4.009	0.754	(3.255)
TCF Tranche 2 - LCWIP	1.586	0.988	(0.598)

Scheme Area	Latest Approved Capital Programme 2020/21 £m	Revised Capital Programme 2020/21 £m	Change £m
Total Delegated Changes Highways and Transport	20.184	7.062	(13.122)
Vehicles Plant & Equipment Programme			
Street Cleaning Equipment	0.342	0.247	(0.095)
Reintroduction of Garden Waste Scheme	0.158	0.000	(0.158)
Purchase of New Bikes for Derby Arena	0.007	0.003	(0.004)
Whitespace - Online Case Management System	0.068	0.128	0.060
Total Delegated Changes Vehicles Plant and Equipment	0.576	0.378	(0.198)
Total Net Changes	28.135	14.268	(13.867)

Appendix 4

Further Changes Required to the 2020/2021 Capital Programme Summary of Further Changes to the Capital Programme 2020/2021	Latest Approved Capital Programme 2020/21 £m	Revised Capital Programme 2020/21 £m	Change £m	Category
Schools				
Chellaston Secondary School - Homeleigh Way contribution	1.412	0.212	(1.200)	S
SEND	0.115	0	(0.115)	S to 22/23
Total Changes to the Schools Programme	1.527	0.212	(1.315)	
Housing General Fund Programme				
Healthy Housing Assistance	0.120	0.075	(0.045)	S
Community Energy Savings Project (CESP)	0.100	0.020	(0.080)	S
CPO Property - 20 Carson Road	0.009	0.000	(0.009)	R1
London Road refurbishment works	0.085	0.150	(0.085)	R2
Works at Shelton Lock	0	0.150	0.150	R1
Works at Shelton Lock	0.150	0	(0.150)	S
Milestone House Capital Works	0.380	0.000	(0.380)	S
Rapid Rehousing Pathway - Property Refurbishment	0.000	0.040	0.040	R1
Total Changes to Housing General Fund Programme	1.459	0.900	(0.559)	
Property Improvement Programme				
Queen Leisure Centre - Flooring & Roofing	0.350	0.050	(0.300)	S
Bold Lane Store	0.165	0.020	(0.145)	S
Chapel Street - Health & Safety Works	0.300	0.250	(0.050)	S
Multicultural Education Centre - Replacement Windows	0.130	0.020	(0.110)	S
Kedleston Road - Heating System	0.150	0.020	(0.130)	S
Museum & Art Gallery - Heating System	0.070	0.010	(0.060)	S
Nottingham Road Cemetery Works	0.253	0.053	(0.200)	S
Council House - High Voltage Enclosure	0.025	0.000	(0.025)	S
Various Replacement Windows	0.130	0.050	(0.080)	S
Libraries Refurbishment	0.100	0.060	(0.040)	S
Markeaton Crematorium - Improvements to Ventilation System	0.035	0.000	(0.035)	S
Energy Projects	0.100	0.030	(0.070)	S
Disabled Access	0.100	0.000	(0.100)	S
Lift Replacement/ Maintenance	0.069	0.000	(0.069)	S
City Centre Infrastructure	0.100	0.025	(0.075)	S
Property Rationalisation 2	0.010	0.000	(0.010)	S
Moorways swimming pool	18.626	20.226	1.600	S

