



**DERBY CITY COUNCIL**

**RESOURCES COMMISSION**

**MONDAY 22 NOVEMBER 2010 AT 6.00PM**

**AT THE COUNCIL HOUSE,  
DERWENT STREET ENTRANCE**

**This meeting of the Resources Commission will take place if it NOT disbanded by Council on 17 November. If this Commission is disbanded you are instead invited to attend the Scrutiny Management Commission which would consider the same agenda on 22 November at 6pm.**

1. Apologies
2. Late items to be introduced by the Chair
3. Declarations of Interest  
*Members are invited to declare any interests they have in the business on the agenda, including;*
  - *personal interests*
  - *prejudicial interests*
  - *whether the Group Whip has been applied in respect of any of the matters under consideration*
4. Succession Strategy for Derwent New Deal for Communities Programme  
*Further interviews and consideration of a succession strategy  
GOEM and NHS Derby City PCT have been asked to attend*

**NOTES:**

- (1) For more information on this agenda or the meeting please contact Daniel Cooper on 01332 255465, e-mail [daniel.cooper@derby.gov.uk](mailto:daniel.cooper@derby.gov.uk) or minicom Derby 256666
- (2) If you are planning to attend the meeting and have any specific requirements please contact us on the number above for assistance.
- (3) Documents can be accessed on the Council's website [www.derby.gov.uk/CMIS](http://www.derby.gov.uk/CMIS). Click on the link 'Council Meeting Information System', click on 'Committees', and select the Resources Commission from the list.

## ITEM 4

### **Succession Strategy for Derwent New Deal for Communities Programme - List of documentation**

- **Provided previously to a formal meeting of the Resources Commission**

R1. Minute extract from the Resources Commission held 15 September 2010

R2. Letter dated 16 September 2010 to Rt Hon Eric Pickles MP  
Secretary of State for Communities and Local Government

R3. Response of Council Cabinet on 28 September 2010 to report of the Resources Commission of 15 September

R4. Letter dated 16 September 2010 to local Members of Parliament

R5. 24 September 2010 from Department from Communities and Local Government to the Chief Executive, Derby City Council

R6. Response of Chris Williamson MP to Chair of the Resources Commission

- **Provided to members of the Resources Commission on 1 October visit**

V1.1 Invitation to visit to the Derwent New Deal for Communities area on Friday 1 October 2010

V1.2 Itinerary for the visit

V1.3 Contents

V1.4 Preparing for the Resources Commission – Introduction to visit October 2010

V2.1 Core Outputs Achieved 2002-2010

V2.2 Performance Indicators

V3. Project Proposal Process

V4. Derwent NDC Funded Projects April 2000 – March 2011

V5. Funding Analysis – All Projects Funded April 2000 – March 2010

V6. Funding Analysis – Projects Managed by DCC April 2000 – March 2010

V7. Ongoing Activities Post NDC Funding as at September 2010

V8. Derwent Community Team Project application forms and templates

V8.1 Project Proposal Process

V8.2 Project Proposal Form Guidance Notes

V8.3 Expression of interest form

V8.4 Project Proposal Form

V8.5 Project Proposal Funding Tables

V8.6 Project Appraisal and Approval Form - 2008- 2011

V9. IPSOS MORI Derwent NDC Household Survey

V10. Physical Improvements in the Derwent NDC area

- **Not provided previously to the Resources Commission**

S1. Audit and Accounts Committee 26 June 2008 - Report of the Corporate Director of Resources on Derwent Community Team – Project Appraisal Audit

S2. Appendix 2 – Final Audit Report - Derwent NDC: Project Appraisal

S3.1 Appendix 3 - Joint Response and Action Plan

S3.2 Appendix A – Response Matrix

S4. Minute extract from the Audit and Accounts Committee held 26 June 2008

S5. Minute extract from Council Meeting held 6 October [when motion was discussed but not carried]

S6. E-mail from the Chief Executive, NHS Derby City to the Strategic Director – Resources, Derby City Council dated Thursday 08/04/2010 15:04

RD  
9/11/10

**MINUTE EXTRACT**

Time started – 6.00pm  
Time finished – 7.45pm

**RESOURCES COMMISSION  
15 SEPTEMBER 2010**

Present: Councillor Naitta (Chair)  
Councillors Atwal, Chera, Davis, Leeming, Repton and S Khan

In attendance: Councillors Jennings and Rawson

**14/10 Apologies for Absence**

An apology for absence was received from Councillor L Winter.

**19/10 Progress report on the Derwent New Deal  
Programme**

The Commission considered a presentation of the Director of the Derwent New Deal on the current proposals for the final year's remaining grant. The Director stated that the programme had identified three priorities for the remaining funding which were:

1. Developing a community gym at the Gateway Centre
2. Restructure of the Revive Healthy Living Centre
3. Redevelopment of various residential properties.

The Director stated that a number of delays had beset the programme which meant that there was insufficient time to complete the projects. The Derwent New Deal Team had asked the Department for Communities and Local Government to release the remaining funds and also for a six month extension to the programme which the leadership of the Council supported.

The Strategic Director of Resources stated that none of the delays to the project have been the fault of the Derwent New Deal Team or the Council. They had been caused by the Department for Communities and Local Government. This was caused by a request by the previous Government to see if there has been value for money on the commissioned projects. The programme team have had to show them their action and delivery plans are robust going forward.

The Chair stated that the key issues were to secure the remaining funding and to extend the timeframe so that the projects could be completed. Councillor Repton agreed with the Chair and suggested that this issue needed cross party support if it was to be successful.



Councillor Davis asked for more information on the proposed redevelopment of the residential properties. The Director of Derwent New Deal stated that the programme intended to purchase around 12 to 17 properties and then rent them to high need residents. However, work on this priority would only begin once the other two priorities were secured.

Councillor Leeming asked to be provided with a full break down of the expenditure of the Derwent New Deal programme and a tour of the ward to see where the money was invested.

**Resolved to:**

- A. To note the presentation**
- B. To arrange for a break down of the spending projects to be sent to the Commission and to organise a tour of the Ward for the Commission**
- C. To write to the leader of the Council and the Cabinet urging them to lobby the Department for Communities and Local Government for the additional funds and an increase to the timeframe of the programme as well as the MPs for Derby North, South and Mid Derbyshire for their support and the Department for Communities Local Government and the Secretary of State.**

-- Extract ends --



DERBY CITY COUNCIL

one Derby

R2

RT Hon Eric Pickles MP  
Secretary of State for Communities and Local  
Government  
House of Commons  
London  
SW1A 0AA

Your ref  
Our ref  
Contact Councillor Joe Naitta  
email [Joe.naitta@derby.gov.uk](mailto:Joe.naitta@derby.gov.uk)  
Tel 01332 255511  
Fax 01332 255500  
Minicom 01332 256666  
Date 16 September 2010

Dear Mr Pickles

### Derwent NDC Programme

I write to you on behalf of the Derby City Council's Resources Overview and Scrutiny Commission and seek your help and support to enable us to complete the Derwent New Deal for Communities (NDC) programme in Derby. To draw the scheme to its natural conclusion, Derwent NDC requires access to the remaining £3.75 million pound funding that was awarded to the city and an extension until 30 September 2011 and an early decision please by 30 September.

You will be aware Derwent New Deal for Communities programme is one of 39 community led regeneration programmes in England. The resident led board, which is responsible for the NDC, was created in 2000 to oversee the delivery of the scheme in a very deprived area of Derby. It is one of the few NDC's that has had a resident led approach from the very beginning and fits in neatly with the Governments blue print for the 'big society'.

Like all NDC areas, Derwent suffers from the effects of multiple deprivation including poor health, high levels of unemployment and anti social behaviour. However local residents, together with service providers, have improved the area and done a great deal to improve the quality of life for local people.

Derwent NDC has not been without its challenges. The programme was intended to be experimental and a new way of working. However, the resident ownership and management of the assets has not delivered the long term sustainable results that were expected. This has caused civil servants from Government Office for the East Midlands and national Department for Communities and Local Government to raise questions. Whilst the NDC has responded and has completed revised delivery plans, recovery plans and has been subject to review by Local Improvement Advisors, the NDC is no further forward in delivering a sustainable conclusion to the programme. As a direct consequence of the 15 month delay, there is now insufficient time to complete the scheme before the official end date of the 31<sup>st</sup> March 2011. This is the reason why the NDC requires an extension. However, whilst we estimate that the programme could be completed within an



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additional 6 month period, this will depend on when, or if, the NDC is granted approval and is able to begin delivering its objectives.

There are enormous benefits to the residents in completing the programme. The board members have learnt from previous errors and have worked tirelessly to restructure the programme and, in partnership with the Local Authority, develop a viable succession strategy. All the residents are volunteers and have invested thousands of unpaid hours in developing strategy documents and attending meetings with officers, including civil servants, who are responsible for the NDC within CLG. The residents are passionate about completing the programme and leaving a sustainable legacy in place, but recognise that this can only be achievable if the £3.75m is released and they are granted an extension.

By releasing the additional funding the NDC will be able to complete its succession strategy. This involves developing a community health and fitness facility at the Gateway Centre in Derby. This project was intended to develop an inclusive community health facility to target those residents with the poorest health. Similarly, the Revive Centre was to become a base to deliver vital support services for children and families in the greatest need in Derwent. Remaining funding was to be spent on delivering affordable accommodation for families. All of these projects are vital to the future success of the scheme.

Without the funding, the NDC will not have a legacy and the hard work and dedication shown by the residents of Derwent, will amount to nothing. If the extension and funding are not approved, there will not be a legacy and, instead of having a viable succession strategy and assets that will continue to benefit local people, the NDC will be forced to develop close the programme. This will undoubtedly involve selling buildings that were intended to house services that would meet the needs of the most vulnerable residents.

There is a cross party support from the Council in seeing this programme through to a successful completion. I do hope that you will consider this request and release the outstanding grant and giving us six month extension to complete the programme.

Please do not hesitate to contact me if you should wish to discuss this matter in further detail.

Yours sincerely

A handwritten signature in dark ink, appearing to read "Joe Naitta". The signature is written in a cursive style with some loops and a period at the end.

Councillor Joe Naitta – Chair of the Resources Overview and Scrutiny Commission

**COUNCIL CABINET  
28 SEPTEMBER 2010**

Present: Councillor Jennings (Chair) Councillors Grimadell, Holmes, Ingall, Marshall, Poulter, Webb and Williams

In attendance Councillor Banwait and Jones

This record of decisions was published on 30 September 2010. The key decisions set out in this record will come into force and may be implemented on the expiry of five clear days unless a key decision is called in.

**69/10 Apologies for Absence**

An apology for absence was received from Councillor Bayliss.

**70/10 Late Items Introduced by the Chair**

In accordance with Section 100(B) (4) of the Local Government Act 1972, the Chair agreed to admit the following late items:

- Derwent New Deal for Communities.
- 12 Month Contract Extension for Derby Community Legal Advice Centre

**71/10 Identification of Urgent Items to which Call-In will not apply**

There were no urgent items.

**72/10 Declarations of Interest**

Councillor Williams declared a personal interest in item 11 because she was a non executive director of Derby City PCT. Councillor Jennings and Holmes declared a personal interest in item 10 because they were governors at Shelton Junior School.

**74/10 Derwent New Deal for Communities**

The Council Cabinet considered a report of the Resources Commission which stated that that Commission had received an update on the Derwent New Deal for Communities - NDC - programme at their 15 September 2010 meeting. Members were informed that there was approximately £3.75m of the Derwent NDC's final year's grant for 2010/11 yet to be released by the Communities and Local Government Department - CLG. The NDC had submitted a delivery plan to the CLG for the release of the grant which identified three priorities for the remaining funding. These included:

1. Upgrading the community gym at the Gateway Centre
2. Repair and restructure of the Revive Healthy Living Centre
3. Purchase and refurbishment of affordable residential properties to rent.

In preparation for the end of the NDC programme on 31 March 2011, a revised delivery and recovery plan was submitted to Government Office East Midlands and CLG on the 9 April 2010. The plan gave details on how the remaining funding £3.75m would be spent.

All NDC programmes were scheduled to close on 31 March 2011, including Derby. However Derby NDC had been subject to a number of external delays. The situation was such that, even if the money was to be released now, there was insufficient time to carryout the necessary capital works before the scheme comes to an end. The NDC therefore required access to all remaining funding and a six month extension to the programme until September 2011. The funding and extension would enable the team responsible for the NDC to leave a sustainable legacy in place.

The Resources Commission requested that Council Cabinet help and support the Derwent New Deal for Communities to lobby the Government for release of the Grant and provide an extension to the programme until 30 September 2011. The Commission sought an early decision from CLG, preferably by 30 September 2010, so that work could start on the final schemes as soon as possible.

It was reported that since the report was prepared the CLG had given the final decision not to release the funding.

#### **Decision**

1. To note that the Department for Communities and Local Government had given a final decision not to release any further funding.
2. To support the drawing up of an exit strategy from the programme.



DERBY CITY COUNCIL

one Derby

R4

Chris Williamson MP  
House of Commons  
London  
SW1A 0AA

Your ref  
Our ref  
Contact Councillor Joe Naitta  
email [Joe.naitta@derby.gov.uk](mailto:Joe.naitta@derby.gov.uk)  
Tel 01332 255511  
Fax 01332 255500  
Minicom 01332 256666  
Date 16 September 2010

Dear Chris

Derwent NDC Programme

I write to you on behalf of the Resources Overview and Scrutiny Commission to seek your help and support in lobbying the Government and enabling us to complete the Derwent New Deal for Communities (NDC) programme in Derby. To draw the scheme to its natural conclusion, Derwent NDC requires access to the remaining £3.75 million pound funding that was awarded to the city and a six months programme extension until 30 September 2011.

You will be aware Derwent New Deal for Communities programme is one of 39 community led regeneration programmes in England. The resident led board, which is responsible for the NDC, was created in 2000 to oversee the delivery of the scheme in a very deprived area of Derby. It is one of the few NDC's that has had a resident led approach from the very beginning and fits in neatly with the Governments blue print for the 'big society'.

Like all NDC areas, Derwent suffers from the effects of multiple deprivation including poor health, high levels of unemployment and anti social behaviour. However local residents, together with service providers, have improved the area and done a great deal to improve the quality of life for local people.

Derwent NDC has not been without its challenges. The programme was intended to be experimental and a new way of working. However, the resident ownership and management of the assets has not delivered the long term sustainable results that were expected. This has caused civil servants from Government Office for the East Midlands and national Department for Communities and Local Government to raise questions. Whilst the NDC has responded and has completed revised delivery plans, recovery plans and has been subject to review by Local Improvement Advisors, the NDC is no further forward in delivering a sustainable conclusion to the programme. As a direct consequence of the 15 month delay, there is now insufficient time to complete the scheme before the official end date of the 31<sup>st</sup> March 2011. This is the reason why the NDC requires an extension. However, whilst we estimate that the programme could be completed within an additional 6 month period, this will depend on when, or if, the NDC is granted approval and is able to begin delivering its objectives.



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There are enormous benefits to the residents in completing the programme. The board members have worked tirelessly to restructure the programme and, in partnership with Derby City Council, have developed a viable succession strategy. All the residents are volunteers and have invested thousands of unpaid hours in developing strategy documents and attending meetings with officers, including civil servants, who are responsible for the NDC within CLG. The residents are passionate about completing the programme and leaving a sustainable legacy in place, but recognise that this can only be achievable if the £3.75m is released and they are granted an extension.

By releasing the additional funding the NDC will be able to complete its succession strategy. This involves developing a community health and fitness facility at the Gateway Centre in the Derwent area of Derby. This project was intended to develop an inclusive community health facility to target those residents with the poorest health. Similarly, the Revive Health Living Centre was to become a base to deliver vital support services for children and families in the greatest need in Derwent. Remaining funding was to be spent on delivering affordable accommodation for families. All of these projects are vital to the future success of the scheme.

Without the funding, the NDC will not have a legacy and the hard work and dedication shown by the residents of Derwent, will amount to nothing. If the extension and funding are not approved, there will not be a legacy and, instead of having a viable succession strategy and assets that will continue to benefit local people, the NDC will be forced to develop close the programme. This will undoubtedly involve selling buildings that were intended to house services that would meet the needs of the most vulnerable residents.

There is a cross party political support within the Council in seeing this programme through to a successful completion. I do hope that you will consider this request and lobby Erick Pickles MP, Secretary of State for Communities and Local Government as well as civil servants responsible for the NDC and persuade the Government in releasing the outstanding grant and giving us six month extension to complete the programme. In any event, it would be helpful for us to receive a decision as the CLG have been considering the request for the past 15 months.



Please do not hesitate to contact me if you should wish to discuss this matter in further detail.

Yours sincerely

A handwritten signature in dark ink, appearing to read "Joe Naitta". The signature is written in a cursive style with some overlapping letters.

Councillor Joe Naitta – Chair of the Resources Overview and Scrutiny Commission

Circ. RT Hon Margaret Becket MP  
Pauline Latham MP

24 September 2010

Mr Adam Wilkinson  
Chief Executive  
Derby City Council  
(by email)

Dear Mr Wilkinson,

**Derwent New Deal for Communities**

We are writing to you in response to your proposal to redevelop the Revive Healthy Living Centre, and also to discuss the Recovery Plan for Derwent NDC.

We have been clear with all NDC partnerships that there is no end year flexibility, and that money cannot be carried forward into 2011/12. Originally we had appraised your proposal on the assumption that the project could be completed within these timescales, and we were hoping to clarify some issues around viability and risk with you on 19 August. At this meeting we were informed that your proposals required a change of planning use, which meant that the majority of build time and cost would fall into 2011/12, and required a £1.6m carry forward. We made clear how difficult this was and that it was unlikely to be possible, but we agreed to explore it further. Unfortunately, we can now confirm that it will not be possible to provide funds into 2011/12 and, therefore, we cannot give approval for this proposal.

We understand that this will be disappointing to you. There were two elements to your proposal; to convert the Centre to allow the local Children and Young Peoples service to lease vacant space, and the repair of faults with the building. We understand that the change of planning use only applies to the first of these two elements; therefore we would be able to authorise spend to undertake remedial building work within this financial year if it can help to make the Revive Centre viable going forward.

If you wish to pursue this, we are prepared to urgently discuss how you can take this work forward. We would expect this to be done within the wider context of the NDC and need to maximise benefits for the community, for example by maximising community use of the Gateway Centre. This includes resolving the lease issues on the Revive Centre.

We understand that the position on Revive will have an impact on your proposed succession plans and part of the discussion should be whether these are still viable

or whether other ways forward will need to be found. As part of this, it will be important that outstanding issues on the NDC are clarified, such as the future ownership and plans for all assets owned by the NDC. It will also be important to understand the commitment to the Derwent NDC area, through your services and neighbourhood management working arrangements.

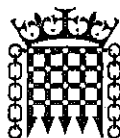
We are ready to meet with you to consider next steps. Steve Battlemuch from GOEM will be in touch to arrange a meeting to discuss the way forward.

I am copying this letter to Peter Ballard, Chair of the NDC and Jo Andrew, NDC Chief Executive.

Yours sincerely,

pp *Skumar*

Sam Lucas - NDC Programme Manager  
Steve Battlemuch – Derbyshire Locality Manager



R6

HOUSE OF COMMONS

LONDON SW1A 0AA

Cllr Joe Naitta  
The Council House  
Corporation Street  
Derby  
DE1 2FS

01 October 2010

Dear Joe

Thank you for your letter on behalf of the Resources Overview and Scrutiny Commission dated 16 September 2010, regarding Derwent New Deal for Communities.

I am keen to see the NDC completed as there have been many people from around my constituency who have given up their time to volunteer for this vitally important programme of development. I am astonished that this ConDem Government has pulled the funding for these essential NDC's.

I can assure you that I will be lobbying Eric Pickles MP along with other Conservative ministers and your ministerial colleagues from the Liberal Democrat benches, to reinstate funding for NDC's across the country and highlighting the astonishing effort and dedication those from Derwent have put in to securing a brighter future for their community.

Yours sincerely

P

Chris Williamson  
Labour MP for Derby North

Cllr Linda Winter

Your reference

Our Reference

Date

10-Nov-10

Contact

Sarah Barker

Tel

Fax

01332 256363 01332 256398

Email

Sarah.barker@derwentcommunity.org

**Visit to the Derwent New Deal Area**

In response to the request from the Derby City Council Resources Commission, I would like to invite you to attend a visit to the Derwent New Deal for Communities area on Friday 1 October 2010 from 10am

I have enclosed a copy of the itinerary for the morning and information regarding the Derwent New Deal for Communities programme. I have also enclosed directions to each location on the itinerary, although please let me know if you require directions to the first project which is Revive Healthy Living Centre, Roe Farm Lane.

I look forward to meeting with you on Friday morning.

Yours sincerely

Sarah Barker  
Derwent Community Team

**V1.2**



## **Derwent Community Team**

### **Resources Commission**

**Visit to the Derwent New Deal Area**

**Friday 1 October 2010**

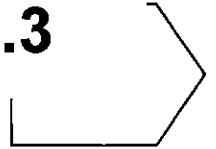
#### **Itinerary**

- 10am Arrive at the Revive Healthy Living Centre for refreshments and introductions  
**N.B (Limited parking at Revive although there is parking available at the Blue Boy Pub, within 2 minute walking distance of the Revive Centre)**
- 10:15 Walk and talk of the Revive Healthy Living Centre from Jo Andrew - Director of the New Deal for Communities Programme
- 10:45 Visit to 93-95 and 97 Wiltshire Road and the Blue Boy Pub from Jo Andrew  
**N.B (Within walking distance of the Revive Healthy Living Centre)**
- 11:10 Drive to and meet at the Gateway Centre  
**N.B (Parking available at the Gateway Centre)**
- 11:15 Visit to Derwent Youth and Community Centre, Monmouth Street from Tahir Abdullah – Team Leader of Integrated Services, Area 1  
**N.B (Within walking distance of the Gateway Centre)**
- 11:45 Arrive back at the Gateway Centre ready for a tour of the Centre from Nathan Fearn at Derbyshire County Cricket Club
- 12:15pm Meet and greet with resident Management Board members of the Derwent Community Team
- 12:30 Refreshments and lunch
- 1pm Visit complete

**1. Introduction**

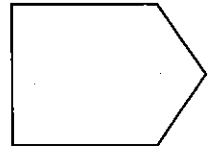
**V1.3**

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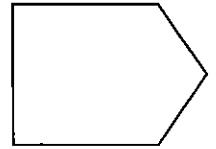
**2. NDC Outcomes in the Derwent area**

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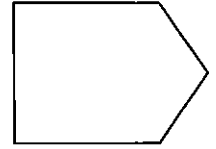
**3. Project approval process**

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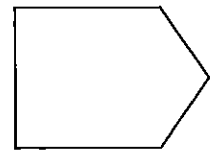
**4. Derwent NDC funded projects**

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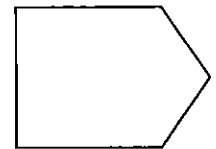
**5. Derwent NDC project funding analysis**

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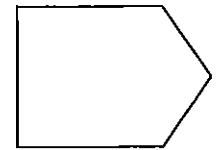
**6. Derby City Council managed projects**

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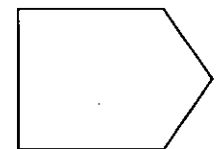
**7. Ongoing activities post NDC funding**

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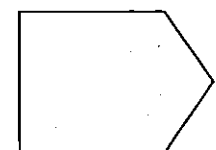
**8. Project application forms and templates**

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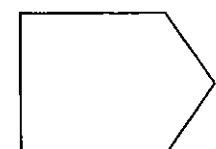
**9. IPSOS MORI Derwent NDC Household Survey**

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**10. Physical Improvements in the Derwent NDC area**

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**V1.4**

# Preparing for the Resources Commission

October 2010





# Introduction

Derwent was chosen as Derby's New Deal for Communities (NDC) neighbourhood by Derby City Partnership (DCP) in 1999.

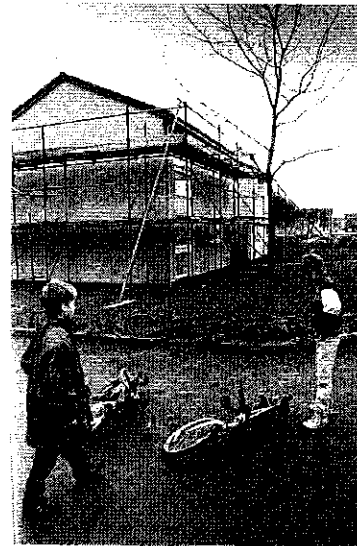
## Why Derwent was selected as an NDC area

The decision was based on the needs of the area, the perceived capacity of residents to support and participate in the programme and the network of agencies that were already in the area.

Derwent may not have looked like an area faced with severe urban decay – there were few boarded up houses and closed businesses, for example - but as a report to Lord Rooker in 2003 stated: "Derwent's key challenges were hidden beneath the surface."

In 1998, the Derwent ward ranked 16th in the Index of Local Deprivation for the whole of the East Midlands. In terms of overall deprivation, three of Derwent's LSOAs fell within the top 20% of the most deprived areas in the city, and Derwent was the 23<sup>rd</sup> of the 39 NDCs that was most in need of regeneration, with a number of issues:

- High levels of crime and fears for personal safety
- Criminal damage significantly higher than the city average
- Pronounced health inequalities
- Teenage pregnancy twice the City average
- High levels of drug and alcohol abuse
- Low levels of business activity
- Few vocational qualifications
- High unemployment rate
- Low educational attainment
- Poor community facilities
- Over 4% of housing didn't meet the decent homes standard



In the first MORI household survey, residents said they didn't feel particularly safe, many residents were leading unhealthy lifestyles and the prevalence of low income households was higher than the Derby average.

## Derwent NDC Core Outputs Achieved 2002-2010

### Lifelong Learning

- 87 new childcare places created
- Every child in the area has benefitted from projects designed to improve attainment
- 6 schools physically improved
- Over 2000 people obtained qualifications through funded projects
- 27 grants/bursaries have been awarded for study purposes

### Health and Wellbeing

- There are 20 new or improved health facilities
- With 6500 people benefiting from these facilities
- Over 7000 people benefitting from healthy lifestyle projects

### Economy

- Over 500 people have gained employment
- 1600 people have been engaged in voluntary work
- 1000 people received vocational training
- Over 1000 people have accessed improved careers advice
- 18 new business start ups
- 55 new businesses receiving advice/support

### Safer Communities

- There are 3 additional police
- 2 additional CCTV cameras have been installed
- Over 4000 victims of crime supported
- 15000 YP benefitted from inclusion and diversionary projects.

### Housing & Neighbourhood

- Over 2000 homes or businesses have been fitted with security
- Over 200 homes improved or built
- 1 traffic calming scheme

### Community Context

- 16 new or improved community facilities
- Over 9000 new or improved community facilities
- Over 200 community or voluntary groups supported
- 120 community chest grants awarded
- 33 feasibility studies reported
- 5000 people used new or improved community facilities

## **Impact of the Derwent NDC Programme**

Of the 42 Performance Indicators identified at the beginning of the programme, there has been a positive change against the baseline on 32, and 23 have reached the target for the end of the programme.

### **Lifelong Learning**

- Achievement at key stage 2 has increased by 20%
- Achievement at key stage 4 has increased by 200%
- School leavers not in employment, education or training has reduced by 35%

### **Health and Wellbeing**

- Smoking during pregnancy has been reduced by 30%
- Teenage pregnancy has reduced by 30%
- Children in need has reduced by 35%

### **Economy**

- Unemployment has reduced by 35%

### **Safer Communities**

- Domestic burglary reduced by 60%
- Youth offending has reduced by 71%
- Fear of crime has reduced by 35%

### **Housing & Neighbourhood**

- Turnover rate reduced by 44%
- Housing voids have been reduced by 56%

### **Community Context**

- Community participation has increased by 22%
- Residents feeling able to influence local decision making has risen by over 40%

Lifelong Learning												
Performance Area	Baseline Performance Indicators	Baseline Data	Year 5 Update	Year 6 Update	Year 7 Update	Year 8 Update	Year 9 Update (where available)	Year 10 Update (where available)	Target for 2011	Year 10 Status (Year 10 performance against Year 8 target)	Year 10 Status (Year 10 performance against Year 10 target)	Notes
<b>ED01</b> KS2 attainment - English	Increase attainment at KS2 to perform in the top 10 NDC's by 2010	% children passing KS2 - English NDC: 60.8% Derby City: 69.3% National: 74.2%	% children passing KS2 - English NDC: 58.5% Derby City: 73.1% National: 77%	% children passing KS2 - English (2005) NDC: 66.7% Derby City: 76.1% National: 78.7%	% children passing KS2 - English (2006) NDC: 62.4% Derby City: 74.9% National: 79.1%	% children passing KS2 - English (2007) NDC: 52.6% Derby City: 74.4% National: 80.1%	% children passing KS2 - English (2008) NDC: 72.8% Derby City: 77.3% National: 81.2%	N/A	To perform in the top 10 NDC's			National Evaluation Team (SDRC)
<b>ED02</b> KS3 attainment - English	Increase attainment at KS3 to perform in the top 10 NDC's by 2010	% children passing KS3 - English NDC: 44.6% Derby City: 62.7% National: 67.2%	% children passing KS3 - English NDC: 43.8% Derby City: 68% National: 71%	% children passing KS3 - English (2005) NDC: 63.9% Derby City: 71.9% National: 74.1%	% children passing KS3 - English (2006) NDC: 60% Derby City: 73.70% National: 72.7%	% children passing KS3 - English (2007) NDC: 64.3% Derby City: 73.9% National: 74.3%	% children passing KS3 - English (2008) NDC: 63.6% Derby City: 74.2% National: 74.2%	N/A	To perform in the top 10 NDC's			National Evaluation Team (SDRC)
<b>ED03</b> KS4 attainment	Increase attainment at GCSE level A*-C to perform in the top 10 NDC's by 2010	% children with 5 or more GCSE grades A*-C NDC: 17.1% Derby City: 45.9% National: 49.4%	% children with 5 or more GCSE grades A*-C NDC: 24.8% Derby City: 48.5% National: 51.5%	% children with 5 or more GCSE grades A*-C - (2005) NDC: 32.6% Derby City: 53.7% National: 54.4%	% children with 5 or more GCSE grades A*-C - (2006) NDC: 27.3% Derby City: 54.5% National: 57.10%	% children with 5 or more GCSE grades A*-C (2007) NDC: 42.4% Derby City: 54.6% National: 59.7%	% children with 5 or more GCSE grades A*-C (2008) NDC: 53.00% Derby City: 63.10% National: 64.10%	N/A	To perform in the top 10 NDC's			National Evaluation Team (SDRC)
<b>ED04</b> Unauthorised absence	Decrease unauthorised absence by 50% by 2011	% unauthorised absence Beaufort Primary school: 2.2% Derwent Community School: 0.2% Roe Farm Primary School: 0.5% High View School: 1.1%	% unauthorised absence Beaufort Primary school: 2.2% Derwent Community School: 0.2% Roe Farm Primary School: 0.4% High View School: 2.7%	% unauthorised absence - (2005) Beaufort: 0.27% Derwent: 0.19% Roe Farm: 0.36% da Vinci: 2.4%	% unauthorised absence - (2006) Beaufort: 0.34% Derwent: 0.28% Roe Farm: 0.22% da Vinci: 2.4%	% unauthorised absence - (2007) Beaufort: 0.53% Derwent: 0.08% Roe Farm: 0.63% da Vinci: 2.87%	% unauthorised absence - (2009) Beaufort: 0.37% Derwent: 0.49% Roe Farm: 0.62% da Vinci: 2.88%	% unauthorised absence (2010) Beaufort: 0.5% Derwent: 0.18% Roe Farm: 1.16% da Vinci: 4.07%	Reduce to 1.1% Reduce to 0.1% Reduce to 0.25% Reduce to 1.2%			DCC
<b>ED05</b> Entrance into further education	Increase proportion of school leavers going on to further education and training in line with the City average by 2011	% school leavers in education/training NDC: 37.7% Derby City: 60.7%	% school leavers in education/training NDC: 45.9% Derby City: 72.1%	% school leavers in education/training - (2005) NDC area: 68.6% Derby City: 79.4%	% school leavers in education/training - (2006) NDC area: Derby City:	% school leavers in education/training - (2007) NDC area: Derby City:	% school leavers in education/training - (2008) NDC area: Derby City:	N/A	0% difference between NDC & City	No data available	No data available	Connexions (by Ward) - Awaiting data
<b>ED06</b> School leavers not in education, employment or training	Decrease proportion of school leavers not in education, employment or training within 30% of City ave. by 2010	% 16 year olds not going on to FE work based training or employment NDC: 19.8% Derby City: 8.5% National: 7.3%	% 16 year olds not going on to FE work based training or employment NDC: 22.2% Derby City: 13.2% National: not available	% 16 year olds not going on to FE work based training or employment - (2005) NDC: 2.6% Derby City: 6.5% National: N/A	% 16-18 year olds not going on to FE work based training or employment - (2006 Ward) NDC: 13.96% Derby City: 7.71% National:	% 16-18 year olds not going on to FE work based training or employment - (2007 Ward) NDC: 8.8% Derby City: 7.4% National:	% 16-18 year olds not going on to FE work based training or employment - (2008 Ward) N/A	% 16-18 year olds not going on to FE work based training or employment - (2009 Ward) NDC: 12.8% Derby City 6.8% National:	Reduce to be within 30% diff. of City average.			Connexions (by Ward)
<b>ED07</b> Qualifications	Reduce the proportion of adults with no qualifications to 30% by 2011	Adults with low/very low literacy and numeracy Literacy Numeracy NDC area: 23.2% 49.2% Derby City: 16.9% 37.7% National: 20% 21%	Adults with no qualifications NDC: 36% National NDC average: 35% National: 16%	Adults with no qualifications - (2006) NDC: 23% National NDC average: 31% National: 14%	Adults with no qualifications No comparable data as no MORI survey in 2007	Adults with no qualifications (2008) NDC: 22% National NDC average: 29% National: 13%	Adults with no qualifications No comparable data as no MORI survey in 2009	N/A	Reduce the proportion of adults with no qualifications to 30%		No data available	MORI - ED1

**Health & wellbeing**

Performance area	Revised Performance Indicators	Baseline data	Year 5 Update	Year 6 Update	Year 7 Update	Year 8 Update	Year 9 Update (where available)	Year 10 Update (where available)	Target for 2010	Latest Status (year 9 performance against year 8 target)	Notes	Strat. Support
<b>HE01 Population who smoke</b>	Reduce the proportion of adults who smoke to perform at NDC ave. by 2010	<b>% residents smoke</b> NDC area: 45% NDC Average: 40% National: 27%	<b>% residents smoke</b> NDC area: 46% NDC Average: 38% National: 26.5%	<b>% residents smoke - (2006)</b> NDC area: 43% NDC Average: 37% National: 25%	<b>% residents smoke - (2007)</b> No comparable data as no MORI survey in 2007	<b>% residents smoke - (2008)</b> NDC area: 40% NDC Average: 35% National: 22%	<b>% residents smoke - (2007)</b> No comparable data as no MORI survey in 2007	N/A	Reduce to 0% diff with NDC average.			MORI - HE7
<b>HE02 Smoking during pregnancy</b>	Reduce smoking in pregnancies per 1,000 females by 15% by 2010	<b>% expectant mothers smoke</b> NDC area: 45% Derby City: 24% National: not available	<b>% expectant mothers smoke</b> NDC area: 42% Derby City: 23% National: 19%	<b>% expectant mothers smoke(2005)</b> NDC area: 38.6 % Derby City: 17.71% National:	<b>% expectant mothers smoke(2006)</b> NDC area: 42.1% Derby City: 17.4% National:	<b>% expectant mothers smoke(2007)</b> NDC area: 36% Derby City: 17.1% National:	<b>% expectant mothers smoke(2008)</b> NDC area: 31.3% Derby City: 14.8% National:	N/A	Reduce rate to 38% in NDC area			Shared Services by Ward
<b>HE03 Teenage pregnancy</b>	Reduce teenage conceptions in the Derwent area by 20% by 2011 (15-17yrs)	<b>Rate per 1000 females - U18 (2002)</b> NDC area: 109.79 Derby City: 49.41 England: N/A	<b>Rate per 1000 females - U18 (2003)</b> NDC area: 89.68 Derby City: 50.38 England & Wales: 7.7	<b>Rate per 1000 females - U18 (2004)</b> NDC area: 81.1 Derby City: N/A National:	<b>Rate per 1000 females - U18 (2006)</b> NDC area: N/A Derby City: N/A National:	<b>Rate per 1000 females - U18 (2007)</b> NDC area: 72 Derby City: 54 National:	<b>Rate per 1000 females - U18 (2008)</b> NDC area: 75.9 Derby City: 51.9 National:	N/A	Reduce rate to 88/1000 in NDC area			DCC
<b>HE04 Self harm</b>	Reduce the difference between NDC & City for deliberate self-harm referral rates by 50% by 2011	<b>Rate per 1000 aged 15+</b> NDC area: 11.5 Derby City: 5.5	<b>Rate per 1000 aged 15+</b> NDC area: 5.9 Derby City: 4.3	<b>Rate per 1000 aged 15+ (2005)</b> NDC area: 3.9 Derby City: 2.9	<b>Rate per 1000 aged 15+ (2006)</b> NDC area: 9.89 Derby City: 5.26	<b>Rate per 1000 aged 15+ (2007)</b> NDC area: 3.16 Derby City: 3.04	<b>Rate per 1000 aged 15+ (2008)</b> NDC area: 9.5 Derby City: 7.4	<b>Rate per 1000 aged 15+ (2009)</b> NDC area: 7.4 Derby City: 9.5	Reduce difference with City rate to 25%			Shared Services - by Ward
<b>HE05 Children in need</b>	Reduce the difference between the proportion of children in need in Derby and NDC area to 40% by 2010	<b>% population</b> NDC area: 20% Derby City: 3% National: not available	<b>Rate per 1000 population (under 18)</b> NDC area: 51.6 Derby City: 23.2 National: 16.9	<b>Rate per 1000 population under 18 (Nov 2006)</b> NDC area: 45.6 Derby City: 20.1 National:	<b>Rate per 1000 population under 18 (Nov 2007)</b> NDC area: 44.89 Derby City: 25.88 National:	<b>Rate per 1000 population under 18 (Nov 2008)</b> NDC area: 38.09 Derby City: 28.99 National:	<b>Rate per 1000 population under 18</b> NDC area: N/A Derby City: National:	<b>Rate per 1000 population under 18 (March 2010)</b> NDC area: 33.24 Derby City: 25.95 National:	Reduce the difference with City to 40%			DCC
<b>HE06 Child protection cases</b>	Reduce the difference between the proportion of children on the protection register in Derby and NDC area to 35% by 2010	<b>% population</b> NDC area: 3% Derby City: 0.5% National: not available	<b>Rate per 1000 population (under 18)</b> NDC area: 8.6 Derby City: 4.9 National: 2.3	<b>Rate per 1000 population under 18 (Nov 2006)</b> NDC: 7.8 Derby City: 4.0 National:	<b>Rate per 1000 population under 18 (Nov 2007)</b> NDC: 4.53 Derby City: 3.59 National:	<b>Rate per 1000 population under 18 (Nov 2008)</b> NDC: 3.77 Derby City: 2.59 National:	<b>Rate per 1000 population under 18</b> NDC: N/A Derby City: National:	<b>Rate per 1000 population under 18 (March 2010)</b> NDC: 6.12 Derby City: 3.51 National:	Reduce the difference between NDC & City by 35%			DCC
<b>HE07 Mental health</b>	Decrease the proportion of residents feeling downhearted or depressed to NDC average by 2010	<b>% residents feeling downhearted/depressed</b> NDC area: 39% National NDC av: 36%	<b>% residents feeling downhearted/depressed</b> NDC area: 41% National NDC average: 34%	<b>% residents feeling downhearted/depressed - (2006)</b> NDC area: 36% National NDC average: 33%	<b>% residents feeling downhearted/depressed</b> No comparable data as no MORI survey in 2007	<b>% residents feeling downhearted/depressed - (2008)</b> NDC area: 27% National NDC average: 32%	<b>% residents feeling downhearted/depressed</b> No comparable data as no MORI survey in 2009	N/A	Reduce rate to 0% difference with NDC average			MORI (QHE7)
<b>HE08 General health</b>	Decrease proportion of residents stating that their health is not good by 25% by 2011	<b>% residents with poor health</b> NDC area: 23% NDC Average: 23% National: N/A	<b>% residents with poor health</b> NDC area: 22% NDC Average: 22% National: N/A	<b>% residents with poor health - (2006)</b> NDC: 18% NDC Average: 20% National: 14%	<b>% residents with poor health</b> No comparable data as no MORI survey in 2007	<b>% residents with poor health - (2008)</b> NDC: 16% NDC Average: 19% National: 12%	<b>% residents with poor health</b> No comparable data as no MORI survey in 2007	N/A	Reduce rate to 17% in NDC area			MORI (QHE8)

Economy												
Performance Area	Revised Performance Indicators	Baseline Data	Year 5 Update	Year 6 Update	Year 7 Update	Year 8 Update	Year 9 Update (where available)	Year 10 Update (where available)	Target for 2010	Interim Status (Year 8-9 performance against year 8 target)		Responsible Party
<b>EC01 Economic activity</b>	Increase economic activity in line with NDC coverage by 2010 (all household members 16+)	<b>% of population economically active</b> NDC area: 55% NDC Average: 52% National:	<b>% of population economically active</b> NDC area: 54% NDC Average: 51% National:	<b>% of population economically active (2006)</b> NDC area: 53% NDC Average: 51% National: 64%	<b>% of population economically active</b> No comparable data as no MORI survey in 2007	<b>% of population economically active (2008)</b> NDC area: 56% NDC Average: 52% National: 64%	<b>% of population economically active</b> No comparable data as no MORI survey in 2007	N/A	Reduce rate to 0% difference with NDC average			MORI - QW01
<b>EC02 Unemployment</b>	Reduce unemployment rate in line with city average by 2010	<b>Unemployment rate</b> NDC area: 6.6% Derby City: 3.9% National: 2.7%	<b>Unemployment rate</b> NDC area: 5.2% Derby City: 3.3% National: 2.5%	<b>Unemployment rate - (2005)</b> NDC: 4.4% Derby City: 3.1% National: 2.4%	<b>Unemployment rate</b> NDC: 4.4% Derby City: 3.2% National: 2.5%	<b>Unemployment rate</b> NDC: 4.2% Derby City: 2.7% National: 2.1%	N/A	Reduce within 20% difference of City average			National Evaluation Team (SDRC)	
<b>EC03 Long term unemployment</b>	Reduce long term unemployment by 50% by 2010	<b>As % of all unemployed</b> NDC area: Not available Derby City: 24.2% National: 28%	<b>As % of working age population</b> NDC area: 1.9% Derby City: 1.3% National: Not available	<b>As % of working age population (2006)</b> NDC: 1.5% Derby City: 1.2% National:	<b>As % of working age population (December 2007)</b> NDC: 1.04% Derby City: 0.72% National:	<b>As % of working age population (Oct 2008)</b> NDC: 0.73% Derby City: 0.77% National:	<b>As % of working age population (June 09)</b> NDC: 1.53% Derby City: 1.50% National:	<b>As % of working age population (June 10)</b> NDC: 2.38% Derby City: 2.03% National:	Reduce rate to 0.95% in NDC area			NOMIS by Ward
<b>EC04 Youth unemployment</b>	Reduce unemployment amongst 18-25 yr olds by 25% by 2010	<b>As % of all unemployed</b> NDC area: 34% Derby City: 29.4% National: 25.2%	<b>As % of working age population</b> NDC area: 1.8% Derby City: 1.1% National: Not available	<b>As % of working age population (2006)</b> NDC: 1.4% Derby City: 1.0% National:	<b>As % of working age population (October 2007)</b> NDC: 1.22% Derby City: 0.83% National:	<b>As % of working age population (Oct 2008)</b> NDC: 1.4% Derby City: 1.11% National:	<b>As % of working age population June 09</b> NDC: 2.26% Derby City: 1.69% National:	<b>As % of working age population (June 10)</b> NDC: 2.38% Derby City: 1.59% National:	Reduce rate to 1.3% in NDC area			NOMIS by Ward
<b>EC05 Household incomes</b>	Reduce the difference between NDC & City household incomes by 30% by 2010	<b>Average household income</b> NDC area: not available Derby City: Not available	<b>Average household income</b> NDC area: £19,807 Derby city: £24,805	<b>Average household income (2005)</b> NDC area: £21,679 Derby city: £27,227	<b>Average household income (2006)</b> NDC area: £24,729 Derby city: £30,302	<b>Average household income (2009)</b> NDC area: £25,523 Derby city: £31,951	<b>Average household income (2009)</b> NDC area: £25,909 Derby city: £32,336	N/A	Reduce the difference between NDC & City to 17.5%			CACT
<b>EC06 Household incomes</b>	Reduce the proportion of household incomes under £10,000 to within 17.5% of city average by 2010	<b>% households earning above £20k</b> NDC area: 12.5% Derby City: 37.6%	<b>% households earning above £20k</b> NDC area: 37% Derby City: 48.8%	<b>% households earning above £20k (2005)</b> NDC area: 45.9% Derby City: 57.5%	<b>% households earning above £20k (2006)</b> NDC area: 53.5% Derby City: 63.4%	<b>% households earning above £20k</b> NDC area: 54.8% Derby City: 66.3%	<b>% households earning above £20k (2009)</b> NDC area: 55.4% Derby City: 67.6%	N/A	Reduce difference between NDC & City to 17.5%			CACT
<b>EC07 Household incomes</b>	Reduce the proportion of household incomes under £10,000 to within 17.5% of city average by 2010	<b>% households earning below £10k</b> NDC area: 59.2% Derby City: 28%	<b>% households earning below £10k</b> NDC area: 28.8% Derby City: 20.4%	<b>% households earning below £10k (2005)</b> NDC area: 21.7% Derby City: 16.2%	<b>% households earning below £10k (2006)</b> NDC area: 15.3% Derby City: 11.6%	<b>% households earning below £10k</b> NDC area: 13.1% Derby City: 9.3%	<b>% households earning below £10k (2009)</b> NDC area: 12.8% Derby City: 8.5%	N/A	Reduce the difference between NDC & City to 17.5%			CACT
<b>EC08 Income support claimants</b>	Reduce the proportion of income support benefit claimants by 20% by 2011	<b>% population claiming income support</b> NDC area: 14% NDC average: 19%	<b>% population claiming income support</b> NDC area: 12% NDC average: 18%	<b>% population claiming income support (2006)</b> NDC area: 10% NDC average: 16%	<b>% population claiming income support</b> No comparable data as no MORI survey in 2007	<b>% population claiming income support (2008)</b> NDC area: 13% NDC average: 15%	<b>% population claiming income support</b> No comparable data as no MORI survey in 2009	N/A	Reduce to 12% in NDC area			MORI - QF14
<b>EC10 Levels of debt</b>	Decrease proportion of residents in debt by 20% by 2011	<b>% of residents in debt</b> NDC area: 37% Derby City: not available	<b>% of residents in debt</b> NDC area: 36% Derby City: not available	<b>% of residents in debt (2006)</b> NDC area: 32% Derby City:	<b>% of residents in debt</b> No comparable data as no MORI survey in 2007	<b>% of residents in debt (2008)</b> NDC area: Derby City:	<b>% of residents in debt</b> No comparable data as no MORI survey in 2009	N/A	Reduce rate to 29.6% in NDC area			MORI - QDERS



