

Audit & Governance Committee – Outstanding Resolutions

Purpose

- 1.1 At its meeting on 5 February 2020, the then Audit and Accounts Committee resolved:
 - to agree that a Resolution Tracking report be included in future agendas of the Audit and Accounts Committee.
- 1.2 Outstanding resolutions and actions are attached at Appendix 1 of the report.
- 1.3 Any completed resolutions and actions will be removed after the meeting.

Recommendations

- 2.1 To track and review the outstanding resolutions and actions at Appendix 1 of the report.

Reasons

- 3.1 To provide the Audit and Governance Committee with the opportunity to track and review any outstanding resolutions arising from meetings of that Committee.

Supporting information

- 4.1 Appendix 1 lists outstanding resolutions arising from meetings of the Audit and Governance Committee.
- 4.2 For an exhaustive list of resolutions, refer to minutes of the meetings of the Audit and Governance Committee on the Council's Democracy Portal at the following link:

https://democracy.derby.gov.uk/Committees/tabid/101/ctl/ViewCMIS_CommitteeDetails/mid/734/id/1894/Default.aspx

Public/stakeholder engagement

- 5.1 None.

Other options

6.1 None.

Financial and value for money issues

7.1 None arising from this report.

Legal implications

8.1 None arising from this report.

Climate implications

9.1 None.

Other significant implications

10.1 None.

This report has been approved by the following people:

Role	Name	Date of sign-off
Legal Finance Service Director(s) Report sponsor Other(s)	Alex Hough - Head of Democracy	

Background papers:	Audit and Governance Committee agenda papers – on the Council's Democracy Portal
List of appendices:	Appendix 1 - Outstanding Resolutions

Audit and Governance Committee

Outstanding Resolutions

Meeting Date	Item	Resolution/ Action	Comments	Responsible Officer
30 November 2022	Appointment of Independent Persons to the Audit and Governance Committee	To seek applications for two Independent Members of the Audit and Governance Committee to four-year terms of office.	Four Co-opted Members have now been appointed to the Committee.	Alex Hough
22 March 2023	Minute Extract from Council - Allestree Hall and Golf Course	For a report to be brought to a future Committee meeting on the Internal Audit investigation into Allestree Hall and Golf Course	A report is on the agenda for this meeting.	Martin Shipley
22 March 2023	Internal Audit Progress Report	The Committee agreed to call in the Welfare Reforms audit.	The recommendations have now been implemented.	Dominic Monahan
26 July 2023	Annual Report and Review of Performance	To request that councillors are provided with a demonstration of the performance management system – DORIS.	This has been added to the councillors training schedule	Dominic Monahan
26 July 2023	Counter Fraud Annual Report 2022/23	Councillors asked whether mandatory e-learning for fraud awareness for all staff could be introduced. It was agreed that the Director of Finance and the Head of Internal Audit would discuss this and the associated costs.	There is a Money Laundering course on the Council's e-Learning portal, but this is not mandatory or well publicised. We are currently exploring what e-Learning packages are available on Counter Fraud.	Richard Boneham Alison Parkin
26 July 2023	Counter Fraud Annual Report 2022/23	A councillor asked why there had been no suspicious activity reports. The Head of Internal	A response has been provided.	Olu Idowu

		Audit informed the Committee that they would ask the Head of Legal Services to provide a response.		
26 July 2023	Risk Accepted Recommendations	To request further assurances from Management on the Boundary Defence and Digital By Default Project - Household Support Fund recommendations.	This item is on the agenda for this meeting.	Andy Brammall