Summary of Further Changes to the Capital Programme 2020/2021	Latest Approved Capital Programme 2020/21 £m	Revised Capital Programme 2020/21 £m	Change £m	Category
Assembly rooms demolition				
Oakwood Community Centre Refurbishment	0.203	0.000	(0.203)	S
Parklife Strategic Football Hub	0.352	0.070	(0.282)	S
Alvaston Park Improvements	0.012	0.000	(0.012)	S
Total Changes to the Property Improvement Programme	21.280	20.884	(0.396)	
Flood Defence programme				
Cuttlebrook Flood Alleviation Scheme	1.098	0.526	(0.572)	S/R2
Merrill Way and Boulton Lane Rain Gardens	0.246	0.017	(0.229)	S/R2
Sinfin Golf Course	0.000	0.008	0.008	S/R2
Dale Road Park	0.000	0.008	0.008	R2
Kings Croft Preliminary Drainage Design	0.000	0.025	0.025	R2
Total Changes to Flood Defence Programme	1.344	0.584	(0.760)	
Highways and Transport Programme				
Highways Maintenance	7.821	7.326	(0.495)	S
Casualty Reduction	0.122	0.052	(0.070)	S
Transforming Cities Fund (TCF)	1.125	0.995	(0.130)	R2
TCF Tranche 2 - Workplace Travel Grants	0.026	0	(0.026)	S
Street Lighting LED	1.125	0.700	(0.425)	S
Emergency Active Travel Fund	0	0.201	0.201	R2
Total Changes Highways and Transport	10.219	9.274	(0.945)	
Vehicles Plant & Equipment Programme				
Waste Plant	2.350	3.350	1.000	A
Total Changes Vehicles Plant & Equipment	2.350	3.350	1.000	
Regeneration				
DE-Carbonise	0.159	0.059	(0.100)	S
Derby Enterprise Growth Fund - Recycled	0	0.038	0.038	S
T12 Phase 1	0.275	0.205	(0.070)	S
iHub plot preparation	0.481	0.381	(0.100)	S
T12 Phase 2	0.037	0	(0.037)	R2
IPD Wayfinding	0.201	0	(0.201)	R2
IPD Contingency	0	0	0	R2 £0.238, S (£0.238)
Silk Mill	1.383	0.469	(0.914)	S
Becket Well	1.896	1.466	(0.430)	S
Becket well Performance Venue	0.566	0.339	(0.227)	S
Market Hall Refurbishments	6.101	3.601	(2.500)	P

Summary of Further Changes to the Capital Programme 2020/2021	Latest Approved Capital Programme 2020/21 £m	Revised Capital Programme 2020/21 £m	Change £m	Category
Smartparc	12.000	0	(12.000)	P
Our City Our River All PKs	0.434	0.314	(0.120)	R2
Our City Our River PK1	0.468	0.421	(0.047)	R2
Our City Our River PK2	0.156	0.189	0.33	R2
Our City Our River PK3	0.101	0.083	(0.018)	R2
Our City Our River Munio	0.341	0.322	(0.019)	R2
Total Changes to the Regeneration Programme	24.599	7.887	(16.712)	
ICT				
Major IT Hardware Developments	1.656	1.938	0.282	R2
Major IT System Developments	0.536	0.254	(0.282)	R2
Major IT System Developments	0.254	0.200	(0.054)	S
Major IT Hardware Developments	1.938	1.400	(0.538)	S
Total Changes to the ICT Programme	4.384	3.792	(0.592)	
Corporate				
Futures Provision	3.754	404	(3.350)	R3
Total Corporate programme changes	3.754	0.404	(3.350)	
TOTAL CHANGES TO PROGRAMME	70.916	47.287	(23.629)	
		Key of Categories		
		A	Additional schemes from new funding secured	
		A1	Scheme increase funded by previous years' reserves income	
		S	Re-phasing	
		P	Profiling of New additional spend approved	
		R1	Other Adjustments - Scheme Reductions/Increases	
		R2	Re-allocated Within Departments Programme	
		R3	Re-allocated To Different Departments Programme	