**Safer Communities**

Performance area	Revised Performance Indicators	Baseline data	Year 3 Update	Year 6 Update	Year 7 Update	Year 8 Update	Year 9 Update (where available)	Year 10 Update (where available)	Target for 2010	Latest Status (Year 8 or 9 performance against year 3 target)	Notes	Update Status
<b>CR01 Recorded crime</b>	Reduce recorded crime per 1,000 population by 30% by 2010. (5% by year 3, 15% by year 6)	<b>No. of recorded crimes per 1,000 population</b> NDC area: 85.4 Derby City: 58 National: 48.2	<b>No. of recorded crimes per 1,000 population</b> NDC area: 115.6 Derby City: 76.3 National: 54.7	<b>No. of recorded crimes per 1,000 population (2005)</b> NDC: 72.8 Derby City: 60.5 National: 51.3	<b>No. of recorded crimes per 1,000 population</b> NDC: N/A Derby City: N/A National: N/A	<b>No. of recorded crimes per 1,000 population</b> NDC: N/A Derby City: N/A National: N/A	<b>No. of recorded crimes per 1,000 population</b> NDC: N/A Derby City: N/A National: N/A	<b>No. of recorded crimes per 1,000 population</b> NDC: N/A Derby City: N/A National: N/A	N/A	Reduce rate to 60 in NDC area	No update available from SDRC	National Evaluation Team (SDRC) - Awaiting further update
<b>CR02 Domestic burglary</b>	Reduce domestic burglary by 60% by 2010	<b>No. of recorded burglaries per 1,000 households</b> NDC area: 57 Derby City: 32 National: 22	<b>No. of recorded burglaries per 1,000 households</b> NDC area: 61.8 Derby City: 36.3 National: not available	<b>No. of recorded burglaries per 1,000 households (2005)</b> NDC: 23.6 Derby City: 14.41 National: N/A	<b>No. of recorded burglaries per 1,000 households (Dec 2007)</b> NDC: 27.3 Derby City: 13.84 National: N/A	<b>No. of recorded burglaries per 1,000 households (2008)</b> NDC: 24.5 Derby City: 13.0 National: N/A	<b>No. of recorded burglaries per 1,000 households</b> NDC: N/A Derby City: N/A National: N/A	<b>No. of recorded burglaries per 1,000 households (Dec 2009)</b> NDC: 22.6 Derby City: 11.09 National: 12.0	Reduce rate to 22.8 in NDC area	●	●	Derby CSP
<b>CR03 Repeat victimisation</b>	by 2010	<b>% of burglaries which are repeat burglaries</b> NDC area: 14.8% Derby City: 7.5%	<b>% of burglaries which are repeat burglaries</b> NDC area: 6.1% Derby City: 7.2%	<b>% of burglaries which are repeat burglaries (2005)</b> NDC area: 11.96% Derby City: 8.7%	<b>% of burglaries which are repeat burglaries (Dec 2007)</b> NDC area: 9.61% Derby City: 7.02%	<b>% of burglaries which are repeat burglaries</b> NDC area: 9.57% Derby City: 6.22%	<b>% of burglaries which are repeat burglaries</b> NDC area: N/A Derby City: N/A	<b>% of burglaries which are repeat burglaries (Dec 2009)</b> NDC area: 5.8% Derby City: 6.9%	Reduce the difference with City average to 10%	●	●	Derby CSP
<b>CR04 Criminal damage</b>	Reduce criminal damage in line with the NDC average by 2010	<b>No. of recorded cases of criminal damage per 1,000 population</b> NDC area: 25.8 Derby City: 14.5 National: 13	<b>No. of recorded cases of criminal damage per 1,000 population</b> NDC area: 33.3 Derby City: 17.5 National: 14.8	<b>No. of recorded cases of criminal damage per 1,000 population - (2005)</b> NDC: 29.6 Derby City: 17.5 National: 15.8	<b>No. of recorded cases of criminal damage per 1,000 population -</b> NDC: N/A Derby City: N/A National: N/A	<b>No. of recorded cases of criminal damage per 1,000 population -</b> NDC: N/A Derby City: N/A National: N/A	<b>No. of recorded cases of criminal damage per 1,000 population -</b> NDC: N/A Derby City: N/A National: N/A	<b>No. of recorded cases of criminal damage per 1,000 population -</b> NDC: N/A Derby City: N/A National: N/A	N/A	To perform in the top 10 NDC's	No update available from SDRC	National Evaluation Team (SDRC) - Awaiting further update
<b>CR05 Violent crime</b>	Reduce violent crime to the city average by 2010	<b>No. of recorded cases of assault per 1,000 population</b> NDC area: 12.2 Derby City: 10.4 National: 8.5	<b>No. of recorded cases of assault per 1,000 population</b> NDC area: 24.8 Derby City: 18.1 National: 11.4	<b>No. of recorded cases of assault per 1,000 population (2005)</b> NDC: 19.9 Derby City: 18.0 National: 13.9	<b>No. of recorded cases of assault per 1,000 population</b> NDC: N/A Derby City: N/A National: N/A	<b>No. of recorded cases of assault per 1,000 population</b> NDC: N/A Derby City: N/A National: N/A	<b>No. of recorded cases of assault per 1,000 population</b> NDC: N/A Derby City: N/A National: N/A	<b>No. of recorded cases of assault per 1,000 population</b> NDC: N/A Derby City: N/A National: N/A	N/A	No difference between NDC & City	No update available from SDRC	National Evaluation Team (SDRC) - Awaiting further update
<b>CR06 Deliberate vehicle fires</b>	Reduce deliberate fires per 1,000 population by 5% year on year until 2011	<b>No. of deliberate car fires - actual</b> NDC area: 12 Derby City: 2.73	<b>No. of deliberate car fires per 1,000 population</b> NDC area: 4.1 Derby City: 1.2	<b>No. of deliberate car fires per 1,000 population (2005)</b> NDC: 1.3 Derby City: 0.6	<b>No. of deliberate car fires per 1,000 population (Dec 2007)</b> NDC: 1.3 Derby City: 0.4	<b>No. of deliberate car fires per 1,000 population (2008)</b> NDC: 0.9 Derby City: 0.4	<b>No. of deliberate car fires per 1,000 population</b> NDC: N/A Derby City: N/A	<b>No. of deliberate car fires per 1,000 population (2009)</b> NDC: 0.21 Derby City: 0.3%	Reduce rate to 3 in NDC area	●	●	Derby CSP
<b>CR07 Young offenders</b>	Reduce the number of young people (10-17) offending in the area per 1,000 population by 30% by 2010	<b>No. of young offenders - actual</b> NDC area: 139 Derby City: 1661	<b>No. of young offenders per 1,000 population</b> NDC area: 14.5 Derby City: N/A	<b>No. of young offenders per 1,000 population (2005)</b> NDC: 10.5 Derby City: 4.3	<b>No. of young offenders per 1,000 population</b> NDC: N/A Derby City: N/A	<b>No. of young offenders per 1,000 population</b> NDC: N/A Derby City: N/A	<b>No. of young offenders per 1,000 population (Dec 2008)</b> NDC: 6.5 Derby City: 3.3	<b>No. of young offenders per 1,000 population (Dec 2009)</b> NDC: 4.1 Derby City: 2.6	Reduce rate to 10/1000 in NDC area	●	●	Derby CSP
<b>CR08 Fear of crime</b>	Reduce proportion of residents feeling unsafe walking alone in the area after dark to the NDC average by 2010	<b>% feeling unsafe</b> NDC area: 59% NDC Average: 55% National: not available	<b>% feeling unsafe</b> NDC area: 48% NDC average: 49% National: N/A	<b>% feeling unsafe - (2006)</b> NDC: 46% NDC average: 45% National: 30%	<b>% feeling unsafe -</b> No comparable data as no MORI survey in 2007	<b>% feeling unsafe - (2008)</b> NDC: 38% NDC average: 43% National: 31%	<b>% feeling unsafe -</b> No comparable data as no MORI survey in 2007	<b>% feeling unsafe -</b> NDC: N/A Derby City: N/A National: N/A	N/A	0% difference between NDC & NDC ave.	●	MORI - QCA1 look at targets

### Housing & Neighbourhood

Performance area	Revised Performance Indicators	Baseline data	Year 5 Update	Year 6 Update	Year 7 Update	Year 8 Update	Year 9 Update (where available)	Year 10 Update (where available)	Target for 2010	Final Status (Year 6 or 9 performance against year 5 target)		Responsible
<b>HN01</b> Turnover rates	Reduce turnover rates in the Derwent neighbourhood to the city average by 2011	<b>Turnover rate</b> NDC area: 22.8% Derby City: 15.9%	<b>Turnover rate</b> NDC area: 13.4% Derby City: 10.4%	<b>Turnover rate (2005)</b> NDC area: 11.47% Derby City: 9.47%	<b>Turnover rate (2006)</b> NDC: 11.29% Derby City: 9.37%	<b>Turnover rate (2007)</b> NDC: 10.43% Derby City: 8.88%	<b>Turnover rate 2008/09</b> NDC: 9.2% Derby City: 9.0%	<b>Turnover rate 09/10</b> NDC: 7.4% Derby City: 9.5%	Reduce within 10.5% difference with City average			Derby Homes
<b>HN02</b> Housing voids	Reduce the housing void rates in the Derwent neighbourhood by 20% by 2011	<b>As % of all properties in Derwent</b> NDC area: 1.8% Derby City: 4.9% National: 3.6%	<b>As % of all properties in Derwent</b> NDC area: 3.8% Derby City: not available National: not available	<b>As % of all properties in Derwent (April 2006)</b> NDC: 0.56% Derby City: 1.56% National: N/A	<b>As % of all properties in Derwent (Jan 2008)</b> NDC: 0.98% Derby City: 1.2% National:	<b>As % of all properties in Derwent</b> NDC: 0.79% Derby City: 0.72% National:	<b>As % of all properties in Derwent</b> NDC: Derby City: National:	<b>As % of all properties in Derwent</b> NDC: Derby City: National:	N/A	Reduce rate to 1.4% in NDC area		Derby Homes
<b>HN03</b> Social housing	Eliminate all non-decent social housing by 2010	<b>As % of local authority housing stock 2001</b> NDC area: 44%	<b>As % of local authority housing stock</b> NDC area: 25%	<b>As % of local authority housing stock (April 2006)</b> NDC area: 0.7%	<b>As % of local authority housing stock (March 2007)</b> NDC area: 0.59%	<b>As % of local authority housing stock</b> NDC area:	<b>As % of local authority housing stock</b> NDC area:	<b>As % of local authority housing stock 2010</b> NDC area: 0%	Reduce rate to 0% in NDC area	No data available		Derby Homes
<b>HN04</b> Resident satisfaction with the area	Increase the proportion of residents very or fairly satisfied with the area to the NDC average.	<b>% very/fairly satisfied with area</b> NDC area: 61% NDC Average: 61% National: 85%	<b>% very/fairly satisfied with area</b> NDC area: 70% NDC National average: 66% National: 87%	<b>% very/fairly satisfied with area (2006)</b> NDC: 72% NDC average: 71% National: 87%	<b>% very/fairly satisfied with area</b> No comparable data as no MORI survey in 2007	<b>% very/fairly satisfied with area</b> NDC: 76% NDC average: 74% National: 86%	<b>% very/fairly satisfied with area</b> No comparable data as no MORI survey in 2007	<b>% very/fairly satisfied with area</b> No comparable data as no MORI survey in 2007	N/A	Increase rate / keep in line with NDC average		MORI - Q11
<b>HN05</b> Resident satisfaction with accommodation	Ensure that the percentage of residents very or fairly satisfied with their accommodation does not fall below the NDC national average	<b>% very/fairly satisfied with property</b> NDC area: 92% NDC av: 82%	<b>% very/fairly satisfied with property</b> NDC area: 88%	<b>% very/fairly satisfied with property - (2006)</b> NDC area: 87%	<b>% very/fairly satisfied with property -</b> No comparable data as no MORI survey in 2007	<b>% very/fairly satisfied with property</b> NDC area: 89%	<b>% very/fairly satisfied with property</b> NDC area: 83%	<b>% very/fairly satisfied with property -</b> No comparable data as no MORI survey in 2007	N/A	Ensure rate remains above NDC average		MORI - HQ3
<b>HN06</b> House prices	Reduce the difference between average house prices in the Derwent neighbourhood and Derby City by 50% by 2011	<b>Average house prices(2001)</b> NDC area: £43,638 Derby City: £69,034 National: £129,744	<b>Average house prices (2003)</b> NDC area: £ 67,349 Derby City: £102,701 National: £157,752	<b>Average house prices (2005)</b> NDC: £96,091 Derby City: £136,983 National: £190,413	<b>Average house prices (2006)</b> NDC: £103,645 Derby City: £145,511 National: £206,684	<b>Average house prices (2007)</b> NDC: £107,511 Derby City: £149,726 National: £223,173	<b>Average house prices</b> NDC: £106,852 Derby City: £143,741 National: £220,670	<b>Average house prices</b> NDC: £106,852 Derby City: £143,741 National: £220,670	N/A	Reduce difference between NDC & City prices to 25%		National Evaluation Team (SDRC)



**Community Context (Quality of Life/Community Capacity)**

Performance area	Revised Performance Indicators	Baseline data	Year 3 Update	Year 4 Update	Year 5 Update	Year 6 Update	Year 7 Update (where available)	Year 8 Update (where available)	Target for 2010	Latest Status (Year 8 or 9 performance against year 8 target)		Data Source
<b>CC01 Local decision making</b>	Increase the percentage turnout rate for local elections within 30% of City average by 2011	<b>% turnout at local election</b> NDC area: 17.6% Derby City: 27.6% National: 30.2%	<b>% turnout at local election</b> NDC area: 20.7% Derby City: 30.6% National: 34.6%	<b>% turnout at local election - (May 2006)</b> NDC: 25.5% Derby City: 35.7% National: N/A	<b>% turnout at local election - (May 2007)</b> NDC: 23.4% Derby City: 35.1% National:	<b>% turnout at local election -</b> NDC: N/A Derby City: N/A National: N/A	<b>% turnout at local election -</b> NDC: N/A Derby City: N/A National: N/A	N/A	Reduce the difference between NDC & City to 30%	No Data available		Electoral Services - Ward only
<b>CC02 Community participation</b>	Increase the proportion of residents feeling part of the community by 25% by 2011	<b>% feel part of the community</b> NDC area: 32% NDC National av: 35%	<b>% feel part of the community</b> NDC area: 39% NDC National average: 39%	<b>% feel part of the community - (2006)</b> NDC area: 38% NDC National av: 42%	<b>% feel part of the community -</b> No comparable data as no MORI survey in 2007	<b>% feel part of the community - (2008)</b> NDC area: 39% NDC National av: 45%	<b>% feel part of the community -</b> No comparable data as no MORI survey in 2007	N/A	Increase rate to 40% in NDC area	●		MORI - CC01
<b>CC03 Community influence</b>	Increase the proportion of residents feeling they can influence local decision making in line with NDC ave or an increase of 50% on baseline	<b>Interested in future decision making</b> NDC area: 18% NDC National av: 23% National: not available	<b>% residents feel can influence local decision making</b> NDC area: 22% NDC National average: 24% National: 26%	<b>% residents feel can influence local decision making - (2006)</b> NDC: 21% NDC National average: 25% National: 34%	<b>% residents feel can influence local decision making -</b> No comparable data as no MORI survey in 2007	<b>% residents feel can influence local decision making - (2008)</b> NDC: 26% NDC National average: 25% National: 31%	<b>% residents feel can influence local decision making -</b> No comparable data as no MORI survey in 2007	N/A	Increase rate to 27% in NDC area	●		MORI - CC05
<b>CC04 Movement out of the area</b>	Reduce the proportion of residents wishing to move out of the area by 50% by 2011	<b>% of residents wishing to move out of the area</b> NDC area: 29% NDC National av: 39%	<b>% of residents wishing to move out of the area</b> NDC area: 30% NDC National average: 38%	<b>% of residents wishing to move out of the area - (2006)</b> NDC area: 33% NDC Nat ave: 40%	<b>% of residents wishing to move out of the area -</b> No comparable data as no MORI survey in 2007	<b>% of residents wishing to move out of the area - (2008)</b> NDC area: 38% NDC Nat ave: 39%	<b>% of residents wishing to move out of the area -</b> No comparable data as no MORI survey in 2007	N/A	keep in line with NDC average	●		MORI - QH01D

- Negative change on baseline
- Positive change on baseline, but may be performing worse than year 8
- Hit year 8/10 target

# Derwent Community Team Project Proposal Process

## **Introduction**

The purpose of this document is to detail the procedure to be followed for the appraisal of project proposals which are received.

Any member of Derwent Community Team staff, resident or expert with a conflict of interest towards a particular project may not be involved with any stage of the process.

## **Project Brief**

Derwent Community Team will design a project brief and will identify the needs, strategic goals and delivery plan outcomes it wants projects to address.

## **Expressions of interest**

Organisations who it is felt have the appropriate skills will be invited to submit an Expression of Interest. The DCT/Council procurement policy and a list of core outputs should be sent with this.

Expressions of interest will need to be submitted by a set date. They will then be taken to PMG for consideration.

## **Project Proposals**

Full proposals will then be invited from those requested by PMG. A closing date and time will be set for the receipt of applications. Any applications received after this date will be rejected and returned to the applicant by the Derwent Community Team.

After deadline has passed, the Derwent Community Team will sort through all of the proposals. All applicants will be contacted and informed that their application has been accepted and will be submitted for appraisal. DCT will identify any queries on the proposal form, any missing information, where questions have not been answered correctly etc. This will be fed back to the project proposer and they will be requested to update the proposal form prior to it being sent to the appraisal panel.

## **Appraisal Panel**

All applications received by the deadline will be submitted to an Appraisal Panel.

The purpose of the Appraisal Panel is to determine that project proposals received will enable Derwent Community Team to achieve the aims and objectives of its Delivery Plan. Each question will be scored to enable each panel member to assess individual bids in an objective and consistent manner.

DCT will arrange for each panel member to be sent a copy of the Project Proposal Form and any supporting information that has been received at least one week before the Appraisal Panel date.

An Appraisal Panel will be made up of at least one resident, an expert in that field, a financial expert and a member of Derwent Community Team (DCT). A member of the DCT Administrative Team will also attend the meeting to take notes. It will be the responsibility of Derwent Community Team to arrange for the panel members to be available on the date/time specified.

Each panel meeting will be chaired by the DCT representative, who will be responsible for the conduct of the meeting. DCT staff are to ensure that residents and representatives from external organisations are able to fully participate in the meeting.

Prior to the meeting, each member will need to allocate a score to each of the questions within the Appraisal and Approval Form for each application. At the panel meeting, the panel will discuss their scores for each question and will come to a consensus. The score and any comments from the Appraisal Panel on each question will be recorded on the Appraisal Form by the DCT Admin Team member.

Scores for all the projects will be calculated. If more than one project achieves the same score, discussions will take place to agree on the preferred deliverer. Applications must achieve an average 60% pass rate on the overall maximum score to qualify for NDC funding. The Appraisal Panel will then make a recommendation to Board about which project/s is/are to be funded.

## **DCT Management Board**

The final decision for whether project should receive NDC funding from DCT remains with the DCT Management Board.

The Management Board membership must not include any member of the Appraisal Panel.

## **Confirmation to Projects**

Once the minutes of the meeting have been produced, Derwent Community Team will write to each applicant and inform them of the outcome.

# Derwent NDC Funded Projects April 2000 – March 2011

Project	Project Description
CD Can Do Grant Fund	Project aims to set up a delegated grant making scheme targeted at bids for less than £500 submitted by individuals and organisations inc statutory organisations.
CD2 Can Do Grants Continuation	Project aims to set up a delegated grant making scheme targeted at bids for less than £500 submitted by individuals and organisations inc statutory organisations. Summary of the Criteria for applying: <ul style="list-style-type: none"> <li>• Revenue only considered</li> <li>• Must benefit Derwent residents</li> <li>• No requirement on match funding</li> <li>• No requirement on outputs</li> </ul> "Community Initiative Fund"
CIF Community Initiatives Fund (CIF)	CIF is a project that enables certain organisations ( Voluntary and Community Sector only) to access grants between £500 - £10,000, with their objectives meeting the Strategic Goals of yr 2 NDC Delivery Plan. Grants are approved by a panel from the Derwent community and the voluntary sector.
M & A Management and administration costs O/075 Safety First Roadshow	Derbyshire Constabulary proposes to run a Safety First Roadshow aimed at children in Yr 6 & 7 from Derwent NDC schools. Activities to inc: fire & railway safety, Safety around dogs and solvent abuse.
O/076 Anti-Bullying Roadshow	Derbyshire Constabulary propose to run an Anti-Bullying Roadshow inviting children in yrs 6&7 from Derwent NDC schools to engage in a range of interactive that will help the child to develop strategies to avoid being bullied by their peers, parents and other adults
P/002 First Steps	(Phase 1) Derwent First Steps supports existing voluntary organisations in the Derwent area. It will also identify and develop new voluntary activity in the area.
P/003 Stepping Stones Nursery - Community Foundations	A feasibility study paying a worker to investigate potential alternatives to house the Derwent Stepping Stones Nursery, as the current premises has limited life.
P/004 Fire Community Safety Worker	To employ a full time Community Fire Safety worker to: Promote citizenship in particular between 5-18yrs Educate individuals and the community To reduce the risk of fire To save life, protect property and the environment About the role of the Fire and Rescue Service in community protection
P/005 Substance Misuse Project	To assess the extent and nature of substance misuse and to develop prevention, treatment and enforcement within the Derwent Community.
P/006 Youth Crime Reduction and Community Justice	To establish a fund for local substance misuse projects To establish a fund for local misuse projects and to monitor the impact of projects developed through the above process. Project funded for a 3-4 month development phase to tackle youth crime reduction and criminal justice. The project will employ a local resident to act as co-coordinator, consult and involve all stakeholders, establish a project management group, hold various events to engage young people in the design of the project.
P/007 Relaunch of CACTI Centre	The purpose of this project is to appoint a Centre Manager, to recruit and support volunteers and develop the Centre. Take over running and caretaking costs, refurbish and relaunch as the new CACTI providing computer training, advice and information surgeries and developing information services.
P/008 Hillcrest Road Palisade Fencing	The project aims are to: to reduce and remove the opportunity for burglary on Hillcrest Rd, Derwent to improve the quality of life for mainly, the elderly residents on the project areaa by erecting fencing.
P/009 Lifeskills & Connecting to Connections	Project aimed at Yr 11 pupils to provide support/personal advisor for those applying for training, employment and work.
P/010 Burglary Reduction Project (REV)	The project aims to assist the unit to continue the work they have started in Derwent.
P/011 Space for Sports and Art	To provide additional community space at Derwent Community School, by constructing a 'Space for Sports and Arts' extension to the

## Project

## Project Description

- P/012 NDC Schools Audit
- P/013 Neighbourhood Plan
- P/014 Wiltshire Road Shopping Area Improvements
- P/015 Housing Futures Study
- P/017 Getting There
- P/018 NDC "All Singing, All Dancing"
- P/019 Derwent Empowerment Project - Phase 1
- P/020 Warehouse Machinery Basic Training
- P/020a Warehouse Machinery Basic Training (Additional)
- P/021 Getting Business Moving
- P/022 Derwent Jobs & Skills Audit
- P/023 Family Learning
- P/024 Purchase of 93-95 Wiltshire Road
- P/026 NVQ for Derwent
- P/027 Vocational Education Programme
- P/029 Healthy Living Centre Development Project
- P/030 UP2US (Endeavour Training)
- P/031 Burglary Reduction Project (CAP)
- P/032 Living Derwent - Phase 1
- P/033 Study of Childcare and Family Support Needs in

To employ a consultant to co-ordinate a comprehensive audit of schools. To include: An audit of school buildings and facilities, wide consultation with the community and the presented options that will increase schools capacity.

A three stage piece of consultation work that will support resident engagement in developing and managing a regeneration scheme. Project aims to improve the shopping area upon Wiltshire Rd, Derwent. Consultation with residents and shop owners has taken place to identify the options for redeveloping this area. Options have been agreed and the project seeks to begin the process of A project application submitted by derby City Council's Housing Services. The project aims to commission a research organisation to undertake a detailed study of the NDC area to ascertain: the housing needs of NDC residents, the workings of the local housing market, an approach to improve neighbourhood management and support for residents.

This project will be funded to purchase a mini bus that will be available for use by all local groups operating in the Derwent area, inc Derwent Community Team

To purchase a range of equipment to assist the Team in communicating more effectively with residents and stakeholders.

A project submitted by the Derwent Community Team to ensure maximum resident engagement in the ownership of the Partnerships programme. The project will also employ, train and support a team of local residents who will undertake the work necessary to the A project submitted by Central Training. The project will provide training to 40 Derwent residents leading to a range of qualifications in the industrial sector eg Fork Lift Trucks, Health and Safety etc. Target groups being the unemployed etc

Due to the success of project no P/020 and the demand for extra training places the applicant has requested that the project spend an additional £1,800 on more training places.

The 2Getting business Moving2 project is a series of mini pilot projects designed to support businesses in the area. To be delivered over a six month period.

The main aims of this project is to inform the Jobs, Skills and Social Economy Theme Group strategy over the short to medium term.

The project will include:

- \*carrying out a comprehensive skills audit
- \*To gather baseline information regarding the skills needed by businesses within the Derwent area and those in the surrounding areas
- \*The recruitment of 50 residents to carry out the work

Will help carers help their children by providing them with support for learning. The project will help raise educational attainment and Achievement of pupils & increase the literacy and numeracy levels of adults.

This project is to purchase and refurbish 93-95 Wiltshire Rd. The initial idea for the use of the building is to provide services for young This project seeks to support local people back into leaning by offering an introductory course in childcare at Derwent Stepping Stones. Students will have the option of moving onto a NVQ Level 2 in either play work or childcare.

To create two posts (Vocational Education Coordinator and a Home/School Liaison Coordinator) to deliver accredited training that is aimed at providing a vocational manufacturing double award in addition to OCN and NVQ accreditation for at least 50+ students from High View School. The second post will support their families in ensuring parents/carers are aware of the importance of their support Project seeking the development of the Healthy Living Network. Also to employ a Project Manager, Community Co-ordinator, two Project Support workers, an Admin worker and a Community Architect. To oversee the development of a capital build within the Derwent area (Healthy Living Centre). And to assist in the development of a Community Construction Company that will enable part of

- Project will focus on YP that display disaffection to society norms, displayed through truancy, crime and substance abuse
- Work with years 9,10 & 11 at High View School
- 12 week rolling programme for 16 -25 years olds
- 72 YP will be engaged in the programme

The capital element to this project will fund and target gardening, a house alarm scheme and amongst others, special projects inc This project aims to provide residents with a range of arts and cultural opportunities to try over a 10month period. At the same time residents will be consulted over which opportunities they would like to develop in the future.

Due to the lack of childcare information in Derwent this study aims to carry out a study into certain areas of local childcare needs. The findings of the project will provide a baseline from which DCT and its partners can begin to co-ordinate and improve services.

## Project

## Project Description

- P/034 Detached Youth Worker  
A project aimed at appointing a Christian Detached Youth Worker to establish an alternative youth culture for 11 - 16yrs and 16yrs +. The worker will develop and compliment current activities already delivered at St Marks Church and establish stronger links between youth agencies within the area.
- P/035 Derwent First Steps  
First Steps is an organisation that supports and develops community and voluntary sector groups in the Derwent New Deal area. It will employ a development manager and two development assistants (both being Derwent Residents).
- P/036 Valuing Experience - Phase 1  
This project seeks to recruit a worker who will identify local residents to become Learner Advisors.
- P/038 Runaways Project  
This project aims to fund the additional time of a Project Worker to work in Derwent with young people under the age of 18 yrs at risk of or having experienced running away from home or care.
- P/039 Derwent Timebank - Phase 1  
A nationally recognised project that formalizes and encourages people from the community to share and swap skills and time in a range of voluntary activities.
- P/040 Derwent Youth Inclusion Project  
The purpose of this project is to engage and work with the most at risk young people in the Derwent New Deal area. The project will work with young people up to the age of 17 and the target group set at 50. The project aims to reduce arrest rates and crime, provide 10hrs support each week for the target group, improve attendance in education and reduce exclusion from school.
- P/041 They Shall Not Pass  
Red Earth theatre will deliver 8 performances to Derwent primary schools & 8 at Highview School. The play seeks to address issues of difference and diversity by exploring the roots of racism, social injustice and tolerance.
- P/044 Enhanced Support Package to Develop Health  
The aim of this project is to improve health and reduce inequalities in health by providing an enhanced support package to the four schools in Derwent. This will include educational theatrical productions, workshops and training programmes for groups of assorted schools. This project is aimed at working with young men between the ages of 11 - 25yrs to address their health and social needs, especially in relation to sexual health.
- P/045 Derwent Sexual Health Project (Boys and Young Men)
- P/046 Teenage Pregnancy Co-coordinator  
Strengthen multi agency working around teenage pregnancy.  
To share good practice and develop expertise and skills  
Develop school nursing input to YP in residential establishments
- P/047 Moving Up - Phase 1  
This project has been designed to support a group of Y6 pupils in Derwent through the transition from primary to High View Y7 by offering the opportunity to explore their feelings and concerns in safe and supportive environment.
- P/048 Neighbourhood Wardens  
To develop a neighbourhood warden specifically for the Derwent area: to reduce crime, drug dealing, anti social behaviour. Daily patrols will operate on a rota basis.
- P/049 Sporting Futures  
This project aims to reduce anti social behaviour, crime and drug use among YP aged 8-16 by using sporting activities. This project will also link in with the young people referred to the YIP project and those excluded from school and who have behaviour problems
- P/050 Capacity Fund  
The Capacity Fund is part of DCT and is funded through New Deal For Communities money. The fund is specifically aimed at organisations that want to apply for funding to test out an idea, celebrate achievements or organise group training.
- P/051 Purchase of Former Grandstand Site  
The aim of this project is to purchase the land of the former Grandstand site for redevelopment.
- P/052 Derwent Citizens Advice Bureau  
The aim of this project is to establish a Citizens Advice Bureau in Derwent and to employ a Service Manager who will be based at the CACTI Centre and will co ordinate a training programme for volunteers to become trained advisors.
- P/053 High View School Milk Bar  
The aim of this project is to promote healthy eating as an attractive alternative to junk food and drink, by establishing a Milk Bar in High View School.
- P/054 Derwent Media Training Unit  
The aim of this project is to provide a community radio station and media training unit. Training to comprise of an intensive 6 month NVQ training programme, delivered 4 times over the two year period recruiting between 10 -15 people
- P/055 NEAT (Neighbourhood Environmental Action Plan)
- P/056 Connected Workspace  
Project closed 31 October 2007 - forwarding email: training@derwentfm.co.uk  
To commence phase 1 of the NEAT project, which includes start up capital costs and salary revenue costs.  
The aims of this project is to provide an affective and fast information and communications network between the Derwent Community Team, projects and partners

## Project

## Project Description

P/057	Launching Derwent Delivers Ltd	The aim of this project is to establish a local delivery agency/company (not for profit) that will also support the development of the community economy by
		<ul style="list-style-type: none"><li>* owning community assets</li><li>* support the development of local community/social businesses</li><li>* direct delivery of services on behalf of the DCT</li></ul>
P/058	Keep Safe - Self Protection Course	The aim of this project is to teach self protection for women, and to also engage hard to reach learners in Derwent.
P/059	'Transitions': Preventing School Exclusion	To support Yr 6/7 pupils who are at risk from permanent exclusion from school, who will be supported through a 1-2-1 mentoring
P/060	Neighbourhood Plan - Phase 3	To carry out the work of phase 2 with the main focus on action planning and delivery phase and addressing the delivery of three major developments across the New Deal area
P/061	Refugee Community Development Support Project -	The aim of this project is to employ two Community Development workers (one being a resident of the Derwent Community) to work in partnership with local residents /relevant voluntary and statutory agencies and increase awareness on refugee situations, particularly in the Derwent area.
P/062	Derwent Communications and Events Project	The aim of this project is to promote the Derwent area, (within and outside), explain the work of the Derwent Community Team, New Deal for Communities programme and the associated funded projects, by developing the Derwent Together newsletter, DCT website and downloading the Buzz.
P/063	High View Youth Paper	The aim of this project is to enable pupils from High View School to produce a young person's version of the Derwent Together newsletter, targeting DCT priority target groups. The project will also enable pupils to become familiar with publishing computers and graphical software, developing confidence and work placement opportunities.
P/064	Purchase of Land Behind St Albans Church	The aim of this project is to purchase land adjacent to St Albans Church (Roe Farm Lane, Derwent) in order to develop the land into services for the community and could potentially be used for either the Healthy Living Network or supported housing for the elderly.
P/065	Ring and Ride - Phase 1	The aim of this project is to provide a free 56 day trial bus service that will promote the service prior to its implementation, to gather feedback regarding eligibility criteria and the pricing structure.
P/066	Community Drugs Outreach Project	Develop a local treatment service, linked with current service provision within the City.
P/067	Derwent Ancient Village Project	The team will include project workers and trainees from Derwent.
P/068	Indoor Cricket Academy & Sportiflex - Professional	To involve local people in the planning, construction and running of the Derwent Ancient Village.
P/069	Building a Better Derwent	The village will also provide training and educational resource in the Derwent area.
P/070	East Beginnings Development Phase	The DCT in partnership with the Derbyshire County Cricket Club and Sport England wish to develop a £4million new build on the former site of the Grandstand, that will incorporate an indoor cricket academy, gym and a community hall/cafe. The aim of this project is to recruit a team to undertake the mechanics of the site.
P/071	Derwent Empowerment Project (Phase 2)	To assess the actions stemming from the Derwent Housing Future Study.
		Employ a development co-ordinator for 2 years to get the project up and running. They will have admin. Support and in the second year a project assistant will be trained on the job.
		Ensure resident engagement in the work of DCT.
		- design of NDC programme through the work of theme group
		- influencing the development of the ideas coming out of the neighbourhood plan
		- Project appraisal
		- Project delivery
P/072	Practical Support for Residents	Employ local resident as project driver, to ensure resident transport requirements is met.
		Procure high quality day care
		Provide care allowance to residents
		Provide high quality training environment for project driver.
P/073	NEAT (Neighbourhood Environmental Action Team)	One off hedge cutting
		Graffiti removal
		Rubbish removal
		Jet wash jetties/alleyways

## Project

P/075 Wiltshire Road Re-Paving Phase 2  
P/076 Derwent Community Foundations - Phase 2

P/077 Community Drugs Education Programme

P/078 Blue Boy - Phase 1

P/079 Road Safety Training Co-coordinator

P/080 Derwent Experience Project

P/081 Derwent Green Gym

P/082 Derwent Community Build ILM Plus

P/083 Theme Group Development Project

P/084 Derwent Ring & Ride Phase 2

P/085 Derwent Link

P/086 Time 4 Girls

P/087 Valuing Experience - Phase 2

P/088 Jobs 4 Derwent

P/089 Indoor Cricket Academy & Sportplex - Construction

P/090 Derwent Community Foundations - Phase 2B

## Project Description

The aim of this project is to complete the re-paving of Wiltshire Rd shopping area. To support future development of the new premises for Derwent Stepping Stones. Phase 2 will include additional staff and relocation costs.

Reduce health inequalities and raise the communities awareness of drug and alcohol issues. An opportunity to continue to learn about these issues, with an option of learning how to train others.

The aim of this project is to take over the management of the Blue Boy pub upon Wiltshire Rd. After community consultation it is clear that the Blue Boy is a community landmark but many residents believe that the pub is a symbol of the areas decline and there is sadness of its state of disrepair. The development will contribute towards a vibrant economy and community and an improved To promote road safety throughout the community by:

- providing resources to schools and playgroups
- train teachers and parents to run cycle training courses
- road safety assemblies in schools & nurseries
- work with agencies to provide safety roadshow

Provide support to families at Stepping Stones for children 0-5. The project will address other issues identified by families and provide an opportunity for families to gain confidence in their parenting ability.

Create a project that makes links between health and environment. The project offers an alternative, the opportunity to improve their physical fitness by involvement in conservation activities ie planting hedges, dry stone walling, building wildlife gardens.

The project will involve partnering of local people willing to train in construction with contractors working in the area.

The aim is to develop a robust Theme Group foundation to support Derwent Community Team's work.

Provide bespoke transport to disadvantaged members of Derwent. This will run from 7am to 11pm 7 days a week. Fares on the scheme will be in line with normal bus fares.

Provision of local bus service between Morrison's and Pride Park via Derwent to provide access to employment and services at these sites.

Provide access to sexual health services to young women in Derwent. Aims to raise confidence, self esteem and aspirations to enable young women to play a fuller role in the community.

Derwent has one of the highest rates of teenage pregnancy in Derby.

Employ a project worker who will:

- work with local residents and workers in organisations to identify learner advisors
- Assist the Valuing Experience Project worker with training of the learner advisors
- encourage local residents, in particular those who work in local voluntary groups

Tackle worklessness on two levels:

1. kick start a jobs brokerage in Derwent, with a view to panning out the successful model across Derby.
2. the project will engage a jobs pool of approximately 100 businesses to facilitate 345 individuals to gain relevant vocational training.

To develop a £4million new build to incorporate:

- indoor cricket academy
- view gallery
- 5 training & development suites
- Community Café
- Community Gym
- sports hall and activity hall
- cricket equipment shop
- kitchen facility

Fund the remaining costs of the temporary move

Architects fees

Demolition of current building

Continuation of project staff



## Project

## Project Description

- P/091 3 Steps to a Brighter Future
- P/092 Derwent Holiday Splash
- P/093 Credit United
- P/094 Young People's Project (Phase 1 - Recruitment)
- P/095 Derwent Time Bank (2)
- P/096 Derwent Community Toy Library - Phase 2
- P/098 Let's Crack It
- P/099 High View PFI Development Worker
- P/100 Derwent Schools Sports Co-Coordinator
- P/101 Living Derwent - Phase 2
- P/102 Purchase of 97 Wiltshire Road
- P/103 Gateway Centre Development Manager
- P/104 Phase 1 - Developing Library Services In Derwent
- P/105 Phase 2 - Delivering a Revived Library Service from
- P/106 Business Boost
- P/107 Further Connexions In Derwent
- P/108 Moving Up - Phase 2
- P/109 Derwent Enterprise Promotion Project
- P/110 Blue Boy Community Resource & Pub - Phase 2
- Project strives to improve the employability of people living in Derwent, who are currently not participating in the labour market. This funding is to bridge the gap until ESF funding can be applied for.
- The aim is to provide additional services for YP in Derwent during the school holiday periods.
- To undertake a period of development work to establish a credit union that will support those who experience financial exclusion and to recruit 2 workers on the development of an YP facility based at 93-95 Wiltshire Road. A worker has been employed for 20hrs a week and has been successful in engaging group of YP in establishing a steering group.
- The steering group agreed that Time Bank needed to increase in size in order to deliver maximum benefit to Derwent residents:
- employ three resident workers
  - develop a more effective database
- Launch of a toy library to meet the needs of Derwent residents. Support families and anyone that provides care and education for children in their home or any other setting.
- Provide 1-1 delivery service, using staff vehicles for families and carers that are isolated or have difficulty in accessing community to enhance the service provision of the Community Safety Officer to address issues around: fire starting, hoax calls and anti social behaviour, difficulty in accessing community groups.
- High View School is one of six schools in the Grouped Schools Private Finance Initiative Project that must deliver a school that is fit for the purpose over a lifetime of 25yrs. The project aims to provide the school with development time and support to the Senior Management Team, Governors and the wider community to get the right result from the PFI. Also enable good links between the school and the programme and prove a key focus for drawing in additional funds and other DCT projects linked to the school.
- As there is a need to boost sports provisions for school pupils in the Derwent area the project aims to increase the amount of co-ordination from the current two days per week to full time provision. The co-ordinator will (summary of points, see project application):
- Assist each head with the construction and management of a sports development plan and establish links between the schools
  - Support creation of further sports development plans
  - Contribute to schools teaching and coaching programmes
- Increased opportunities for local residents to be involved in arts and cultural activities.
- Increase capacity for local people and the organisations to provide arts and cultural services
- Creating opportunities for artists to work in the area
- To purchase 97 Wiltshire Rd, as there is a shortage of affordable office space in Derwent. The premises have a shop front and is being considered for use as a site for the Derwent Time Bank, rented retail space and a shop window and visitors centre for the DCT and associated projects
- To employ 2 workers, a manager and a trainee. The manager will take overall responsibility for the development of the 'Gateway' Prepare the ground for a library at the healthy living centre. The project will offer a 22 month secondment from Derby City Libraries for a library development worker.
- Setting up and delivery of a library service from the new Healthy Living Centre.
- The project will tackle the following issues:
- Assist local businesses to meet requirements of Disability Act 1996
  - Help local businesses develop and write business plans.
  - Encourage growth of social enterprises.
- The aim of this project is to continue the work of the Connecting to Connexions that was approved by the board 24 Jan 2004. The project will fund a full time Personal Adviser at da Vinci to work with Yr 11 students in their transition from post 16 provision.
- To carry on the work of "Moving Up" - Phase 1
- The aims of this project will be to support and encourage new business start ups.
- To refurbish the Blue Boy Public House and to establish a management structure for the business. The project involves major renovation costs to repair/refurbish both interior/exterior of the building.