S106 Contributions by Ward

S106	Ward	Received	Expiry	Planned use	Amount
Elmhurst, Lonsdale Place	Abbey	14/15	No Specified Time	Provision or improvements to or maintenance of transport facilities within the A5250 Burton Rod/ A516(T) Uttoxeter Road corridor	0.019m
Elmhurst, Lonsdale Place	Abbey	14/15	No Specified Time	Offsite open space reasonable capable of serving the development	0.015m
Cathedral School, Great Northern Road	Abbey	2019/20	24-Apr-25	TRO to alter parking restrictions on Great Northern Road	0.006m
Cathedral School, Great Northern Road	Abbey	2019/20	24-Apr-40	Maintenance of biodiversity enhancements at Cheviot Street park	0.011m
Cathedral School, Great Northern Road	Abbey	2019/20	24-Apr-30	Monitoring of biodiversity enhancements at Cheviot Street park	0.002m
Cathedral School, Great Northern Road	Abbey	2019/20	24-April-30	Initial establishment works at Mackworth Park-implementation of the planting scheme to offset biodiversity loss at the application site	0.012m
Cathedral School, Great Northern Road	Abbey	2019/20	24-April-30	Biodiversity monitoring at Mackworth Park	0.06m
Cathedral School, Great Northern Road	Abbey	2019/20	24-April-30	Maintenance of biodiversity enhancement scheme at Mackworth Park	0.041m
Kingsway Retail Park	Abbey	2013/14	16-Feb-21	Provision of improvements to or maintenance of transport facilities within the A516 Uttoxeter Road and A5250 Burton Road	0.012m
Parcel Terrace	Abbey	2019/20	24-Jul-29	Towards the provision of improvements to the pedestrian route along Parcel Terrace and cycling routes surrounding Parcel Terrace.	0.012m
Devonshire Drive	Allestree	2015/16	09-Nov-25	Kedleston road corridor to include highway work, public transport, cycling and pedestrian facilities	0.014m
Devonshire Drive	Allestree	2015/16	09-Nov-20	POS reasonably capable of serving the site	0.020m
Devonshire Drive	Allestree	2015/16	09-Nov-25	Public Realm in the City of Derby	0.001m

S106	Ward	Received	Expiry	Planned use	Amount
Palm Court Island	Allestree	2014/15	22-Jan-25	Facilities within the A6 Duffield Road/Kedleston Road corridor to include highway, public transport, pedestrian or cycling	0.006m
Palm Court Island	Allestree	2014/15	22-Jan-25	Provision of or improvements to public realm reasonably capable of serving the application site	0.001m
Boulton Moor	Alvaston	2008/09	20-Feb-24	Increasing the number of places at Noel Baker school	0.503m
Belmore Way	Alvaston	2013/14 & 2014/15	26-Jun-23	Provision of improvements to or maintenance of transport facilities within the A6(T) London Road corridor which may include highway works public transport cycling and/or pedestrian facilities	0.015m
Belmore Way – Westside Park	Alvaston	2015/16	09-Jun-25	Towards the provision of improvements to or maintenance of transport facilities within the A6 London Rd corridor, this may include highways works, public transport, cycling and/or pedestrian facilities.	0.061m
Coleman Street	Alvaston	2015/16	23-Jul-25	For the provision of improvements to or maintenance of transport facilities within the A514 Osmaston Rd corridor, which may include highway works, public transport, cycling and/or pedestrian facilities	0.019m
Coleman Street	Alvaston	2015/16	23-Jul-2020	Provision of public art on the cycle route adjacent to the property	0.010m
Dunstall Park Road Phase 1	Alvaston	2018/19	10 Years	For the provision of or improvements to public transport, cycling and pedestrian facilities in the Ascot Drive area or Highway improvements on Osmaston Road.	0.011m
Dunstall Park Road Phase 1	Alvaston	2018/19	10 Years	Monitor the travel plan	0.005m

S106	Ward	Received	Expiry	Planned use	Amount
Northridge House Lidl	Alvaston	2020/21	10 Years	Towards the improvement of the pedestrian facilities within the Alvaston district between the development and along Raynesway to the junction of Raynesway and London Road	0.075m
Roundhouse PH, London Rd	Alvaston	2016/17	23-May-21	Improvements to public realm within the City of Derby	0.001m
Roundhouse PH, London Rd	Alvaston	2016/17	23-May-26	POS reasonably capable of serving the site	0.012m
Boulton Moor	Alvaston	2008/09	No Specified Time	Alvaston District Centre Environment and Transport Scheme Contribution to be used for improving the local environment and traffic conditions within Alvaston District Centre	0.189m
Boulton Moor	Alvaston	2008/09	No Specified Time	Improvements on the London Road corridor to deliver a bus priority scheme along to the corridor to Alvaston	0.126m
59 Wilkins Drive	Alvaston	2019/20	No Specified Time	Improvements to Brigden Avenue allotments	0.016m
Former Sixth Kenning site, Cathedral Road	Arboretum	2016/17	04-Oct-26	Improvements to health facilities reasonably capable of serving the development	0.010m
Bramble Business Centre	Arboretum	2017/18	TBC	Towards the provision or enhancement of offsite POS reasonably serving the application site.	0.013m
Bramble Business Centre	Arboretum	2017/18	TBC	Provision of or enhancement of Public realm within the City.	0.001m
Beaconsfield Club	Arboretum	2015/16	18-Mar-26	Towards the provision of improvements to transport facilities reasonably capable of serving the application site.	0.003m
Former Sixth Kenning site, Cathedral Road	Arboretum	2016/17	04-Oct-26	Major open space within the vicinity of the property	0.030m
Former Sixth Kenning site, Cathedral Road	Arboretum	2016/17	04-Oct-26	Public realm within the city	0.016m
Former Sixth Kenning site, Cathedral Road	Arboretum	2016/17	04-Oct-26	Swimming pools reasonably capable of serving the site	0.011m

S106	Ward	Received	Expiry	Planned use	Amount
Cathedral Road/Willow Row	Arboretum	2017/18	31-Jan-24	Application and implementation of a TRO to restrict loading along the site frontage to times outside the peak period for traffic	0.007m
Cathedral Road/Willow Row	Arboretum	2018/19	5 Years	Improvements to open space facilities at Darley Park	0.065m
Cathedral Road/Willow Row	Arboretum	2018/19	10 Years	Improvements to public realm	0.013m
Cathedral Road/Willow Row	Arboretum	2018/19	5 Years	Improvements to Riverside Gardens, Bass's Rec, Cathedral Green, Alvaston Park and any open space between Riverside Gardens and Alvaston Park along the Derwent	0.032m
Cathedral Road/Willow Row	Arboretum	2018/19	10 Years	Improvements to swimming pools	0.030m
Cathedral Road/Willow Row	Arboretum	2018/19	10 Years	Health facilities at Macklin Surgery	0.035m
Full Street	Arboretum	2014/15	20-Jul-24	To be used solely for the provision of improvements to the cycling facilities or other sustainable transport or public transport improvements within the city of Derby.	0.005m
Full Street	Arboretum	2014/15	11-Apr-24	That the Public Realm Contribution shall be used solely for the provision	0.031m
Jurys Inn, King Street	Arboretum	2008/09	No spend Limit	Provision of Public Art reasonably capable of serving the application site	0.002m
45-47 Mount Street	Arboretum	2018/19	19-Apr-23	Improvements to play areas and facilities within Arboretum Park	0.016m
45-47 Mount Street	Arboretum	2018/19	19-Apr-28	improvements to public realm within St Peter's St, Albion St and/or Exchange street or reasonably capable of serving the application site	0.001m
Normanton Road/Burton Road	Arboretum	2018/19	30-Apr-28	Public art capable of serving the site	0.008m
Normanton Road/Burton Road	Arboretum	2018/19	30-Apr-28	For the provision of improvements to or maintenance of transport facilities within the Normanton Road corridor, this may include highway works, public transport, cycling and/or pedestrian facilities.	0.050m