## Project

- P/112 Derbyloans in Derwent
- P/113 Beaufort Out of School Club
- P/114 Music Tuition
- P/115 HRH The Prince of Wales's Seeing is Believing Visit
- P/116 The Gateway Starts Up
- P/117 Burglary Reduction Team
- P/118 Roe Farm Wheels
- P/119 CACTI - Phase 2: Development & Sustainability
- P/120 Derwent Citizens Advice Bureau Top Up Costs
- P/121 Derwent Empowerment Project - phase 3
- P/122 Roe Farm Primary - Use of Games and Changing
- P/123 Derwent Community Foundations-Phase 3
- P/126 Take Your Time

## Project Description

- Derby Loans aim to provide loans to financially excluded individuals and businesses within the Derwent area. It has been created to fill the gap in the financial market not covered by banks, other financial institutions etc.
- To provide funding for staffing costs and improve the facilities of the Beaufort School Out of School Club
- The projects purpose is to purchase a pool of violins that can be loaned to children from Beaufort Primary and Roe Farm Primary School and provide them with free tuition.
- The project aims to take leading businesses on a tour around the Derwent area to highlight the needs of the local community and the aim of this project is to fund the initial start up costs for the Gateway building, to inc: recruitment and salary costs, base costs, initial marketing budgets, staff training costs and the purchasing of essential equipment.
- The aim of this project is to continue the work of the Burglary Reduction Unit, with the main focus on certain core activities, being: Analysing crime data in the Derwent area, visiting all victims of burglary and raising their crime prevention awareness and offering support, making referrals and carry out publicity campaigns.
- The aim of this project is to buy a dedicated police vehicle, which will be based at Roe Farm Police Station. The proposal will ensure that emergency response can be provided to Derwent far quicker than from St Mary's Wharf.
- To carry on the work of Cacti - Phase 1. To also inc the project becoming independent of the Derwent Community Team, forming it's own constituted group and the aim to becoming sustainable.
- To carry on the work of "P/052 Citizens Advice Bureau" in Derwent
- The aim of this project is to continue and to develop the existing Derwent Empowerment project. Phase 3 will continue the outreach work, with a more structured training element on community development.
- Aim of the project is to part fund a multi use games area with various sporting and changing facilities at Roe Farm School. A car parking area to the south of the site and an extension block will be constructed adjacent to the school which will incorporate the new
- The aim of this project is to enable new premises to be built for Derwent Stepping Stones to continue their work and build on services they provide for Derwent.
- This project has three main aims:
- Aim one - to provide a block of one hour appointments offering four types of complementary therapy. The sessions will carry a charge to enable the project to become sustainable.
- Aim two - to train local people to become the delivers in the complimentary therapy.
- Aim three - to develop a complementary therapy enterprise, to be managed and developed by local people who have undertaken the above training.
- The aim of this project is to deliver a feasibility study for a community mediation project.
- The aim of this project is to provide funding towards the Revive Healthy Living Centre, which will offer a new approach to delivering health and community services in Derwent, Breadsall and Chaddesden.
- The aim is to ensure a co-ordinated approach to the overall physical Masterplan of the area. Neighbourhood Plan 4 will develop specific Action Plans for certain areas in Derwent (see milestones). They will work with the Neighbourhood Plan Steering Group and the local community to co-ordinate the overall strategic vision of the area.
- This project aims to reduce the shortage of trained childcare workers in the Derwent area by offering a introductory childcare course with the following opportunity to access a NVQ in childcare.
- The project is to provide improved cycle and pedestrian facilities within the Derwent area.
- To employ two workers. A Learner Leader to work full time at the Derwent Community Team and a Learning Co-ordinator working across the North East of Derby with specific attention to Derwent.
- The project will deliver 5 tours of Derwent per yr. The tours will be open to residents, local agencies, outside agencies and other regeneration initiatives. During the lifetime of the project a trial will be undertaken into setting up a business offering regeneration in

## Project

## Project Description

- P/134 Victim Support Derbyshire/Witness Service  
This is a joint project between Victim Support and the Witness Service to support victims of crime. The project will help make those victims easier to report crime, knowing that they will get support from the very beginning until the crime is reported in court.
- P/135 Derwent First Steps Project  
This project is a continuation of P/035 Derwent 1st Steps. The funding will pay for the rent on the existing premises to ensure that the voluntary sector in Derwent will continue to be developed and the recruitment of a Publicity and Communications worker that will raise the profile of the project within the community.
- P/136 Anti-Social Behaviour  
The aim of this project is to provide a dedicated Anti-Social Behaviour Officer in the Derwent area to ensure a more effective and efficient service in both tackling problems and responding to community needs and concerns.
- P/137 Can Do Grants  
The aim of this project is to continue to fund the extremely popular Can Do Grant Project fund, which enables individuals and groups to bids for less than £500
- P/138 Best Beginnings (Phase 2)  
The aim of this project is to improve social (family support) in association with community development and improving health. The project will deliver a mixed range of services (see board report 1st Dec 2005) by having a team of family support workers, who will work in close partnership with the local integrated children's services, children's centres, Revive, extended schools and other family support
- P/139 Derwent Delivers Core Staff (aka Sustainable  
The project is a continuation of Derwent Delivers. Derwent Delivers was established as an arms length company to assist with the sustainability of the Derwent area.
- P/140 Boys and Young Men Team  
This project is the continuation of a successful project that works with a defined Hard to Reach group within the community. The aim is to focus on reducing the teenage pregnancy rate in the Derwent area. The programme will focus on boys and young men up to 25 years and work towards addressing their needs. There are three main elements to the project:
- \*Increase awareness of sexual health issues
  - \*Offer support to young fathers who are often marginalised by health services
  - \*A co-ordinated delivery of Sex and Relationship Education across the community.
- P/141 Social Enterprise Cluster  
The aim of this project is to ensure that some of our most successful projects in Derwent can still deliver and be proactive after the NDC funding ends by transforming the projects into social enterprises.
- P/143 Community Fire Safety Initiatives  
The aim of this project is to continue funding the Community Fire Safety project. The project activities include: Raising ownership of smoke alarms, reduce the number of accidental/deliberate fires, reduce the number of hoax calls etc.
- P/144 Community Education Programme  
This project is aimed at 11 - 16 yrs and adults. It will provide education advice and support to address drug and alcohol issues in the Derwent area.
- P/145 The SHAP (Safe, Healthy and Positive) Derwent  
The aim of this project is to work with schools in Derwent, including special needs schools. The project will target those pupils who have been identified against national criteria as having low self esteem and a lack of confidence. The project will offer intense coaching sessions, co-operation skills, health choices and emotional well being with the support work aiming to develop positive
- P/146 Valuing Experience - Phase 3  
The aim of this project is to continue the work of the Valuing Experience Project, which provides a non-traditional route into Lifelong Learning for those who have not participated in traditional learning activities and who have no or formal qualifications.
- P/147 The Runaways Project  
The aim of this project is to offer support to young people 16 years and under who are at risk of, or have run away from home or care.
- P/148 Family Learning - Phase 2  
This project is a continuation of P/023 Family Learning and aims to embed learning into the culture of the community.
- P/149 Putting the IT into Community  
The aim of this project is to provide IT support to the Derwent Community Team's projects by sustaining the support to the existing IT activities the project will employ a Home Energy Advice Worker, train Energy Champions and refer people accessing the service to
- P/150 Warm Homes for Derwent  
The aim of this project is to provide children in the community with a 2 night stay at the Cromford Venture Centre, during which time they will be involved in numerous educational and outward bound activities. The activities aim to build self confidence, self help skills, self esteem and develop positive attitudes.
- P/151 Residential Visit Programme at the Cromford

## Project

## Project Description

- P/152 Derbyshire Children's Holiday Centre  
The aim of this project is to offer a safe, enriching holiday for children who would not get this opportunity due to their families economic status or the children's home circumstances.
- P/153 Specialist Alcohol Support Worker  
The project supports transition, education achievement, social inclusion, school exclusion and is a significant factor in addressing these wide ranging issues.
- P/154 Derwent Hatrick Programme  
The aim of this project is to fund one Specialist Alcohol Support Worker and one Generic Support worker in Derwent for 3 years. The primary focus of the posts will be to provide one to one support to all residents of Derwent who are experiencing problems as a result of alcohol misuse and are struggling to sustain their accommodation or access services to help them overcome their vulnerability.
- P/155 Shine (Street Lighting Improvements)  
The aim of this project is to provide a co-ordinated football infrastructure in Derwent, by appointing a Football Development Officer for It is bright and eradicates pockets of darkness  
It shows up colours - which assists CCTV cameras  
Bulbs can be tilted to prevent light from shining into homes  
It is cheaper to maintain
- P/160 Transporting Solutions Pilot  
The Ring & Ride (P/084) project provides transport to the elderly, disabled and those unable to use public transport in Derwent. As the Ring & Ride service ends in Oct 2006, the aim of this project is to:
- P/162 DCT Capital Procurement of Professional Services  
be a pilot for a larger bid (expected start date March 2007)
- P/163 Mental Health Support Surgery  
build the capacity of the development group, so they can examine ways of sustaining the project  
A project commissioned by Derwent Community Team board to manage their Capital Build Programme.  
Two projects were merged by the review group to form this one project.
- P/170 Impact Derwent Youth Inclusion Project  
The aim of the project is to provide a drop in/open door service for Derwent to manage mental distress, in addition the project will provide specialist recovery training programme for adults residing in the local community.
- P/173 Derwent Family Group Conference  
The aim of this project is to reduce the number of first time offenders that enter the youth justice system and reduce the number of young people out of education and training. The project will also support those most at risk of crime and anti social behaviour.
- P/184 Learn Derwent  
The aim of the project is to establish a Family Group Conference project to work in partnership with other services and the local community in Derwent. The project will address a broad range of problems that children and families face today.  
This project is the capital build project for the following schools  
\*Roe Farm Primary School  
\*Derwent Community School  
\*Beaufort Community School
- P/185 Master Plan  
The aim of this project will be to improve and develop the accommodation at the above schools. The improvements at the schools will help to support the Governments Extended Services Agenda, by ensuring that the schools meet the five key outcome of "Every Child This project will produce a master plan that will co-ordinate housing investment in the Derwent area.

## Project

## Project Description

P/187 Sussex Circus Redevelopment

Sussex Circus has been identified by local residents as being a key area in Derwent and is in need of improvement.

The overall aim of this project is to :

- Improve wheelchair access
- Increase parking
- Safer roads
- Increase lighting
- Improve play area
- A space for young people to hang out
- Improved physical environment

P/191 Derwent Disability Support

The aim of this project is to increase the availability of disability related information advice and support to the residents and businesses of Derwent. The project will train and employ an advisor who is dedicated to the Derwent area.

P/197 Small Grants

The Small Grant project will fund a variety of opportunities for the Derwent Area including

Provide start up grants for community groups  
Provide resources for individuals and groups wishing to access learning

Pilot grants for vocational training to access levels of funding needed and support future applications for external funding

P/203 CACTI Centre Refurbishment

The aim of this project is to refurbish the CACTI Centre to ensure that the building is Disability Discrimination Act compliant, addresses specific security issues as well as create a space for residents within CACTI Centre.

P/205 Derwent Zambezi Association

The aim of this project is for Derwent Zambezi to commission a range of artist and consultants to run workshops within the community to either establish links or to challenge racism.

P/206 Perth House - Prevention, Rehabilitation & Respite

The project has two main objectives:

1. To improve the overall facilities at Perth House to ensure the building is able to support the development of independent living for elderly residents.
2. To offer elderly residents in Derwent the training and support they need to ensure they are integrated back into the community and are able to live independently.

The overall aim is to refocus residential support services for the elderly to continue living within the community. The centre will be offering short term residential care and support, rather than long term care.

The desired outcome of this project is to implement a long term movement of responsible money management in the Derwent NDC area where loan shark and illegal activities are present. The project will enable local people to develop and run their own Credit Union branches to serve their day to day banking needs where mainstream banks have moved out. School age children will also be

P/212 Derwent Social Added Value (SAVE)  
This project is to ensure that Social Added Value is achieved as part of the DCT Capital Procurement Project (P/162)

The project aims to do 5 things including

Ensure that all new build projects engage in Social Added Value at all levels

Provide 12 months of engagement with local residents and beyond

Attract additional match funding

The aim of this project is to provide Police Community Support Officers for Derwent. Their work will be intelligence led and target issues that matter most to the community. They will work in partnership with partner agencies and the public from the Derwent area. Access to funds to allow a number of key activities such as holding meetings, visiting other projects, research, consultation costs etc in line with the development of a proposed project

P/213 Police Community Support Officers (PCSO)

P/214 Development Fund

## Project

## Project Description

- P/215 Activity 4 Life  
The aim of this project is to co-ordinate sporting activities in Derwent throughout the summer holidays of 2007.
- P/217 Financial Inclusion Network - Derwent  
This project is a temporary measure (for summer 2007) as Programme Management Group raised concerns over the development of the project - PMG recommended that £15,000 was released to ensure that summer activities take place 2007
- P/218 Debt Free in Derwent  
The aim of this project is to build a strong Financial Inclusion Network in Derwent.  
This project will tackle debt in Derwent in a number of ways;  
Train people who reside in Derwent in debt awareness - one day training per week for four weeks with support and guidance in putting together a portfolio together. The completed portfolio will lead to an OCN level 2 qualifications. Predicted the 50 people form Derwent will undertake this training.
- P/221 Transporting Solutions (Phase 2)  
The project will deliver a holistic service to clients which will provide help and support to local people on a range of social welfare and  
The aim of this project is to continue the Ring and Ride service in Derwent (see project P/084 & P/160)
- P/224 IT and Communications  
The area is poorly serviced by buses with approx 25% of the Derwent population, potential beneficiaries for the service with a specific aim to target OAP's and Disabled people.  
This project merges the IT and Communications functions of the Derwent Community Team. The communication element of this project will promote and publicise the work of the Derwent Community Team, whilst the IT section will continue to support the IT
- P/225 Derwent Neighbourhood Management Team  
The aim of this project is to make the Derwent ward the 6th priority neighbourhood in the city.  
The project will ensure that Derwent residents have a mechanism to influence service delivery in the Derwent ward post New Deal Funding.
- P/226 Green Lane Apartments  
Year one figures have been reduced to account for the CACTI refurbishment project (£94195) which is where NM will be based this reduces year one from £172,665 to £78,470.
- P/227 Derwent Youth Centre Refurbishment  
There is an approval condition that outputs are detailed to the monitoring team as soon as they are determined.  
The purpose of the project is to purchase a refurbished block of apartments to increase the availability of social housing for Derwent  
The aim of this project is to refurbish the Derwent Youth Centre on Monmouth Street. This refurbishment will:  
Further develop existing youth service provision in Derwent
- P/228 Derwent Stepping Stones Sustainability  
Increase the capacity of the centre enabling the provision to provide its services to an increased number of users  
and work in partnership with other service providers to provide services to children and young people.  
The aim of this project is to support Derwent Stepping Stones to realise sustainability strategy that will ensure that Derwent Stepping Stones has a long term future in Derwent.
- P/229 Activities 4 Life (Phase 2)  
The project has been developed to continue a previous phase of delivering low cost holiday activities to children in the Derwent area.
- P/230 Derwent Delivers Future  
The project will co-ordinate a programme of positive activities to engage children, young people and their families during school holiday periods preventing the likelihood that children will turn to crime related activities and anti social behaviour. The project will also provide low cost activities, helping to prevent family crisis situations during school holidays
- P/231 Blue Boy - Scheme of Delegation  
The project is to enable a sustainable exit strategy to deliver the key recommendations that arise from the scrutiny review and succession planning. This may involve transferring assets, re-branding the company as an asset holding enterprise and developing a Scheme of Delegation on behalf of Derby City Council, GOEM, Derwent Community Team and Derwent Delivers Ltd
- P/232 Blue Boy Scheme of Delegation - Phase 2  
Please refer to P/231 Blue Boy Scheme of Delegation

## Project

## Project Description

- P/233 Hatrick - Phase 2
- The Hatrick project aims to use football to engage people in Derwent to participate in football based activities leading to increased opportunities to play, coach, officiate and volunteer. The project will work with local partner agencies in order to help improve educational attainment, improve health and to have an indirect impact on reducing anti social behaviour and youth crime.
- P/234 8 John Beresford Close
- DCT Management Board have agreed a forward strategy that will involve developing a property portfolio to meet the local housing needs of those families facing repossession and elderly/disabled in non suited properties. The property portfolio will also contribute the succession strategy of the Derwent NDC programme.
- P/235 93-95 Willshire Rd Bungalows
- The purpose of this project is to build two detached two bedroom bungalows on NDC land owned at 93/95 Willshire Rd in Derwent. The intention is to offer the bungalows as a priority to elderly and/or disabled residents especially those that are occupying accommodation more suitable for families. The properties will also contribute to the Derwent NDC property portfolio and the succession This project has been developed as delegated authority, responsibility with the Derwent NDC Director in order to cover the costs relating to the disposal of the portacabins. The disposal became important due to the plans to develop the South Side of the Gateway.
- P/236 Portcabins South Gateway - Delegated Authority
- A Scheme of Delegation report written by the DCT Director - Jo Andrews.
- P/237 Housing Solutions
- The purpose of the report is to support the costs related to the Gateway Centre Gym members into a Derby City Council local gym. The Gateway Gym will close as at 31 July 2009 due to the need for refurbishment although as at July 2009 the funding for this has not yet been agreed.
- P/238 Gateway - Resident Gym Membership Costs and
- This project will fund the difference between the membership costs at the Gateway and the DCC gym (DCC gym services do cost more than the Gateway membership) therefore DCC membership and its transfer will be at no extra cost to the residents. The gym members will have to live in the Derwent Ward.
- P/239 Revive HLC - NDC Succession Development Costs
- There are also costs allocated to support the planned capital investment within this project.
- P/240 Gateway Centre Gym Refurbishment
- A Scheme of Delegation report written by the Derwent NDC Director to support the Revive Healthy Living Centre in preparation to becoming the NDC Succession vehicle.
- The SOD will fund professional and technical support and cover staffing costs.
- A project written for the purpose of refurbishing the community Gym at the Gateway Centre.
- The project aims to Increase and involve the community in exercise by developing a programme for activities linked to:
- GP referrals
  - Physical Activity Clinics
  - Weight Management programmes
  - Youth Gym and Activity programmes
  - Physical Literacy programmes
  - Targeted Physical Activity programme
  - Physical Activity Leadership programme
- A pilot aimed at addressing sexual health needs in young men of hard to reach groups and ultimately reducing the number of teenage pregnancies in the area.
- This project aims to develop and deliver sport and social activities, mainly to young men who are at risk of offending.
- S/001 Get your kit on
- This project aims to ensure that the local community/residents have a say in the design and development of the Healthy Living Centre, with a view to researching and implementing a community signposting service.
- S/002 Midnight Football
- This project is to pay for half day supply cover for three Derwent Primaries to release a school co-ordinator. The non-contact time will be spent writing an action plan that will set out how the schools can reach the National Healthy Schools Standard.
- S/003 The Voice of Residents
- A project that seeks to provide, through partnership with other agencies a range of services to people aged 12 - 25 yrs in the Derwent area that will address and support the personal, social and physical and emotional well-being of local young people.
- S/004 Health Promoting Schools - Phase 1
- S/005 Healthy Living Network - Young Persons Projects

## Project

- S/006 Bike Cops
- S/008 Community ICT Provision
- S/010 Healthy Living Network Consultation - 3D Model
- S/011 Beaufort School Sport Play Club
- S/012 Mayfield Road Methodist Church
- S/013 New Deal Schools (Hornsea & Children's Centre
- S/015 Chaddesden Live At Home Scheme
- S/016 Scout Trip to USA
- S/018 "Ringmaster" Community Information
- S/019 Portable Surveillance Camera
- S/021 Community Based Finance Initiative
- S/022 Derwent Self-Defence Club
- S/023 Men At Work
- S/024 Jubilee Toy Library - Phase 1
- S/025 Driving Ambitions
- S/026 Baby Massage
- S/027 Seeing is Believing
- S/029 Social Enterprise Derby/Derbyshire
- S/031 Making Connexions II
- S/032 Victim Support - Derby & South Derbyshire
- S/033 Residential Visit Programme at the Cromford
- S/034 Highfield Happy Hens - Tractor
- S/035 Highfield Happy Hens - Workshop

## Project Description

- To fund the purchase of 4 bikes (2 electric) for use by Roe Farm Police Beat Constables
- Project aims to provide a community ICT Learning Centre within Beaufort St Business Centre.
- The project aims to fund the enhancement of the consultation process for the Healthy Living Centre.
- This project aims to divert children and young people from crime and drug misuse by offering them sporting and leisure activities, it will also offer training opps for people over the age of 14 to aim various sporting qualifications.
- This project aims to replace the temporary church hall with a permanent one, renovation will inc replacing the wooden building with masonry walls and a tiled roof
- This project will pay for children from 3 Derwent Primary schools to go onto a day trip to Hornsea.
- The project aims to help older people remain independent in their own home, who may be isolated or unable to get out and about.
- This project aims to reunite 132nd Derby Scout Group with a Scout Troop from Cedarburg, Wisconsin
- This project will fund the purchase of a "Ringmaster 2000" broadcasting system. It maintains a database of contact details and when needed communicates with an appropriate organisation i.e. Neighbourhood Watch, Warden Schemes etc.
- This project aims to purchase 2 portable video cameras that can capture crime and anti social behaviour on video in the NDC area and be used as evidence for prosecution.
- CBFI is initiatives that provide finance to people who would not usually have access to loan facilities. This project aims to: recruit a project officer, extend the Steering Group, identify and register legal structures, design and develop services and develop a business The project will offer martial arts to all ages. The sessions will be free to Derwent Residents and will be held at the Derwent Youth Club, Monmouth St.
- To carry out research into the learning needs of low skilled or unskilled men in the Derwent area and then develop a learning programme to support their needs.
- To purchase a stock of toys that will be stored at Beaufort St Business Centre. Parents will be free to borrow toys and/or at a notional rate depending on value of toy and time of loan.
- This project aims to recruit a local person for a six mth period to carry out a feasibility study, organise day trips and carry out a community survey into developing a "Motor Sports Academy".
- This project aims to set up a Baby Massage Clinic for the uses of Derwent Valley Medical Practice.
- The project aims to take leading businesses on a tour around the Derwent area to highlight the needs of the local community and The aim is to fund 30% of a workers time in Derwent to provide advice, training and support to developing and establishing social enterprises, seminars and tailoring appropriate legal structures. The project worker will work closely with members of DCT and Jobs, Skills and Social Economy Theme Group.
- The purpose of this project is to provide additional Personal Advisor support for High View School working with young people identified as "at risk" of disengaging from learning and not making a successful transition into continual learning, training or employment, and to work with the community with 16-19 yrs not in education or employment.
- The aim of this project is to help maintain an existing service which offers support to victims of crime, to regain a quality of life, which has been removed by crime.
- The Education Action Zone (EAZ) works with local schools and Stepping Stones Nursery. It is involved in the provision of a range of activities and resources.
- To provide a tractor for teaching driving and farm practices to young people.
- To develop a workshop which is fully equipped for metal and woodwork. The workshop requires a better electrical supply.



## Project

## Project Description

S/036 Denwent Pharmacy Pilot Project

The aim of this project is to improve the health and welfare of local drug users in several ways by offering:

- \* Hepatitis A, B & C vaccinations
- \* Dental Hygiene
- \* Nutrition
- \* Harm reduction information and overdose training

with five pharmacies taking part in the scheme

Basic Bank is a debt advice agency that offers a number of services to those in Denwent that are at risk of financial exclusion inc debt advice, ongoing support from one to six months and food and consumable parcels with the aim to free income so debt repayments

The aim of this project is to provide emergency contraception to young people in the Denwent area. The project will work by linking into existing projects in the area. The project will offer support and advice along with a contraception sexual health service

S/039 Basics Bank

S/040 Time for Girls Plus (AKA Clinic in a Box)

Total Number of Projects Displayed: 218

# Funding Analysis – Projects Funded

## April 2000 – March 2010

V5

	Del Plan	Approved	Forecast	Actual	Outturn
<b>Funder : NDC Capital Receipts Income</b>					
P/234 8 John Berrysford Close	0	0	-16,000	-16,000.00	-16,000.00
<b>Funding Total</b>	<b>0</b>	<b>0</b>	<b>-16,000</b>	<b>-16,000.00</b>	<b>-16,000.00</b>
<b>Funder : NDC Funding Capital</b>					
CIF Community Initiatives Fund (CIF)	48,832	48,832	48,832	48,832.09	48,832.09
P/004 Fire Community Safety Worker	5,942	5,942	5,942	5,942.00	5,942.00
P/008 Hillcrest Road Palisade Fencing	17,500	17,500	17,500	17,500.00	17,500.00
P/011 Space for Sports and Art	160,000	160,000	160,000	160,000.00	160,000.00
P/014 Wiltshire Road Shopping Area Improvements	126,500	126,500	103,290	103,289.99	103,289.99
P/017 Getting There	43,475	43,475	43,475	43,475.00	43,475.00
P/018 NDC "All Singing, All Dancing"	53,175	53,175	53,190	53,189.89	53,189.89
P/019 Derwent Employment Project - Phase 1	17,005	17,005	17,005	17,005.00	17,005.00
P/024 Purchase of 93-95 Wiltshire Road	130,000	130,000	114,593	114,593.38	114,593.38
P/031 Burglary Reduction Project (CAP)	210,000	210,000	216,195	216,195.26	216,195.26
P/051 Purchase of Former Grandstand Site	637,875	637,875	637,875	637,875.00	637,875.00
P/052 Derwent Citizens Advice Bureau	0	0	0	0.00	0.00
P/054 Derwent Media Training Unit	25,000	25,000	25,000	25,000.00	25,000.00
P/055 NEAT (Neighbourhood Environmental Action Plan)	67,917	67,917	59,605	59,605.50	59,605.50
P/056 Connected Workspace	70,000	70,000	15,700	15,700.00	15,700.00
P/064 Purchase of Land Behind St Albans Church	492,803	492,803	492,803	492,802.70	492,802.70
P/067 Derwent Ancient Village Project	658,453	658,453	658,453	658,452.78	658,452.78
P/069 Building a Better Derwent	0	0	0	0.00	0.00
P/075 Wiltshire Road Re-Paving Phase 2	71,263	71,263	66,576	66,575.70	66,575.70
P/076 Derwent Community Foundations - Phase 2	288,900	288,900	290,570	290,570.00	290,570.00
P/082 Derwent Community Build ILM Plus	233,465	233,465	233,465	233,465.00	233,465.00
P/083 Theme Group Development Project	2,250	2,250	2,250	2,250.00	2,250.00
P/089 Indoor Cricket Academy & Sportplex - Construction Fees	2,816,696	2,816,696	2,816,697	2,816,697.00	2,816,697.00
P/090 Derwent Community Foundations - Phase 2B	191,603	191,603	192,672	192,672.00	192,672.00
P/093 Credit United	23,000	23,000	23,000	23,000.00	23,000.00
P/102 Purchase of 97 Wiltshire Road	118,012	118,012	118,012	118,012.00	118,012.00
P/103 Gateway Centre Development Manager	20,000	20,000	16,843	16,842.70	16,842.70
P/110 Blue Boy Community Resource & Pub - Phase 2	315,512	315,512	315,512	315,512.00	315,512.00
P/113 Beaufort Out of School Club	39,700	39,700	35,254	35,253.81	35,253.81
P/116 The Gateway Starts Up	216,202	216,202	216,195	216,195.00	216,195.00
P/117 Burglary Reduction Team	48,851	48,851	48,851	48,851.00	48,851.00
P/118 Roe Farm Wheels	12,000	12,000	12,000	12,000.00	12,000.00
P/119 CACTI - Phase 2: Development & Sustainability	0	0	0	0.00	0.00
P/120 Derwent Citizens Advice Bureau Top Up Costs	2,500	2,500	1,631	1,631.00	1,631.00
P/122 Roe Farm Primary - Use of Games and Changing	89,500	89,500	89,500	89,500.00	89,500.00
P/123 Derwent Community Foundations - Phase 3	1,531,632	1,531,632	1,531,632	1,531,632.00	1,531,632.00
P/128 Revive Healthy Living Centre	1,702,306	1,702,306	1,702,306	1,702,306.59	1,702,306.59
P/131 A61 & Racecourse Park Pedestrian & Cycle	115,000	115,000	95,490	95,490.33	95,490.33
P/135 Derwent First Steps Project	2,936	2,936	2,936	2,936.00	2,936.00
P/155 Shine (Street Lighting Improvements)	220,000	220,000	220,000	220,000.00	220,000.00
P/184 Learn Derwent	1,100,000	1,100,000	1,071,041	1,071,040.70	1,071,040.70
P/187 Sussex Circus Redevelopment	250,000	250,000	250,000	250,000.00	250,000.00
P/191 Derwent Disability Support	1,400	1,400	0	0.00	0.00
P/203 CACTI Centre Refurbishment	94,195	94,195	0	0.00	0.00
P/206 Perth House - Prevention, Rehabilitation & Respite	207,500	207,500	207,500	207,500.00	207,500.00
P/214 Development Fund	40,000	40,000	0	0.00	0.00
P/226 Green Lane Apartments	482,100	482,100	482,100	482,100.00	482,100.00
P/227 Derwent Youth Centre Refurbishment	415,000	415,000	358,328	358,327.50	358,327.50
P/230 Derwent Delivers Future	30,000	30,000	28,164	28,163.93	28,163.93
P/231 Blue Boy - Scheme of Delegation	0	0	0	0.00	0.00
P/234 8 John Berrysford Close	121,000	121,000	118,950	118,950.00	118,950.00
P/235 93-95 Wiltshire Rd Bungalows	300,000	300,000	300,000	4,837.82	4,837.82
P/237 Housing Solutions	740,000	740,000	740,000	0.00	0.00

## Funding Analysis

Project	Del Plan	Approved	Forecast	Actual	Outturn
P/240 Gateway Centre Gym Refurbishment	690,000	690,000	690,000	0.00	0.00
S/012 Mayfield Road Methodist Church	8,000	8,000	8,000	8,000.00	8,000.00
S/034 Highfield Happy Hens - Tractor	9,450	9,450	9,450	9,450.00	9,450.00
S/035 Highfield Happy Hens - Workshop	9,862	9,862	9,862	9,862.00	9,862.00
<b>Funding Total</b>	<b>15,324,312</b>	<b>15,324,312</b>	<b>14,974,245</b>	<b>13,249,082.6</b>	<b>13,249,082.6</b>

### Funder : NDC Funding Revenue

CD Can Do Grant Fund	10,000	10,000	9,838	9,838.00	9,838.00
CD2 Can Do Grants Continuation	30,000	30,000	26,817	26,816.19	26,816.19
CIF Community Initiatives Fund (CIF)	197,585	197,585	191,476	191,479.36	191,479.36
O/075 Safety First Roadshow	3,000	3,000	3,000	3,000.00	3,000.00
O/076 Anti-Bullying Roadshow	6,300	6,300	3,387	3,387.21	3,387.21
P/002 First Steps	32,741	32,741	30,172	30,171.78	30,171.78
P/003 Stepping Stones Nursery - Community Foundations	89,224	89,224	89,220	89,220.37	89,220.37
P/004 Fire Community Safety Worker	60,784	60,784	59,091	59,090.88	59,090.88
P/005 Substance Misuse Project	131,109	131,109	127,127	127,126.36	127,126.36
P/006 Youth Crime Reduction and Community Justice	55,000	55,000	52,689	52,689.33	52,689.33
P/007 Relaunch of CACTI Centre	132,284	132,284	132,283	132,284.82	132,284.82
P/009 Lifeskills & Connecting to Connections	19,000	19,000	19,359	19,359.00	19,359.00
P/010 Burglary Reduction Project (REV)	100,001	100,001	91,259	91,258.96	91,258.96
P/012 NDC Schools Audit	25,000	25,000	21,508	21,508.33	21,508.33
P/013 Neighbourhood Plan	75,000	75,000	83,308	83,307.38	83,307.38
P/014 Wiltshire Road Shopping Area Improvements	12,000	12,000	35,210	35,209.84	35,209.84
P/015 Housing Futures Study	26,000	26,000	26,000	26,000.00	26,000.00
P/017 Getting There	3,000	3,000	2,844	2,841.00	2,841.00
P/018 NDC "All Singing, All Dancing"	15,190	15,190	15,190	15,190.20	15,190.20
P/019 Derwent Empowerment Project - Phase 1	172,995	172,995	171,520	171,519.65	171,519.65
P/020 Warehouse Machinery Basic Training	18,000	18,000	18,000	18,000.00	18,000.00
P/020a Warehouse Machinery Basic Training (Additional)	1,800	1,800	1,800	1,800.00	1,800.00
P/021 Getting Business Moving	17,750	17,750	13,011	13,011.00	13,011.00
P/022 Derwent Jobs & Skills Audit	46,497	46,497	46,429	46,429.87	46,429.87
P/023 Family Learning	166,159	166,159	166,159	166,159.32	166,159.32
P/024 Purchase of 93-95 Wiltshire Road	0	0	4,423	4,422.55	4,422.55
P/026 NVQ for Derwent	39,133	39,133	39,136	39,136.00	39,136.00
P/027 Vocational Education Programme	511,859	511,859	511,858	511,859.03	511,859.03
P/029 Healthy Living Centre Development Project	272,042	272,042	272,042	272,042.00	272,042.00
P/030 UP2US (Endeavour Training)	334,149	334,149	334,149	334,149.00	334,149.00
P/032 Living Derwent - Phase 1	45,901	45,901	45,487	45,486.80	45,486.80
P/033 Study of Childcare and Family Support Needs In	39,590	39,590	39,114	39,113.99	39,113.99
P/034 Detached Youth Worker	38,204	38,204	806	806.00	806.00
P/035 Derwent First Steps	184,772	184,772	185,510	185,510.00	185,510.00
P/036 Valuing Experience - Phase 1	21,461	21,461	14,311	14,310.17	14,310.17
P/038 Runaways Project	22,672	22,672	17,160	17,161.01	17,161.01
P/039 Derwent Timebank - Phase 1	100,322	100,322	16,855	16,854.83	16,854.83
P/040 Derwent Youth Inclusion Project	555,280	555,280	554,612	554,611.98	554,611.98
P/041 They Shall Not Pass	15,560	15,560	15,560	15,560.00	15,560.00
P/044 Enhanced Support Package to Develop Health	76,898	76,898	76,898	76,897.65	76,897.65
P/045 Derwent Sexual Health Project (Boys and Young Men)	84,283	84,283	84,181	84,181.83	84,181.83
P/046 Teenage Pregnancy Co-ordinator	53,952	53,952	33,900	33,900.00	33,900.00
P/047 Moving Up - Phase 1	63,390	63,390	62,017	62,017.00	62,017.00
P/048 Neighbourhood Wardens	437,908	437,908	246,415	246,415.00	246,415.00
P/049 Sporting Futures	249,782	249,782	244,299	244,299.34	244,299.34
P/050 Capacity Fund	298,454	298,454	244,103	244,102.51	244,102.51
P/052 Derwent Citizens Advice Bureau	98,587	98,587	60,585	60,584.88	60,584.88
P/053 High View School Milk Bar	12,494	12,494	10,930	10,929.73	10,929.73
P/054 Derwent Media Training Unit	249,688	249,688	207,624	207,625.54	207,625.54
P/055 NEAT (Neighbourhood Environmental Action Plan)	61,962	61,962	61,251	61,251.19	61,251.19
P/056 Connected Workspace	78,000	78,000	129,443	129,442.95	129,442.95
P/057 Launching Derwent Delivers Ltd	249,584	249,584	245,027	245,026.89	245,026.89
P/058 Keep Safe - Self Protection Course	1,635	1,635	572	572.47	572.47
P/059 'Transitions': Preventing School Exclusion	40,096	40,096	18,996	18,995.90	18,995.90
P/060 Neighbourhood Plan - Phase 3	81,498	81,498	93,984	93,983.52	93,983.52
P/061 Refugee Community Development Support Project -	249,391	249,391	240,632	240,632.00	240,632.00
P/062 Derwent Communications and Events Project	309,498	309,498	282,476	282,478.32	282,478.32
P/063 High View Youth Paper	30,999	30,999	30,977	30,977.00	30,977.00
P/065 Ring and Ride - Phase 1	15,900	15,900	15,340	15,340.40	15,340.40
P/066 Community Drugs Outreach Project	185,316	185,316	156,314	156,314.00	156,314.00
P/067 Derventio Ancient Village Project	201,046	201,046	173,682	173,682.63	173,682.63
P/068 Indoor Cricket Academy & Sportiflex - Professional	336,150	336,150	336,150	336,150.00	336,150.00
P/069 Building a Better Derwent	215,927	215,927	215,927	215,927.49	215,927.49

## Funding Analysis

Project	Del Plan	Approved	Forecast	Actual	Outturn
P/070 Best Beginnings Development Phase	125,303	125,303	124,129	124,128.32	124,128.32
P/071 Derwent Empowerment Project (Phase 2)	235,832	235,832	231,815	231,815.64	231,815.64
P/072 Practical Support for Residents	169,000	169,000	38,284	38,284.54	38,284.54
P/073 NEAT (Neighbourhood Environmental Action Team)	740,912	740,912	682,960	682,960.07	682,960.07
P/076 Derwent Community Foundations - Phase 2	53,558	53,558	51,888	51,888.00	51,888.00
P/077 Community Drugs Education Programme	80,912	80,912	71,024	71,024.00	71,024.00
P/078 Blue Boy - Phase 1	22,200	22,200	22,200	22,200.11	22,200.11
P/079 Road Safety Training Co-ordinator	80,671	80,671	77,539	77,539.35	77,539.35
P/080 Derwent Experience Project	269,452	269,452	267,154	267,153.84	267,153.84
P/081 Derwent Green Gym	321,028	321,028	314,187	314,187.53	314,187.53
P/082 Derwent Community Build ILM Plus	719,813	719,813	719,813	719,812.90	719,812.90
P/083 Theme Group Development Project	1,450,592	1,450,592	1,181,275	1,106,352.73	1,106,352.73
P/084 Derwent Ring & Ride Phase 2	150,000	150,000	150,000	150,000.96	150,000.96
P/085 Derwent Link	150,000	150,000	150,000	150,000.00	150,000.00
P/086 Time 4 Girls	47,909	47,909	47,491	47,490.72	47,490.72
P/087 Valuing Experience - Phase 2	61,200	61,200	52,143	52,143.32	52,143.32
P/088 Jobs 4 Derwent	374,550	374,550	365,320	365,320.99	365,320.99
P/090 Derwent Community Foundations - Phase 2B	127,585	127,585	127,040	127,040.11	127,040.11
P/091 3 Steps to a Brighter Future	51,204	51,204	51,204	51,204.00	51,204.00
P/092 Derwent Holiday Splash	198,000	198,000	197,161	197,161.00	197,161.00
P/093 Credit United	112,881	112,881	104,673	104,672.63	104,672.63
P/094 Young People's Project (Phase 1 - Recruitment)	143,930	143,930	10,082	10,082.17	10,082.17
P/095 Derwent Time Bank (2)	268,048	268,048	264,892	264,891.77	264,891.77
P/096 Derwent Community Toy Library - Phase 2	168,270	168,270	148,660	148,659.90	148,659.90
P/098 Let's Crack It	37,331	37,331	24,731	24,731.32	24,731.32
P/099 High View PFI Development Worker	131,700	131,700	129,484	129,483.80	129,483.80
P/100 Derwent Schools Sports Co-Ordinator	162,967	162,967	161,658	161,658.29	161,658.29
P/101 Living Derwent - Phase 2	315,117	315,117	312,944	312,943.03	312,943.03
P/102 Purchase of 97 Wiltshire Road	5,790	5,790	5,790	5,789.73	5,789.73
P/103 Gateway Centre Development Manager	148,283	148,283	131,413	131,413.78	131,413.78
P/104 Phase 1 - Developing Library Services in Derwent	73,644	73,644	71,718	71,718.60	71,718.60
P/105 Phase 2 - Delivering a Revived Library Service	253,558	253,558	196,556	196,553.77	196,553.77
P/106 Business Boost	250,799	250,799	230,654	230,654.73	230,654.73
P/107 Further Connexions in Derwent	93,596	93,596	92,585	92,584.57	92,584.57
P/108 Moving Up - Phase 2	211,291	211,291	188,517	188,517.18	188,517.18
P/109 Derwent Enterprise Promotion Project	9,732	9,732	0	0.00	0.00
P/110 Blue Boy Community Resource & Pub - Phase 2	25,600	25,600	24,980	24,980.00	24,980.00
P/112 Derbyloans in Derwent	104,000	104,000	95,488	95,488.00	95,488.00
P/113 Beaufort Out of School Club	9,020	9,020	9,020	9,018.63	9,018.63
P/114 Music Tuition	10,960	10,960	6,822	6,821.75	6,821.75
P/115 HRH The Prince of Wales's Seeing is Believing Visit	2,975	2,975	2,975	2,975.00	2,975.00
P/116 The Gateway Starts Up	217,322	217,322	217,322	217,322.00	217,322.00
P/117 Burglary Reduction Team	73,398	73,398	73,089	73,087.68	73,087.68
P/118 Roe Farm Wheels	200	200	200	200.00	200.00
P/119 CACTI - Phase 2: Development & Sustainability	210,767	210,767	210,160	210,160.11	210,160.11
P/120 Derwent Citizens Advice Bureau Top Up Costs	126,982	126,982	95,423	95,422.90	95,422.90
P/121 Derwent Empowerment Project - phase 3	662,432	662,432	553,526	553,526.30	553,526.30
P/126 Take Your Time	49,320	49,320	37,182	37,181.83	37,181.83
P/127 Derwent Mediation Project - Phase 1 Community	19,515	19,517	15,282	15,281.53	15,281.53
P/128 Revive Healthy Living Centre	97,696	97,696	97,696	97,696.46	97,696.46
P/129 Neighbourhood Plan 4	179,032	179,032	179,139	179,138.84	179,138.84
P/130 Step into Childcare	146,584	146,584	135,761	135,760.55	135,760.55
P/132 Adult Learning in Derwent	127,212	127,212	97,776	97,775.13	97,775.13
P/133 Discovering Derwent	36,585	36,585	12,609	12,609.17	12,609.17
P/134 Victim Support Derbyshire/Witness Service	5,999	5,999	5,999	5,999.00	5,999.00
P/135 Derwent First Steps Project	81,995	81,995	74,296	74,296.00	74,296.00
P/136 Anti-Social Behaviour	87,649	87,649	84,134	84,133.57	84,133.57
P/137 Can Do Grants	28,248	28,248	10,521	10,521.01	10,521.01
P/138 Best Beginnings (Phase 2)	647,948	647,948	535,519	535,520.48	535,520.48
P/139 Derwent Delivers Core Staff (aka Sustainable Derwent)	554,493	554,493	486,989	486,988.75	486,988.75
P/140 Boys and Young Men Team	247,092	247,092	244,925	244,925.36	244,925.36
P/141 Social Enterprise Cluster	126,500	126,500	124,535	124,535.26	124,535.26
P/143 Community Fire Safety Initiatives	75,708	75,708	73,536	73,536.22	73,536.22
P/144 Community Education Programme	74,259	74,259	69,969	69,968.29	69,968.29
P/145 The SHAP (Safe, Healthy and Positive) Derwent Schools	259,541	259,541	219,995	219,994.78	219,994.78
P/146 Valuing Experience - Phase 3	209,849	209,849	169,492	169,492.25	169,492.25
P/147 The Runaways Project	18,872	18,872	18,874	18,873.90	18,873.90
P/148 Family Learning - Phase 2	123,833	123,833	108,534	108,533.93	108,533.93
P/149 Putting the IT into CommunitY	286,264	286,264	286,268	286,266.48	286,266.48
P/150 Warm Homes for Derwent	11,627	11,627	10,869	10,869.33	10,869.33
P/151 Residential Visit Programme at the Cromford Venture	63,000	63,000	24,503	24,503.46	24,503.46

P/152 Derbyshire Childrens Holiday Centre 52,500 52,500 35,365 35,365.00 35,365.00

## Funding Analysis

Project	Del Plan	Approved	Forecast	Actual	Outturn
P/153 Specialist Alcohol Support Worker	154,502	154,502	152,481	152,481.00	152,481.00
P/154 Derwent Hattrick Programme	60,000	60,000	60,000	60,001.22	60,001.22
P/160 Transporting Solutions Pilot	15,000	15,000	14,114	14,114.45	14,114.45
P/162 DCT Capital Procurement of Professional Services	336,626	336,626	315,233	315,232.05	315,232.05
P/163 Mental Health Support Surgery	63,178	63,178	30,525	30,524.53	30,524.53
P/170 Impact Derwent Youth Inclusion Project	165,000	165,000	120,862	120,862.28	120,862.28
P/173 Derwent Family Group Conference	303,228	303,228	274,602	274,602.00	274,602.00
P/185 Master Plan	40,000	40,000	30,119	30,119.40	30,119.40
P/191 Derwent Disability Support	47,204	47,204	41,855	41,855.41	41,855.41
P/197 Small Grants	150,000	150,000	34,622	34,622.45	34,622.45
P/205 Derwent Zambezi Association	23,095	23,095	1,654	1,654.35	1,654.35
P/206 Perth House - Prevention, Rehabilitation & Respite	98,000	98,000	98,000	98,000.00	98,000.00
P/209 Junior Savers Initiative	70,592	70,592	66,017	66,016.75	66,016.75
P/212 Derwent Social Added Value (SAVE)	106,687	106,687	77,421	77,421.34	77,421.34
P/213 Police Community Support Officers (PCSO)	91,744	91,744	91,742	91,742.00	91,742.00
P/214 Development Fund	85,000	85,000	47,263	47,262.66	47,262.66
P/215 Activity 4 Life	15,000	15,000	14,902	14,901.35	14,901.35
P/217 Financial Inclusion Network - Derwent	16,000	16,000	14,264	14,264.00	14,264.00
P/218 Debt Free in Derwent	84,721	84,721	64,210	64,210.86	64,210.86
P/221 Transporting Solutions (Phase 2)	84,800	84,800	84,737	84,737.24	84,737.24
P/224 IT and Communications	317,054	317,054	303,582	260,164.28	260,164.28
P/225 Derwent Neighbourhood Management Team	227,654	227,654	183,866	157,116.92	157,116.92
P/228 Derwent Stepping Stones Sustainability	36,325	36,325	36,314	36,314.10	36,314.10
P/229 Activities 4 Life (Phase 2)	33,600	33,600	30,161	30,160.60	30,160.60
P/230 Derwent Delivers Future	284,424	284,424	273,484	224,100.24	224,100.24
P/231 Blue Boy - Scheme of Delegation	101,000	101,000	100,913	100,913.00	100,913.00
P/232 Blue Boy Scheme of Delegation - Phase 2	46,425	46,425	35,136	35,136.00	35,136.00
P/233 Hattrick - Phase 2	18,000	18,000	18,000	12,787.43	12,787.43
P/236 Portacabins South Gateway - Delegated Authority	22,000	22,000	21,815	20,979.09	20,979.09
P/237 Housing Solutions	135,000	135,000	135,000	0.00	0.00
P/238 Gateway - Resident Gym Membership Costs and	35,000	35,000	3,108	3,108.14	3,108.14
P/239 Revive HLC - NDC Succession Development Costs	130,250	130,250	81,760	1,510.00	1,510.00
P/240 Gateway Centre Gym Refurbishment	110,000	110,000	110,000	42,938.78	42,938.78
S/001 Get your kit on	1,651	1,651	1,646	1,646.00	1,646.00
S/002 Midnight Football	5,880	5,880	4,473	4,473.33	4,473.33
S/003 The Voice of Residents	4,999	4,999	5,000	5,000.00	5,000.00
S/004 Health Promoting Schools - Phase 1	1,695	1,695	1,130	1,130.00	1,130.00
S/005 Healthy Living Network - Young Persons Projects	4,850	4,850	995	995.00	995.00
S/006 Bike Cops	2,560	2,560	2,560	2,560.00	2,560.00
S/008 Community ICT Provision	4,938	4,938	4,938	4,938.00	4,938.00
S/010 Healthy Living Network Consultation - 3D Model	5,000	5,000	5,000	5,000.00	5,000.00
S/011 Beaufort School Sport Play Club	1,087	1,087	993	993.12	993.12
S/013 New Deal Schools (Hornsea & Children's Centre Trips)	9,580	9,580	7,556	7,555.66	7,555.66
S/015 Chaddesdon Live At Home Scheme	5,000	5,000	5,000	5,000.00	5,000.00
S/016 Scout Trip to USA	5,000	5,000	5,000	5,000.00	5,000.00
S/018 "Ringmaster" Community Information	8,850	8,850	8,850	8,850.00	8,850.00
S/019 Portable Surveillance Camera	1,644	1,644	1,513	1,513.25	1,513.25
S/021 Community Based Finance Initiative	10,000	10,000	10,000	10,000.00	10,000.00
S/022 Derwent Self-Defence Club	7,920	7,920	7,420	7,420.00	7,420.00
S/023 Men At Work	2,767	2,767	0	0.00	0.00
S/024 Jubilee Toy Library - Phase 1	9,978	9,978	9,978	9,977.84	9,977.84
S/025 Driving Ambitions	10,000	10,000	4,750	4,750.29	4,750.29
S/026 Baby Massage	1,200	1,200	1,200	1,200.00	1,200.00
S/027 Seeing is Believing	2,975	2,975	2,975	2,975.00	2,975.00
S/029 Social Enterprise Derby/Derbyshire	9,900	9,900	9,904	9,904.00	9,904.00
S/031 Making Connexions II	9,875	9,875	9,875	9,875.00	9,875.00
S/032 Victim Support - Derby & South Derbyshire	3,800	3,800	3,800	3,800.00	3,800.00
S/033 Residential Visit Programme at the Cromford Venture	9,921	9,921	9,920	9,919.57	9,919.57
S/036 Derwent Pharmacy Pilot Project	3,410	3,410	2,647	2,646.66	2,646.66
S/039 Basics Bank	10,000	10,000	10,000	10,000.00	10,000.00
S/040 Time for Girls Plus (AKA Clinic in a Box)	4,184	4,184	2,356	2,356.03	2,356.03
<b>Funding Total</b>	<b>23,847,210</b>	<b>23,847,212</b>	<b>21,312,441</b>	<b>20,829,615.4</b>	<b>20,829,615.4</b>

### Funder : NDC Management and Administration

M & A Management and administration costs	0	4,355,687	4,295,483	4,023,361.28	4,023,361.28
<b>Funding Total</b>	<b>0</b>	<b>4,355,687</b>	<b>4,295,483</b>	<b>4,023,361.28</b>	<b>4,023,361.28</b>
<b>Source Type Total</b>	<b>39,171,522</b>	<b>43,527,211</b>	<b>40,566,169</b>	<b>38,086,059.4</b>	<b>38,086,059.4</b>
<b>Report Total</b>	<b>39,171,522</b>	<b>43,527,211</b>	<b>40,566,169</b>	<b>38,086,059.4</b>	<b>38,086,059.4</b>

# Funding Analysis – Projects Managed by DCC

V6

April 2000 – March 2010

Project	Del Plan	Approved	Forecast	Actual	Outturn
<b>Source Type : NDC</b>					
<b>Funder : NDC Funding Capital</b>					
P/008 Hillcrest Road Palisade Fencing	17,500	17,500	17,500	17,500.00	17,500.00
P/011 Space for Sports and Art	160,000	160,000	160,000	160,000.00	160,000.00
P/014 Wiltshire Road Shopping Area Improvements	126,500	126,500	103,290	103,289.99	103,289.99
P/031 Burglary Reduction Project (CAP)	210,000	210,000	216,195	216,195.26	216,195.26
P/055 NEAT (Neighbourhood Environmental Action Plan)	67,917	67,917	59,605	59,605.50	59,605.50
P/069 Building a Better Derwent	0	0	0	0.00	0.00
P/113 Beaufort Out of School Club	39,700	39,700	35,254	35,253.81	35,253.81
P/117 Burglary Reduction Team	48,851	48,851	48,851	48,851.00	48,851.00
P/122 Roe Farm Primary - Use of Games and Changing	89,500	89,500	89,500	89,500.00	89,500.00
P/131 A61 & Racecourse Park Pedestrian & Cycle	115,000	115,000	95,490	95,490.33	95,490.33
P/155 Shine (Street Lighting Improvements)	220,000	220,000	220,000	220,000.00	220,000.00
P/184 Learn Derwent	1,100,000	1,100,000	1,071,041	1,071,040.70	1,071,040.70
P/206 Perth House - Prevention, Rehabilitation & Respite	207,500	207,500	207,500	207,500.00	207,500.00
P/227 Derwent Youth Centre Refurbishment	415,000	415,000	358,328	358,327.50	358,327.50
<b>Funding Total</b>	<b>2,817,468</b>	<b>2,817,468</b>	<b>2,682,554</b>	<b>2,682,554.09</b>	<b>2,682,554.09</b>
<b>Funder : NDC Funding Revenue</b>					
P/005 Substance Misuse Project	131,109	131,109	127,127	127,126.36	127,126.36
P/006 Youth Crime Reduction and Community Justice	55,000	55,000	52,689	52,689.33	52,689.33
P/010 Burglary Reduction Project (REV)	100,001	100,001	91,259	91,258.96	91,258.96
P/014 Wiltshire Road Shopping Area Improvements	12,000	12,000	35,210	35,209.84	35,209.84
P/023 Family Learning	166,159	166,159	166,159	166,159.32	166,159.32
P/027 Vocational Education Programme	511,859	511,859	511,858	511,859.03	511,859.03
P/032 Living Derwent - Phase 1	45,901	45,901	45,487	45,486.80	45,486.80
P/034 Detached Youth Worker	38,204	38,204	806	806.00	806.00
P/038 Runaways Project	22,672	22,672	17,160	17,161.01	17,161.01
P/040 Derwent Youth Inclusion Project	555,280	555,280	554,612	554,611.98	554,611.98
P/044 Enhanced Support Package to Develop Health	76,898	76,898	76,898	76,897.65	76,897.65
P/045 Derwent Sexual Health Project (Boys and Young Men)	84,283	84,283	84,181	84,181.83	84,181.83
P/046 Teenage Pregnancy Co-ordinator	53,952	53,952	33,900	33,900.00	33,900.00
P/047 Moving Up - Phase 1	63,390	63,390	62,017	62,017.00	62,017.00
P/053 High View School Milk Bar	12,494	12,494	10,930	10,929.73	10,929.73
P/055 NEAT (Neighbourhood Environmental Action Plan)	61,962	61,962	61,251	61,251.19	61,251.19
P/058 Keep Safe - Self Protection Course	1,635	1,635	572	572.47	572.47
P/065 Ring and Ride - Phase 1	15,900	15,900	15,340	15,340.40	15,340.40
P/069 Building a Better Derwent	215,927	215,927	215,927	215,927.49	215,927.49
P/073 NEAT (Neighbourhood Environmental Action Team)	740,912	740,912	682,960	682,960.07	682,960.07
P/079 Road Safety Training Co-ordinator	80,671	80,671	77,539	77,539.35	77,539.35
P/084 Derwent Ring & Ride Phase 2	150,000	150,000	150,000	150,000.96	150,000.96
P/085 Derwent Link	150,000	150,000	150,000	150,000.00	150,000.00
P/086 Time 4 Girls	47,909	47,909	47,491	47,490.72	47,490.72
P/092 Derwent Holiday Splash	198,000	198,000	197,161	197,161.00	197,161.00
P/094 Young People's Project (Phase 1 - Recruitment)	143,930	143,930	10,082	10,082.17	10,082.17
P/096 Derwent Community Toy Library - Phase 2	168,270	168,270	148,660	148,659.90	148,659.90
P/099 High View PFI Development Worker	131,700	131,700	129,484	129,483.80	129,483.80
P/100 Derwent Schools Sports Co-Ordinator	162,967	162,967	161,658	161,658.29	161,658.29
P/101 Living Derwent - Phase 2	315,117	315,117	312,944	312,943.03	312,943.03
P/104 Phase 1 - Developing Library Services in Derwent	73,644	73,644	71,718	71,718.60	71,718.60
P/105 Phase 2 - Delivering a Revived Library Service	253,558	253,558	196,556	196,553.77	196,553.77
P/108 Moving Up - Phase 2	211,291	211,291	188,517	188,517.18	188,517.18
P/113 Beaufort Out of School Club	9,020	9,020	9,020	9,018.63	9,018.63
P/114 Music Tuition	10,960	10,960	6,822	6,821.75	6,821.75
P/117 Burglary Reduction Team	73,398	73,398	73,089	73,087.68	73,087.68
P/136 Anti-Social Behaviour	87,649	87,649	84,134	84,133.57	84,133.57
P/140 Boys and Young Men Team	247,092	247,092	244,925	244,925.36	244,925.36

## Funding Analysis – Detail

Project	Del Plan	Approved	Forecast	Actual	Outturn
P/145 The SHAP (Safe, Healthy and Positive) Derwent Schools	259,541	259,541	219,995	219,994.78	219,994.78
P/147 The Runaways Project	18,872	18,872	18,874	18,873.90	18,873.90
P/148 Family Learning - Phase 2	123,833	123,833	108,534	108,533.93	108,533.93
P/150 Warm Homes for Derwent	11,627	11,627	10,869	10,869.33	10,869.33
P/151 Residential Visit Programme at the Cromford Venture	63,000	63,000	24,503	24,503.46	24,503.46
P/152 Derbyshire Children's Holiday Centre	52,500	52,500	35,365	35,365.00	35,365.00
P/170 Impact Derwent Youth Inclusion Project	165,000	165,000	120,862	120,862.28	120,862.28
P/185 Master Plan	40,000	40,000	30,119	30,119.40	30,119.40
P/206 Perth House - Prevention, Rehabilitation & Respite	98,000	98,000	98,000	98,000.00	98,000.00
P/225 Derwent Neighbourhood Management Team	227,654	227,654	183,866	157,116.92	157,116.92
P/229 Activities 4 Life (Phase 2)	33,600	33,600	30,161	30,160.60	30,160.60
S/001 Get your kit on	1,651	1,651	1,646	1,646.00	1,646.00
S/002 Midnight Football	5,880	5,880	4,473	4,473.33	4,473.33
S/004 Health Promoting Schools - Phase 1	1,695	1,695	1,130	1,130.00	1,130.00
S/013 New Deal Schools (Hornsea & Children's Centre Trips)	9,580	9,580	7,556	7,555.66	7,555.66
S/021 Community Based Finance Initiative	10,000	10,000	10,000	10,000.00	10,000.00
S/023 Men At Work	2,767	2,767	0	0.00	0.00
S/024 Jubilee Toy Library - Phase 1	9,978	9,978	9,978	9,977.84	9,977.84
<b>Funding Total</b>	<b>6,615,892</b>	<b>6,615,892</b>	<b>6,022,074</b>	<b>5,995,324.65</b>	<b>5,995,324.65</b>
<b>Source Type Total</b>	<b>9,433,360</b>	<b>9,433,360</b>	<b>8,704,628</b>	<b>8,677,878.74</b>	<b>8,677,878.74</b>
<b>Report Total</b>	<b>9,433,360</b>	<b>9,433,360</b>	<b>8,704,628</b>	<b>8,677,878.74</b>	<b>8,677,878.74</b>

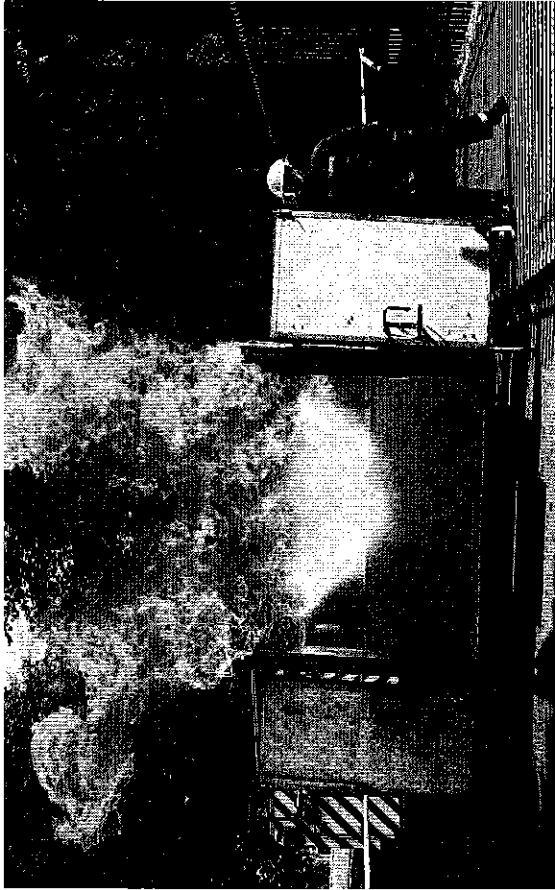
## Ongoing Activities Post NDC Funding as at September 2010

### Community Safety

Project Name	Ongoing Activities post NDC funding	Responsible Organisation
Community Fire Safety Initiative	<p>Community Fire Safety Officer based at Nottingham Road Community Fire Station.</p> <ul style="list-style-type: none"> <li>• Strong community work promoting fire safety</li> <li>• Reduce human injuries/fatalities from fire, arson etc</li> <li>• School education aimed at Key Stage 1 and 2</li> <li>• Home fire risk assessments/fire advisory service</li> </ul>	Derbyshire Fire and Rescue Service
Burglary Reduction Team	Capital investment to improve security for vulnerable properties and install CCTV at hotspots in Derwent with revenue funding to reduce the opportunity of burglary in the Derwent area.	Burglary Reduction Unit
Sporting Futures	Sporting Futures continue to engage young people age 8-18years by offering sporting activities, volunteering and community coaching opportunities. The sessions can be accessed at Derwent Youth Club, Beaufort Primary School and Roe Farm Community Centre.	Sporting Futures
Road Safety Training Co-ordinator	<p>Pedestrian and Cycling Training continues across the City of Derby including:</p> <ul style="list-style-type: none"> <li>• Road Safety campaign</li> <li>• Safer Drivers Campaign</li> <li>• Derwent roads and pavements improvements</li> </ul>	Derby City Council – Highways and Transport
Roe Farm Wheels	Capital investment to purchase a police vehicle that will allow a faster response to local incidents.	Derbyshire Constabulary
Anti Social Behaviour	Youth Outreach project to tackle anti social behaviour. Two Outreach Youth Workers will target key hotspot areas two evenings a week, working with the Police and Neighbourhood Manager.	Derby Community Safety Partnership
Police Community Support Officers	<p>The police have provided 4 dedicated PCSO's instead of the usual 2/3 for Derwent. The main activities include:</p> <ul style="list-style-type: none"> <li>• Key Individual Network (KIN) - dedicated resident network to inform the police on any community issues.</li> <li>• Community Involvement Scheme – Spend a day on the beat</li> <li>• Community Messaging Scheme</li> </ul>	Derbyshire Constabulary



<p><b>Derwent Neighbourhood Management Team</b></p>	<p><b>Dedicated Neighbourhood Manager for the Derwent area</b></p> <ul style="list-style-type: none"> <li>• To monitor against the Neighbourhood Plan</li> </ul>	<p><b>Derby Community Safety Partnership</b></p>
<p><b>Hattrick</b></p>	<p><b>Project to be delivered in full and dedicated to the Derwent area:</b></p> <ul style="list-style-type: none"> <li>• Coaching qualifications for residents (JFO and Level 1 qualification)</li> <li>• Part time employment opportunities</li> <li>• Full time apprenticeship for young people</li> <li>• Girls only football sessions</li> <li>• Volunteering</li> <li>• Curriculum and out of school activities</li> <li>• Supported football sessions for students with disabilities</li> <li>• Strong partnership links with Kickz and Sporting Futures</li> <li>• Strong support network to the Derwent FC (Football Club)</li> </ul>	<p><b>Derby County Football in the Community</b></p>



**Derby Burglary Reduction Project**

...reducing your fear of burglary

- support for victims
- crime analysis
- environmental improvement
- working with offenders
- target hardening

**ROAD SAFETY & TRAVELWISE**

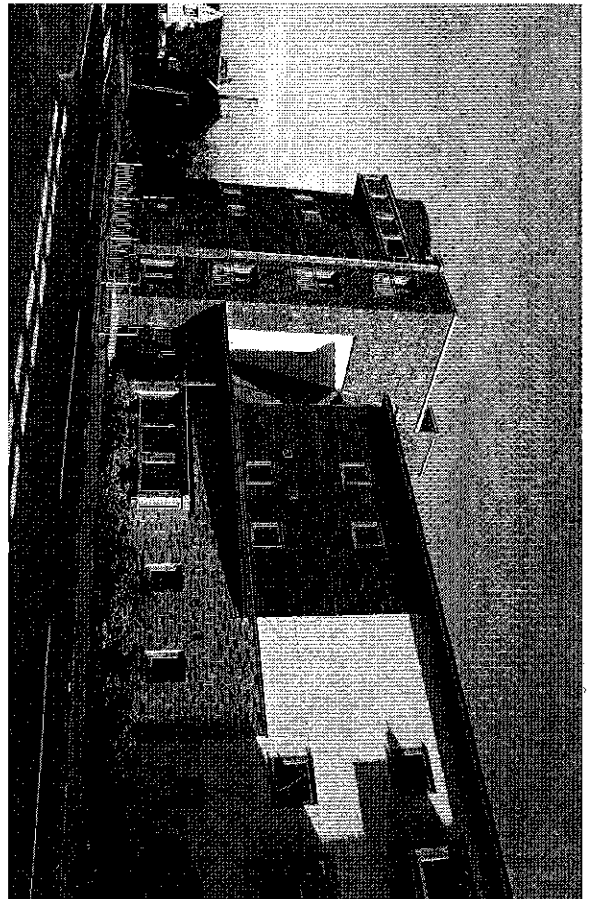
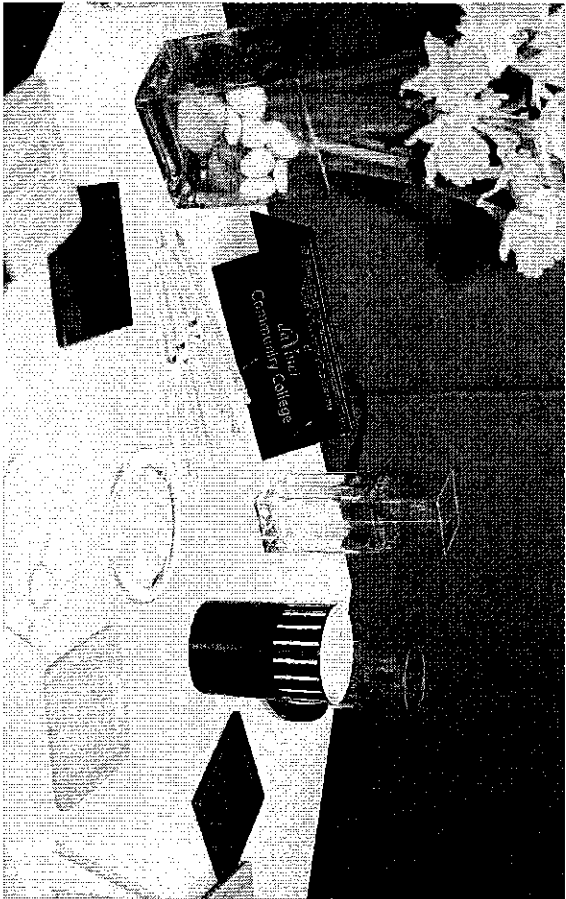
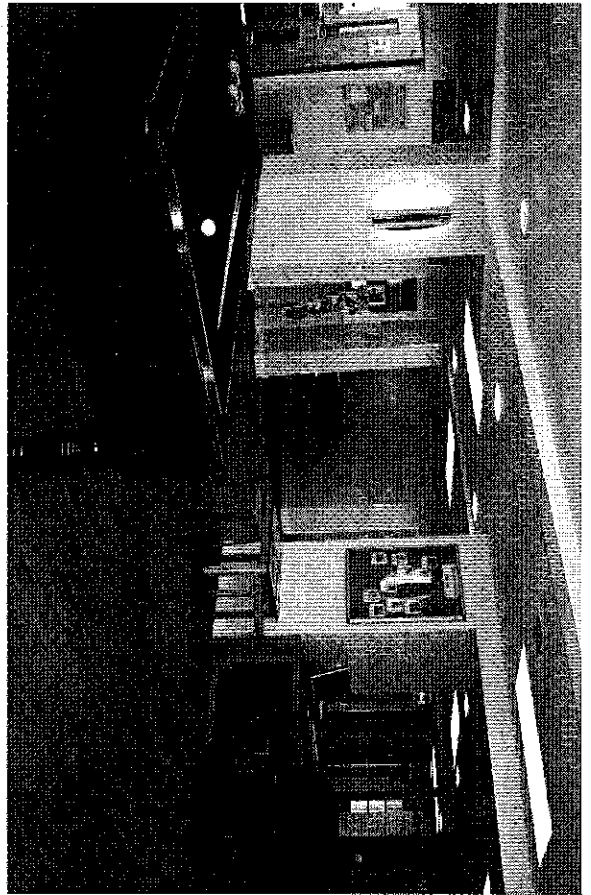
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## Lifelong Learning

Project Name	Ongoing Activities post NDC funding	Responsible Organisation
Vocational Educational Programme	Vocational Education Officer role to promote lifelong learning and provide accredited training to pupils at da Vinci Community College.	Derby City Council – Children's and Young People Services
Derwent Community Toy Library	Toy Library continues to deliver sessions and loan of toys from Beaufort Primary School to local parents.	Derby City Council – Children's and Young People Services
Space for Sports and Art	Capital investment to provide community space via an extension to Derwent Community Primary School.	Derby City Council – Children's and Young People Service
Derwent Library	Library service based in the Revive Healthy Living Centre <ul style="list-style-type: none"> <li>• Free book loan</li> <li>• DVD's, audio books and newspapers etc</li> <li>• Internet and email access</li> <li>• Separate story time and reading groups</li> <li>• Resident user group (volunteers)</li> <li>• Accredited training</li> </ul>	Derby City Council – Regeneration and Community
Moving Up	da Vinci Community College provide all the resources to enable year 6 pupils to visit the secondary school.	Derby City Council – Children's and Young Peoples Services
Cromford Venture Centre	A residential trip to the Cromford Venture Centre to provide local school children with educational and outward bound activities designed to build self help skills, self esteem, and confidence and develop positive attitudes. The project shaped the development of the activities that continue to be offered with a good level of uptake from the schools.	Derby City Council
Connexions in Derwent	Careers and employment advice service <ul style="list-style-type: none"> <li>• Regular sessions from the Derwent Youth Centre</li> <li>• Support available in the local Secondary Schools</li> </ul>	Connexions
Games and Changing Rooms	Capital investment to improve the outdoor sporting facilities at Roe Farm Primary School.	Derby City Council – Children's and Young People Services
Family Learning	Family Learning has been embedded into local primary schools and: <ul style="list-style-type: none"> <li>• Enables parents to support their children by learning together</li> <li>• Qualifications and accreditations</li> <li>• Staffing levels have been maintained</li> </ul>	Derby City Council – Children's and Young People Services
Derbyshire Children's Holiday Centre - Skegness	Children from Derwent Schools will continue to be offered a holiday to the Centre and will be prioritised according to their circumstances.	Continuous fundraising and funding bids

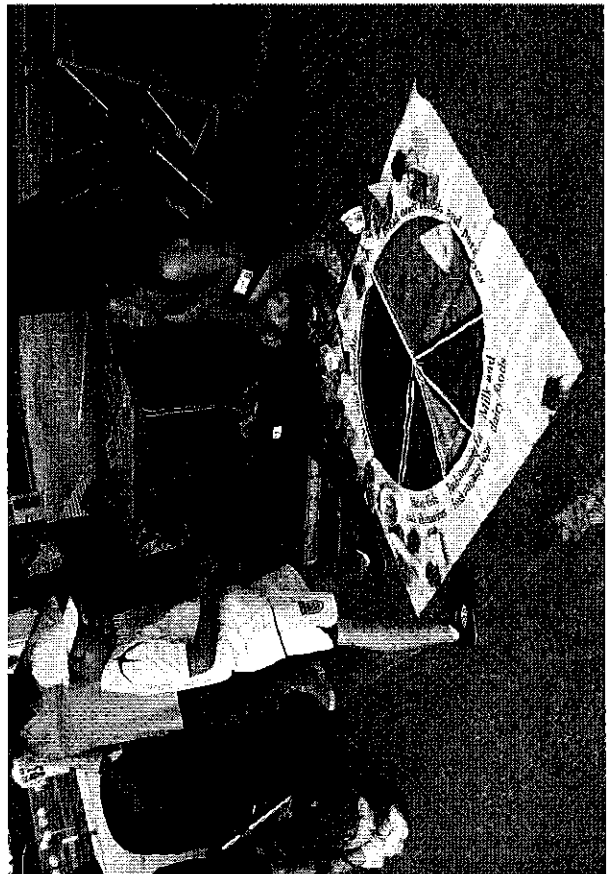
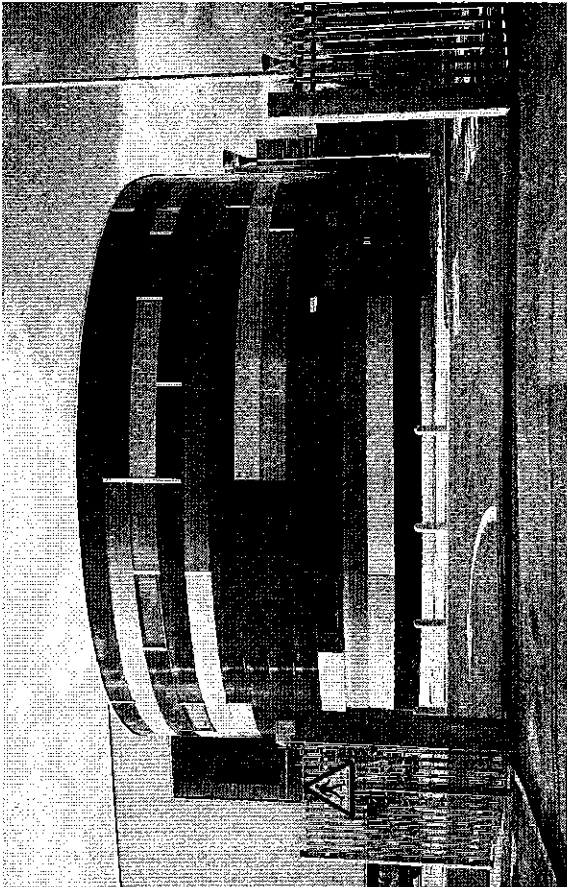
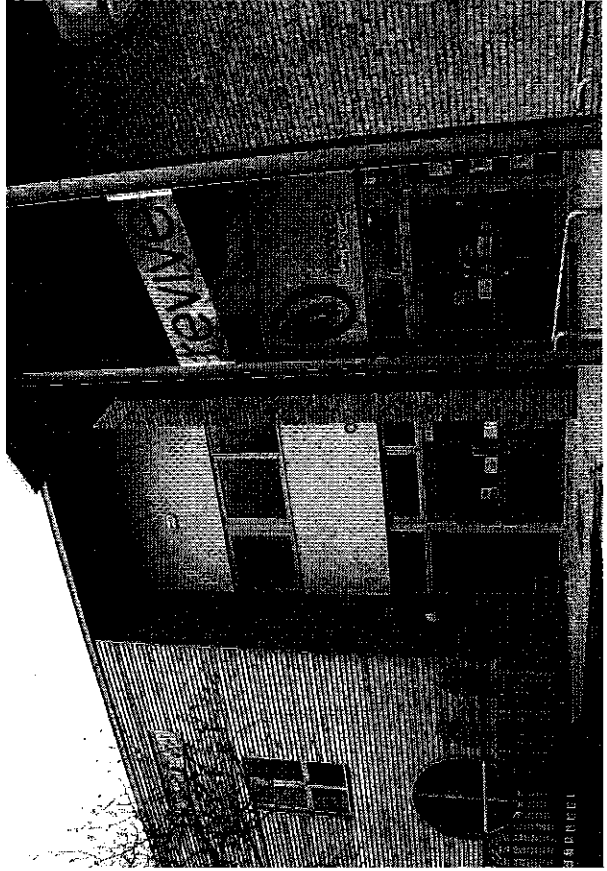
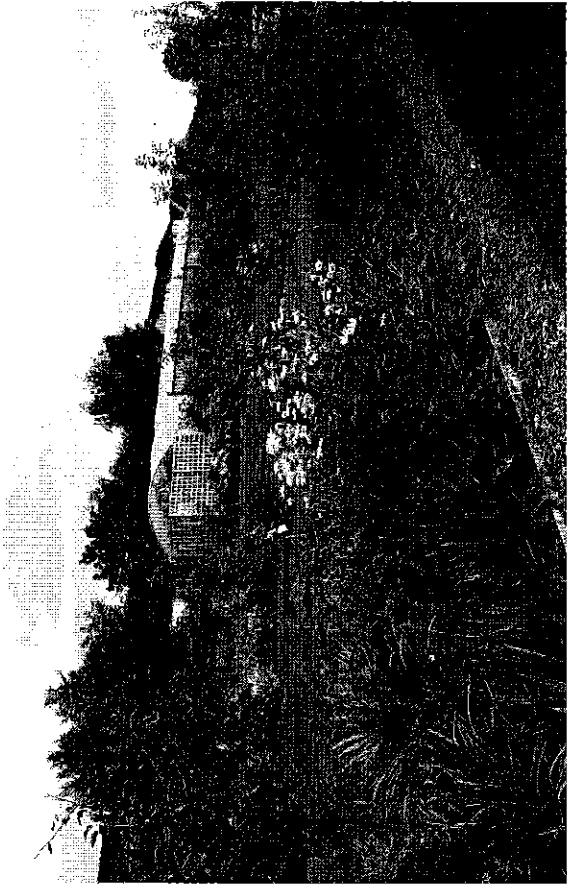
Youth Inclusion Project	<p>PAYP – Positive Activities for Young People initiative continues the YIP work          PAYP is a national Government programme for young people aged 8-19. It offers art, sports, cultural and educational activities for young people at risk of social exclusion, crime or anti social behaviour.</p>	Derby Community Safety Partnership
Learn Derwent	<p>Capital Investment to improve the facilities at three local schools. Revenue expenses will be included in the schools budget.</p>	Derby City Council – Children's and Young People Services
Derwent Youth Centre Refurbishment	<p>Improvements to the accessibility, appearance and features of the Centre. Services continue to be delivered from the Centre;</p> <ul style="list-style-type: none"> <li>• Teenage Pregnancy advice</li> <li>• Connexions</li> <li>• Evening Youth Club</li> <li>• Holiday Activities i.e. subsidised trips and Hooked Skateboard project</li> <li>• Pilot weekend Youth evenings</li> <li>• Sporting Futures and Kickz provision</li> </ul>	Derby City Council – Children's and Young People Services
Activities 4 Life	<p>Well established voluntary group to arrange activities in the school holidays for the under 8's.</p>	Constituted Voluntary Group
Derwent Stepping Stones Nursery	<p>A new and improved community nursery and training service and accommodation that the Derwent NDC funded with capital and revenue funding. In addition to a nursery and community training and learning, the nursery provides meeting space catering and various other community facilities.</p> <p>Examples of current activities;</p> <ul style="list-style-type: none"> <li>• Job Centre Plus and Next Step operate from the nursery building and offers a job service, free internet access, database searches and newspapers etc.</li> <li>• IT, basic skills and NVQ's in childcare are offered to the community</li> <li>• Resident IT facility available for free use.</li> <li>• Resident employment and voluntary opportunities.</li> <li>• Midwifery Team - young mum support network.</li> <li>• Parenting classes for parent and babies up to one year of age.</li> <li>• Award winning - Best Community Project in the East Midlands by the Royal Institute of Chartered Surveyors 2007 (runners up and shortlisted in following years) and preceding, 2006 Award of Certificate of Craftsmanship by the East Midlands Joint Consultative Committee for Construction.</li> <li>• Regular project showcasing from the Development Trust Association.</li> </ul>	Derwent Stepping Stones Nursery



## Healthy Living

Project Name	Ongoing Activities post NDC funding	Responsible Organisation
Derwent Green Gym	<p>The Green Gym has continued its development and is based at Little Chester Allotments in Derwent</p> <p>Volunteer's maintain the Green Gym and are referred by the Primary Care Trust or as a self referral and attracts people of all ages.</p> <p>The project sells organic produce to a well know organic grocery store in the centre of Derby</p>	<p>The project is supported and administered at arms length by the British Trust of Conservation Volunteers (BTCV)</p>
Time 4 Girls	<p>Weekly sessions for girls and young women aged 11-19 around teenage pregnancy and health related matters continue to be delivered by the Time 4 Girls Team at the Derwent Youth Club.</p>	Derby City Council – Youth Service
REVIVE Healthy Living Centre	<p>Initial capital investment to improve health, revenue funding to deliver the following:</p> <ul style="list-style-type: none"> <li>• Healthy eating café</li> <li>• Daily and long term room rental</li> <li>• Various PCT services include Blood Clinic and Chiropractor</li> <li>• Baby clinic</li> <li>• Dance and Cheerleading</li> <li>• NHS Dentist service</li> <li>• Derwent Library</li> <li>• Complimentary Therapy</li> </ul>	Revive Management Services / PCT / DCC
Best Beginnings	<p>The continued strands of the project:</p> <ul style="list-style-type: none"> <li>• Voluntary groups will continue the work and support of the parent and toddler groups</li> <li>• 2 staff members will continue the work of Best Beginnings until 2012</li> </ul>	Derby City Council – Children's and Young People Services (funding via LAA)
Boys and Young Men Team	<p>Dedicated outreach worker offers sexual education, advice and information to hard to reach target group - boys and young men 25 years and under from the Derwent Youth Centre and Roe Farm Primary School.</p>	Derby City Council – Youth Service
The SHAP Project (Safe, Healthy and Positive)	<p>Project enables Derwent school pupils to benefit by increasing self esteem and confidence and encouraging positive attitudes and informed health choices</p> <p>The School Nurse element of the project will continue throughout the local schools to advise on sexual health matters and manage the 'Baby Think it Over' programme.</p>	Derby City PCT
Derwent Family Group Conference	<p>The Family Group Conferencing rolled out across the City and will continue to offer the conferences on a spot purchasing basis to families in need of support.</p>	Barnardos Charity / Derby City CYP
Derwent Disability Support	<p>Disability Direct have retained the Derwent project worker and are delivering a</p>	Disability Direct

	Socially United Network (SUN) Group every Tuesday from Nottingham Road Community Fire Station.	
Perth House – Prevention, Rehabilitation and Respite Care	Revenue funding provided to support health services for the elderly. The existing provision has been revised at Perth House to provide specialist dementia and specific short term care for the elderly from locations across the city.	Help the Aged/Derby City Council
The Gateway Centre	A combination of capital and revenue funding provided the Derwent NDC to develop and build a multi purpose sport and cricket complex.	Derbyshire County Cricket Club





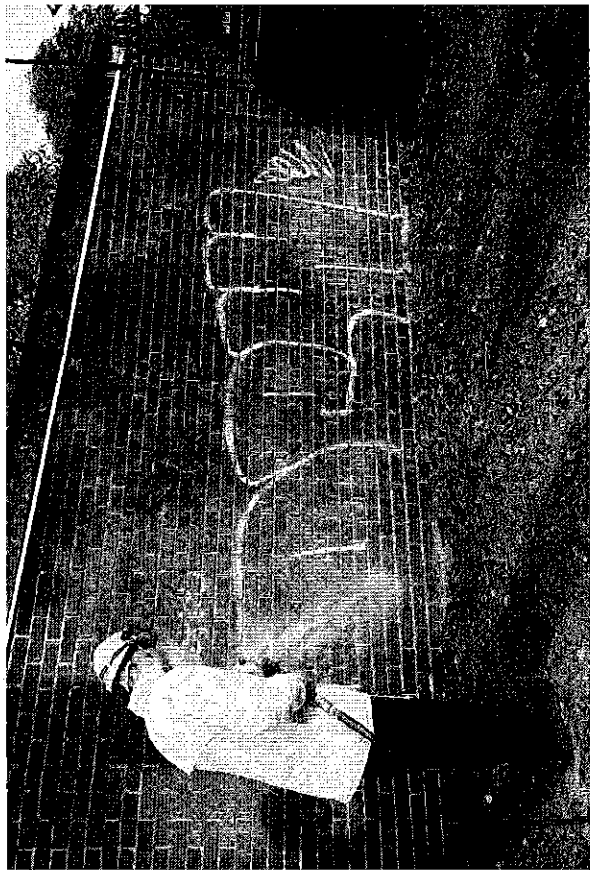
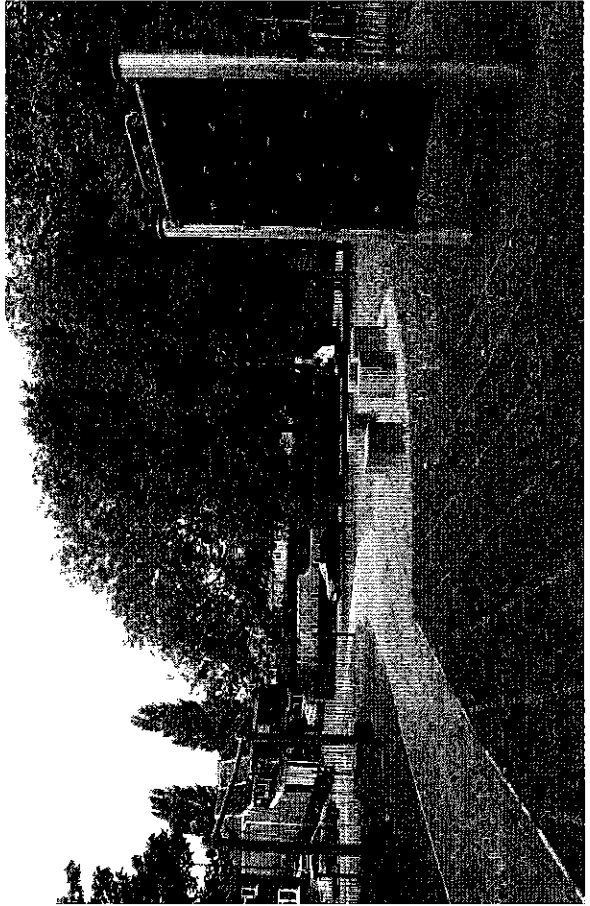
## Economy

Project Name	Ongoing Activities post NDC funding	Responsible Organisation
Citizens Advice Bureau (CAB)	<p>NDC funding allowed resident support with benefit and specialist and generalist advice:</p> <ul style="list-style-type: none"> <li>• Benefit maximization (benefits check and backdates)</li> <li>• Increase disposable income</li> <li>• Support employment tribunals</li> <li>• Strong GP referrals</li> <li>• Strong housing support and advice avoiding eviction</li> <li>• Access to Community Lawyers</li> </ul> <p>Derwent residents can access the service from the centre of Derby</p>	Citizens Advice Bureau
Financial Inclusion Network In Derwent	<p>Joined up agency working i.e.: between CAB, Derby City Council, Derby Loans etc in Derwent to promote financial awareness and protect residents from illegal lending.</p> <p>The results of the project have been successful in identifying and prosecuting local illegal 'loan Sharks' that preyed on local people and gaining a 2 year contract to deliver financial literacy training to three prisons, Sudbury Hall, Nottingham and Leicester Prison.</p> <p>Trained volunteers (Derwent residents) will work with the prisoners to support the learning.</p>	Citizens Advice Bureau
Basic Bank	<p>The Basic Bank provides a number of services for residents at risk of financial exclusion:</p> <ul style="list-style-type: none"> <li>• Drop in debt advice clinics</li> <li>• Free grocery parcels</li> <li>• Negotiation service with clients creditors</li> <li>• Applications, on behalf of client, to trust funds regarding help with domestic utility debts</li> <li>• On going client support</li> </ul>	Derby City Mission

## Housing and Neighbourhood

Project Name	Ongoing Activities post NDC funding	Responsible Organisation
93-95 Wiltshire Rd	Capital investment for the purchase of land on Wiltshire Rd in Derwent, ready for sale/development to generate an income for the future of the Derwent area.	Derwent Delivers Ltd
Housing Masterplan	A feasibility study - heavily influenced the coordination of Derwent NDC housing and environmental plans	Derby City Council – Housing and Neighbourhood
Sussex Circus Redevelopment	Capital investment to improve the physical environment and accessibility to the shops, roads and pavements and reduce fear of crime. Further work and investment has seen an extension to the play area, ownership of CCTV monitoring and further environmental improvements with continuous maintenance from various partners.	Derby Homes
Perth House – Prevention, Rehabilitation and Respite	Capital investment to improve the care facilities. The success of the project has recently informed a city wide care consultation that has influenced further development of the care facilities and communal areas.	Derby City Council – Corporate and Adult Services
Green Lane Apartments	Capital investment for the purchase and refurbishment of six apartments that generate an annual rental income for the future of the Derwent area.	Derby Homes
A61 & Racecourse Park Pedestrian & Cycle Path	Capital investment to construct a cycle route. The route connects the A61 Cycle Path to Derwent, Chester Green and Derbyshire County Cricket Club improving road safety and reducing the numbers of accidents at the local roundabouts.	Derby City Council – Highways and Transport
SHINE (Street Lighting Improvements)	Capital investment to replace the yellow street lighting with new lighting columns and white bulbs in Derwent. The white lighting brightens the area, reducing the night street scene, reducing fear of crime, shows up colours assisting CCTV cameras and police enquiries and improves mobility and road safety under the cover of darkness.	Derby City Council – Highways and Transport
Purchase of 97 Wiltshire Rd	A capital investment to purchase and refurbish a three bedroom apartment with adjacent retail space and are initially exclusive for Derwent residents, therefore supporting housing needs and the economy. Rental income will generate an annual income for the future of the Derwent area.	Derwent Delivers Ltd
Purchase of John Berrysford Close	A capital investment to purchase and refurbishment a three bedroom semi detached house on John Berrysford Close, Chaddesden. The aim of the purchase is to support Derwent Residents with their housing needs, especially if facing repossession, homelessness or live in an unsuitable property. The property will add to the asset portfolio held by Derwent Delivers Ltd and will generate a rental income for the future of the Derwent area.	Derwent Delivers Ltd

NEAT Team	<p>A revenue pilot project managed by and continued by Derby City Council that deliver street scene services by challenging;</p> <ul style="list-style-type: none"> <li>• fly tipping removal</li> <li>• graffiti removal</li> <li>• street clearance</li> <li>• drug litter removal</li> </ul> <p>The project also employed local residents which have been absorbed into the council.</p>	Derby City Council – Housing and Neighbourhood
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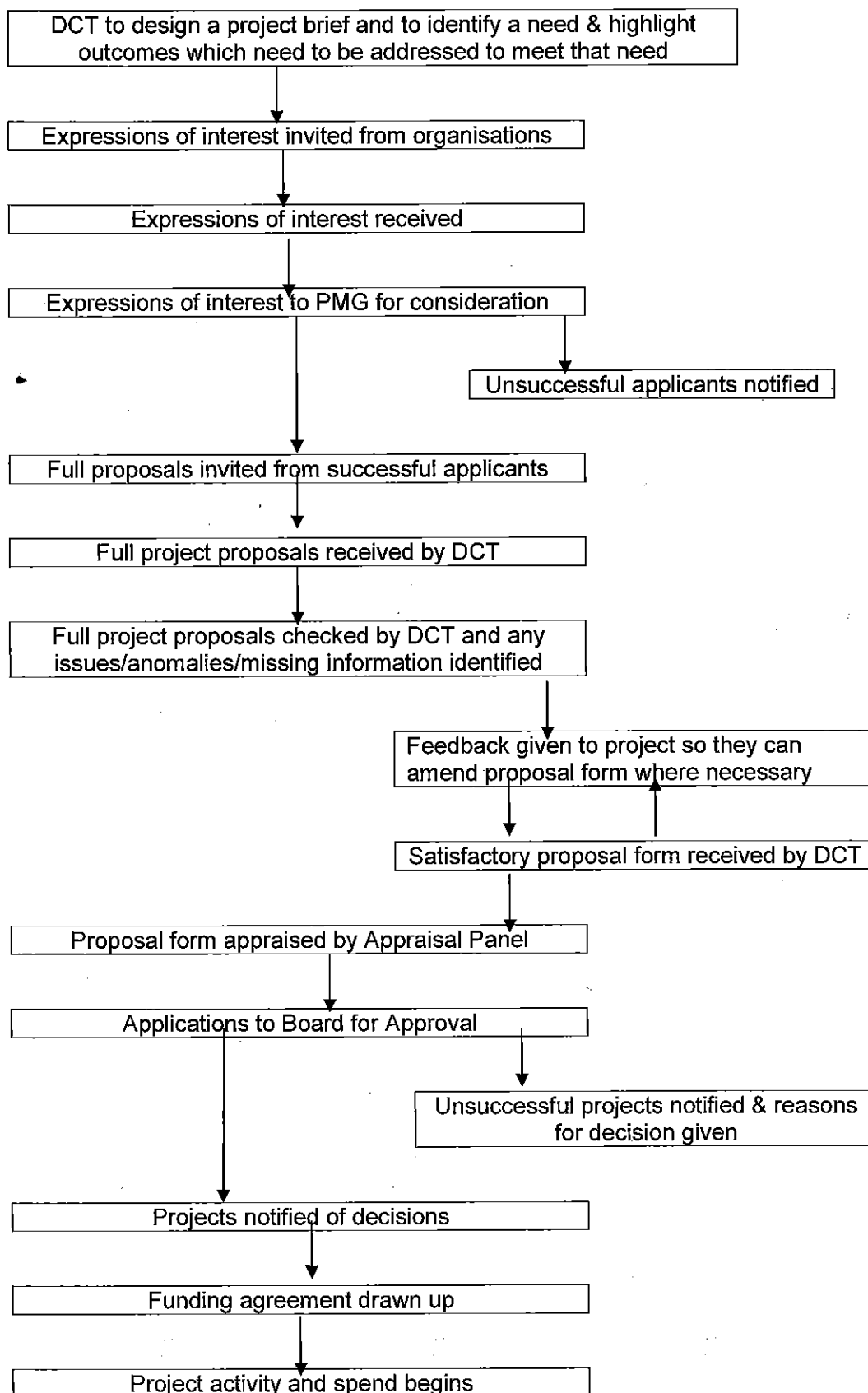




## **Section V8**

# **Derwent Community Team Project Application forms and Templates**

## Derwent Community Team Project Proposal Process



**V8.2**

# **Derwent Community Team**

## **Project Proposal Form Guidance Notes**



# 1. Guidance on Completing the Project Proposal Form

Please read these notes carefully before starting to complete the proposal form. All questions must be answered. All questions must be answered on the proposal form. Please note, you will only be able to make entries on the form where cells have been set up as form fields.