S106	Ward	Received	Expiry	Planned use	Amount
4-6 Stafford Street and car park to rear	Arboretum	2005/06	20-Apr-24	Provision or enhancement of off-site public open space reasonable capable of serving the application site	0.027m
St Joseph's Church, Mill Hill Lane	Arboretum	2015/16	10 Years	Towards the provision of improvements to or maintenance of transport facilities within the A516 Uttoxeter Rd/ A5250 Burton Rd corridor which may include highway works, public transport, cycling and/or pedestrian facilities	0.018m
St Joseph's Church, Mill Hill Lane	Arboretum	2015/16	10 Years	Provision of or enhancements to public realm within the City	0.008m
St Joseph's Church, Mill Hill Lane	Arboretum	2015/16	5 Years	Off-site POS reasonably capable of serving the application site	0.001m
36 St Peter's Churchyard	Arboretum	2016/17	09-Dec-26	Public transport, cycling and pedestrian facilities within the city centre	0.006m
36 St Peter's Churchyard	Arboretum	2016/17	09-Dec-26	Public realm within the city centre	0.001m
36 St Peter's Churchyard	Arboretum	2016/17	09-Dec-26	Public open space capable of serving the application site	0.013m
69 Wardwick	Arboretum		11-Jun-24	Provision of facilities and/or improvements to Silk Mill Park	0.010m
Stenson Road	Blagreaves	2014/15	31-Mar-21	Provision of cycle route along Sinfin Lane between Redwood Road and Wordsworth Avenue comprising 500m of signing and lining	0.014m
Stenson Road	Blagreaves	2014/15	31-Mar-21	650m Heatherton Cycle route between Stenson Road and the proposed City Park	0.038m
Stenson Road	Blagreaves			Real time passenger information for 14 buses and 20 bus stops or by agreement between us and the County alternative enhancement to buses, installation of MOVA and bus detection facilities at Stenson Road bridge.	0.202m
Swarkestone Drive	Blagreaves	2004/05	No time limit on spending	provision or enhancement of off-site open space reasonably capable of serving the application site	0.001m
Woodlands Lane, Chellaston	Chellaston	2017/18	12-Apr-22	Affordable housing within the city	0.151m
West Chellaston	Chellaston	2005/06	No time limit	Affordable housing within the city-New unties of affordable Accommodation	0.460m

S106	Ward	Received	Expiry	Planned use	Amount
Fellow Lands Way	Chellaston	2016/17 & 2017/18	06-Apr-26	Primary education capable of serving the site	0.481m
Fellow Lands Way	Chellaston	2016/17 & 2017/18	06-Apr-26	Secondary education serving the application site	0.731m
Holmleigh Way (The Tadpole)	Chellaston	2017/18	15-Jan-28	Provision of primary school facilities	0.148m
Holmleigh Way (The Tadpole)	Chellaston	2017/18	15-Jan-28	Provision of secondary school facilities	0.162m
Homeleigh Way (The Tadpole)	Chellaston	2014/15	13-Nov-25	Improvements to highways, public transport, pedestrian and cycle facilities in the A514 corridor. Extension to Chellaston Community Centre, Barley Croft	0.165m
Chellaston Fields	Chellaston		17-Jan-27	Improvements to A514 Transport Corridor	0.100m
Fellow Lands Way	Chellaston	2016/17	06-Apr-21	Improvements to sports facilities and open space at Chellaston Park	0.002m
Fellow Lands Way	Chellaston	2016/17	06-Apr-26	Improvements to Snellsmoor Community Room	0.038m
Fellow Lands Way	Chellaston	2016/17	06-Apr-26	£50,000 for A514 corridor improvements, £20,000 for improvements to signalling at High Street, improved signage and anti-skid surfacing and £30,000 for extending the 40mph limit at Acrefield Way	0.103m
Innovation Centre	Chellaston	2015/16	No time limit	For the provision of, or improvements to public transport, cycling and pedestrian facilities within the A514 Osmaston Road corridor (which may include revenue funding to support services, including Council staff time to support, design and implement the schemes, services and travel behaviour initiatives) and unless agreed by the Owner to no other purpose.	0.058m
Merrill College	Chellaston	2011/12	07-Feb-22	Improvements to or maintenance of transport facilities within the A514 corridor which may include highway works, public transport, cycling and/or pedestrian facilities	0.069m
Merrill College	Chellaston	2012/13	11-Sep-24	Provision of or improvements to the public realm	0.001m
Merrill College	Chellaston	2011/12	07-Feb-22	Community centres reasonably capable of serving the site	0.054m
Merrill College	Chellaston	2011/12	07-Feb-22	Provision of, or improvements to library facilities	0.015m