## **TO BE COMPLETED BY DCT PRIOR TO FORM BEING SENT TO ORGANISATIONS TO COMPLETE:**

- Derwent Community Team Project Brief
- Needs to be addressed by proposal
- Strategic Goals to be addressed by proposal
- Delivery Plan outcomes to be addressed by proposal

## **PROJECT SUMMARY TABLE (Front Cover of Application Form)**

Please complete the details requested in the Project Summary Table:

Name of applicant organisation; Project Title, Total NDC Funds required; Anticipated Project Start and End dates.

The **Summary of Proposed Activity** box should provide a concise overview of the proposed activities. This summary will be entered onto a database and may be used in publicity.

## **CONTACT DETAILS**

You must specify a Lead Contact who will be responsible for implementation and reporting. Please also specify an Alternative Contact who will be familiar with the application and the project, so that we can contact them for information if the Lead Contact is not available.

If the proposal is submitted on behalf of a consortium of providers, an appropriate lead organisation must be identified.

## **SECTION A – WHAT THE PROJECT WILL ACHIEVE**

<b>Question 1: Activity</b>
You should clearly describe the activity to be delivered and the methods that will be used.
<ul style="list-style-type: none"><li>• Describe the aims and objectives of the proposed activity.</li><li>• Describe clearly how your project will meet the DCT Project Brief outlined on the front page of the proposal form.</li><li>• Ensure a clear specification of the service to be provided is included, giving details of staffing, opening hours etc.</li><li>• State how the activity will be delivered.</li><li>• Describe how evidenced good practise has informed the development of your proposal, giving details of source material.</li><li>• Describe any innovative/imaginative elements of your proposal and explain why they should be considered in this way.</li><li>• If elements of the activity are to be subcontracted, or if the activity is to be delivered by a consortium, please state clearly which partner will deliver each element of the activity.</li><li>• Explain the reason for the proposed level of activity.</li></ul>

**Question 2: Need**

- Clearly outline the needs that the project is trying to address.
- You will need to ensure that at least some of the needs the project addresses correspond with those identified by DCT and outlined on the front page of the project proposal form.
- Include statistics which demonstrate the needs that you have identified.
- Explain clearly how the project will address each of the needs you have identified.

**Question 3: Geographical Targeting**

The geographical area/s targeted should be clearly defined and relate to the Derwent area. If the project includes area/s outside of the Derwent area please explain why.

If the area to be targeted is larger than the NDC area, you will need to demonstrate that you have match funding to cover that area.

**Question 4: Target Group**

The Derwent Community Team is committed to equal opportunities and recognises the following groups as hard to reach in this area:

Minority ethnic people (the ethnic minority population of Derwent is 3.9%); vulnerable families and children; looked after children; men; older people; people with disabilities; hidden homeless; asylum seekers and Kosovan refugees; 16-25 year olds; children involved in prostitution; gay and lesbian people.

- Target groups should be clearly identified.
- Describe the target group (people or organisations) that will be assisted and how you will engage them.
- State the number of people and / or organisations that will be assisted.
- Identify the range of needs which exist within the target group and the difficulties / barriers that they face.
- Show how the activities described in your proposal will address the needs and the difficulties / barriers you have identified.
- Specify who you have consulted with to find out about the target group needs and when you sought this advice.
- Specify your experience in targeting this group

**Question 5: Other Options (NB. This section is not applicable to organisations requested to submit bids for the Intervention Fund)**

This section considers what different options you have considered to meet our identified needs. It tests that the organisation has considered the best way of delivering the project and that other options have been considered.

Please briefly outline each of the other options you considered as an alternative way of meeting the needs which have been identified by the DCT.

At least two other options should be considered, one of which can be do nothing ie if no project was devised to address the identified need.

Please give cost estimations for the other options considered.

**Question 6: Chosen Option (NB. This section is not applicable to organisations requested to submit bids for the Intervention Fund)**

Please state what your preferred option/solution is and why this option was chosen.

Explain why this option addresses the needs identified by DCT better than the other options considered and why the other options were rejected.

Consider why this option is the most realistic option and how it will deliver the results you want.

**Question 7: Other Funding Sources (NB. This section is not applicable to organisations requested to submit bids for the Intervention Fund)**

Please state what other funding sources you considered to fund your proposal.

Explain why the other funding sources were rejected, eg do not fund this type of activity, applied unsuccessfully for funding for the proposal from that source.

**Question 8a: Project Outputs**

- Outputs should be able to demonstrate impact on mainstream provision, target groups & communities
- Outputs should be clearly linked to the outcomes outlined in the project brief which projects are required to meet.
- The timing of the achievement of outputs should be clearly shown on the spreadsheets provided.
- For 2008/09, output forecasts must be provided for each quarter, then a total target for the year must also be shown. For 2009/10 total forecasts for the year for each output must be shown. The total for all years for each output must also be included.
- Distinct output forecasts should be provided for each output in the column headed EM to show the target number of ethnic minority beneficiaries. NB. These beneficiaries should also be included within the general output target too and are not additional.
- Target figures must be provided for at least one of the 'core outputs' .
- 'Additional outputs' are output measures specific to the proposed activity
- All outputs should be specific, measurable, achievable, realistic and time bound and represented as numerical projections.

**Question 8b: Basis for projections**

- Explain the basis for your projections & how each of your output target figures has been arrived at.
- For each output, explain why it has been chosen

**Question 8c: Contribution to Strategic Goals**

- List which of the strategic goals, outlined on the front page of the project proposal form, this project will address.
- For each of the strategic goals you have listed, explain how the proposal will help Derwent Community Partnership to achieve these strategic goals

**Question 8d: Contribution to Delivery Plan**

- For each of the outcomes your project will address, explain how your project proposal will help to achieve it.
- Explain which of the outputs you will achieve will contribute to achieving each delivery plan outcome.
- Explain how each output will be measured and evidenced. Give proposals on how 'soft' outcomes will be measured and evidenced.
- Demonstrate how you will be able to continue to measure impact after the funding or the project is complete

**Question 9: Project Milestones**

- Milestones mark the completion of key phases in the delivery of a project; they help in measuring progress.
- A target date must be given for each milestone to show when it will be achieved.

**SECTION B: FIT WITH OTHER INITIATIVES****Question 10: Fit with Existing or Planned Initiatives**

Identify any relevant partnerships, strategies, plans and / or provision that relates to the people and / or organisations that you intend to assist.

- Explain how your project complements or enhances existing or planned provision aimed at the people and/or organisations that you intend to assist. Include reference to relevant partnerships, strategies or plans.
- Explain how this activity could contribute to the other Neighbourhood Renewal themes (if applicable).
- Describe any consultation undertaken, when it was undertaken, the organisations involved and any outcomes arising out of the consultation.

**Question 11 - Fit with other NDC or Regeneration Initiatives**

Identify any relevant partnerships, strategies, plans and / or provision that relates to the people and / or organisations that you intend to assist.

- Identify other relevant or similar activities are being delivered in the Derwent area.
- Explain how your project complements or enhances existing or planned provision aimed at the people and/or organisations that you intend to assist. Include reference to relevant partnerships, strategies or plans.
- Explain how this activity could contribute to the other Neighbourhood Renewal themes (if applicable).
- Describe any consultation undertaken, when it was undertaken, the organisations involved and any outcomes arising out of the consultation.

**Question 12: Impact on Mainstream Service Delivery**

Describe the impact that the proposed activity will have on mainstream services and the way these are delivered. For example:

- Re-allocating public resources to provide additional funding for targeted areas.
- Reshaping existing services to meet local needs.
- Improving access to meet specific needs of Derwent residents.
- Joining up services though multi-agency delivery.
- Changing policies to drive forward improvements in service provision.
- Learning good practise from pilot projects.
- Demonstrate how your service will achieve this impact
- Explain how these benefits will be sustained
- Demonstrate how the Derwent area will benefit.

**Question 13a: Community / Target Group Involvement**

Show the extent and nature of community / target group involvement in the project.

- Describe how you have involved the community / target group in the design and development of the proposed activity so far.
- Explain how the needs / issues / problems identified through this involvement have been taken into account in the project design.
- Explain how you will involve and empower the community / target group in the implementation, management, review and evaluation of the proposed activity.

**Question 13b: Partner/Other Stakeholder Involvement**

Show the extent and nature of partner and other stakeholder involvement in the project.

- Describe how you have involved the partners and other stakeholders in the design and development of the proposed activity so far.
- Explain how the needs / issues / problems identified through this involvement have been taken into account in the project design.
- Explain how you will involve partners and other stakeholders in the implementation, management, review and evaluation of the proposed activity.

**Question 14: Partnership Working**

Identify those other organisations that will play a key role in the delivery of your proposed activities. Full agreement should be sought in advance from these organisations

- Give the name of each organisation in the table in Q.7
- Describe the role that will be played by each organisation and the expertise or experience that qualifies them for this role.

**SECTION C: CAPACITY TO DELIVER**

This section gives you the opportunity to demonstrate that you have the capacity to deliver the activities detailed in your project proposal.

**Question 15: Organisational capacity**

Describe the resources that you will devote to the proposed activities:

- Financial controls
- Management systems
- Staffing & recruitment
- Managing performance
- Quality systems (if appropriate)
- If the proposed activity is to be delivered by a consortium, please detail management & subcontracting arrangements.

**Question 16: Experience and Track Record**

Describe any previous experience of delivering the type of activities detailed in the proposal and your experience of working with the people or companies that you propose to assist. If the proposed activity is to be delivered by a consortium of organisations, each partner organisation must complete this section. If applicable, details of the partnership's previous experience of working together should also be provided.

- Describe your previous experience of delivering this type of service.
- Describe your previous experience of working with this target group or groups.
- Demonstrate how successful you have been in the past (must include quantified indicators of success).

**Question 17: Best Practice**

Please give information on national best practice in the field in which you are working and explain how you have taken national best practice into account when designing your project. For example, if there are other similar projects running in other areas, what has been learned from these.

**Question 18: Risks & Contingencies**

**Risks** - This section asks you to assess the risks attached to your proposal. NDC recognises that all proposals carry some risk. This does not mean your proposal will fail. However you need to consider what those risks are **AND** how you will manage them effectively.

Describe any significant risks that may affect delivery and therefore may reduce the likely benefits and outcomes of your proposal. Consideration should be given to:

- Risks associated with innovation;
- Risks associated with delivery e.g.
  - Recruitment & retention of staff
  - One or more of the funders pulling out or reducing their funding
  - Lack of uptake of the service/activity
  - Delays in completing capital works
  - Planning permission not granted
  - Changes to local strategies or policies
  - Unforeseen price increase of key component of your proposal
  - Other factors

The list is not exhaustive. It is important that you identify the risks that are significant to your proposal.

**Impact of Risk** – For each risk you have identified, state whether the impact of the risk on the project ie. how much effect it would have on the project if it did happen, would be high, medium or low

**Probability of Risk** - For each risk you have identified, state whether the probability of the risk happening is high, medium or low

**Contingencies** – For each of the risks to the project you have identified, please identify and describe the contingencies or mitigating actions that will be taken to minimise that risk. You may want to consider for example:

- Extending the advertising area for recruitment of staff
- Delaying the start of the proposal
- Seeking alternative sources of funding
- Linking with local groups to promote your proposal

## **SECTION D: VALUE FOR MONEY**

<b>Question 19a: Cost of Activity</b>
Please use the separate funding tables provided to list your itemised expenditure for your project, broken down into staff costs, other revenue costs (ie. other running costs such as salaries, rents, small purchases) and capital costs (ie. major single investments such as buildings, equipment and infrastructure), as indicated in the table.
<ul style="list-style-type: none"><li>• The attached spreadsheet calculates your totals automatically so please do not alter any of the total funding or expenditure cells as these have formulae in.</li><li>• Ensure that the all cost forecasts are accurately broken down into quarters and <u>financial</u> years. You should round costs up / down to the nearest whole pound.</li><li>• Ensure that costs are realistic and appropriate to the proposed activities.</li><li>• The Total Project Costs Per Year/Total boxes for each quarter in 2008/09, for 2009/10 and the overall Total in table 18a should match those at the bottom of the Total Funding Per Year/Total Figures at the bottom of table 18c.</li><li>• <b>Staff costs</b> - you must clearly show the number of staff involved in carrying out the activity and identify the related costs. This should be broken down into individual roles and highlight the amount of hours to be worked. Staff costs <u>should</u> include employer's national insurance and superannuation costs. You should ensure that costs are realistic and appropriate to the proposed activities and that there is sufficient detail to enable the appraisal panel to assess the balance of staff costs.</li><li>• <b>Other Revenue Costs</b> – Running costs required for the activity detailed in your proposal form, for example: rent, publicity, insurance, recruitment, staff training, fees, etc.</li><li>• <b>Capital Costs</b> – Capital costs associated with the planned activity, for example, building works, equipment, computers, etc.</li><li>• For each cost, indicate how it has been calculated eg. cost per unit x number of units.</li></ul>

<b>Question 19b: Funding Breakdown</b>
<ul style="list-style-type: none"><li>• Detail all funding contributions that you anticipate receiving towards the costs detailed in Question 18a. Funding must be listed by source rather than by what it is to be spent on.</li><li>• Please break your funding down into NDC, Public Sector Funding (eg Derby City Council, PCT) and Private Sector Funding (eg from Voluntary Organisation).</li><li>• The attached spreadsheet calculates your totals automatically so please do not alter any of the total funding or expenditure cells as these have formulae in.</li><li>• The Total Funding Per Year/Total Figures at the bottom of table 18c for each quarter in 2008/09, for 2009/10 and the overall Total should match those in the Total Project Costs Per Year/Total boxes at the bottom of table 18a.</li><li>• Indicate clearly which contributions are secured and which have yet to be confirmed.</li><li>• Indicate if these are cash contributions or 'in kind' and if the funding is for specific activities.</li></ul>



**Question 19c: Public Sector Funding Sources**

Tell us how certain each source of public sector funding is and when you expect to get it.

- What funding is secure?
- When was it approved?
- What conditions are placed upon the funding?
- What other funds have you applied for?
- When will your proposal be considered?

For each funding source, if the funds are not committed, please tell us why e.g. you may have received a conditional offer of funding or are planning to bid into a fund with fixed dates for receipt of proposals.

**Please note that you will have to provide us with written confirmation of any match funding or conditional offer you receive.**

**Question 19d: Private Sector Funding Sources**

Tell us how certain each source of private sector funding is and when you expect to get it.

- What funding is secure?
- When was it approved?
- What conditions are placed upon the funding?
- What other funds have you applied for?
- When will your proposal be considered?

For each funding source, if the funds are not committed, please tell us why e.g. you may have received a conditional offer of funding or are planning to bid into a fund with fixed dates for receipt of proposals.

**Please note that you will have to provide us with written confirmation of any match funding or conditional offer you receive**

**Question 19e: Value for Money**

- Please explain how you will ensure value for money in purchasing goods and services for your project. The correct procedures need to be followed in purchasing goods and services ie.
  - 3 written quotes
  - Project costs should be in line with similar projects
  - Correct recruitment and selection processes
  - Appropriate grading of staff
- Provide a justification if you think that your forecast expenditure may be particularly high or low when compared to potential competitors.
- The value of "in kind" contributions (e.g. worker time funded through mainstream budgets) should be calculated and included in the expenditure breakdown. These contributions should also be shown in the funding table (18b).
- Use this space to provide any additional justification or explanation for particular costs that have not been explained in any other area of the proposal.
- Ensure that the balance of management and other costs are consistent with a high quality programme that meets the needs of the target beneficiaries, including appropriate support measures.

**SECTION E: EQUAL OPPORTUNITIES****Question 20: Equal Opportunities**

Explain how your organisation is committed to equal opportunities policy and practice. Ensure you demonstrate how this policy specifically applies to the proposed activities.

- Give details of your equal opportunities policy and implementation plan. Explain how these specifically apply to the proposed activities.
- Give details of: key objectives; staff training and development; baselines; targets and monitoring arrangements.

## **SECTION F: SUSTAINABILITY/FORWARD STRATEGY**

### **Question 21a:**

Activity funded through New Deal for Communities should, where possible, demonstrate long term sustainability and/or impact. Where new ways of working are developed, consideration must be given to how these can be continued effectively after the cessation of funding.

The following are crucial to long term sustainability:

- Securing the support of partners
  - Effective evaluation to demonstrate the impact of activity
  - Identification of good practise
  - Transfer of learning into mainstream provision
  - The development of an effective exit strategy
- Describe what will happen to the activity/service at the end of this funding period.
  - If mainstream or other funding is anticipated, please give details of when this is likely to be confirmed and what action will be taken if this is not the case
  - Provide details of your exit strategy

### **Question 21b:**

- Please explain what will happen to any assets to be purchased using funding in this project proposal at the end of the NDC funding period.
- Explain who/which role will be responsible for their safekeeping.
- If the project is not continuing, how will the assets be disposed of and what will happen to any income generated through this disposal.

### **Question 21c:**

- Choose either Option a, b or c and outline your forward strategy for continuing the project.
- Identify any risks associated with your chosen option and the ways in which you plan to mitigate each of these risks.

## **SECTION G: EVALUATION**

### **Questions 22a-22g: Evaluation Plan**

Your evaluation plan should tell us how you will assess the impact and success of your proposal.

#### **Question 22a: Aims of the proposal**

- The aims of your project should be a summary of what you are hoping to achieve. This should reflect the information outlined by you in Question 1.

#### **Question 22b: Objectives of the proposal**

- List how you will achieve your aims. The individual steps that will need to be taken for your project to be a success.

#### **Question 22c: Please list your evaluation questions**

- Your evaluation will seek to find out whether or not you have achieved your aims & objectives. The questions that your evaluation will seek to answer will also be tied closely into proposal aims.

For example, a proposal that provides higher levels of visible policing may ask the following questions: 'Has fear of crime reduced?' 'Have levels of victimisation reduced?' 'Has the reporting of crime increased?' etc.

In addition, you should address which groups of people your proposal is working best and least well for (think through issues of ethnicity, age, gender, and so on) e.g. does it work as well for boys as girls?

#### **Question 22d: What methods will you use to answer your evaluation questions?**

- Make sure that the methods you choose are appropriate to the questions you are seeking to answer. For example, if you want to study patterns, then you may be able to rely on the analysis of existing information (e.g. crime trends, education figures etc) However, if you wish to explore people's experiences, motivations or aspirations, you may want to use 'softer' methods such as in-depth interviews.

**Question 22e: When will you ask your evaluation questions?**

**This is crucial and it hinges on two issues:**

- When will data sources upon which your evaluation relies be available? For instance, educational attainment figures will be available at a single time each year.
- When are you likely to have achieved your outcomes? Some of your aims are likely to be achieved earlier than others. Longer-term aims should be addressed later in the evaluation.

**Question 22f: How will you involve local people in the evaluation?**

- NDC encourages you to involve local people in the evaluation, not just as research subjects responding to questions, but also as evaluators. Local people may become involved in designing and conducting research, analysing findings and making recommendations for change. Please speak to the Research & Evaluation Team for more information about how to meaningfully involve local people.

**Question 22g: How much will your evaluation cost? NB. It is very important to ensure that you have included these costs in Section 19a of the proposal.**

Costs will vary according to whether or not you are self-evaluating. Self-evaluation will cost far less than commissioning out the work. However, costs will still be attached to self-evaluating, for example, incentives for respondents, printing surveys, telephone costs, etc. If you plan to commission the work out, you should work on it costing approximately 5% of the total proposal spend.

**Question 22h: How will you disseminate lessons learned from your evaluation?**

NDC wants to ensure that the impact of the programme is publicised as widely as possible. As well as promoting your proposal, it is important that we share good practice with statutory agencies, local people, other NDC's and regeneration proposals. You should indicate how you would share the results of your evaluation locally, regionally and nationally.

Your answer to these questions will form your draft evaluation plan. A final version **MUST** be agreed with the NDC research and evaluation team within 3 months of proposal approval.

## **SECTION H: ADDITIONAL INFORMATION**

### **Question 23: Conflicts of Interest**

Please identify members of your organisation who have (or may have) a link with NDC. If you are in doubt about whether there is a potential conflict of interest, you should identify members who may have a link with NDC. We will then determine if a conflict of interest exists.

Examples of conflicts of interest include ~ relationship with NDC employee, Members of the NDC Approval Committee or NDC Board Director, if an NDC employee or Board Director is a partner in or member of your organisation, share holder if your organisation is a company etc.

Please note, canvassing of NDC Directors and employees for support of your proposal will mean that your proposal will be rejected.

### **Question 24: Accounts**

If you are voluntary or community organisation, please include the full name and job title of the person responsible for financial matters within your organisation and attach a copy of your most recently audited or independently examined accounts OR, if you are a new organisation, a copy of your income and spending plans.

### **Question 25: References**

Please include the full names, addresses and other details requested of two referees. The referees must be organisations for which you have either carried out a contract or delivered services supported through grant funding in the last two years.

## **Further Guidance**

If you require any additional guidance on completing the project proposal form please contact:

**Derwent Community Team**  
**Tel. (01332) 256390**

**Derwent Community Team**

**Expression of Interest**

*We are looking for a project to address the following brief and to meet the needs, strategic goals and delivery plan outcomes identified below:*

<b>Derwent Community Team Project Brief to be met by Project Proposal</b>
<b>Needs identified by DCT which are to be addressed by this proposal</b>
<b>Strategic goals to be addressed by project proposal</b>
<b>Delivery plan outcomes to be addressed by project proposal</b>

### SECTION 1: Project Contact Details

<b>Project Title:</b>	
<b>Lead Project Manager:</b>	
<b>Name of Applicant Organisation</b>	
<b>Telephone:</b>	
<b>e-mail address:</b>	
<b>Postal address:</b>	
<b>Total NDC Funds Required (£):</b>	
<b>Anticipated Project Start Date:</b>	
<b>Anticipated Project End Date:</b>	
<b>Summary of Proposed Activity:</b> Give a <u>short</u> description of the proposed activity. <b>(No more than 50 words)</b>	

### SECTION 2: Project Idea

<b>Activity</b> Clearly describe the aim of the project and the activity to be delivered and explain clearly how it will meet the DCT Project Brief outlined above. Please ensure that a clear specification of the service to be provided is included within this section. (Maximum 500 words)

<b>Need</b> What are the problems that the project is trying to address and how will this project solve these problems? You will need to ensure that at least some of the needs the project addresses correspond with those which have been identified by DCT and outlined on the front page of this project proposal form. Please include any statistical information to support the need for this project. (Maximum 500 words)



**Contribution to Derwent Community Partnership's Strategic Goals – long term impact of the proposal**

List which of the strategic goals outlined on the front page of this Expression of Interest form the proposal will address and explain how the proposal will contribute to the Partnership achieving that goal.

Strategic Goal	Explanation of how the proposal will meet the strategic goal

**Contribution to Delivery Plan**

Explain how the proposal contributes to the Derwent Community Partnership Delivery Plan outcomes listed on the front page of this proposal form.

Delivery Plan Outcome	How will your proposal help to achieve this outcome and which of the outputs you have identified will contribute to this output

**SECTION 3: Project History with Derwent Community Team**

Have you previously had any grant funding from the Derwent Community Team?  
Yes/No (please delete as appropriate).

If yes, please state the name(s) of all the project(s) and the amount of funding you have received from the Derwent Community Team:

Project Ref	Project Name	Amount of NDC Funding

**SECTION 4: Finance**

How much funding will be required to deliver the project successfully:

Derwent Community Team funding	£
Match funding	£
Total funding required for project	£

If there is no matchfunding, please state why?

--

In the funding table below, please illustrate how you have calculated this funding (please note this is an excel worksheet, please double click on the table to open the worksheet)

<b>Item</b>	<b>Source Of Funding</b>	<b>Cap / Rev</b>	<b>2008/09</b>	<b>2009/10</b>	<b>GRAND TOTAL</b>
					£ -
					£ -
					£ -
					£ -
					£ -
					£ -
					£ -
					£ -
					£ -
					£ -
			£ -	£ -	£ -

**SECTION 5: Outcomes**

Please list below the outputs that you expect your project to achieve. It is important that your targets are realistic.

<b>Output code</b>	<b>Output Definition</b>	<b>Total 2008/09</b>	<b>Total 2009/10</b>	<b>Grand Total</b>
	<b>Core output definition</b>			
	<b>Additional output definition</b>			

<b>Signed:</b>	
<b>Project Manager:</b>	

Ref No (to be assigned by DCT)

# Derwent Community Team Project Proposal Form

The table below is to be completed by DCT prior to form being sent to organisations for completion.

*We are looking for a project to address the following brief and to meet the needs, strategic goals and delivery plan outcomes identified below:*

<b>Derwent Community Team Project Brief to be met by Project Proposal</b>
<b>Needs identified by DCT which are to be addressed by this proposal</b>
<b>Strategic goals to be addressed by project proposal</b>
<b>Delivery plan outcomes to be addressed by project proposal</b>

**Please refer to the Project Proposal Form Guidance Notes when completing the Project Proposal Form.**

<b>PROJECT SUMMARY TABLE</b> (To be completed by the applicant)	
<b>Name of Applicant Organisation:</b>	
<b>Proposal Title:</b>	
<b>Total NDC Funds Required (£):</b>	
<b>Anticipated Project Start Date:</b>	
<b>Anticipated Project End Date:</b>	
<b>Summary of Proposed Activity:</b> Give a <u>short</u> description of the proposed activity. <b>(No more than 50 words)</b>	

## **CONTACT DETAILS**

This section should be completed by the lead organisation applying for the funds.

**Name Of Applicant Organisation**

**Address**

**Please provide contact details below**

	Lead contact	Alternative contact
Contact Name		
Position in organisation		
Telephone number		
Fax number		
Mobile tel. number		
E-mail address		

**Type Of Organisation\***

\*Please choose from:

Derby City Council Department (please specify department),  
Other public sector organisation, (please specify),  
Private Company  
Voluntary Organisation,  
Other (please specify)

**SECTION A: WHAT THE PROJECT WILL ACHIEVE**

**Question 1 - Activity**

Clearly describe the aims of the project and the activity to be delivered and explain clearly how it will meet the DCT Project Brief outlined above. Please ensure that a clear specification of the service to be provided is included within this section.

**Question 2 – Need**

What are the problems that the project is trying to address and how will this project solve these problems? You will need to ensure that at least some of the needs the project addresses correspond with those which have been identified by DCT and outlined on the front page of this project proposal form. Please include any statistical information to support the need for this project.

**Question 3 - Geographical Targeting**

Please detail the geographical area to be targeted and explain why if any area/s outside of the NDC area are included. If the area to be targeted is larger than the NDC area, you will need to demonstrate that you have match funding to cover that area.

**Question 4 - Target Group**

Outline the target group/s (either people or organisations) that will be assisted

**Question 5 – Other Options**

**(NB. This section does not need to be completed by organisations requested to submit bids for the Intervention Fund)**

What other options did you consider as a possible way to address the problems? At least two other options should be considered.

**Details of Rejected Option 1**

**Cost Implications of Rejected Option 1**

**Details of Rejected Option 2**

<b>Cost Implications of Rejected Option 2</b>

**Question 6 – Chosen Option**  
**(NB. This section does not need to be completed by organisations requested to submit bids for the Intervention Fund)**

Why did you choose the activity you have outlined in Question 1 as your preferred option to address the problems DCT have identified, rather than any of the other options? Explain why this option is the best and most realistic way of addressing the problem and how it will deliver the results you want.

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**Question 7 – Other Funding Sources**

**(NB. This section does not need to be completed by organisations requested to submit bids for the Intervention Fund)**

What other funding sources were considered and why were they rejected?  
 List each funding source considered and then give the reason why it was rejected.

Funding Source	Why was this rejected?



**Question 8a – Project Outputs**

	Output Definition	Q1 – April – June 2008	EM	Q2 – July – Sept 2008	EM	Q3 Oct – Dec 2008	EM	Q4 Jan – March 2009	EM	Total 2008/09	EM total	Total 2009/10	EM total	Total All Years	EM total
Output Code	Core Definition														
	Output														
	Definition														
	Additional definition														
	output														
	definition														

**Question 8b – Basis for output projections**

It is important that your output targets are realistic. For each output above, please state how you have calculated your outputs and also why you chose that output and how it contributes to the delivery plan outcomes DCT has specified that it wants to achieve (front page of proposal form).

Output	How has output been calculated	Why has this output been chosen

**Question 8c – Contribution to Derwent Community Partnership’s Strategic Goals – long term impact of the proposal**

List which of the strategic goals the proposal will address (see Guidance notes for list) and explain how the proposal will contribute to the Partnership achieving that goal.

Strategic Goal	Explanation of how the proposal will meet the strategic goal

**Question 8d – Contribution to Delivery Plan**

Explain how the proposal contributes to the outcome/s stated in the Derwent Community Partnership Delivery Plan, which are listed on the front page of this proposal form.

Delivery Plan Outcome	How will your proposal help to achieve this outcome and which of the outputs you have identified will contribute to this output

**Question 9 – Project Milestones**

Please state the key planned milestones involved in planning and running the project

Milestone Event	Date milestone will be achieved

**SECTION B: FIT WITH OTHER INITIATIVES**

**Question 10 - Fit with Existing or Planned Initiatives and Strategies**

How do the proposed activities fit with other strategies, plans and provision relating to the people and / or organisations that you intend to assist?

**Question 11 - Fit with other NDC or Regeneration Initiatives**

What other relevant or similar activities are being delivered in the Derwent area? What linkages does the proposal have to the other NDC funded activities or other regeneration initiatives in the area?

**Question 12 – Impact on Mainstream Service Delivery**

How will the activities/service complement/integrate with existing mainstream provision in the community? How will the project impact on mainstream provision. Has there been any discussion or consultation with mainstream service providers?

**Question 13a - Community / Target Group Involvement**

Please explain how the local community/target group have been involved with the development of the proposal so far. Please also demonstrate how there will be appropriate involvement of the target community/ group in the further design and the implementation of the proposed activities.

**Question 13b – Partner/Other Stakeholder Involvement**

Please demonstrate that there will be appropriate involvement of other partners in the design, development and implementation of the proposed activities.

**Question 14 – Partnership Working**

Please demonstrate below that you have identified other organisations on which the successful delivery of your proposed activities will depend. For each partner, please state what their involvement will be and give contact details.

<b>Organisation Name</b> (e.g. employers, other providers, voluntary groups, etc)	<b>Role relating to the proposed activity</b>	<b>Lead contact and contact details</b>

**SECTION C: CAPACITY TO DELIVER**

**Question 15 – Organisational Capacity**

This section should show that you have the capacity to deliver the proposed activity. Describe the resources you will devote to financial controls, management systems, staffing & recruitment, managing performance, quality systems.

**Question 16 - Experience and Track Record (give details for each delivery partner)**

Please demonstrate how you have experience of delivering the type of activities proposed and / or of working with the people or companies that you propose to assist

**Question 17 – Best practice**

How has the project taken national best practice into account.

**Question 18 – Risks & contingencies**

Risk - What are the main risk factors that might reduce the likely benefits and outputs from the proposal (e.g. cost overruns, lack of take-up from target population, delays etc.)?	Impact of risk – High, Medium or Low	Probability of risk – High, Medium or Low	Contingency - What action do you propose/have you put in place to minimise each of these risks and/or what contingency plans will you put in place, should these risks be realised

## SECTION D: VALUE FOR MONEY

**Question 19a: Cost of Activity - Please complete the attached spreadsheet with the requested details.**

Please refer to the attached guidance notes before completing the table and ensure that a cost calculation is included for every cost in the proposal.

**Question 19b: Funding Package - Please complete the attached spreadsheet with the requested details.**

Please refer to the attached guidance notes before completing the table.

**Question 19c – For each public sector funding source tell us how certain the funding is and when you expect to get it. For each source that is not committed yet, please explain why not.**

**Question 19d - For each public sector funding source tell us how certain the funding is and when you expect to get it. For each source that is not committed yet, please explain why not.**

**Question 19e – Demonstrate below how you ensure that you achieve value for money.**

## SECTION E: EQUAL OPPORTUNITIES

**Question 20 – Please give details of your equal opportunities policy and plans and attach a copy of your equal opportunities policy to your project application form.**

## SECTION F: SUSTAINABILITY/FORWARD STRATEGY

**Question 21a - What will happen when NDC investment finishes? How will the benefits of the proposal be continued?**

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<b>Question 21b</b> - How will any assets provided through the proposal be used when NDC investment finishes? Who will be responsible for their safekeeping and maintenance or disposal?

<b>Question 21c</b> - Please select one of the following categories and provide a summary of your forward strategy, identifying the key risks associated with it:
a) Strategy for ending the proposal within the period of NDC support
b) Strategy for seeking ongoing investment (Please identify the funding source and management arrangements)
c) Strategy for developing a self-financing/income generating beyond the period

<b>SECTION G: EVALUATION</b>
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<b>Question 22 - Evaluation Plan</b> Evaluation is an assessment of the proposal's success in meeting its intended outcomes. These should be the same as section 2.1 How will you assess whether your proposal has met its aims? This will entail drawing up an Evaluation Plan that will need to be agreed with NDC. Answers to the following questions will form your plan.
<b>Question 22a</b> - What are the proposals aims?
<b>Question 22b</b> - What are the proposals key objectives?
<b>Question 22c</b> - Please list your key evaluation questions:
<b>Question 22d</b> - What methods will you use to answer your key evaluation questions?
<b>Question 22e</b> - When will you ask your key evaluation questions?
<b>Question 22f</b> - How will you involve local people in the evaluation?
<b>Question 21g</b> - How much will your evaluation cost? Have you included these costs in



Section 19a?
<b>Question 22h - How will you disseminate lessons learned from your evaluation?</b>

**SECTION H: ADDITIONAL INFORMATION**

**Question 23 – Conflicts of Interest**

In order for NDC to identify and address and conflicts of interest through the commissioning process, please provide details of any known relationships/links (business or otherwise) between any member of your organisation's Management Committee or staff and NDC, any member of the NDC Board, approval committees or NDC staff.

Committee/staff member	Relationship with NDC

**Question 24 - Accounts**

If you are a voluntary or community organisation, please confirm that you have provided a copy of your most recently audited or independently examined accounts OR, if you are a new organisation, a copy of your income and spending plans. Please note that, where appropriate, successful organisations will also be required to provide a copy of their constitution or memorandum and articles before a contract is issued.

Accounts enclosed (Please tick):  or Not applicable:

**Question 25 – References**

Part of the proposal assessment process may involve obtaining satisfactory references, so please give details of two referees below.

	Referee 1:	Referee 2:
Name:		
Position:		
Organisation:		
Address:		
Telephone:		
e-mail:		
Relationship between referee organisation and applicant organisation.		

<b>Name and job title of financial contact person</b>	<b>Name:</b>
	<b>Job title:</b>

I declare that I have read all the relevant guidance material in relation to my proposal and that all particulars in the proposal are correct to the best of my knowledge:

<b>Name:</b>	
<b>Position in organisation:</b>	
<b>Signature:</b>	
<b>Date:</b>	

**SECTION D: VALUE FOR MONEY**  
**Question 19b: FUNDING PACKAGE**

	Cost (£)							Total
	2008/09 Q1	2008/09 Q2	2008/09 Q3	2008/09 Q4	2009/10 Total	2010/11 Total		
<b>NDC FUNDING</b>								
REVENUE								
CAPITAL								
<b>SUB TOTAL NDC</b>								
<b>OTHER FUNDING SOURCES</b>								
FUNDING SOURCE	CONFIRMED (Y/N) if No add	2008/09 Q1	2008/09 Q2	2008/09 Q3	2008/09 Q4	2009/10 Total	2010/11 Total	Total
	ANTICIPATED DATE OF CONFIRMATION	£	£	£	£	£	£	£
	Public Sector Sub Total							
	Private Sector Sub Total							
	<b>SUB TOTAL OTHER FUNDING</b>							
	<b>TOTAL FUNDING PER YEAR/TOTAL</b>							

**DERWENT COMMUNITY TEAM APPRAISAL AND APPROVAL FORM- 2008- 2011**

**Approval Summary**

Delivery Organisation:			
Address:			
Telephone number:			
Proposal Title:			
Funding approved:	08/09	09/10	10/11

**SUMMARY OF PROPOSED ACTIVITY**


**APPROVALS**

Date of PMG Group Approval:		Date of Board Approval:	
Signed by representative of PMG:		Signed by representative of Board:	
Name:		Name:	
Date:		Date:	

**APPRAISAL PANEL**

**Project Name:**

**Appraisal Date:**

**Names of members of the Appraisal Panel:**

**Each member of the appraisal panel should sign below to confirm they do not have any interest in the project being appraised.**

<b><u>Name:</u></b>	<b><u>Signature: I confirm that I do not have an interest in the project being appraised.</u></b>

**INFORMATION FOR THE APPRAISAL PANEL**

All members of the appraisal panel should score all questions on the proposal form prior to the Appraisal Panel meeting.

All questions should be scored according to the scoring table below. The maximum score for each question is listed beside each question.

**SCORING TABLE**

How well does project meet each of the criteria?	Maximum score 5	Maximum score 10	Maximum score 15
Meets the criteria fully	5	10	15
Meets the criteria fairly well	3	6	9
Partly meets the criteria	1	3	5
Does not meet the criteria	0	0	0

## APPRAISAL DETAILS

<u>Section A: Activity</u>		<u>85 POINTS MAXIMUM</u>
<u>Subsidiary Criteria:</u>	<u>Appraisal Comments:</u>	<u>Appraisal score:</u>
<p><b>Q1. Activity</b></p> <ul style="list-style-type: none"> <li>• Activity clearly fits the DCT project brief</li> <li>• A clear service specification is provided</li> <li>• Innovative elements where appropriate</li> </ul>		<b>10 points max</b>
<p><b>Q2. Need</b></p> <ul style="list-style-type: none"> <li>• Addresses needs which have been identified by DCT</li> <li>• Addresses red or amber targets within the Derwent Delivery Plan</li> <li>• Gives detailed &amp; credible evidence to support the need</li> </ul>		<b>10 points max</b>
<p><b>Q3. Geographical Targeting</b></p> <ul style="list-style-type: none"> <li>• Activity delivered in Derwent area</li> <li>• Relevant full explanation given of target area</li> <li>• Match funding included to cover any area outside of the NDC area</li> </ul>		<b>5 points max</b>
<p><b>Q4. Target Group</b></p> <ul style="list-style-type: none"> <li>• Target group is clearly outlined</li> <li>• Full understanding of the range of needs within this group</li> <li>• Activity developed in response to these needs</li> </ul>		<b>5 points max</b>
<p><b>Q5. Other Options</b></p> <ul style="list-style-type: none"> <li>• Two other options have been outlined</li> <li>• Costings have been given for both the other options</li> </ul>		<b>5 points max</b>
<p><b>Q6. Chosen Option</b></p> <ul style="list-style-type: none"> <li>• Clear reasons given for why the chosen option has been chosen</li> <li>• Clear explanation given for why this is the best and most realistic way of addressing the problem</li> <li>• Shows how it will deliver the results it wants</li> </ul>		<b>5 points max</b>
<p><b>Q7. Other Funding Sources</b></p> <ul style="list-style-type: none"> <li>• Other funding sources have been considered</li> <li>• Applications have been made to other funders and have been unsuccessful</li> <li>• Reasons given why other funding sources have been rejected</li> </ul>		<b>5 points max</b>



<p><b>Q8a. Outputs</b></p> <ul style="list-style-type: none"> <li>• Clear outputs have been given</li> <li>• Outputs are specific, measurable, achievable, realistic and timebound</li> <li>• Core outputs have been included</li> <li>• Any other appropriate additional outputs which the project will achieve have been included</li> <li>• EIM targets have been given for each output</li> </ul>	<p><b>10 points max</b></p>
<p><b>Q8b. Basis for outcome projections</b></p> <ul style="list-style-type: none"> <li>• Clear explanation given of how each outputs had been calculated</li> <li>• Reasonable explanation given for why each output has been chosen</li> </ul>	<p><b>5 points max</b></p>
<p><b>Q8c. Contribution to Strategic Goals</b></p> <ul style="list-style-type: none"> <li>• Clear link to Derwent Community Partnership's strategic goals which proposal has been asked to address</li> <li>• For each goal addressed, clear explanation given of how the proposal will meet the strategic goal</li> <li>• These outputs will really help to meet the strategic goals</li> </ul>	<p><b>10 points max</b></p>
<p><b>Q8d. Contribution to Delivery Plan</b></p> <ul style="list-style-type: none"> <li>• For each Derwent Community Partnership's Delivery Plan outcome to be addressed, a clear explanation is given of how the proposal will achieve this outcome</li> <li>• It is demonstrated how outputs to be achieved contribute to each of the delivery plan outcomes the project will address</li> <li>• These outputs will really make a genuine contribution to achieving the desired delivery plan outcomes</li> </ul>	<p><b>10 points max</b></p>
<p><b>Q9. Milestones</b></p> <ul style="list-style-type: none"> <li>• Are milestones specific, measurable, achievable, realistic, timebound</li> <li>• Target date given for when each milestone will be achieved</li> <li>• Milestones set out will help to measure the progress of the project</li> <li>• Completion dates have been given for all key elements of the delivery of the project</li> </ul>	<p><b>5 points max</b></p>

<b>Section B: Fit with Other Initiatives</b>		<b>30 POINTS MAXIMUM</b>
<b>Subsidiary Criteria:</b>	<b>Appraisal Comments:</b>	<b>Appraisal score:</b>
<p><b>Q10. Fit with Existing or Planned Initiatives and Strategies</b></p> <ul style="list-style-type: none"> <li>Clearly identifies how project fits/aligns with existing or planned provision in Derwent;</li> <li>Relevant consultation undertaken</li> <li>Reference to relevant partnerships, strategies or plans.</li> </ul>		<b>5 points max</b>
<p><b>Q11. Fit with other NDC or Regeneration Initiatives</b></p> <ul style="list-style-type: none"> <li>Clearly identifies how project fits/aligns with existing or planned provision in Derwent;</li> <li>Relevant consultation undertaken</li> <li>Reference to relevant partnerships, strategies or plans.</li> </ul>		<b>5 points max</b>
<p><b>Q12. Impact on Mainstream Service Delivery</b></p> <ul style="list-style-type: none"> <li>Credible plan for how activity will impact on mainstream service delivery</li> <li>It is clear how the Derwent area will benefit</li> <li>Credible plan for how these benefits will be sustained in long term</li> </ul>		<b>5 points max</b>
<p><b>Q13a. Community / Target Group Involvement</b></p> <ul style="list-style-type: none"> <li>Community/target group at centre of proposed activity</li> <li>Appropriate involvement of community/target group in key stages of proposed activity</li> <li>Identified needs/issues/problems faced by the community/target group taken into account</li> </ul>		<b>5 points max</b>
<p><b>Q13b. Partner/Other Stakeholder Involvement</b></p> <ul style="list-style-type: none"> <li>Demonstrates partners and other stakeholders have been fully engaged in development of proposed activity</li> <li>Includes appropriate involvement in all key stages of proposed activity</li> <li>Takes account of other resources available</li> <li>Takes account of identified needs/issues/problems.</li> </ul>		<b>5 points max</b>
<p><b>Q14. Partnership Working</b></p> <ul style="list-style-type: none"> <li>Identifies other organisations on which successful delivery will depend</li> <li>Provides details of lead contacts</li> </ul>		<b>5 points max</b>

<b><u>Section C: Capacity to Deliver</u></b>	<b><u>30 POINTS MAXIMUM</u></b>
<b>Subsidiary Criteria:</b>	<b>Appraisal Comments:</b>
<p><b>Q15. Organisational capacity</b></p> <ul style="list-style-type: none"> <li>• Resources required for successful delivery are in place, including appropriate staff, management, financial controls, quality assurance processes and performance management arrangements.</li> <li>• Clear subcontracting arrangements agreed if applicable</li> </ul>	<p><b>Appraisal score:</b> <b>5 points max</b></p>
<p><b>Q16. Experience and Track Record</b></p> <ul style="list-style-type: none"> <li>• Evidence that the applicant has a sound track record of successfully delivering similar activity, and this is supported by performance on current contracts with quantified data.</li> <li>• Audit/inspection results should be included where available.</li> <li>• Evidence has been included for each partner who will directly deliver activity if applicable</li> </ul>	<p><b>5 points max</b></p>
<p><b>Q17. Best practice.</b></p> <ul style="list-style-type: none"> <li>• Evidence that national best practice has been taken into account in the design of the project</li> </ul>	<p><b>5 points max</b></p>
<p><b>Q18. Risks &amp; Contingencies</b></p> <ul style="list-style-type: none"> <li>• Comprehensive risk assessment undertaken</li> <li>• All relevant risks have been considered</li> <li>• The impact of each risk has been assessed</li> <li>• The probability of each risk has been assessed</li> <li>• Credible plans in place to reduce risk</li> <li>• Realistic contingency plan detailed for each risk</li> </ul>	<p><b>15 points max</b></p>

**Section D: Value for Money**

**35 POINTS MAXIMUM**

<b>Subsidiary Criteria:</b>	<b>Appraisal Comments:</b>	<b>Appraisal score:</b>
<p><b>Q19a. Cost of Activity</b></p> <ul style="list-style-type: none"> <li>• Breakdown of costs sufficiently detailed to demonstrate that level and nature of expenditure requested is appropriate to the proposed activity</li> <li>• All relevant costs have been included</li> <li>• Quarterly breakdown of costs in 08/09 provided</li> <li>• Sound justification given for forecast costs</li> </ul>		<p><b>5 points max</b></p>
<p><b>Q19b. Funding Breakdown</b></p> <ul style="list-style-type: none"> <li>• Sufficient funding can be secured to meet proposed expenditure.</li> <li>• The total funding balances with the total project costs for each quarter, year and overall total.</li> </ul>		<p><b>5 points max</b></p>
<p><b>Q19c. Public Sector Funding Sources</b></p> <ul style="list-style-type: none"> <li>• Funding sources are listed and it is clear whether or not they have been approved yet</li> <li>• Other public sector funding is secure</li> </ul>		<p><b>5 points max</b></p>
<p><b>Q19d. Private Sector Funding Sources</b></p> <ul style="list-style-type: none"> <li>• Funding sources are listed and it is clear whether or not they have been approved yet</li> <li>• Other private sector funding is secure</li> </ul>		<p><b>5 points max</b></p>
<p><b>Q19e. Value for Money</b></p> <ul style="list-style-type: none"> <li>• Explanation given of procedures that will be followed when purchasing goods &amp; services, grading staff etc.</li> <li>• Any particularly high costs have been justified</li> <li>• Project represents value for money when consider costs against the service to provided</li> <li>• All costs seem to be appropriate to the proposed activity</li> </ul>		<p><b>15 points max</b></p>

<b><u>SECTION E: EQUAL OPPORTUNITIES</u></b>		<b><u>10 POINTS MAXIMUM</u></b>
<b>Subsidiary Criteria:</b>	<b>Appraisal Comments:</b>	<b>Appraisal score:</b>
<b>Q20. Equal Opportunities</b> <ul style="list-style-type: none"> <li>• Suitable equal opportunities policy and implementation plan in place. Applicant has demonstrated how these specifically apply to the proposed activities.</li> <li>• Key features of plan outlined.</li> <li>• Clear commitment to meeting their obligations under legislation.</li> </ul>		<b>10 points max</b>

<b><u>SECTION F: SUSTAINABILITY/ FORWARD STRATEGY</u></b>		<b><u>35 POINTS MAXIMUM</u></b>
<b>Subsidiary Criteria:</b>	<b>Appraisal Comments:</b>	<b>Appraisal score:</b>
<b>Q21a. How will the benefits of the proposal be sustained</b> <ul style="list-style-type: none"> <li>• Clear and credible plan for sustainability and/or positive exit strategy.</li> <li>• Activity is likely to be sustained after the end of the project</li> <li>• Past experience of sustaining activity (if appropriate).</li> </ul> <b>Q21b. Plan for Assets</b> <ul style="list-style-type: none"> <li>• It is clear what will happen to all assets and who will be responsible for their safekeeping after the end of NDC funding</li> </ul> <b>Q21c. Forward Strategy for Project</b> <ul style="list-style-type: none"> <li>• Clear forward strategy has been outlined</li> <li>• Forward strategy seems realistic and reasonable</li> <li>• Key risks have been identified</li> </ul>		<b>15 points max</b>
		<b>5 points max</b>
		<b>15 points max</b>

<b>SECTION G: EVALUATION</b>		<b>15 POINTS MAXIMUM</b>
<b>Subsidiary Criteria:</b>	<b>Appraisal Comments:</b>	<b>Appraisal score:</b>
<b>Q22. Evaluation</b> <ul style="list-style-type: none"> <li>• Project has provided a detailed evaluation plan</li> <li>• Evaluation questions are realistic and appropriate and will allow assessment of whether the project has met its aims and objectives</li> <li>• Method of evaluation has been outlined and is appropriate to the questions seeking to answer.</li> <li>• Timing of the evaluation is realistic</li> <li>• Local people will be meaningfully involved in evaluation</li> <li>• Cost of evaluation has been taken into account</li> <li>• Plan shows how results of appraisal will be disseminated locally, regionally and nationally.</li> </ul>		<b>15 points max</b>

<b>Section H: ADDITIONAL INFORMATION</b>		
<b>Subsidiary Criteria:</b>	<b>Appraisal Comments:</b>	<b>Appraisal score:</b>
<b>Q23. Conflicts of Interest</b> <ul style="list-style-type: none"> <li>• Have members of the organisation with a link to NDC been identified &amp; the relationship with NDC explained</li> </ul>		<b>Yes/No</b>
<b>Q24. Accounts</b> <ul style="list-style-type: none"> <li>• Copy provided of most recent audited or independently examined accounts if appropriate OR, income and spending plans for new organisation.</li> <li>• Accounts satisfactory</li> <li>• Accounts not required eg. statutory organisation</li> </ul>		<b>Yes/No</b>
<b>Q25. References</b> <ul style="list-style-type: none"> <li>• Details of two appropriate referees have been provided</li> </ul>		<b>Yes/No</b>

**DECISION:**

PROPOSAL SCORE (out of 240)		PERCENTAGE SCORE	
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RECOMMENDATION (Tick)	<u>Approve</u>		<u>Reject</u>
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Comments / Reason for Rejection / Statement of Additional Information Required:

Signed: .....

Date: .....

Name: .....

Position: .....

Checked by: .....

Date: .....

Name: .....

Position: .....

### HOUSING AND AREA

	Derby			Aggregate			National	
	2002 %	2004 %	2006 %	2002 %	2004 %	2006 %	2008 %	2008 %
Very/fairly satisfied with accommodation <sup>1</sup> (HO3)	91	89	87	81	82	82	84	91
Resident at address less than 3 years <sup>2</sup> (HO6)	25	22	22	32	28	27	28	26
Very/fairly satisfied with area <sup>3</sup> (QL1)	61	70	72	60	66	71	74	85
Want to move out of home <sup>4</sup> (HO10)	29	30	33	39	38	40	39	26
Area much/slightly worse than 2 years ago <sup>5</sup> (QL4)	39	15	12	36	22	19	18	25
Base: All lived at address 2+ years								
Litter and rubbish in the streets a serious problem <sup>6</sup> (QL3B)	32	18	21	37	33	26	24	13
Teenagers hanging around on the streets a serious problem <sup>7</sup> (QL3N)	50	38	38	41	39	32	29	18
Quality of life in area very/fairly good <sup>8</sup> (QL2)	85	84	80	76	78	80	80	88

<sup>1</sup> Survey of English Housing 2006/07

<sup>2</sup> Survey of English Housing 2006/07

<sup>3</sup> Survey of English Housing 2006/07

<sup>4</sup> Ipsos MORI Public Affairs Monitor 2008 (2,032 adults aged 16+)

<sup>5</sup> Survey of English Housing 2004/05 (figures re-based to exclude those who have lived in the area less than two years). Asked as 'area has got worse'.

<sup>6</sup> Survey of English Housing 2006/07 (asked as 'litter and rubbish')

<sup>7</sup> Ipsos MORI Public Affairs Monitor 2008 (2,032 adults aged 16+)

<sup>8</sup> Ipsos MORI Public Affairs Monitor 2008 (2,032 adults aged 16+)



# Ipsos MORI

## COMMUNITY AND NDC

	Derby				Aggregate				National
	2002 %	2004 %	2006 %	2008 %	2002 %	2004 %	2006 %	2008 %	
Feel part of local community (great deal/fair amount) <sup>9</sup> (CO1)	32	38	38	39	35	39	42	45	59
Neighbours look out for each other <sup>10</sup> (CO4)	65	71	68	72	59	62	61	61	78
Feel can influence decisions in area <sup>11</sup> (CO5)	18	22	21	26	23	24	25	25	31
Involved in voluntary work in last 3 years <sup>12</sup> (CO6)	7	11	11	12	12	12	13	14	22
Heard of local NDC (CO8)	68	86	88	87	63	79	80	78	
Feel NDC has improved area (great deal/fair amount) (CO10)	31	59	63	56	33	51	57	60	

Base: All heard of NDC

<sup>9</sup> Ipsos MORI Public Affairs Monitor 2008 (2,032 adults aged 16+)  
<sup>10</sup> Ipsos MORI Public Affairs Monitor 2008 (2,032 adults aged 16+)  
<sup>11</sup> Ipsos MORI Public Affairs Monitor 2008 (2,032 adults aged 16+)  
<sup>12</sup> Ipsos MORI Public Affairs Monitor 2008 (2,032 adults aged 16+)

## CRIME AND SAFETY

	Derby						Aggregate						National		
	2002	2004	2006	2008	2002	2008	2002	2004	2006	2008	2002	2006	2008	2008	%
Feel very unsafe outside alone after dark <sup>13</sup> (CR1)	%	%	%	%	%	%	%	%	%	%	%	%	%	%	%
Very/fairly worried about burglary <sup>14</sup> (CR3A)	37	28	20	20	33	20	27	22	20	33	27	22	20	9	
Very/fairly worried about being robbed or mugged <sup>15</sup> (CR3B)	72	57	45	44	65	44	55	48	44	65	55	48	44	43	
Experienced burglary/attempted burglary in last 12 months <sup>16</sup> (CR4A)	55	38	32	31	58	31	48	44	41	58	48	44	41	35	
Experienced assault in last 12 months <sup>17</sup> (CR4D)	10	8	3	3	7	3	5	4	4	7	5	4	4	1.4	
	8	4	3	3	5	3	4	3	3	5	4	3	3	2.6	

<sup>13</sup> British Crime Survey 2007/08

<sup>14</sup> British Crime Survey 2007/08

<sup>15</sup> British Crime Survey 2007/08

<sup>16</sup> British Crime Survey 2007/08

<sup>17</sup> British Crime Survey 2007/08

NB: Please note that all comparisons on experience of crime can only be indicative as BCS gathers information through a much more detailed set of questions. As a result, the national figures quoted for CR4 A, B, and D differ from published BCS estimates of victimisation which relate to broader categories of crime (and can be obtained from 'Crime in England and Wales 2007/8'). This year, we have been able to source data from BCS that is most comparable to the NDC Household Survey question, providing a more precise comparison when looking at current crime levels in NDC areas compared with the country as a whole. However, given the change in data definitions, please refer to the benchmark trends document for more information on using consistent national benchmark trends on crime. Please use caution in quoting the figures in any external publication.

## WORK AND INCOME - ALL RESPONDENTS

	Derby				Aggregate				National
	2002 %	2004 %	2006 %	2008 %	2002 %	2004 %	2006 %	2008 %	
In paid work (including government scheme/Modern Apprenticeship) <sup>18</sup> (WO1)	50	50	51	51	43	44	45	46	60
Self-employed (WO9)	4	4	5	6	4	4	4	4	
Registered unemployed/not registered but seeking work <sup>19</sup> (WO1)	5	7	5	5	9	8	8	8	3
Long-term sick/disabled <sup>20</sup> (WO1)	9	10	9	10	9	9	9	9	4
No-one working in household (derived WO1)	46	46	46	43	51	51	51	49	
Income below £100 per week (households) <sup>21</sup> (FI6)	12	8	8	4	19	13	12	9	3
Respondent receives income support, JSA or incapacity benefit (FI4)	21	23	21	19	28	27	25	23	

<sup>18</sup> Labour Force Survey Quarter 2 (April-June) 2008

<sup>19</sup> Labour Force Survey Quarter 2 (April-June) 2008

<sup>20</sup> Labour Force Survey Quarter 2 (April-June) 2008

<sup>21</sup> Ipsos MORI Public Affairs Monitor 2008 (2,032 adults aged 16+)

## WORK AND INCOME - WORKING AGE RESPONDENTS

	Derby				Aggregate				National
	2002	2004	2006	2008	2002	2004	2006	2008	
	%	%	%	%	%	%	%	%	%
In paid work (including government scheme/Modern Apprenticeship) <sup>22</sup> (derived WO1)	62	62	63	60	51	52	53	54	TBC
Self-employed <sup>23</sup> (derived WO9)	5	5	7	6	5	5	5	5	9
Registered unemployed/not registered but seeking work <sup>24</sup> (derived WO1)	6	9	6	6	11	10	10	10	4
Long-term sick/disabled (derived WO1)	11	13	11	11	10	10	10	10	
No-one working in household (derived WO1)	35	32	31	31	41	40	39	37	
Base: All working age households									

Base: All working age respondents, Derby 2002 (392), 2004 (369), 2006 (292), 2008 (296); NDC Aggregate 2002 (15158), 2004 (14858), 2006 (11711), 2008 (11703)

<sup>22</sup> Labour Force Survey Quarter 2 (April-June) 2008

<sup>23</sup> Labour Force Survey Quarter 2 (April-June) 2008

<sup>24</sup> Labour Force Survey Quarter 2 (April-June) 2008

## EDUCATION AND TRAINING

	Derby					Aggregate					National %
	2002 %	2004 %	2006 %	2008 %	2002 %	2004 %	2006 %	2008 %	2008 %		
No qualifications - all respondents (ED1)	46	36	33	31	42	41	38	36			
No qualifications - working age respondents <sup>25</sup> (ED1) Base: All working age	35	27	23	22	33	32	31	29	13		
Have access to PC at work/home/elsewhere <sup>26</sup> (ED6)	39	44	50	61	43	49	55	62	71		
Taken part in education/training in last year (excluding current students) <sup>27</sup> (ED3)	20	23	16	22	20	20	21	22	25		
Taken part in education/training in last year (excluding current students) - working age respondents (derived ED3) Base: All working age	25	27	20	26	24	24	25	26			
Feel need to improve reading <sup>28</sup> (ED2)	9	11	7	8	12	11	12	13	6		
Feel need to improve writing <sup>29</sup> (ED2)	10	11	8	12	13	13	13	15	8		
Feel need to improve maths <sup>30</sup> (ED2)	18	17	15	15	21	19	19	21	15		

<sup>25</sup> Labour Force Survey Quarter 2 (April-June) 2008

<sup>26</sup> Ipsos MORI Tech Tracker Aggregates: January - August 2008

<sup>27</sup> Ipsos MORI Public Affairs Monitor 2008 (2,032 adults aged 16+)

<sup>28</sup> Ipsos MORI Public Affairs Monitor 2008 (2,032 adults aged 16+)

<sup>29</sup> Ipsos MORI Public Affairs Monitor 2008 (2,032 adults aged 16+)

<sup>30</sup> Ipsos MORI Public Affairs Monitor 2008 (2,032 adults aged 16+)

## HEALTH

	Derby				Aggregate				National	
	2002	2004	2006	2008	2002	2004	2006	2008	2002	2008
Feel own health is good <sup>31</sup> (HE1)	%	%	%	%	%	%	%	%	%	%
Limiting long-term illness <sup>32</sup> (derived HE3/4)	46	44	44	47	43	46	46	49	62	62
Smoke cigarettes <sup>33</sup> (HE7)	29	28	27	26	26	25	25	25	19	19
Very/fairly satisfied with doctors <sup>34</sup> (HE14) Base: All who have used family doctor/GP	45	46	43	40	40	38	37	35	22	22
Access to doctors very/fairly easy <sup>35</sup> (HE13) Base: All who have used family doctor/GP	92	90	89	85	84	84	84	85	90	90
	75	85	79	76	71	74	74	75	81	81

<sup>31</sup> General Household Survey 2006

<sup>32</sup> General Household Survey 2006

<sup>33</sup> General Household Survey 2006

<sup>34</sup> Ipsos MORI Public Affairs Monitor 2008 (2,032 adults aged 16+)

<sup>35</sup> Ipsos MORI Public Affairs Monitor 2008 (2,032 adults aged 16+)

## HOUSEHOLD/DEMOGRAPHICS

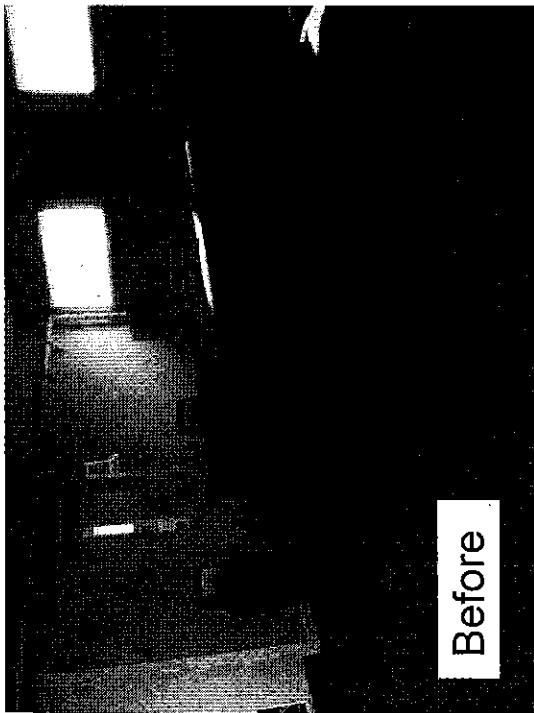
	Derby				Aggregate				National	
	2002	2004	2006	2008	2002	2004	2006	2008	2002	2008
Lone parent family households <sup>36</sup> (derived HD2)	%	%	%	%	%	%	%	%	%	%
BME groups <sup>37</sup> (HD7)	14	11	11	12	16	16	15	15	7	7
English not first language <sup>38</sup> (HD8)	2	2	3	4	24	26	28	29	9	9
	3	2	2	5	16	18	21	22	12	12

<sup>36</sup> Survey of English Housing 2006/07

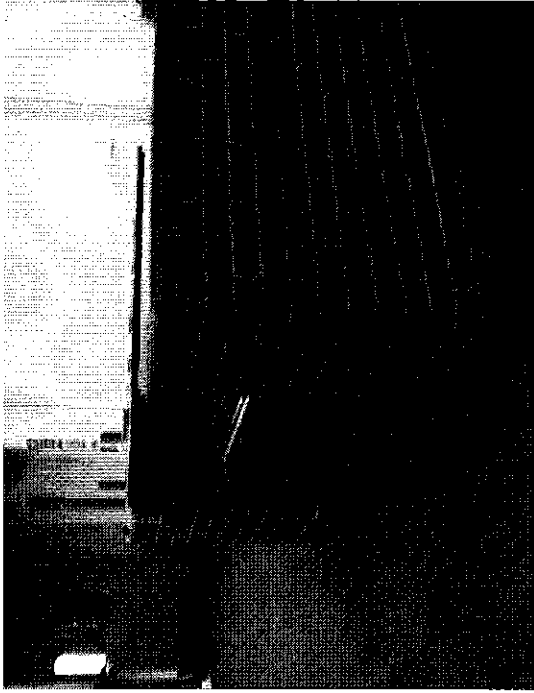
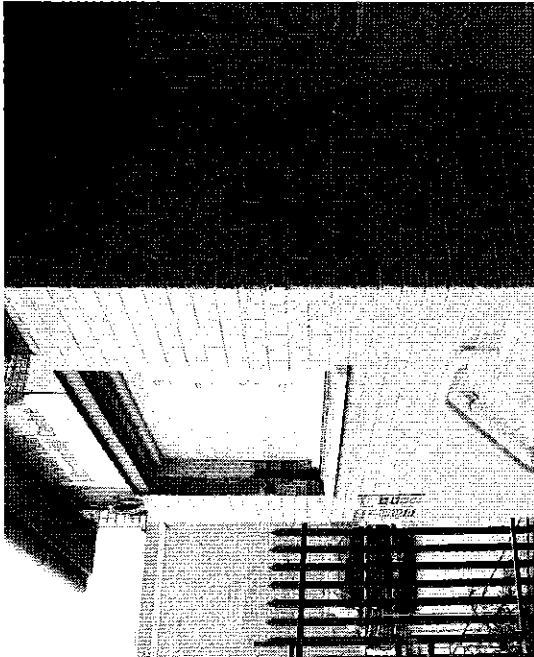
<sup>37</sup> Census 2001 data for England

<sup>38</sup> Ipsos MORI Public Affairs Monitor 2008 (2,032 adults aged 16+)

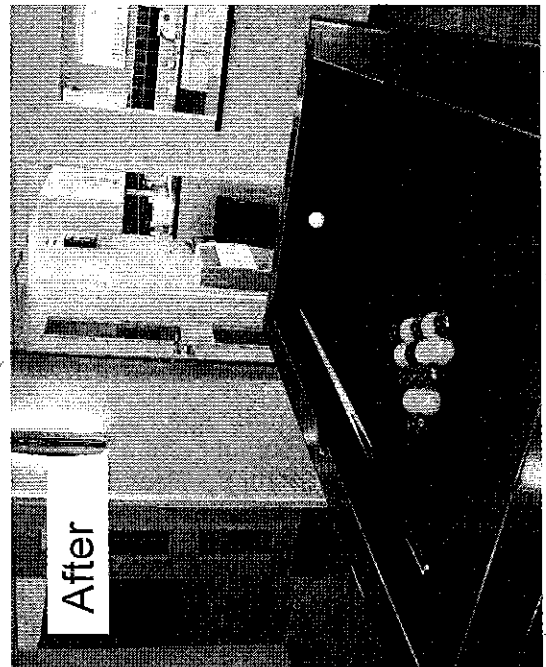
# Derwent Youth and Community Centre



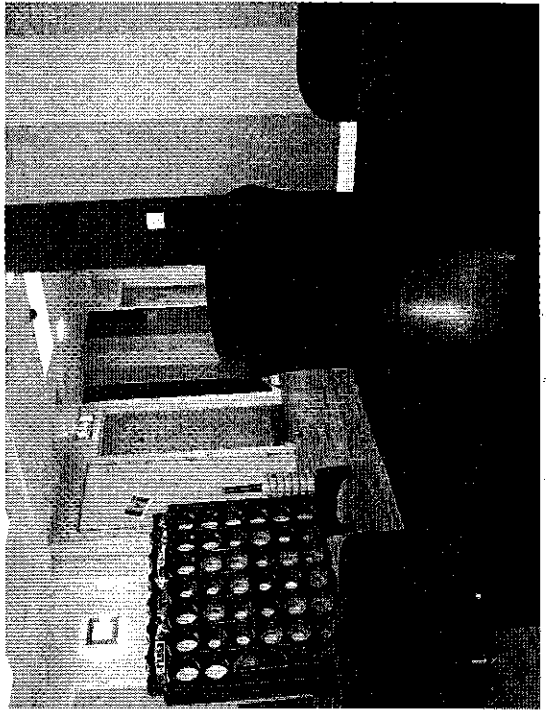
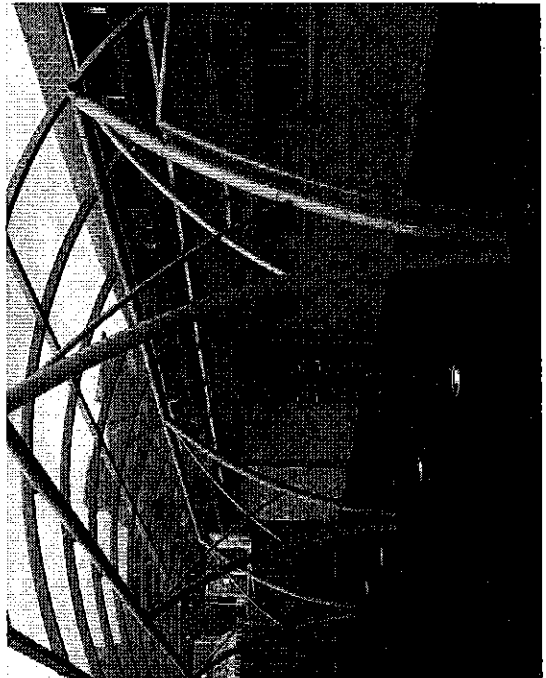
Before



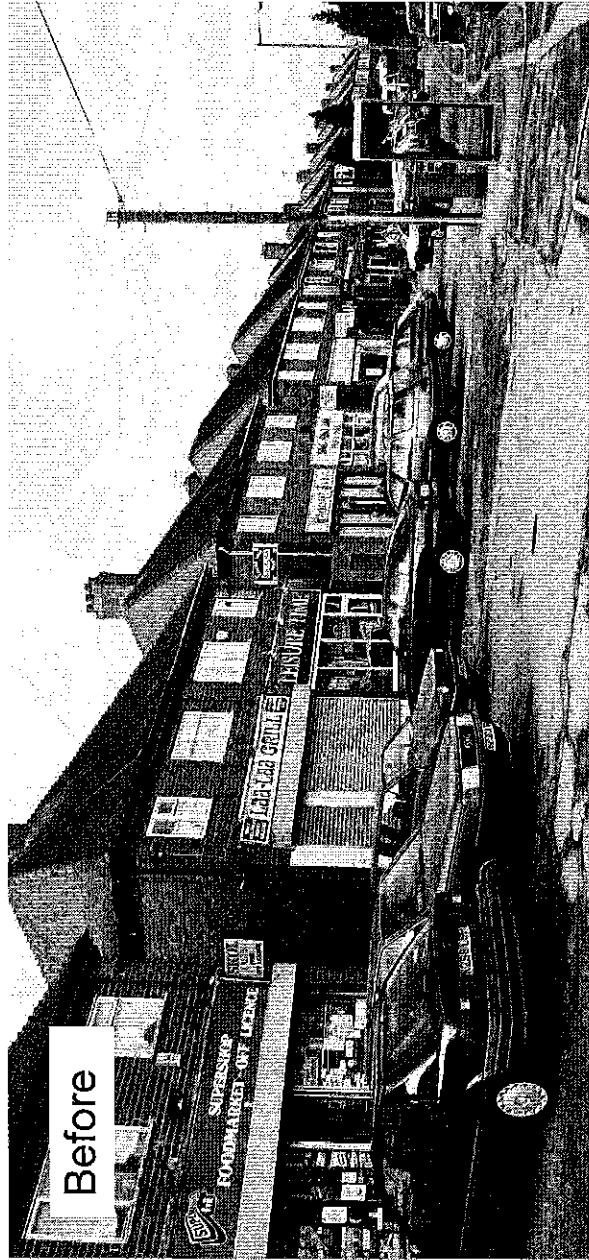
Funding from Derwent Community Team helped transform a run-down, under-used youth centre into a popular "youthy" that, in the words of its manager, "actually looks like a youth centre."



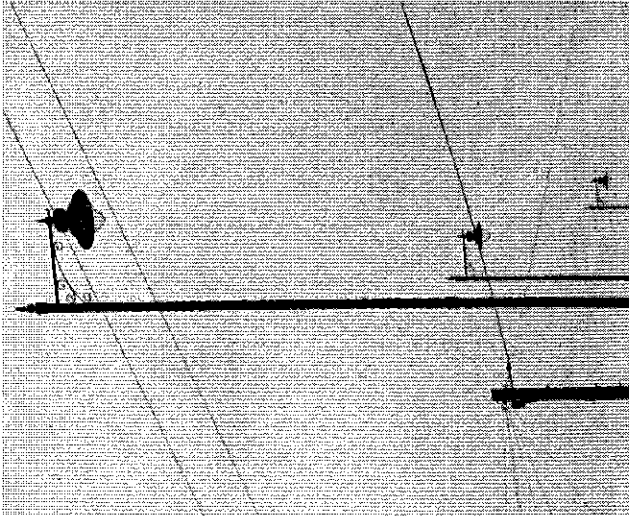
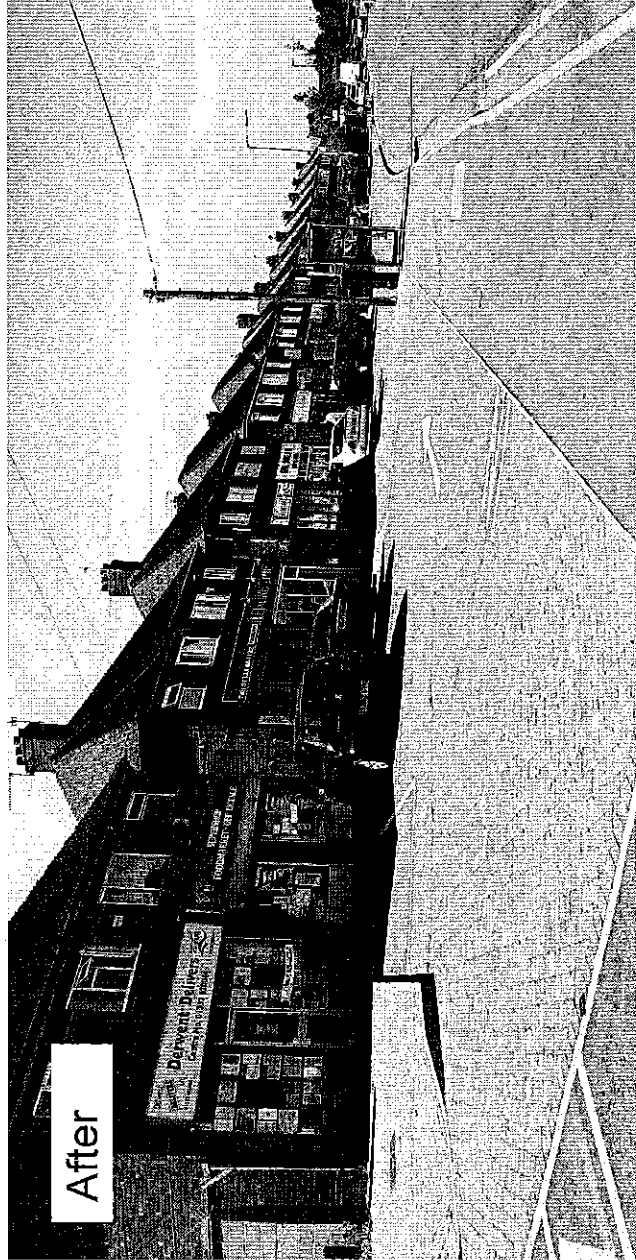
After



# Wiltshire Road

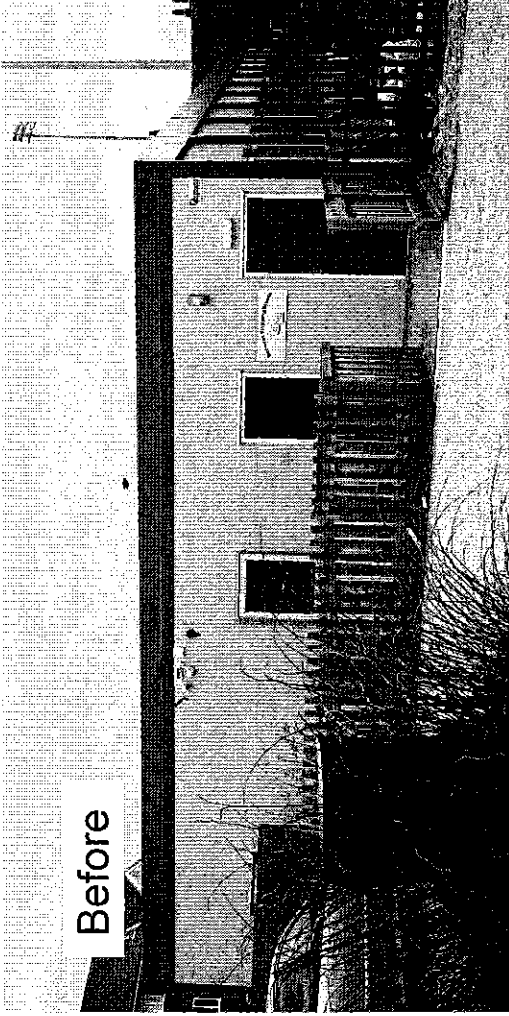


To help the shops on Wiltshire Road retain and attract customers, Derwent Community Team agreed the funding to re-pave the parking area. NDC funding was also used to install 'retro' lighting.

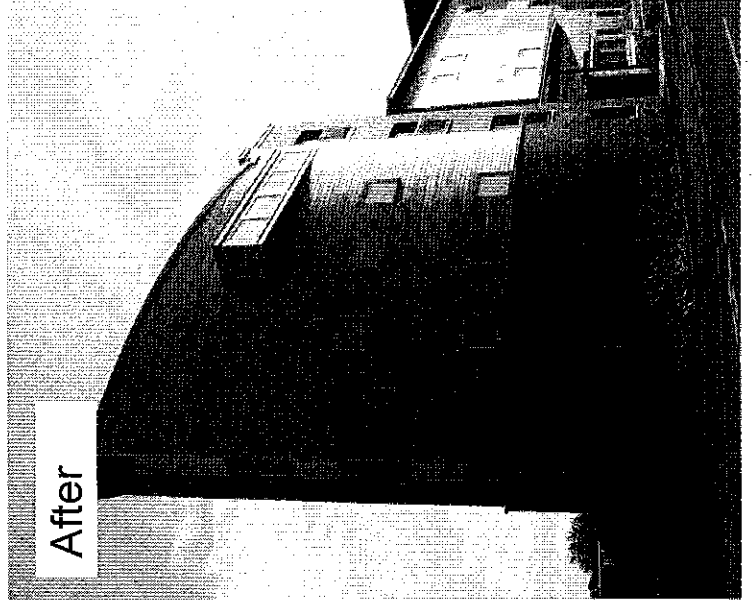
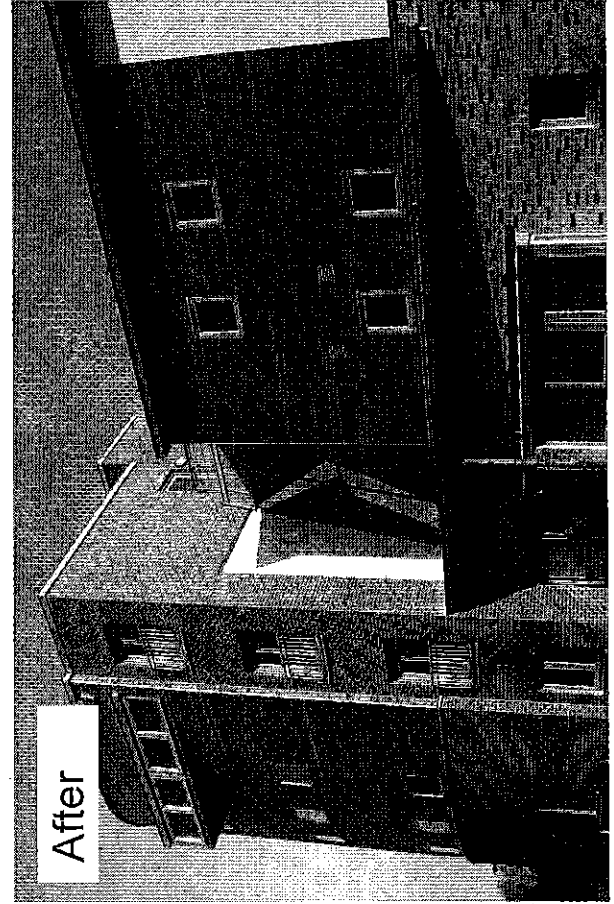




# Stepping Stones



Stepping Stones was already a popular facility with residents before the NDC came to the area. However, its former building was condemned (above left) and moved to portacabins (above right) which were unfit for purpose.



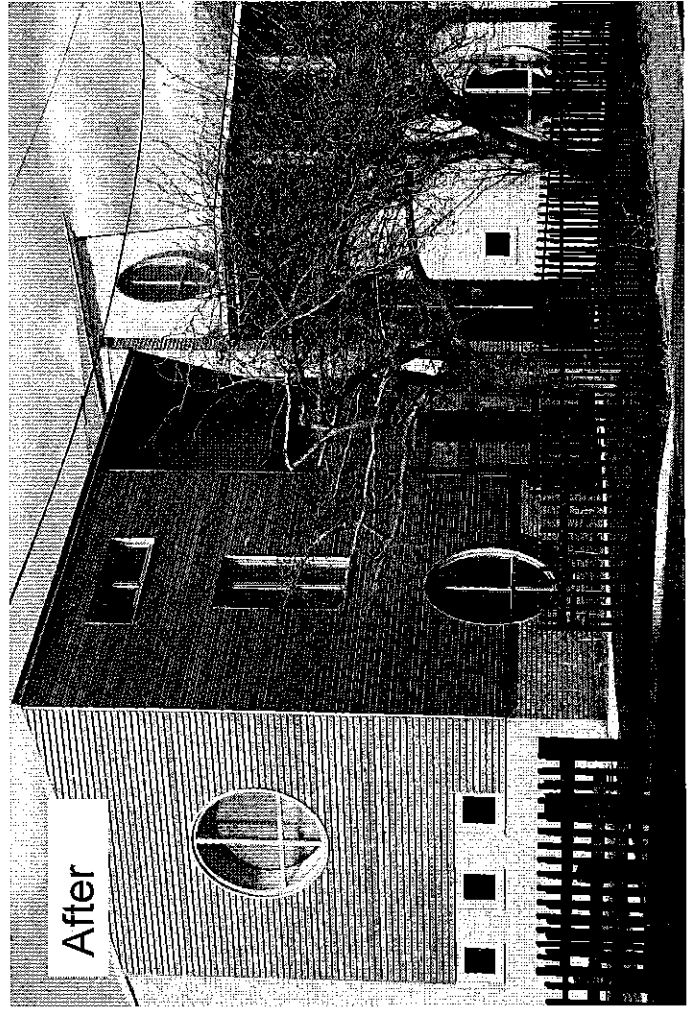
# Revive



Before

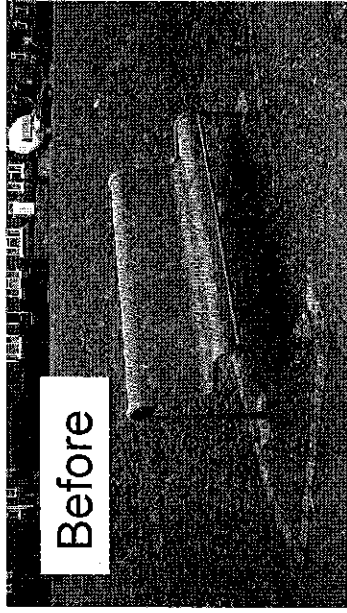
Revive, which was commissioned by Derby PCT, has brought a modern new building to the area, with services that include a library, clinic and NHS dentist as well as space for community/commercial use.

It is also a base for GO-LD and Derby Advocacy Service which are giving people with learning disabilities the opportunity to learn, socialise and network with associated service providers.