S106	Ward	Received	Expiry	Planned use	Amount
Rose & Crown	Chellaston	2018/19	10 Years	For the installation, alteration, improvements and/or maintenance of traffic signals at High St/Station rd/Swarkestone Rd and Improvement and provision of public transport, cycling and pedestrian facilities on or adjacent to the A514.	0.066m
Rose & Crown	Chellaston	2018/19	10 Years	A scheme to be commissioned and implemented by the Council in the vicinity of the application site	0.015m
Swarkestone Road	Chellaston	2015/16	04-Oct-23	Provision of POS reasonably capable of serving the site	0.020m
Swarkestone Road	Chellaston	2015/16	04-Oct-28	Public realm within the city	0.001m
Swarkestone Road	Chellaston	2015/16	04-Oct-23	Towards the provision of improvements to or maintenance of transport facilities within the A514/Osmaston Road corridor which may include highway works, public transport, cycling and/or pedestrian facilities.	0.014m
Woodgate Drive	Chellaston	2016/17	No Specified Time	Towards the construction of the new Moorways sports centre	0.028m
Woodgate Drive	Chellaston	2016/17	No Specified Time	Improvements to Chellaston Community Centre	0.016m
Woodlands Lane	Chellaston	2015/16	02-Feb-21	Improvements to the Snellsmoor Community Room	0.038m
Woodlands Lane	Chellaston	2015/16	02-Feb-21	Major open space and/or sports facilities reasonably capable of serving the site	0.030m
Woodlands Lane	Chellaston	2015/16	02-Feb-21	Public transport and pedestrian facilities in the A514 corridor	0.041m
Agard Street phase 2 (student)	Darley	2016/17	21-Jan-29	Health facilities reasonably capable of serving the site	0.008m
Agard Street phase 2 (student)	Darley	2016/17	21-Jan-24	Improvements to open space at Darley Fields or Parker's Piece and/or open space in connection with Friar Gate Bridge	0.049m
Agard Street phase 2 (student)	Darley	2016/17	21-Jan-24	Public realm in the vicinity of Friar Gate Bridge	0.008m
Agard Street phase 2 (student)	Darley	2016/17	21-Jan-24	Public art in the vicinity of Friar Gate Bridge	0.011m

S106	Ward	Received	Expiry	Planned use	Amount
Agard Street phase 2 (student)	Darley	2016/17	21-Jan-29	Swimming pools reasonably capable of serving the site	0.019m
Bath Street Mills	Darley	2016/17	Open Ended	Flood mitigation landscaping in the vicinity of the site	0.096m
Broadway Baptist Church	Darley	2014/15	27-Jun-24	Tree planting and maintenance reasonably serving the site.	0.021m
Brook Street builders yard	Darley	2013/14	17-Sep-23	Provision of or improvements to swimming pools or fitness suites reasonably capable of serving the application site	0.029m
Highfields, Broadway	Darley	2007/08	No time limit on spending	Junction improvements	0.174m
Agard Street Phase 1 DOV	Darley	2016/17	04-Jun-26	Public Realm/public art associated with Friar Gate Bridge	0.016m
Lodge Lane	Darley	2015/16	17-Sep-23	Public realm , highways, affordable housing, open space	0.003m
Milner House	Darley	2012/13	01-Sep-22	Improvements to public realm within the City of Derby	0.001m
Oaklands, 103 Duffield Road	Darley	2017/18	26-Jul-27	Provision of, or improvements to public transport, cycling & pedestrian facilities between junctions at Five Lamps and Broadway, within A6 Duffield Road & Kedleston Road corridor	0.014m
Oaklands, 103 Duffield Road	Darley	2017/18	26-Jul-22	Provision of or improvements to POS reasonably capable of serving application site	0.018m
Oaklands, 103 Duffield Road	Darley	2017/18	26-Jul-27	Provision of or improvements to public realm within City of Derby	0.001m
St Helens Street/King Street	Darley	2015/16	10-Dec-25	Provision of or enhancement of off-site Public Open Space and/or Public Realm reasonably capable for serving the Application Site.	0.035m
St Helens Street/King Street	Darley	2015/16	10-Dec-25	Provision of improvements to or maintenance of transport facilities within the A6(T) Duffield Road/Kedleston Road corridor which may include highway works public transport cycling and/or pedestrian facilities	0.035m
Agard Street/Friar Gate/Ford Street	Darley	2015/16	01-May-25	Public realm and/or public art associated with Friar Gate bridge	0.054m