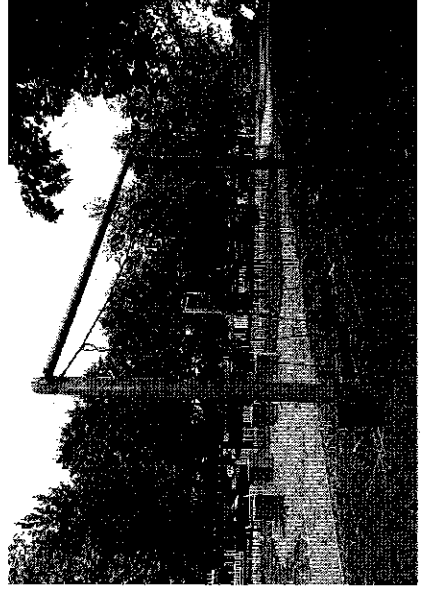
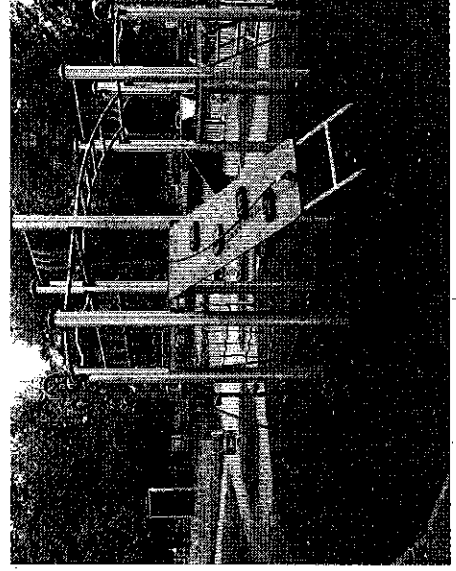
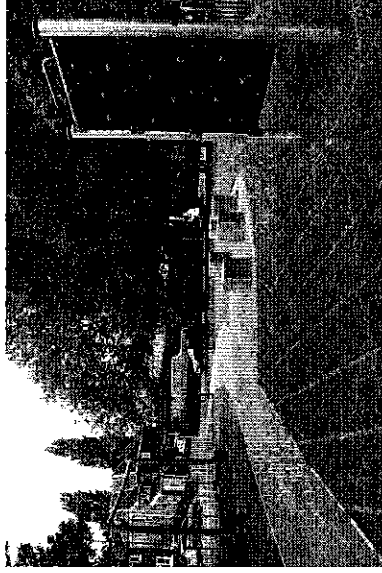
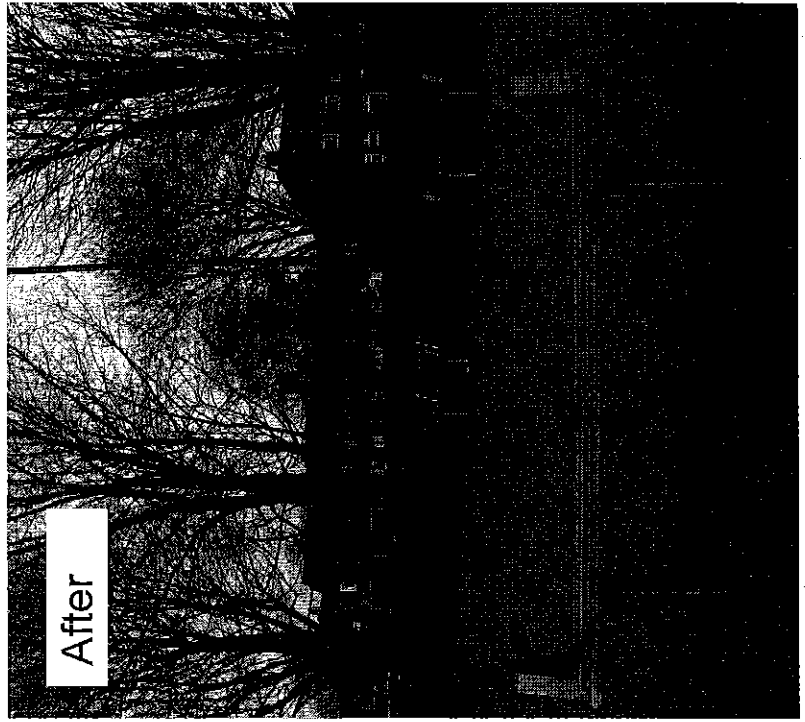


After

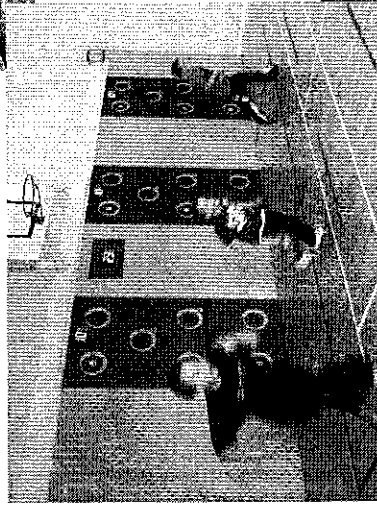
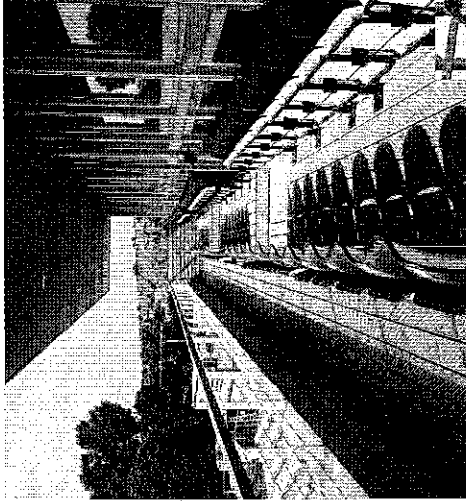
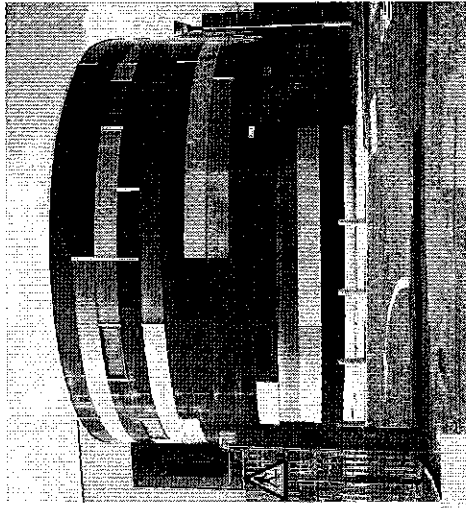
# Sussex Circus



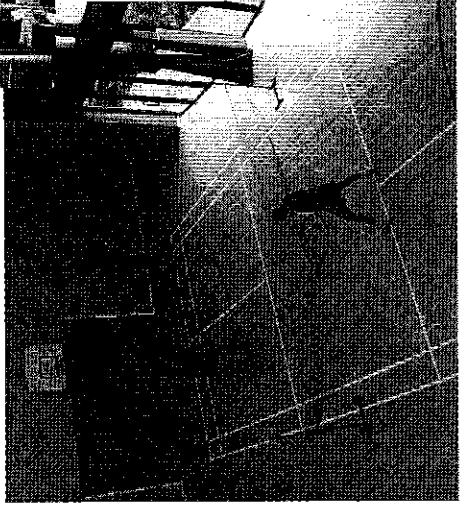
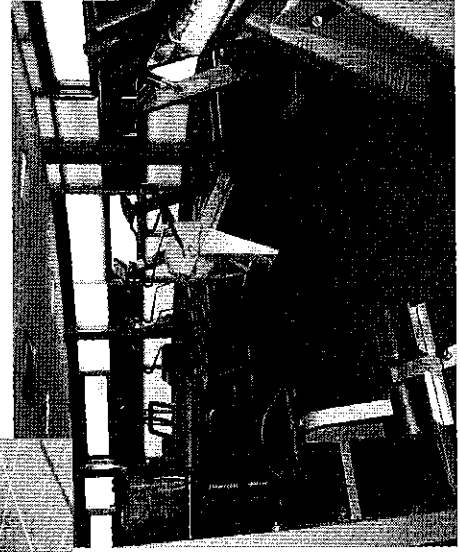
CCTV cameras and traffic calming have helped make Sussex Circus a safer area, whilst re-landscaping and more facilities have made the area more user-friendly for all age groups and more stimulating for children.



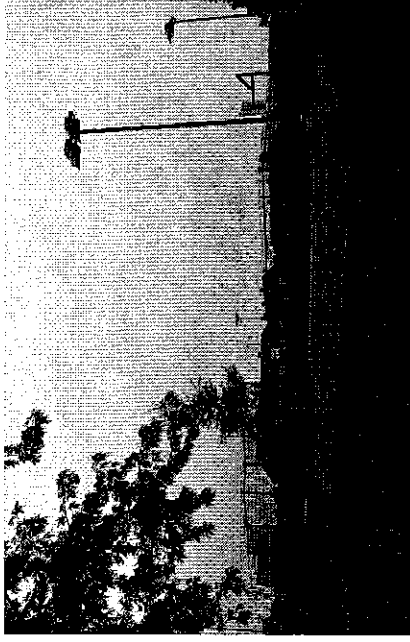
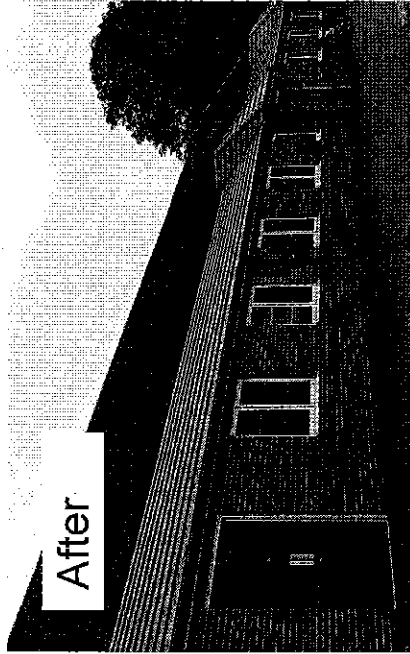
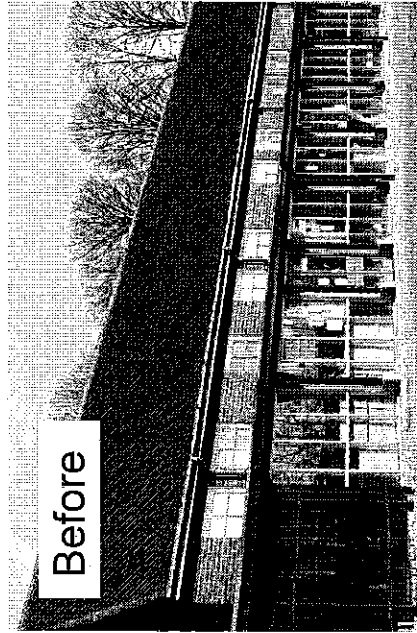
# Gateway Centre



The Gateway Centre, built in partnership with Derbyshire County Cricket Club, has a fully equipped gym and a multi-purpose hall for sports, social and community uses. The building also houses a cricket academy and rooms for corporate and social purposes.

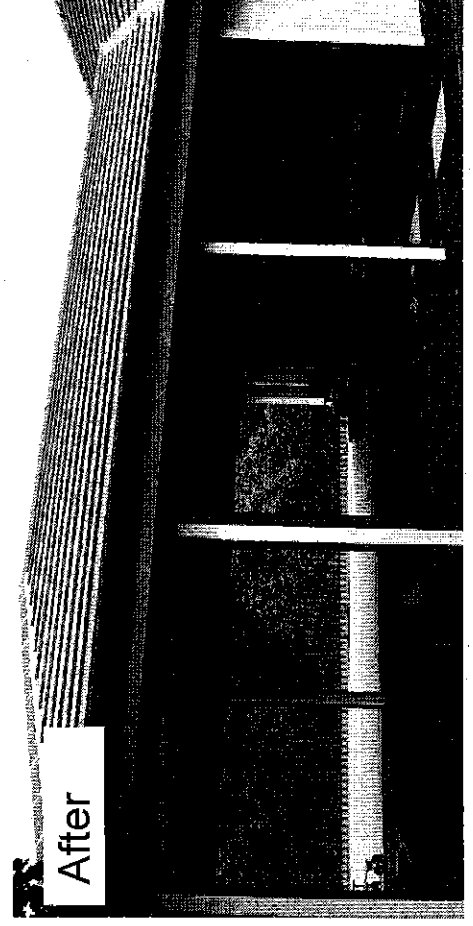
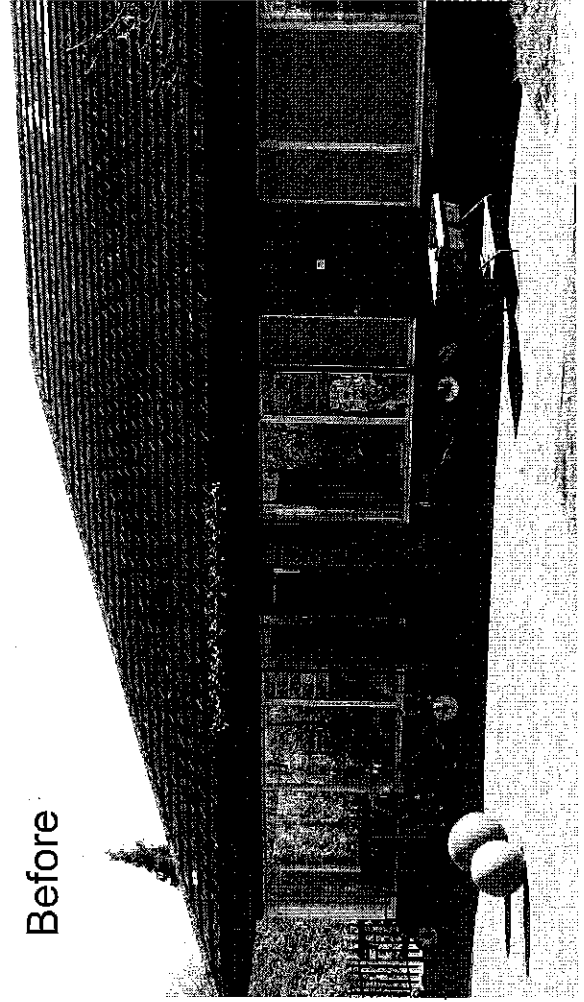


## Roe Farm Primary School



Thanks to NDC funding, children use a safer passageway at Roe Farm School and enjoy a range of sports at a multi-use games area that was part funded with an NDC grant.

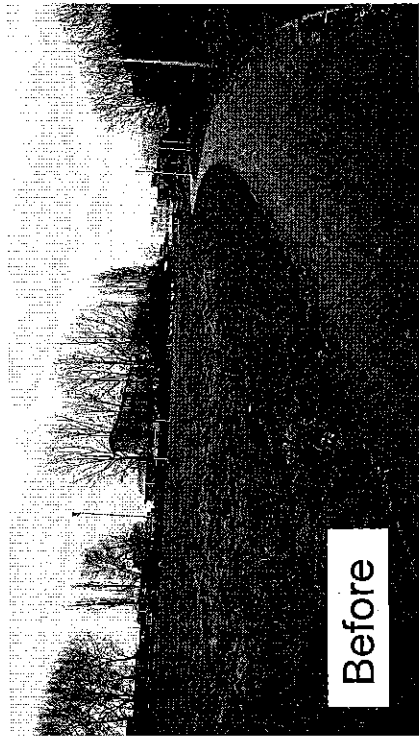
## Derwent Community School



At Derwent Community School, NDC funding has been used to build a covered play area that offers children more scope to have fun and be creative.



# Derventio

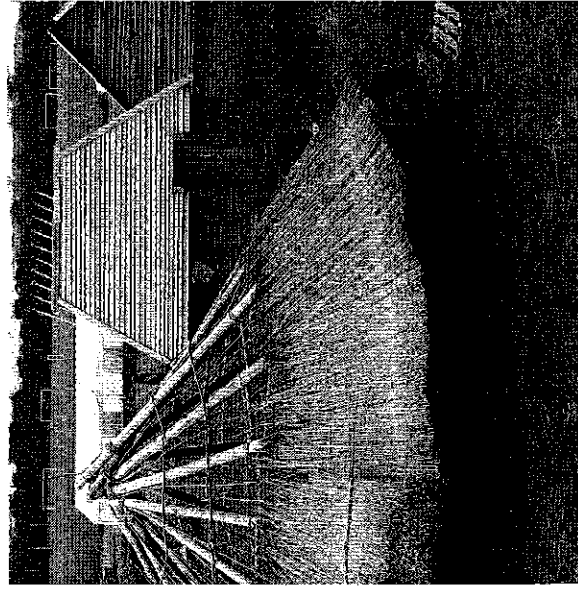


Before

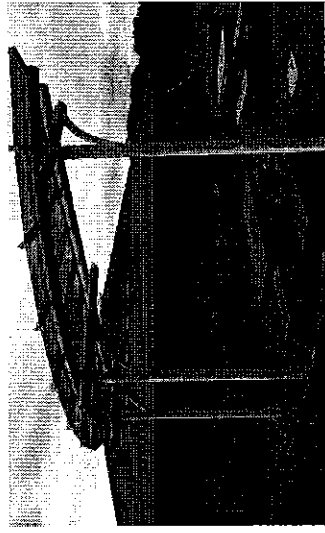
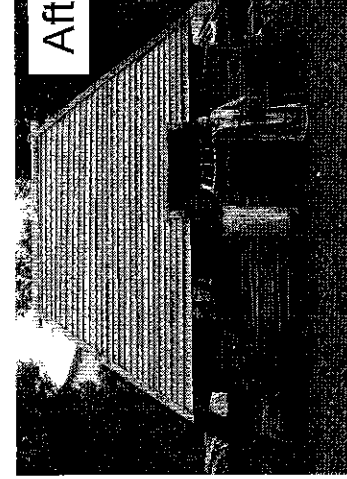
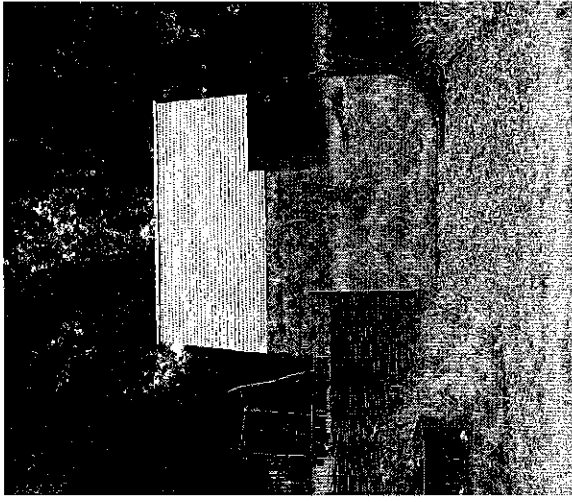
Derventio brought a visitor centre and authentic models of ancient dwellings to an area of the Racecourse that was under-used.

Although now closed as a museum, the site will be used as a centre for people with autism, which is due to open in October 2010 (see below).

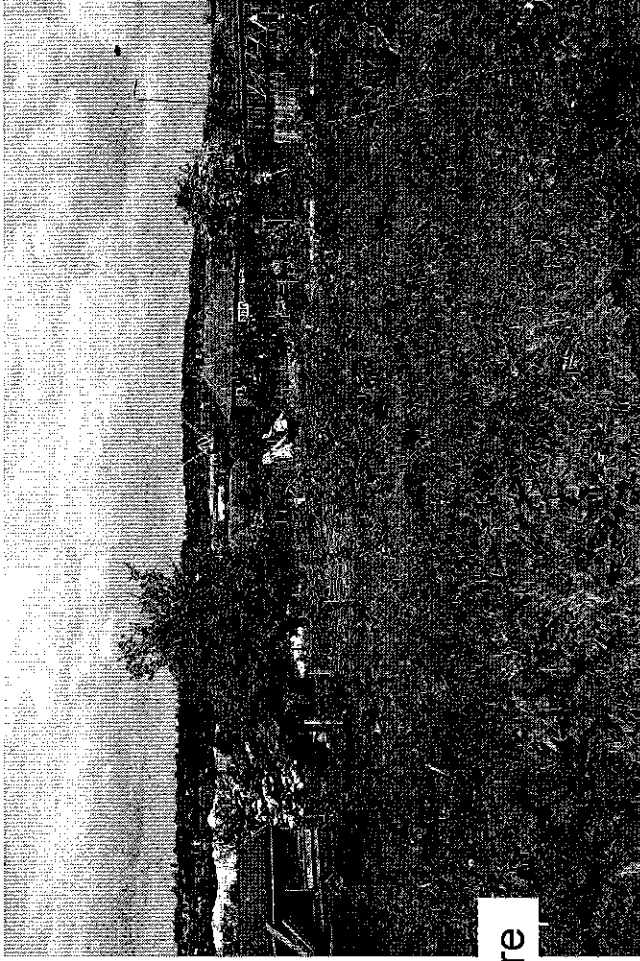
New centre for people with autism



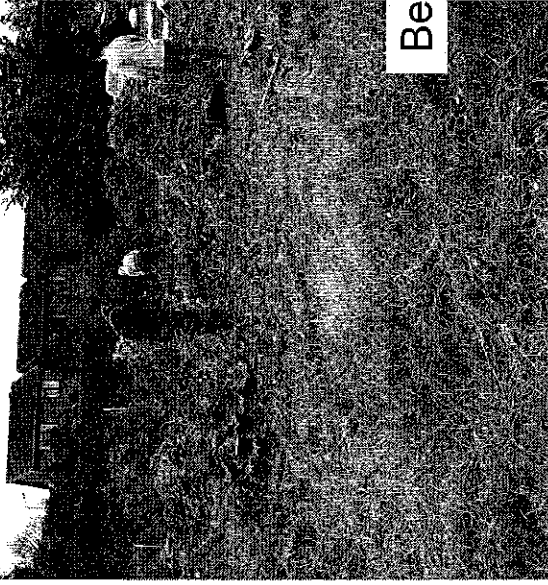
After



# Derwent Green Gym

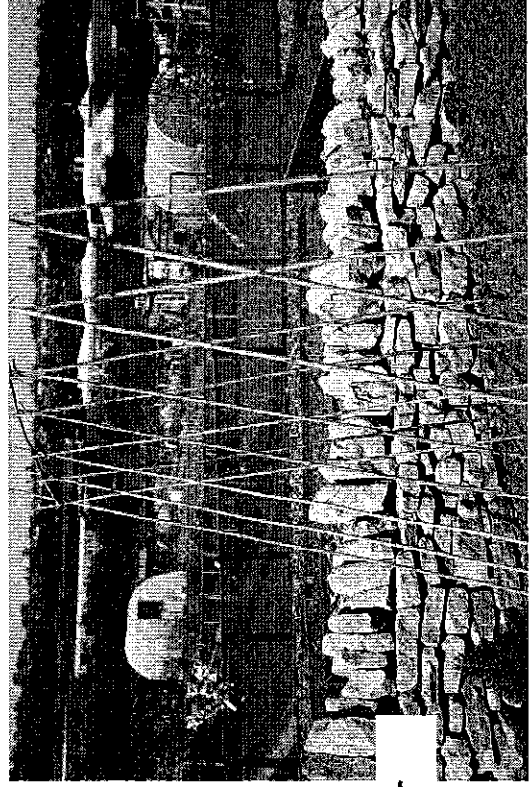


Before



Derwent Green Gym provides social and therapeutic opportunities for people of all ages and abilities.

The project has run successfully as a charity since 2007 when NDC funding came to an end.



After

It is a led by a resident who has received formal and informal training to help him make the transition from volunteer to project leader.





DERBY CITY COUNCIL

**AUDIT AND ACCOUNTS  
COMMITTEE  
26 JUNE 2008**

**S1**

Report of the Corporate Director  
of Resources

**Derwent Community Team – Project Appraisal Audit**

**RECOMMENDATION**

- 1.1 To note the findings of the project appraisal audit of Derwent Community Team's decision making processes and operational procedures undertaken by the Council as 'Accountable Body'.
- 1.2 To approve the joint response and action plan in place to address areas for improvement.

**SUPPORTING INFORMATION**

**Background**

- 2.1 Since late 2006 there have been a number of high profile Derwent Community Team project failures identified within the £42m ten year programme, including the Derventio Ancient Viking Village project.
- 2.2 Derby City Council is the 'accountable body' for the Derwent Community Team's – DCT - actions and the DCT Board's decisions. This means the Council has overall financial responsibility to ensure there are sound control and governance arrangements in place in the DCT for project approval and financial decision making, and that there are robust project appraisal processes in place before decisions are taken following the receipt of any project business cases.
- 2.3 Should it be the case that any decision of the DCT Board is found to have been made without the due processes in place as outlined in paragraph 2.2, the Council, as accountable body for the DCT, could be held responsible to repay the relevant amount of Government Grant for any failed project under 'clawback' arrangements that are in place with Government Office for the East Midlands – GOEM.

**Audit Findings**

- 3.1 The report commissioned by the Council's Corporate Director of Resources and produced by the Council's Internal Audit Team is shown at Appendix 2.



- 3.2 The audit determined that the overall level of control in place at the time of the decision to approve the Derwent Ancient Viking Village project in January 2003 was 'unsound'. This means that the risks identified were major and fundamental improvements were required.
- 3.3 However many of the weaknesses at the time of the Derwent project approval were superseded in 2006 when a Project Toolkit was introduced within the DCT, which provided a very rigorous process for assessing and appraising projects so that only viable projects with sound business cases are approved. The proper use of a NDC recommended appraisal tool will improve the overall level of control to satisfactory. This means that residual risks identified within the audit are minimal but changes are required. It was beyond the scope of the audit to assess whether the use of Project Toolkit fully ensured that the NDC standards had been met.
- 3.4 The audit report at Appendix 2 contains 14 recommendations all of which have been accepted by management. Three of the recommendations are considered to be 'significant' and the other 11 are considered to 'merit attention' – it was reassuring for the DCT and the Council, that none of the recommendations were considered to be 'fundamental'. An action plan has been put in place by management and many of the recommendations included in the report have now been implemented (appendix 3).

<b>For more information contact:</b>	Richard Boneham, Head of Audit and Risk Management, 01332 255688 richard.boneham@derby.gov.uk
<b>Background papers:</b>	None
<b>List of appendices:</b>	Appendix 1 – Implications Appendix 2 – Final Audit Report - Derwent NDC: Project Appraisal Appendix 3 - Joint Response and Action Plan

<b>IMPLICATIONS</b>
---------------------

**Financial**

1. None directly arising.

**Legal**

2. None directly arising.

**Personnel**

3. None directly arising.

**Equalities impact**

4. None directly arising.

**Corporate objectives and priorities for change**

5. None directly arising.

## Appendix 2



DERBY CITY COUNCIL

S2

# Audit & Risk Management

FINAL AUDIT REPORT  
DERWENT NDC: PROJECT APPRAISAL  
March 2008



## Contact Details:

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# Final Audit Report Department NDC Project Appraisal

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# Final Audit Report Derwent NDC Project Appraisal

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# Final Audit Report Derwent NDC: Project Appraisal

## 1 Executive Summary

### 1.1 Audit Background

- 1.1.1 There have been a number of high profile Derwent Community Team (DCT) project failures and concerns have been raised regarding the administration and management of New Deal for Communities (NDC) funds.
- 1.1.2 In particular, the effectiveness of the project appraisal process has been questioned and whether the appraisal process contributed to the failure of these projects.
- 1.1.3 The appraisal process that was applied to the Derwentio Ancient Village Project has been assessed to establish if any control weaknesses existed.

### 1.2 Summary of Audit Findings

- 1.2.1 The following issues are considered to be the key control weaknesses at the time when the Derwentio project was considered, that still reside within the project appraisal system:
  - There was no detailed discussion of community involvement.
  - The appraisal panel were unable to produce a robust and objective appraisal that was appropriate to the level of funding and degree of risk involved. The make up of the panel appears to conflict with that approved by the Shadow Management Board (SMB) in August 2001.
  - The Accountable Body failed to ensure that the DCT had adequate systems in operation to appraise and approve projects.

### 1.3 Summary of Recommendations and Control Improvements

- 1.3.1 This report focuses on the weaknesses in the Council's systems of control that were highlighted by this audit and recommends what Audit considers to be appropriate control improvements. This report contains 14 recommendations, 3 are considered significant, 11 merit attention, and none are considered to be fundamental.
- 1.3.2 All of the control issues within the report have been accepted and positive action has been agreed to be taken to address all issues. The Director of Derwent Community Team has already taken positive action in respect of 1 recommendation and has agreed to take action by May 2008 to address the other recommendations directed to her. This is to include a full governance review of procedures including the application and appraisal process, review of the monitoring framework, evaluation procedures and the DCT Board's constitution, and, the introduction of a risk register, asset register and disposal policy.
- 1.3.3 The Accountable Body have also agreed to take positive action in response to their recommendation and have agreed to confirm arrangements are in place to ensure full compliance with the Accountable Body agreement by mid April 2008.



# Final Audit Report Derwent NDC: Project Appraisal

## 1.4 Summary of Control Environment Assessment

- 1.4.1 From our findings, relative to the scope of this audit, we have determined that the overall level of control was **unsound** at the time of the Derwentio Project appraisal. That means that the risks identified within this audit at that time were major and fundamental improvements would have been required.
- 1.4.2 However, many of the weaknesses stem from the use of an appraisal process that has been superseded by the introduction and implementation of the Project Toolkit in 2006. The Toolkit provides a rigorous process through which projects can be assessed comprehensively which should ensure, if used properly, that only viable projects proceed. The proper use of this tool or other NDC recommended appraisal tools will improve the overall level of control to **satisfactory**. That means that the residual risks identified within this audit are minimal but changes are required.
- 1.4.3 Management and the Audit and Accounts Committee should note that there are no adverse implications for the Annual Governance Statement arising from this work.



## 2 Introduction

### 2.1 Reason for Audit

- 2.1.1 Derwent Community Team (DCT) manages the £42 million New Deal for Communities (NDC) government grant which was awarded to the Derwent ward of Derby in April 2002. The partnership involves residents, agencies, voluntary and community sectors, business organisations and staff.
- 2.1.2 Don McLure, Corporate Director – Resources, requested that Internal Audit review the project appraisal process for NDC funded projects delivered through DCT.
- 2.1.3 There have been a number of high profile DCT project failures and the new Director of Derwent Community Team, Jo Andrew, had raised concerns with Don McLure regarding the administration and management of funds. In particular, Jo Andrew was concerned that the project appraisal process was ineffective at the time the projects were approved and this, in conjunction with other control weaknesses, had led to the failure of projects.
- 2.1.4 Projects are funded by DCT to deliver specific outputs as identified in the Delivery Plan. Approval for funding is initially considered by one of five theme groups, then an appraisal panel and finally approved by the Management Board. All are made up of residents, agencies and other stakeholders.

### 2.2 Scope of Audit

- 2.2.1 In order to evaluate the effectiveness of the project appraisal process, it was necessary to examine the actual appraisal process applied to a failed project and compare this with best practice.
- 2.2.2 The Derventio Heritage Village was selected as an example of a project that had been approved in January 2003, year 2 of the NDC funding stream but was closed in 2007, shortly after opening.
- 2.2.3 This audit focused on establishing the appraisal process applied to the Derventio project, comparing this to Government guidance and best practice and establishing if any weaknesses identified have not yet been resolved by DCT.
- 2.2.4 As much of the information required for the audit relates to 2002, the audit was limited to the records that could be obtained for that period.
- 2.2.5 The following control objectives, taken from best practice guidance, were identified as the key principles that must be applied to the appraisal process:

#### **Separation of Duties**

- Project application, appraisal and approval functions should be kept separate and carried out by different people.
- Appraisals should be carried out on behalf of the Partnership by trained individuals who are objective and not involved in the delivery of the proposed project.



# Final Audit Report Derwent NDC Project Appraisal

## **Project Design**

- Projects are less likely to encounter difficulties if they have been well designed and developed in consultation with the Partnership.

## **Technical Knowledge**

- Project appraisers need a good understanding of the key issues of project appraisal and may wish to obtain technical support from consultants.

## **Documentary Evidence**

- Appraisal and approval processes should be well documented to ensure that decisions are clear.

## **Information**

- There must be sufficient, accurate information on a project and alternative options before undertaking a project appraisal to avoid badly informed decisions.

2.2.6 A summary of Key Events relating to the Derwentio Project is shown at Appendix B.

## **2.3 Control Environment Rating**

2.3.1 To help management schedule their efforts to implement our recommendations or their alternative solutions, we have risk assessed each control weakness identified in this report. For each recommendation a judgment was made on the likelihood of the risk occurring and the potential impact if the risk was to occur. From that risk assessment each recommendation has been given one of the following ratings:

- Fundamental.
- Significant.
- Merits Attention.

2.3.2 These ratings provide managers with an indication of the importance of recommendations as perceived by Audit; they do not form part of the risk management process; nor do they reflect the timeframe within which these recommendations can be addressed. These matters are still for management to determine.

2.3.3 All fundamental weakness contained in the final versions of audit reports are to be reported to Audit and Accounts Committee together with the management responses as part of Audit and Risk Management's reports to Committee on progress made against the Audit Plan.

2.3.4 All audit reviews will contain an overall opinion based on the adequacy of the level of internal control in existence at the time of the audit. This will be graded as either:

- Good.
- Satisfactory.

# Final Audit Report Derwent NDC Project Appraisal

- Marginal.
- Unsatisfactory.
- Unsound.

2.3.5 This will be determined by the number of control weaknesses identified in relation to those examined, weighted by the significance of the risks. Any audits that receive an unsatisfactory or unsound assessment will be highlighted to the Audit and Accounts Committee in Audit's progress reports.

## 2.4 Distribution & Communication

2.4.1 The draft report has been issued to Jo Andrew, Director of Derwent Community Team. Her comments, in full, concerning each recommendation along with action details, the responsible officer and proposed implementation dates are recounted in the matrix included in Appendix A. We have summarised her responses in the main body after each recommendation.

2.4.2 Our recommendations serve only to provide management with, what Audit considers to be, the most feasible solutions to the control weaknesses identified. The limitations of our scope for each assignment, does not always allow us to identify all the plans and developments that may affect management's decisions on the most appropriate short- or long-term solutions to address the control weaknesses identified. Accordingly, provided that they adequately address the control weaknesses identified, we are happy to accept management's alternative solutions if our recommended actions are not considered the most appropriate remedy. For this purpose we have provided a column in our response matrix to record any such alternative solutions.

2.4.3 This report has been issued to Don McLure, Corporate Director – Resources. Copies of the final report will also be issued to:

- Ray Cowlshaw, Chief Executive.
- Jo Andrew, Director of Derwent Community Team.

2.4.4 This report was produced by [REDACTED] and [REDACTED]. Any enquiry concerning the content of this report or associated issues may be made to [REDACTED].

## 2.5 Acknowledgments

2.5.1 We would like to take this opportunity to thank those officers who provided us with information and data throughout the duration of this audit and those that have resolved to take action to improve the control weaknesses highlighted by the audit process.

2.5.2 Specifically, we would like to thank:

- Jo Andrew, Director of Derwent Community Team.



# Final Audit Report Derwent NDC: Project Acoraisa

## 3 Control Weaknesses and Recommendations

### 3.1 Project Appraisal Process

3.1.1 In April 2002, NDC issued 'Project Appraisal and Approval Guidance' which was an updated version of guidance issued in 2000. It states that *'the Partnership's Delivery Plan sets out the desired outcomes and vision for the area'* and *'project appraisal is a tool to help partnerships choose the best projects to achieve these outcomes at the best price'*.

All projects should be appraised, but the detail in the appraisal should be proportionate to the level of funding and degree of risk. The guidance sets out in detail the requirements of an appraisal, identifying the information which must be considered. A template is provided in addition the narrative.

This guidance was used by Audit as the measure of best practice against which the Derwentio project was compared.

The NDC Project Appraisal and Approval Guidance and related documents were not used by DCT at the time of Derwentio's appraisal. Although it is acceptable to use other documents designed to fulfil the same purpose, those used by the DCT at this stage were inadequate. The documentation used was that agreed by Shadow Management Board (SMB) in March 2002, but the level of information supplied meant that the NDC requirements were not fulfilled.

The documents examined for the Project Appraisal of Derwentio relied heavily on the Project Application and were not a stand alone summary of key considerations. There was no analysis to support the decisions made and the assumptions relied on from the Project Application were naïve.

In September 2002, the City Council and DCT signed the Accountable Body Agreement which set out the responsibilities and of the Council and DCT. The Agreement covered a number of areas including project approval and appraisal. The agreement states that DCT will be responsible for project appraisal and approval in accordance with NDC guidance. The appraisal did not meet these expected standards.

The Project Appraisal was inadequate when considering the level of funding and the degree of risk associated with the Project. When the Derwentio Appraisal documents were compared to best practice a number of significant weaknesses were evident.

The project appraisal process was revised in 2006 when the Director of DCT designed and implemented *'The Project Toolkit'*. The toolkit is a series of development tools to be used by projects during the development phase of their project.

The toolkit outlines the key principles of a successful development and guides the Development Group through the necessary stages using a series of templates that make up the overall project application. The templates cover key aspects of project appraisal such as funding, risk assessments, sustainability,

# Final Audit Report Derwent NDC Project Appraisal

project management skills and project evaluation. It is understood that the toolkit fulfils the requirements of the NDC Project Appraisal and Approval Guidance, if completed to an appropriate standard. Internal Audit has not fully assessed this or other project appraisal toolkits or techniques to determine if they provide an appropriate appraisal mechanism.

Without the use of an appraisal process that fulfils the NDC requirements there is a risk that projects are not appraised appropriately and do not ultimately contribute to the outcomes in the Delivery Plan. There is also the risk that poor appraisal leads to unsustainable projects which damage the reputation of the Accountable Body, DCT and Government Office.

## **Recommendation 1**

### **Merits Attention**

The project appraisal process used to appraise the Derwentio project did not meet the standard set out in the NDC Project Appraisal and Approval Guidance. DCT's project appraisal process has since been revised in 2006 with the introduction of *'The Project Toolkit'*.

We recommend that the Director of Derwent Community Team ensures that all future project appraisals comply with the minimum standards set out in the NDC guidance and best practice, and that adherence to the standards is monitored.

### **Response from Jo Andrew, Director of Derwent Community Team**

Issue Accepted

The project application and appraisal process will be reviewed in line with other NDC's and national best practice.

## **3.2 Project Information**

3.2.1 NDC Project Appraisal and Approval Guidance and related documents emphasise that there should be a summary of key facts about the preferred option and contact details. Ideally this should be displayed as a one page front cover for ease of use.

From examining the Derwentio project appraisal documents, we found that many of the key facts were not displayed in a summary cover sheet. The design of the appraisal document did not allow for basic details such as responsible officers, length of project, a brief description of project, total NDC cost and project cost to be identified.

It was expected that the appraisal documentation should present an independent appraisal of the application to help the partnership chose the best project. However, the design of the appraisal documentation did not allow all the elements required in the NDC guidance to be fully completed. The resulting analysis was weak and the robustness of the project had not been challenged. The appraisal was merely a comment on the application form.

# Final Audit Report Derwent NDC: Project Appraisal

Without full analysis of all the required elements, an objective and robust appraisal can not be undertaken and the projects that best deliver the outcomes in the Development Plan may not be selected.

In the Project Toolkit, the first page requires a project brief, which is summary of what the project will actually do, and contact details for the project. It also requires details of the project's organisation and how the development group will work together. The Project Toolkit has not been appraised in light of best practice but appears to offer a significant improvement to the previous process.

## Recommendation 2

### Merits Attention

The level of detail completed in the project appraisal documentation for the Derwentio Project was insufficient and the form did not allow for a summary of key facts. The appraisal offered no in-depth analysis and was merely a comment on the Project Application form. From 2006, *'The Project Toolkit'* requires the production of a project brief.

We recommend that the Director of Derwent Community Team ensures that all future project appraisals comply with the minimum standards set out in the NDC guidance and best practice in relation to the provision of information, and that adherence to the standards is monitored.

### Response from Jo Andrew, Director of Derwent Community Team

Issue Accepted

The project application and appraisal process will be reviewed in line with other NDC's and national best practice.

The review will include the provision of information to support the appraisal function.

## 3.3 Project Purpose and Benefits

3.3.1 NDC best practice guidelines emphasise that there should be a description of why there is a need for the project, what problems it aims to tackle and how it contributes to meeting the outcomes set out in the Delivery Plan. If a project does not contribute to meeting Delivery Plan outcomes it should not receive NDC funding.

From examining the Derwentio project appraisal documents, we found that the project appraisal did not quantify any outcomes. Despite the form having boxes to state numbers for each strategic goal and related output, these have not been completed. Each strategic goal was ticked but no detail provided. The section did not refer to the project application or Delivery Plan. The project application did contain some information on outputs, but this was not substantiated. A comment on the appraisal form did question whether the output for school visits was realistic, but no supporting evidence appears to have been obtained. The need for the project and the long term impact on the NDC neighbourhood was not documented in the project appraisal.

# Final Audit Report Derwent NDC: Project Appraisal

It was expected that the appraisal documentation would demonstrate what problems were being tackled and how the project would contribute to meeting the outputs set out in the Delivery Plan. Without a full assessment of the outcomes and outputs it is impossible to demonstrate the projects contribution, and there is a risk that the SMB may approve projects that do not contribute to the Delivery Plan.

Section two of the Project Toolkit discusses needs, outcomes and solutions, identifying what problems the project is trying to address and how the project will help to achieve this. It also requires other options to be considered and confirmation of why the preferred option was chosen. The Project Toolkit has not been appraised in light of best practice but appears to offer a significant improvement to the previous process.

## Recommendation 3

### Merits Attention

At the time when the Derwentio project was considered, the project appraisal did not quantify any outputs or demonstrate how it contributed to the Delivery Plan. From 2006, *'The Project Toolkit'* discusses needs, outcomes and solutions.

We recommend that the Director of Derwent Community Team ensures that all future project appraisals comply with the minimum standards set out in the NDC guidance and best practice in relation to project purpose and benefits, and that adherence to the standards is monitored.

### Response from Jo Andrew, Director of Derwent Community Team

#### Issue Accepted

The project application and appraisal process will be reviewed in line with other NDC's and national best practice.

The review will include the assessment of a project's contribution to the Delivery Plan.

## 3.4 Community Involvement

- 3.4.1 NDC best practice guidelines emphasise that the community involvement section aims to demonstrate the relevance of this project to local people and ensure that all local groups are involved in the project.

From examining the Derwentio Project Appraisal documents, we found that the Project Appraisal mentions only that there was a *'clear target group'* and that it *'appears to have an equal opportunities policy'*. There was no discussion of the brief information contained in the application form. A copy of the equal opportunities policy was not provided.

Without being able to demonstrate that the project involves local people and takes account of the needs of people from minority groups, there is a risk that stakeholders may not be adequately represented or particular groups have been





# Final Audit Report Derwent NDC: Project Appraisal

excluded which could result in projects that are not relevant to all local groups and adverse criticism for the project.

## **Recommendation 4**

### **Significant**

At the time when the Derventio project was considered, there was no detailed discussion of community involvement.

We recommend that the Director of Derwent Community Team ensures that all future project appraisals comply with the minimum standards set out in the NDC guidance and best practice in relation to community involvement, and that adherence to the standards is monitored.

### **Response from Jo Andrew, Director of Derwent Community Team**

#### Issue Accepted

The project appraisal process will be revisited and form part of an overall review of governance arrangements for the NDC programme.

Future projects will be required to demonstrate their relevance to local people and ensure that local groups are involved in the project.

## **3.5 Project Linkages**

3.5.1 NDC best practice guidelines emphasise that the appraisal should identify how the project links into others which are operating locally to avoid duplication and to add value.

From examining the Derventio project appraisal documents, we found that there was no mention of Derventio linking with other projects in the area or involving any other partners. Some reference was made to working with other steering groups in the project application but regional and local strategies were not discussed.

Without being able to identify how the project links in with others which are operating locally there is a risk that the Partnership may have some elements of duplication or the project may conflict with other regional or local strategies.

Section five of the Project Toolkit reviews the projects integration with other activities. The relationships with other organisations are reviewed in an attempt to identify activity which may be duplicated. The Project Toolkit has not been appraised in light of best practice but appears to offer a significant improvement to the previous process.

## **Recommendation 5**

### **Merits Attention**

At the time when the Derventio project was considered, there was no discussion of links with other partners operating locally or regional or local strategies. From

# Final Audit Report

## Derwent NDC Project Appraisal

2006, 'The Project Toolkit' includes a review of the projects integration with other activities.

We recommend that the Director of Derwent Community Team ensures that all future project appraisals comply with the minimum standards set out in the NDC guidance and best practice in relation to the linkages, and that adherence to the standards is monitored.

### Response from Jo Andrew, Director of Derwent Community Team

#### Issue Accepted

The application process will be revised to ensure that applicants demonstrate how their activities/projects integrate with other services in the community and how projects complement mainstream provision.

## 3.6 Project Cost / Value for Money

3.6.1 NDC best practice guidelines emphasise that the total cost of the project and sources of funding should be identified. Value for money should be considered as should potential increases in costs. The appraisal must demonstrate whether NDC funding is needed and the total cost to the NDC.

From examining the Derwentio project appraisal documents, we found that the project appraisal offered no analysis of funding, costs, cash flow or comparison with other similar projects. It merely raised questions regarding the Project Manager's salary and whether staffing costs and work wear costs were realistic. Although it did not refer to the project application it was discussing the costs itemised in this document. However, even in the project application there was little substantiation or justification for these costs.

The analysis of funding in the appraisal document consisted of '*it is hoped once the project is established that they will be able to attract funding*'. This, at best, was ill considered and naïve on a project seeking funding of approx £¾m. The funding package detailed in the project application is difficult to follow as it is crossed through in a number of places and the status of the applications for other funding, were not detailed.

There was insufficient consideration and analysis given to the income assumptions set out in the project application. The income projections were based on estimated attendance figures. Sensitivity analysis had not been used to vary the basic assumption on which the viability of the project depended. Therefore the robustness of the success of the project had not been challenged.

It is the Partnerships responsibility to ensure that required information is received prior to or as part of the appraisal. A Business Plan was mentioned at the Shadow Management Board on 30 January 2003 that approved the project, but there is no evidence to suggest that it had formed part of the appraisal for the Derwentio project.

Although the Project Application form has not been scrutinised as part of this audit, it was noted that the independent referee sought on application was not

# Final Audit Report Derwent NDC Project Appraisal

provided. [REDACTED] had undertaken the financial verification for the Project Application, but there is no evidence to suggest that [REDACTED] had the appropriate skills to do this.

Without appropriate analysis of costs and income it is impossible to determine if the project is viable, needs NDC funding and represents good value for money assessment. Without adequate analysis there is a risk that projects will be approved that are not financially viable, do not need NDC funds or do not offer value for money.

Section eight of the Project Toolkit identifies how the funding requirements should be identified, drawn together and profiled. There are several exercises to complete to ensure all the funding requirements are identified. The Project Toolkit has not been appraised in light of best practice but appears to offer a significant improvement to the previous process.

## Recommendation 6

### Merits Attention

At the time when the Derwentio project was considered, there was no robust analysis of funding, costs, cash flows or comparison with similar projects. From 2006, *The Project Toolkit* identifies how the funding requirements should be identified, drawn together and profiled.

We recommend that the Director of Derwent Community Team ensures that all future project appraisals comply with the minimum standards set out in the NDC guidance and best practice in relation to identification of cost and value for money, and that adherence to the standards is monitored.

### Response from Jo Andrew, Director of Derwent Community Team

#### Issue Accepted

The project appraisal process will be re-visited and form part of an overall review of governance arrangements for the NDC programme. This will include crucial issues such as financial analysis and VFM.

## 3.7 Project Risk

- 3.7.1 NDC best practice guidelines emphasise that the project appraisal should identify the issues that would stop the project achieving its objectives and how those risks could be mitigated. The risk should be assessed in terms of risk to project delivery and risk of loss of benefit to the area.

From examining the Derwentio project appraisal documentation, we found that the appraisal didn't analyse any of the risks it merely commented that 'the risks appear realistic, but should have thought about funding not coming through.' The risks identified in the project application were very brief and do not cover fundamental issues in any detail such as loss of funding, incorrect income assumptions, inability to become sustainable at the end of the funding period, delays to the project, poor project management etc.

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Without a comprehensive review of risks and identification of the ways to overcome them, the Partnership has not considered any events or circumstances which could jeopardise or reduce the effectiveness of the project. This could result in significant financial or reputational loss to all members of the Partnership.