S106	Ward	Received	Expiry	Planned use	Amount
Mansfield Road, Breadsall Hilltop	Derwent	2016/17	5 years	POS at Darley Park and Darley Playing Fields	0.310m
Mansfield Road, Breadsall Hilltop	Derwent	2016/17	10 Years	Public transport, cycling and pedestrian facilities in the A61 transport corridor	0.149m
Sawley Packaging	Derwent	2014/15	04-Sep-24	Public art serving the site	0.007m
Allan Avenue	Littleover	2019/20	10 Years	Towards the provision or improvements of Ed facilities at Littleover Sch or facility capable of serving the development	0.740m
Manor Kingsway Phase 2	Littleover	2014/15	10 years	Primary education reasonably capable of serving the application site	0.350m
Manor Kingsway Phase 2	Littleover	2014/15	20-Jan-25	Towards the provision of community facilities capable of serving the application site.	0.093m
Allan Avenue	Littleover	2019/20	10 Years	Towards the provision of improvements to Highway facilities as quoted in the agreement.	0.132m
Highfields Farm	Littleover	2019/20	10 Years	Park and Ride at Royal Derby Hospital	0.213m
Manor Kingsway site	Littleover	2019/20	10 Years	Towards the provision of community facilities capable of serving the application site.	0.068m
Manor Kingsway site	Littleover	2014/15	20-Jan-25	the provision of improvements to or maintenance of transport facilities within the A516 Uttoxeter Road/A520 Burton Road corridor which may include highway works public transport cycling and/or pedestrian facilities	0.024m
Manor Kingsway site	Littleover	2014/15	20-Jan-25	Improvements to library facilities reasonably capable of serving the application site	0.019m
Manor Kingsway Phase 2	Littleover	2014/15	20-Jan-25	Towards the provision of improvements to or maintenance of transport facilities within the A516 Uttoxeter Rd/ A5250 Burton Road corridor which may include Highway works, public transport, cycling and/or pedestrian facilities.	0.193m
Manor Kingsway Phase 2	Littleover	2014/15	10 Years	Community facilities capable of serving the site	0.122m
Manor Kingsway Phase 2	Littleover	2014/15	10 Years	Library facilities capable of serving the site	0.444m
Nuffield Hospital	Littleover	2014/15	15-May-24	Highways improvements	0.016m
Follows, Heatherton	Littleover	2006/07	04-Oct-21	Education facilities and/ or community facilities	0.053m

S106	Ward	Received	Expiry	Planned use	Amount
Prince Charles Avenue Phase 1	Mackworth	2010/11	10 Years	Enhancement of healthcare facilities capable of serving the application site	0.158m
Arthur Neal House	Mackworth	2011/12	31-Jul-21	Towards the provision of improvements to or maintenance of transport facilities within the A52 Ashbourne Road corridor which may include highway works public transport cycling and/or pedestrian facilities	0.019m
Mackworth College, Prince Charles Avenue	Mackworth	2012/13	31-Jan-22	Provisions of improvements to or maintenance of transport facilities within the A52 Ashbourne Road corridor	0.137m
Mackworth College, Prince Charles Avenue	Mackworth	2012/13	31-Jan-22	Provision of or improvements to public realm	0.022m
Prince Charles Avenue Phase 2	Mackworth	2014/15	08-Apr-25	Improvements to or maintenance of transport facilities within the A52 Ashbourne Rd corridor which may include highway works public transport, cycling and /or pedestrian facilities and/or travel planning	0.137m
Radbourne Lane	Mackworth	2017/18	14-Apr-24	Improvements to alleviate rat running in Mackworth estate, congestion on Station Road/Radbourn Lane and the upgrading of Windmill Hill Lane footpath to a multi-user route	0.354m
Hackwood Farm	Mickleover	2017/18	Open Ended	Architects fees associated with the primary school	0.318m
Hackwood Farm	Mickleover	2017/18	Open Ended	Improvements to a ramp leading to Mickleover greenway	0.017m
Mickleover Campus	Mickleover	2010/11	No time limit	Goal posts	0.003m
Sunny Hill Infants	Normanton	2014/15		Provision of, or improvements to, health facilities reasonably capable of serving the site	0.034m
Grange Hotel, Ingleby Ave	Normanton	2016/17	02-Aug-26	Improvements to the public realm within Derby	0.002m
Former Normanton Junior site, Grange Avenue	Normanton	2017/18	15-Jan-28	For local traffic management measures, including TROs, markings, signage, informal crossing provision, tactile paving, warning signs, pedestrian guardrails or any other works as identified to mitigate local highway impacts	0.015m

S106	Ward	Received	Expiry	Planned use	Amount
Former Normanton Junior site, Grange Avenue	Normanton	2017/18	15-Jan-28	Highway junction improvements between Stenson Road/Village Street and the junction of the A5111 and Stenson Road	0.065m
Grange Hotel, Ingleby Ave	Normanton	2016/17	2-Aug-26	Provision of improvements to the Stenson Road corridor which may include highway work, pedestrian, public transport or cycling	0.023m
Sunny Hill Infants	Normanton	20/03/2013	10 years	Public Realm in the City of Derby	0.005m
Sunny Hill Infants	Normanton	20/03/2013	10 years	POS reasonably capable of serving the site	0.040m
Mansfield Road/Lime Lane	Oakwood	17/03/2016	10 years	Additional meeting room facilities at Oakwood Community Centre	0.203m
Mansfield Road/Lime Lane	Oakwood	17/03/2016	10 years	Improvements to the A61 Mansfield Road corridor which may include highway works, public transport, cycling and/or pedestrian facilities and transport planning	0.312m
Mansfield Road/Lime Lane	Oakwood	17/03/2016	10 years	Improvements to or provision of a swimming pool at Springwood Leisure Centre	0.128m
Mansfield Road/Lime Lane	Oakwood	17/03/2016	5 years	Improvements to Oakwood Park	0.200m
Mansfield Road/Lime Lane	Oakwood	17/03/2016	15 years	Improvements to. Management of and maintenance of Chaddesden Wood	0.113m
Wragley Way	Sinfin	2017/18	11-Jul-27	Facilities at Sinfin Moor Community Centre, Moorways Sports Centre, Sinfin Library, healthcare centre	0.277m
Wragley Way	Sinfin	2014/15	16-Aug-28	public transport, pedestrian or cycle facilities within the Stenson Road corridor	0.220m
Wragley Way	Sinfin	2014/15	No Specified Time	Improvements to the A50 junction on the Chellaston roundabout (must be sent to HA)	0.023m
Former Spondon Reservoir, Huntley Avenue	Spondon	2013/14	10 years	Provision of improvements to or maintenance of transport facilities within the A52/Nottingham Road corridor which may include highways works, public transport, cycling or pedestrian facilities	0.015m

S106	Ward	Received	Expiry	Planned use	Amount
New Raynesway	Spondon	2014/15	No Specified Time	Offsite highways works	0.061m
New Raynesway	Spondon	2014/15	No Specified Time	Public transport contribution	0.228m
Technograv	Spondon	2019/20	03-Sep-30	Highways, POS, education, health, community, libraries, sports, public realm, public art	0.131m
The Yarn Spinner	Spondon	2017/18	5 years	Improvements to the access to Sandringham Drive park	0.023m
The Yarn Spinner	Spondon	2017/18	10 years	Schemes included in the city centre Masterplan or reasonably capable of serving the site	0.002m
	<u>Total</u>				<u>11.787m</u>

Summary per Ward

Ward	Remaining Balance
Abbey	0.136m
Allestree	0.042m
Alvaston	1.043m
Arboretum	0.463m
Blagreaves	0.255m
Chaddesden	0.030m
Chellaston	3.007m
Darley	0.592m
Derwent	0.467m
Littleover	2.467m
Mackworth	0.827m
Mickleover	0.338m

Normanton	0.184m
Oakwood	0.956m
Sinfin	0.520m
Spondon	0.460m
<u>Total</u>	11.787m