The Project Toolkit gives guidance on how to undertake a risk assessment on each project. It also requires the project team to consider how they will evaluate and manage the risks that they have identified. The Project Toolkit has not been appraised in light of best practice but appears to offer a significant improvement to the previous process.

## **Recommendation 7**

### **Merits Attention**

At the time when the Derwentio project was considered, an appropriate risk assessment was not produced. From 2006, *'The Project Toolkit'* provides guidance on how to undertake a risk assessment on each project.

We recommend that the Director of Derwent Community Team ensures that all future project appraisals comply with the minimum standards set out in the NDC guidance and best practice in relation to risk, and that adherence to the standards is monitored.

### **Response from Jo Andrew, Director of Derwent Community Team**

#### **Issue Accepted**

A risk assessment register will be introduced as part of the governance arrangements for approval and management of projects that are approved for NDC funding.

Individual risks will also be comprehensively assessed for each project as part of the approval process.

## **3.8 Milestones, Monitoring and Management**

- 3.8.1 NDC best practice guidelines emphasise that the appraisal document should ensure that appropriate management arrangements are in place for the project. Key milestones and monitoring arrangements should be analysed to ensure that they are robust and accurately reflect the progress made by the project in order to contribute to a successful delivery.

From examining the Derwentio project appraisal, we found that the project appraisal included only brief comments such as *'milestones appear realistic'*. There was some detail in the project application but this was superficial, with no depth or analysis to support the comments made. The Project Management described in the project application lists all the funding secured by the management organisation. However, the highest value of funding secured was only £10,000 and is not comparable with the funding requested.

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Monitoring the projects progress allows management to take corrective action if the project goes off course. Without adequate monitoring arrangements decisions will not be taken to identify and correct progress. This could lead to the project suffering financial loss or not achieving the stated outcomes.

The Project Toolkit suggests managing the project by using a project development process that outlines the key considerations and tasks necessary to deliver a successful project. It also identifies the standard outcomes that DCT monitor. These are outputs specified by Government Office as they contribute towards the long term objectives of the NDC programme. The Project Toolkit has not been appraised in light of best practice but appears to offer a significant improvement to the previous process.

## **Recommendation 8**

### **Merits Attention**

At the time when the Derwentio project was considered, there was no evidence that monitoring arrangements had been analysed to ensure that they were robust and would accurately reflect the progress made by the project. The management arrangements were not evaluated in any detail. From 2006, *'The Project Toolkit'* outlines the key considerations and tasks necessary to deliver a successful project.

We recommend that the Director of Derwent Community Team ensures that all future project appraisals comply with the minimum standards set out in the NDC guidance and best practice in relation to the monitoring and management of projects, and that adherence to the standards is monitored.

### **Response from Jo Andrew, Director of Derwent Community Team**

#### **Issue Accepted**

A full review of the governance framework will be completed to ensure compliance with the NDC grant conditions. This will include a review of the monitoring framework linked to the delivery of outcomes.

## **3.9 Project Evaluation**

3.9.1 NDC best practice guidelines emphasise that the Project Appraisal should consider how the success or otherwise of the project is linked into the wider evaluation of the NDC programme in the area.

From examining the Derwentio Project Appraisal, we found that there was not a specific requirement in DCT appraisal documents to disclose how the project will be evaluated.

Without project evaluation there is no measure of how successful aspects of the projects were or of passing on the lessons learned to future decision makers. Without project evaluation future decisions could be uninformed and the effectiveness of the Partnership reduced.

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DCT has developed a comprehensive project evaluation process which is specifically for use on projects funded by NDC. Three different options are available to evaluate each project. These options have not been assessed in light of best practice but appear to offer a significant improvement to the previous process.

## **Recommendation 9**

### **Merits Attention**

At the time when the Derwentio project was considered, there was not a specific requirement in DCT appraisal documents to disclose how the project was to be evaluated. From 2006, *'The Project Toolkit'* describes a comprehensive project evaluation process.

We recommend that the Director of Derwent Community Team ensures that all future project appraisals comply with the minimum standards set out in the NDC guidance and best practice in relation to evaluation, and that adherence to the standards is monitored.

### **Response from Jo Andrew, Director of Derwent Community Team**

#### Issue Accepted

This will form part of the governance review process. A new evaluation process will be introduced that satisfies the NDC conditions of grant.

## **3.10 Project Sustainability**

3.10.1 NDC best practice guidelines emphasise that one of the objectives of the NDC funding stream is to ensure that when the funding is withdrawn the benefits of the project can continue. The project appraisal process should establish what will happen when the project finishes and whether mainstream service providers will build the project into their service.

From examining the Derwentio project appraisal, we found that the future was not considered in the appraisal document. The only comment under the forward strategy box was *'self sustainable, eventually'*. The project application stated that the project will be sustainable through income and sales once the funding has been withdrawn but these figures were not substantiated. There was insufficient consideration and analysis given to the income assumptions. The income projections were based on estimated attendance figures but these had not been subjected to sensitivity analysis yet were the linchpin on which the viability of the project depended. Therefore the robustness of the success of the project had not been challenged.

Where the sustainability of a project has not been fully considered there is a risk that the project will fail during the funding period or after the funding has come to an end. This could result in significant financial and reputational loss to the Partnership.

# Final Audit Report Derwent NDC: Project Appraisal

The Project Toolkit describes sustainability as *'one of the biggest tests you will face as a Development Group and is the single biggest concern of DCT .... The DCT Board is adamant that without sustainability, projects will not receive funding.'* It then goes on to describe the possible options for analysing the sustainability of a project. The coverage in the Project Toolkit is comprehensive and appears to be a significant improvement to the previous project, but it has not been appraised in light of best practice.

## **Recommendation 10**

### **Merits Attention**

At the time when the Derwentio project was considered, the future of the project was not considered and the sustainability assumptions were naïve. From 2006, *'The Project Toolkit'* describes possible options for analysing the sustainability of a project.

We recommend that the Director of Derwent Community Team ensures that all future project appraisals comply with the minimum standards set out in the NDC guidance and best practice in relation to sustainability, and that adherence to the standards is monitored.

### **Response from Jo Andrew, Director of Derwent Community Team**

#### Issue Accepted

The project appraisal process will be re-visited and form part of an overall review of governance arrangements for the NDC programme. The review will consider sustainability and mainstreaming of projects.

## **3.11 Project Options and Selection**

3.11.1 NDC best practice guidelines emphasise that the appraisal should consider the other options that were considered and why they were rejected in favour of the preferred option. Other options should be rigorously assessed to ensure that the best option has been chosen. The main reason for selecting the preferred option should then be clearly set out. The recommendation to approve the project should be signed and dated by the appraisers and the reasons for the recommendation stated.

From examining the Derwentio project appraisal, we found that the Appraisal Panel stated that *'the project outlined appears to be the most appropriate for this project'*. It made no comparison with other options for the site. We found that there was no explanation on the Appraisal document as to why the preferred option has been selected and that there were no reasons stated for the recommendation to approve the project.

If the panel cannot demonstrate that the best option has been chosen then the objectivity, transparency and openness of the decision making process may be challenged.

As described in section three, the Project Toolkit requires that consideration of other options and the selection of the preferred option is documented.

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## Recommendation 11

### Merits Attention

At the time when the Derwentio project was considered, the main reasons for selecting the preferred option were not documented. No reasons were stated on the appraisal documentation as to why the project was supported by the panel. From 2006, *'The Project Toolkit'* requires the consideration of other options and that the selection of the preferred option is documented.

We recommend that the Director of Derwent Community Team ensures that all future project appraisals comply with the minimum standards set out in the NDC guidance and best practice in relation to option selection, and that adherence to the standards is monitored.

### Response from Jo Andrew, Director of Derwent Community Team

#### Issue Accepted

The project appraisal process will be re-visited and form part of an overall review of governance arrangements for the NDC programme.

## 3.12 Conflicts of Interest

3.12.1 In September 2003, guidance was issued on Codes of Conduct for NDC partnership board members, which covered the importance of a conflict of interest policy. All NDC's were asked to check that their existing codes covered the issues adequately.

In 2004, the Office of the Deputy Prime Minister (ODPM) issued Programme Note 25 *'Transformation and Sustainability'* which discussed Governance for the NDC Programme. The note stated that the National Audit Office recommended that Governance arrangements should be urgently reviewed and strengthened for some NDC partnerships. It acknowledged that when the NDC Programme was set up no specific guidance was provided on the constitution or governance of partnerships and sighted poor governance to be a major cause of problems in NDC partnership which have experienced difficulties in delivering their programmes.

DCT staff, members of the Board and other decision making forums have a duty of care and obligation to act in the best interests of the NDC Programme. NDC Finance Guidance states that 'Officers, members and employees of the Partnership must be careful to avoid conflicts of interest. The Accountable Body must ensure that the Partnership agrees formal, written procedures for the avoidance of conflicts of interest by members, employees and officers of the Partnership in connection with any decision-making under the Delivery Plan. This must involve a duty to declare any conflicts of interest at project appraisal or approval stages.'

It is expected that where a conflict of interests exist, appropriate action will be taken to ensure that no undue influence has been exerted or that any improper actions have been taken.





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Within the appraisal process it is expected that there is a clear separation of duties between the application, appraisal and approval functions. The roles associated with each must be kept separate and carried out by different people to show that decisions have been taken properly. The decision making criteria must be robust and the process transparent to ensure that the preferred option is the best for the area and not someone's 'pet' project.

The Derwentio Ancient Village Project was the idea of Eddie Buckley, a Derwent Resident, who was active in the local community and had undertaken a number of local projects. In the Outline Project Application for the Derwentio Project it lists him as the Acting Chairperson for the Community Empowerment and Capacity Building Theme Group, the Vice Chairperson for the Derwent Sport Action Group and a panel member for the Catalyst Fund and the Community Initiatives fund.

He was also listed as a member of the Lifelong Learning and Young People Theme Group at the time of the projects approval by the group. As he had already taken a lead role in community activities it had allowed him to form relationships with group members, and whilst he was allowed to be present for any discussion and vote, it allowed him undue influence on the outcome of their decisions.

The Appraisal panel had three members. In the project application it mentioned one of the appraisers as having links with the project. Although, it is expected that projects must involve the community and have appropriate links with other projects, the appraiser should be independent and have no vested interest in the success of the project.

The make up of the appraisal panel did not comply with that specified by the SMB minutes for August 2001. (3.14 refers)

Eddy Buckley became the Derwentio project manager. He was listed as a Board Member of the Shadow Management Board Meeting that approved the full Derwentio project application. He was present at the meeting and was asked to give more information on the project. Although he declared an interest in the item, the minutes do not record him leaving the meeting whilst the item was discussed or voted on. As he was known to most members of the panel he could influence members of the panel through their prior relationship with him.

The SMB's draft Terms of Reference covers the issue of conflicts of interests stating: *'At each meeting, members of the Management Board:*

- *Must declare any financial and personal interests*
- *Must leave the meeting if they have declared a financial interest*
- *Can, if permitted by the chair, remain in a meeting after declaring a personal interest, take part in discussions and vote.'*

This is contradictory to the Accountable Body Agreement that states:

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*'Where a person's interest in a matter is of a financial nature or is one which a member of the public knowing the relevant facts would regard as so significant as to prejudice the person's judgement of the matter, that person shall:*

- Withdraw from the meeting while the matter is being considered and not take part in any discussion of that matter.*
- Not seek to improperly influence any decision relating to that matter.*
- Not vote on that matter.'*

The conflicting expectations are not helpful, but clearly where the applicant is a member of the group and allowed to remain in the room whilst discussions and voting takes place, it enables them to be able to influence the outcome of whether a project should be approved and funded. The appraisal process is not objective where an applicant is allowed undue influence on decision making forums.

Where there are no clear governance arrangements there is a risk that a conflict of interest will not be managed effectively and individuals may be allowed to influence decision making forums.

A conflict of interest policy was introduced in 2007 by the Director of DCT. It is Managements responsibility to ensure that the policy is applied.

## **Recommendation 12**

### **Merits Attention**

At the time when the Derventio project was appraised there were clear conflicts of interest that were not appropriately managed. A conflict of interest policy was introduced in 2007.

We recommend that Director of Derwent Community Team ensures that the conflict of interests' policy is applied as intended, in line with best practice.

### **Response from Jo Andrew, Director of Derwent Community Team**

#### **Issue Accepted**

A conflict of interest policy has been introduced and implemented. Its use will be monitored to ensure that it is applied as intended.

## **3.13 Role of Shadow Management Board (SMB)**

3.13.1 In relation to the project appraisal process it is the responsibility of the NDC Partnership to ensure that each project is appraised and approved according to the NDC project appraisal and approval guidance.

The SMB minutes and reports have been reviewed and it has been established that the roles and responsibilities of the appraisal and approval process were clearly set out for members and other stakeholders.



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## Derwent NDC: Project Appraisal

The terms of reference for the Derwent NDC Theme Groups (Item 15 Shadow Management Board 15 October 2001) stated two of their principal purposes as:

- To facilitate the development of projects that will be delivered in accordance with the principles of NDC, designed for the purpose of residents and sustainable post-NDC funding.
- To endorse or reject project outline application for inclusion in the NDC programme.

The role of the Shadow Management Board in approving projects was the subject of a report on 15 October 2001. The introduction identified the distinct role of the SMB and went on to discuss the factors to be taken into account when approving projects. The separation of duties was highlighted by quoting the NDC Project Appraisal and Approval guidance as *'The formal decision to approve a project must be taken by people who have not been involved in the project development or appraisal processes.'* The report stated that approval should only be granted where:

- The appraisal panel has recommended it.
- The project fits the Strategic Plan.
- Funding is available.
- Outputs and outcomes are commensurate with the amount of funding granted and will compliment other activity.

The roles and responsibilities identified outline how crucial the actual appraisal of projects is to their eventual approval and success. The projects are approved by the Theme Group if the basic requirement is met and the appraisal panel is there to undertake a robust analysis of the project and should be a stand alone summary of the key facts that demonstrate why and how a decision was reached.

On 21 March 2002, a report was presented to the SMB that revised the application and appraisal process. It introduced a number of changes that were summarised as:

- Clarification of roles of Theme, Appraisal and SMB with regard to the functions of appraisal.
- Extend 'Outline' form with an increased emphasis on development and involvement of Derwent Community.
- Reduced 'Application' form that now deals primarily with technical issues.
- More extensive and clearer guidance notes for applicants that will include an 'example' form.
- Full appraisal form that audits the process across all three stages of application.

These documents were used to appraise the Derwentio project but did not fulfil NDC requirements.



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## 3.14 Power of Appraisal Panel

3.14.1 The role of the appraisal panel was discussed at the Shadow Management Board on 15 August 2001. It was agreed that the panel should consist of six members made up of three residents and one of each representative from public, private and voluntary sectors. There should be a minimum of four votes needed to pass an application for funding. When questioned regarding how much authority would be given to the pre-appraisal sifting the Project Co-ordinator for DCT commented that *'the process would be such that , prior to appraisal, applications would only be put forward if they fulfilled very basic criteria, such that they fitted into our overall aims and objectives. This step would be discussed at the outline application stage and at the theme group meetings. If more than one outline application were received for a similar project, this would be the time to link the projects. The only time applicants would be rejected or sent back for more information, prior to appraisal, would be if the application form was not completed fully or correctly: a judgement would not be made on content prior to appraisal.'*

The Chair commented that technical advisors could be called at any time and that applicants can be invited to the appraisal panel where it is deemed necessary. It was also noted that the approval stage should not be a re-appraisal, but the opportunity to question or clarify decisions: and not just seen as a rubber stamping exercise.

The Derwentio Project was appraised on the same day as the SMB met and approved the application. When the Programme Manager was asked about this he commented *'the fact that the project was appraised and approved at the Board on the same day is indicative of the culture at DCT at the time, as projects were forced through the system. The appraisal panels were unable to reject projects, once they had been approved by the Theme Group the project had to go to the Board. .... the appraiser's comments on the project illustrates the residents' enthusiasm for the project.'*

If this was the case, initial applications were only rejected prior to appraisal if they were not fully completed and the appraisal panel were unlikely to reject projects. This left only scrutiny from the SMB that could lead to the rejection of a project.

## 3.15 Proficiency of the Appraisal Panel

3.15.1 It was expected that Appraisal Panel members were appropriately trained and experienced in order to undertake the detailed analysis required in a project appraisal. In the review of the Derwentio appraisal, it was found that the panel was made up of three members who had received training delivered by an external trainer in the summer of 2001. The Programme Manager commented that he felt that the appraisal team were experienced. No comment appears to have been made by the Partnership that the appraisal panel membership was not as approved by SMB, highlighted previously. (3.14)

The NDC Project Appraisal and Guidance states that *'the level of detail in your appraisal should be proportionate to the level of funding and degree of risk.'*



# Final Audit Report Derwent NDC: Project Appraisal

When the Theme Group discussed the Derwentio Project they discussed the enormity of the project and the fact that they were aware of similar projects that had failed due to a lack of sustainability.

More recent guidance from NDC states that when appraising a project if the capital costs exceed £500,000 technical advice should be sought as part of the appraisal. Independent advice on design and construction should be sought and relevant information should be submitted to Government Office before their approval is obtained. Although this was not a NDC requirement at the time that Derwentio was appraised, without a suitable architect or surveyor to provide advice on the capital proposals it is unlikely that the appraisal panel would have been able to make an informed judgement as to the risks associated with capital works. The SMB had also referred to the use of external advisors for appraisal panels, but did not note that they hadn't been used in the appraisal.

The Appraisal Panel did not demonstrate that a thorough appraisal had been undertaken, even though they were given training and were aware of the level of funding and risk associated with the project. The resulting narrative on the project appraisal was very brief and there appears to be little understanding of the requirement to produce an in depth analysis of the application. This is evidenced by the appraisal being completed on the same day as it was approved by the Shadow Management Board.

There is no evidence to suggest that the Shadow Management Board were unhappy with the analysis provided through the appraisal process although they did ask for some further information on the project at the meeting.

Where the appraisal panel cannot produce a robust and objective appraisal for each project, there is a risk that unworthy or 'pet' projects will be approved.

## **Recommendation 13**

### **Significant**

At the time when the Derwentio project was considered, the appraisal panel were unable to produce a robust and objective appraisal that was appropriate to the level of funding and degree of risk involved. The make up of the panel appears to conflict with that approved by the SMB in August 2001.

We recommend that the Director of Derwent Community Team seeks clarification of the membership of the panel from the Management Board and that a training programme for panel members is delivered to ensure that they have appropriate skills and understand the importance of the appraisal panel's role.

# Final Audit Report Derwent NDC: Project Appraisal

## Response from Jo Andrew, Director of Derwent Community Team

### Issue Accepted

The project appraisal process will be re-visited and form part of an overall review of governance arrangements for the NDC programme.

A new process will be introduced whereby projects are appraised by a panel of three including a technical expert / project manager, finance manager and representative from DCT. A representative from the Accountable Body will be invited to attend where projects exceed £100k.

## 3.16 Role of the Accountable Body

3.16.1 It is for the Accountable Body to satisfy itself that the NDC Partnership is properly constituted, has the right people in place, and systems that provide for regularity and propriety. The Council's role as Accountable Body means that it enters into legal agreements on behalf of the partnership, acts as a banker, is responsible for the financial probity of the funding and is potentially liable for repaying funding should the terms of the agreement be breached.

In relation to the project appraisal process it is the responsibility of the Accountable Body to ensure that the Partnership has adequate systems in place to appraise and approve the project and check on a sample basis that these systems are being used.

The Accountable Body had a representative on the SMB who regularly attended the SMB meetings at which the projects were finally approved. No evidence has been found to suggest that the non-compliance with NDC guidance was challenged. Accountable Body efforts were concentrated on ensuring adequate management and monitoring systems were in place once the project was underway.

In the case study analysed, the Accountable body offered help and guidance in to the initial set up of the Derwentio project as many weaknesses had been noted in the early systems' reviews and monitoring visits.

The progress of the NDC was reported as an external funding stream to Cabinet, but it was noted that DCT sat outside the Accountable Body's Departmental reporting structure and it is uncertain how effective the Accountable Body's management of the relationship was.

The Accountable Body assumed a relatively low level of control and believed it had ensured that the Partnership had adequate systems in place to appraise and approve projects as the responsibilities had been clearly outlined to all parties in the Accountable Body Agreement.

Without the Accountable Body ensuring that adequate appraisal systems are in operation and being used as intended, there is a risk that DCT is able to use ineffective processes.

# Final Audit Report Derwent NDC: Project Appraisal

## Recommendation 14

### Significant

At the time when the Derwentio project was considered in January 2003, the Accountable Body failed to ensure that the DCT had adequate systems in operation to appraise and approve projects.

We recommend that the Accountable Body reviews its role and obligations in the Partnership. In particular it should review the Accountable Body Agreement to ensure that it has met its responsibilities and that there are mechanisms in place to ensure they are being adhered to.

### Response from Don McLure, Director of Resources

#### Issue Accepted

The Head of Finance – Regeneration and Community will start this review as soon as possible during March 2008 and produce a written report confirming arrangements are in place to ensure full compliance with the Accountable Body agreement by mid April 2008.

## 3.17 Role of Government Office

3.17.1 In April 2001, a conditional offer of funding was received from the Department of the Environment, Transport and the Regions to approve NDC grant of £38 million towards the achievement of objectives set out in the Delivery Plan. Paragraph six of the letter states that *'Evidence suggests that without good and rigorous project appraisal, the expected benefits of any scheme or project risk failing to materialise. Therefore, prior to the approval of any projects for NDC grant, you must ensure that the necessary consents are in place for the proposed activities. In addition, GO will need to be satisfied that your Partnership can undertake project appraisals in accordance with NDC requirements. GO will contact you shortly to discuss the implications of the requirement for your Partnership. This may involve your Partnership:*

- (a) providing examples of project appraisal as evidence of compliance; or*
- (b) undertaking the Department's Distance Learning Programme on Project Appraisal.*

*Contact GO for details; or*

- (c) initially being given a lower project delegation limit to the maximum £250k.'*

Final approval for the NDC funding was received in April 2002. Therefore Government Office must have been satisfied at this time that the Partnership could undertake project appraisal in accordance with NDC requirements.

In relation to the project appraisal process it is the responsibility of Government Office to approve projects over the Partnerships delegation level.

A representative from GOEM was present at the Shadow Management Board meeting that approved the Derwentio Project. A letter was received from GOEM dated 8 May 2003 confirming their approval of the Project. Approval was given



# Final Audit Report Derwent NDC: Project Appraisal

for capital funding up to £671,000 and revenue funding up to £188,500 for those activities assigned to 2003/04 as described in the project application.

There is no evidence on the project file to suggest that GOEM sought any further analysis of the application before approving it and were therefore satisfied with the information provided.



## 4 Conclusions

### 4.1 Assessment of Control Environment

- 4.1.1 From our findings, relative to the scope of this audit, we have determined that the overall level of control was **unsound** at the time of the Derwentio Project appraisal. That means that the risks identified within this audit at that time were major and fundamental improvements would have been required.
- 4.1.2 However, many of the weaknesses stem from the use of an appraisal process that has been superseded by the introduction and implementation of the Project Toolkit in 2006. The Toolkit provides a rigorous process through which projects can be assessed comprehensively which should ensure, if used properly, that only viable projects proceed. The proper use of this tool or other NDC recommended appraisal tools will improve the overall level of control to **satisfactory**. That means that the residual risks identified within this audit are minimal but changes are required.
- 4.1.3 Management and the Audit and Accounts Committee should note that there are no adverse implications for the Annual Governance Statement arising from this work.

### 4.2 Benefits from Control Improvements

- 4.2.1 If management implement their proposed actions to address our recommendations and resolve the control weaknesses highlighted in our findings, it could be said that :
- Future projects will undergo a robust appraisal and approval process that complies with NDC guidance and best practice.
  - The conflict of interest policy introduced by Jo Andrew in 2007 will be reviewed to ensure that it is being applied as intended. Its effective operation should ensure that further conflicts of interest do not arise.
  - The membership of the Appraisal Panel will be clearly defined and the members will be sufficiently empowered to make informed decisions.
  - The Accountable Body will be able to ensure that it has fulfilled its responsibilities in the Partnership.
- 4.2.2 All of the control issues within the report have been accepted and positive action has been agreed to be taken to address all issues. The Director of Derwent Community Team has already taken positive action in respect of 1 recommendation and has agreed to take action by May 2008 to address the other recommendations directed to her. This is to include a full governance review of procedures including the application and appraisal process, review of the monitoring framework, evaluation procedures and the DCT Board's constitution, and, the introduction of a risk register, asset register and disposal policy.



# Final Audit Report Derwent NDC: Project Apofaisa

- 4.2.3 The Accountable Body have also agreed to take positive action in response to their recommendation and have agreed to confirm arrangements are in place to ensure full compliance with the Accountable Body agreement by mid April 2008.
- 4.2.4 We will endeavour to follow up the implementation of our recommendations, or any agreed alternative actions, with the relevant responsible officers, as soon as is practicable, after the target implementation dates.

**DERBY CITY COUNCIL****DERWENT COMMUNITY TEAM****Joint response to recommendations from NDC Project Appraisal Internal Audit Report from Derby City Council (Accountable Body) and Derwent Community Team****1 Introduction & Background**

- 1.1 This response reflects the position at 23 May 2008, to coincide with the review meeting between DCC as the accountable body, Derwent Community Team and Government Office East Midlands (GOEM).
- 1.2 The internal audit report identified fourteen recommendations. The recommendations reflect three key areas for consideration:
  - Conflict of Interest
  - The role of the accountable body.
  - Project control (12 recommendations).
- 1.3 The conflict of interest recommendation had already been addressed at the time of the completed audit report.
- 1.4 The report identified the principle role of the accountable body as; 'ensuring that Derwent New Deal for Communities (Derwent NDC) is properly constituted, has the right people in place, and systems provide for regularity and propriety'.
- 1.5 Specific comments relating to project appraisal indicated that DCT should review their processes and that the Accountable Body should ensure that adequate systems are in place to appraise and approve projects, and that sample checks are applied in order to ensure compliance.
- 1.6 The main findings focus on the lack of evidence that non-compliance was challenged and that there are risks given that Derwent NDC reporting is not integrated into the Council's reporting structure.
- 1.7 The key recommendations to address are therefore:
  - Derwent Community Team should review the project application and appraisal process in line with NDC's and national best practise
  - The accountable body should review its role and obligations in the partnership
  - The accountable body should review the accountable body agreement to ensure it has met its responsibilities and that mechanisms are in place to ensure adherence

**2 Approach**

- 2.1 Although the audit report focused upon the project appraisal process, the recommendation for the Accountable Body was more wide reaching. It was recommended that the Accountable Body complete an overarching review of its governance arrangements with Derwent NDC. This would also incorporate actions of Derwent Community Team in response to the specific project control recommendations. Relevant officers from DCC and DCT have been identified to progress action within the proposed action plan.

2.2 As a starting point, the main focus will surround a review of the accountable body agreement with DCLG NDC Financial Guidance *[cross ref 1.4]*. Taking this and the recommendations into account, the response will highlight five key areas. These are:

- Representation and position within Derwent NDC Board structure *[cross ref 1.4]*
- The appraisal process for new projects *[cross ref 1.4 & 1.7]*
- Compliance review with DCLG Guidance and AB Agreement *[cross.ref 1.5]*
- The formal relationship between NDC and the Accountable Body *[cross ref 1.6]*
- The accountable body agreement *[cross ref 1.7]*

### 3 Actions

3.1 The action plan sets out the proposed actions to address the recommendations in the audit report. Each action is matched against the five focus areas. The review to assess the status and compliance of the accountable body agreement against DCLG NDC Financial Guidance has already been completed. The findings form part of the action plan.

### 4 Positive progress so far (as at 23 May 2008)

4.1 It is important that the Accountable Body position and DCT processes are reviewed in some detail to ensure we move forward positively. Key weaknesses have already been promptly addressed through immediate action, with subsequent actions being completed or in progress.

4.2 A review of Accountable Body roles during March/April 2008 identified considerable compliance but also highlighted weaknesses which are being addressed through the action plan.

4.3 The relationship between Derwent Community Team (DCT), Derwent NDC Board, GOEM and the Accountable Body has been developed over the past year to a position where challenge is both requested and accepted. This relationship did not exist under the former Director of DCT. More formal management reporting lines have also been implemented:

- The DCT Programme Team Manager has a dotted line professional management reporting link into the Assistant Director (Corporate Finance) and has begun a phase of quarterly review meetings
- The Head of Finance (Regeneration & Community) is taking a more strategic and active role in DCT activities and Derwent NDC Board. Regular monthly meetings have been set up with the DCT Programme Team Manager
- The Director of DCT is to give a presentation on the overall position of Derwent New Deal and their forward looking delivery plan to Council Cabinet

4.4 Government Office and the Accountable Body are established as key partners in the interim project appraisal process. A review of the project appraisal process has been completed by GOEM and the Accountable Body. The arrangements reflect improved operational procedures.

5 Next Key Steps (23 May 2008 onwards)

- 5.1 The draft response and action plan was reviewed by GOEM at the meeting with DCC and DCT on 23 May 2008. GOEM considered the actions to date to be satisfactory, and the action plan to be appropriate in terms of addressing the recommendations of the Audit Report.
- 5.2 Progress will be reviewed at the end of June and the end of July by the Head of Finance (Regeneration & Community), the Director of DCT and the DCT Programme Team Manager.
- 5.3 Complete relevant key actions on the action plan and formally sign the 2008/09 funding agreement

APPENDIX 3

Action Plan

Action Ref	Potential weakness area	Risk/Action Required	How to address	Deadline	Responsible Officers
<b>Representation and position within the NDC Board structure</b>					
1 (a)	Derwent NDC Board support	Has attendance on NDC Boards been sufficient and appropriate? Previously limited to an advisory capacity by a Principal Accountant on NDC Board	(i) NDC Board to be attended by Head of Finance (R&C), with an advisory and challenge role	In place	HoF(R&C)
1 (b)	Other Boards/Groups	No Accountable Body officer sat on any of the previous project appraisal groups	(i) Head of Finance (R&C) constituted as member of project appraisal panel for projects >£100k.  (ii) For projects <£100k, funding submissions and minutes of meetings to be sent to Head of Finance (R&C) for comments	In place  In place	HoF(R&C)  PTM-DCT
<b>The project appraisal process</b>					
2 (a)	Project funding agreements	Requirement to ensure all projects have a funding agreement in place prior to commencement (based on single year renewable agreements)	(i) Produce a project register of live projects  (ii) Agree minutes of Board meetings and match to signed funding agreements  (iii) Sign off by Director of DCT and HoF (R&C)	End June 08  Review on an on-going basis  As necessary on an on-going basis	PTM-DCT  HoF(R&C)  Director-DCT, HoF(R&C)

**APPENDIX 3**

Action Ref	Potential weakness area	Risk/Action Required	How to address	Deadline	Responsible Officers
2(a) continued			(iv) develop revised funding agreements for future projects to ensure there are clear clauses in relation to procurement, outputs, evaluations and release of grant	End June 08	PTM-DCT
2 (b)	Project Appraisal	The risk that the project appraisal toolkit was not formally approved by the AB and is no longer fit for purpose	(i) Temporary arrangement should be set up to appraise projects until the revised process is formalised and agreed  (ii) Revised formal processes to be circulated for comments and sign off DCT Board, GOEM and the Accountable Body	Arrangements in Place  Mid May 08	Director-DCT, HoF(R&C)  PTM-DCT
2 (c)	Project Monitoring & output reporting	There is evidence that projects have been formally approved, but not that the appraisal process was followed  The risk that the project monitoring processes are no longer fit for purpose	(iii) Adherence to the revised process to be checked  (i) A formal process is being drawn up by NDC officers to supplement the revised project appraisal process, including formal reporting to the Board	Delivered and to be checked on an on-going basis  Mid May 08	HoF(R&C)  PTM-DCT

**APPENDIX 3**

Action Ref	Potential weakness area	Risk/Action Required	How to address	Deadline	Responsible Officers
2 (c) continued			(ii) Specific focus should be on preparation of a project schedule for approval by the Accountable Body including the criteria for visits to check compliance and proposed corrective action to mitigate any risks	End June 08	PTM-DCT
2 (d)	Overall Project Appraisal Processes	Requirement for formal sign off of processes by the accountable body in line with the accountable body agreement	(iii) Revised processes on project appraisal, procurement guidance and the revised project funding agreements to be signed off by the Accountable Body	End July 08	HoF(R&C)
<b>Compliance review with DCLG Guidance and AB Agreement</b>					
3 (a)	Records	Ensure all records are retained correctly	(i) Commence a record retention audit	May 08	PTM-DCT
3 (b)	Procurement	Are NDC processes in line with corporate DCC processes and have they been followed	(i) Review procurement process to produce a gap analysis against grant conditions  (ii) Revise future funding agreements to reflect procurement process to be followed by funded agencies	End May 08	PTM-DCT
3 (c)	Asset Holding	The position regarding charge on assets needs to be established	(i) An updated asset register to be prepared	End July 08	PTM-DCT



**APPENDIX 3**

Action Ref	Potential weakness area	Risk/Action Required	How to address	Deadline	Responsible Officers
3 (c) continued			(ii) Options report on each asset to be prepared in order to establish a position on asset charges	End Sept 08	Director-DCT
3 (d)	Governance	Risk of decision taking outside of authority	Establish a scheme of delegation and seek approval from Board and AB	End July 08	Director-DCT
3 (e)	Payment Approvals	Level of delegation given to DCT officers for sign off of payments	(i) Prepare a formal S.O.D	End June 08	PTM-DCT
<b>The formal relationship between NDC and the accountable body</b>					
4 (a)	Lines of Management	Financial lines of management have not been formally agreed	(i) To agree a dotted line management relationship between the Assistant Director- Corporate Finance & Performance and the NDC Finance Manager  (ii) To formulate structured monthly and quarterly review sessions with the AB. Quarterly with the AD – CF and monthly with the HoF(R&C)	Completed and in place	AD-CF
4 (b)	The funding approvals and proposed delivery plan have not been approved by Cabinet	The audit report highlighted that because NDC sits outside of the Council's formal reporting structure it isn't being fully considered	(i) Produce a Cabinet report for July 2008	Early July to meet circulation deadlines	HoF(R&C)

APPENDIX 3

Action Ref	Potential weakness area	Risk/Action Required	How to address	Deadline	Responsible Officers
5 (a)	Maintenance and relevance of Accountable Body Agreement	The agreement for 2007/08 has not been formalised as there is a need to reflect the changing emphasis of NDC delivery. Given the change in emphasis into the sustainability phase, the standard accountable body agreement may not be appropriate	Given the recent levels of stability, a review of the funding agreement has begun for 2008/09. The focus will reflect the phase of the ten year plan	End June 08	HoF(R&C)

Responsible Officers:

HoF-R&C – Head of Regeneration & Community Finance, Derby City Council  
 AD(CF) – Assistant Director (Corporate Finance), Derby City Council  
 PTM-DCT – Programme Team Manager, Derwent Community Team  
 Director DCT – Director of Derwent Community Team

# Final Audit Report Derwent NDC: Project Appraisal

## 5 Appendices

### 5.1 Appendix A – Response Matrix

Rec Ref	Control Issue and Recommendation	Issue Accepted (Yes/No)	Action Details (no alternative solution (if no action please state reasons))	Action Date & Officer Responsible
1	<p>Merits Attention</p> <p>The project appraisal process used to appraise the Derwent project did not meet the standard set out in the NDC Project Appraisal and Approval Guidance. DCT's project appraisal process has since been revised in 2006 with the introduction of 'The Project Toolkit'.</p> <p>We recommend that the Director of Derwent Community Team ensures that all future project appraisals comply with the minimum standards set out in the NDC guidance and best practice, and that adherence to the standards is monitored.</p>	Yes	To review the project application and appraisal process in line with other NDC's and national best practice.	Director/Programme Team Manager March 2008

S3.2

# Final Audit Report Derwent NDC: Project Appraisal

<p>REC-1000 Regulation Regulation</p>	<p>Control System Regulation Regulation</p>	<p>Issue Accepted Accepted</p>	<p>Application Application Application</p>	<p>Action Action Action</p>
<p>2</p>	<p><b>Merits Attention</b> The level of detail completed in the project appraisal documentation for the Derwentio Project was insufficient and the form did not allow for a summary of key facts. The appraisal offered no in-depth analysis and was merely a comment on the Project Application form. From 2006, 'The Project Toolkit' requires the production of a project brief. We recommend that the Director of Derwent Community Team ensures that all future project appraisals comply with the minimum standards set out in the NDC guidance and best practice in relation to the provision of information, and that adherence to the standards is monitored.</p>	<p>Yes</p>	<p>To review the project application and appraisal process in line with other NDC's and national best practice. The review will include the provision of information to support the appraisal function.</p>	<p>Director &amp; Programme Team Manager March 2008</p>

# Final Audit Report Derwent NDC: Project Appraisal

PC1 Control Issue and Recommendation PC1	Issue Assessment Yes/No	Action Details (ie. alternative solution) (If action please state reasons)	Action Date & Office Responsible
3 Merits Attention At the time when the Derwentio project was considered, the project appraisal did not quantify any outputs or demonstrate how it contributed to the Delivery Plan. From 2006, 'The Project Toolkit' discusses needs, outcomes and solutions. We recommend that the Director of Derwent Community Team ensures that all future project appraisals comply with the minimum standards set out in the NDC guidance and best practice in relation to project purpose and benefits, and that adherence to the standards is monitored.	Yes	To review the project application and appraisal process in line with other NDC's and national best practice. The review will include the assessment of a project's contribution to the Delivery Plan.	Director & Programme Team Manager March 2008
4 Significant At the time when the Derwentio project was considered, there was no detailed discussion of community involvement. We recommend that the Director of Derwent Community Team ensures that all future project appraisals comply with the minimum standards set out in the NDC guidance and best practice in relation to community involvement, and that adherence to the standards is monitored.	Yes	The project appraisal process will be re-visited and form part of an overall review of governance arrangements for the NDC programme Future projects will be required to demonstrate their relevance to local people and ensure that local groups are involved in the project.	Director & Programme Team Manager. May 2008

# Final Audit Report Derwent NDC: Project Appraisal

Review Critical Issues and Recommendations	Action Details (Who/When/How/What/Where/Why/Status)	Action Dates (When)
<p>5</p> <p>Merits Attention</p> <p>At the time when the Derwentio project was considered, there was no discussion of links with other partners operating locally or regional or local strategies. From 2006, 'The Project Toolkit' includes a review of the projects integration with other activities.</p> <p>We recommend that the Director of Derwent Community Team ensures that all future project appraisals comply with the minimum standards set out in the NDC guidance and best practice in relation to the linkages, and that adherence to the standards is monitored.</p>	<p>Yes</p> <p>The application process will be revised to ensure that applicants demonstrate how their activities/projects integrate with other services in the community and how projects complement mainstream provision.</p>	<p>Director &amp; Programme Team Manager</p> <p>May 2008</p>
<p>6</p> <p>Merits Attention</p> <p>At the time when the Derwentio project was considered, there was no robust analysis of funding, costs, cash flows or comparison with similar projects. From 2006, 'The Project Toolkit' identifies how the funding requirements should be identified, drawn together and profiled.</p> <p>We recommend that the Director of Derwent Community Team ensures that all future project appraisals comply with the minimum standards set out in the NDC guidance and best practice in relation to identification of cost and value for money, and that adherence to the standards is monitored.</p>	<p>Yes</p> <p>The project appraisal process will be re-visited and form part of an overall review of governance arrangements for the NDC programme. This will include crucial issues such as financial analysis and VFM.</p>	<p>Director &amp; Programme Team Manager</p> <p>May 2008</p>

# Final Audit Report Derwent NDC: Project Appraisal

Rec PS	Control Issue and Recommendation	Issue Acquired Yes/No	Action Details (including alternative solution (if applicable) reasons)	Action Date/Officer Responsible
7	<p><b>Merits Attention</b></p> <p>At the time when the Derwentio project was considered, an appropriate risk assessment was not produced. From 2006, 'The Project Toolkit' provides guidance on how to undertake a risk assessment on each project.</p> <p>We recommend that the Director of Derwent Community Team ensures that all future project appraisals comply with the minimum standards set out in the NDC guidance and best practice in relation to risk, and that adherence to the standards is monitored.</p>	Yes	<p>A risk assessment register will be introduced as part of the governance arrangements for approval and management of projects that are approved for NDC funding.</p> <p>Individual risks will also be comprehensively assessed for each project as part of the approval process.</p>	<p>Director &amp; Programme Team Manager</p> <p>May 2008</p>

# Final Audit Report Derwent NDC: Project Appraisal

<p>Rec Re</p> <p>Control Issues and Remediation</p>	<p>Issues Controlled</p> <p>Major Deals and Investigations Resolution of issues (regions)</p>	<p>Action Plan Office Regions</p>	
<p>8</p> <p>Merits Attention</p> <p>At the time when the Derwent project was considered, there was no evidence that monitoring arrangements had been analysed to ensure that they were robust and would accurately reflect the progress made by the project. The management arrangements were not evaluated in any detail. From 2006, 'The Project Toolkit' outlines the key considerations and tasks necessary to deliver a successful project.</p> <p>We recommend that the Director of Derwent Community Team ensures that all future project appraisals comply with the minimum standards set out in the NDC guidance and best practice in relation to the monitoring and management of projects, and that adherence to the standards is monitored.</p>	<p>Yes</p>	<p>A full review of the governance framework will be completed to ensure compliance with the NDC grant conditions. This will include a review of the monitoring framework linked to the delivery of outcomes.</p>	<p>Director &amp; Programme Team Manager</p> <p>May 2008</p>



# Final Audit Report Derwent NDC: Project Appraisal

Ref	Control Issue and Recommendation	Issue Accepted (Yes/No)	Action/Details for Alternative Solution (to be added to release state reasons)	Action Date/Officer Responsible
9	<p><b>Merits Attention</b></p> <p>At the time when the Derwentio project was considered, there was not a specific requirement in DCT appraisal documents to disclose how the project was to be evaluated. From 2006, 'The Project Toolkit' describes a comprehensive project evaluation process.</p> <p>We recommend that the Director of Derwent Community Team ensures that all future project appraisals comply with the minimum standards set out in the NDC guidance and best practice in relation to evaluation, and that adherence to the standards is monitored.</p>	Yes	This will form part of the governance review process. A new evaluation process will be introduced that satisfies the NDC conditions of grant.	Director & Programme Team Manager  May 2008
10	<p><b>Merits Attention</b></p> <p>At the time when the Derwentio project was considered, the future of the project was not considered and the sustainability assumptions were naive. From 2006, 'The Project Toolkit' describes possible options for analysing the sustainability of a project.</p> <p>We recommend that the Director of Derwent Community Team ensures that all future project appraisals comply with the minimum standards set out in the NDC guidance and best practice in relation to sustainability, and that adherence to the standards is monitored.</p>	Yes	The project appraisal process will be re-visited and form part of an overall review of governance arrangements for the NDC programme. The review will consider sustainability and mainstreaming of projects.	Director & Programme Team Manager  May 2008

# Final Audit Report Derwent NDC: Project Appraisal

Rec Ref	Control Issue and Recommendation	SS16 Control Issue	Action Details including a timeline solution (including a target date)	Action Date / Officer
11	<p>Merits Attention</p> <p>At the time when the Derwentio project was considered, the main reasons for selecting the preferred option were not documented. No reasons were stated on the appraisal documentation as to why the project was supported by the panel. From 2006, 'The Project Toolkit' requires the consideration of other options and that the selection of the preferred option is documented.</p> <p>We recommend that the Director of Derwent Community Team ensures that all future project appraisals comply with the minimum standards set out in the NDC guidance and best practice in relation to option selection, and that adherence to the standards is monitored.</p>	Yes	<p>The project appraisal process will be re-visited and form part of an overall review of governance arrangements for the NDC programme</p>	<p>Director &amp; Programme Team Manager</p> <p>May 2008</p>
12	<p>Merits Attention</p> <p>At the time when the Derwentio project was appraised there were clear conflicts of interest that were not appropriately managed. A conflict of interest policy was introduced in 2007.</p> <p>We recommend that Director of Derwent Community Team ensures that the conflict of interests' policy is applied as intended, in line with best practice.</p>	Yes	<p>A conflict of interest policy has been introduced and implemented. It's use will be monitored to ensure that it is applied as intended.</p>	<p>Director &amp; Programme Team Manager</p> <p>September 2007</p>

# Final Audit Report Derwent NDC: Project Appraisal

Rec Ref	Control Issue and Recommendation	Issue Accepted (Yes/No)	Action Details (If alternative solution (If no action please state reasons))	Action Date & Officer Responsible
13	<p><b>Significant</b></p> <p>At the time when the Derwent project was considered, the appraisal panel were unable to produce a robust and objective appraisal that was appropriate to the level of funding and degree of risk involved. The make up of the panel appears to conflict with that approved by the SMB in August 2001.</p> <p>We recommend that the Director of Derwent Community Team seeks clarification of the membership of the panel from the Management Board and that a training programme for panel members is delivered to ensure that they have appropriate skills and understand the importance of the appraisal panel's role.</p>	Yes	<p>The project appraisal process will be re-visited and form part of an overall review of governance arrangements for the NDC programme</p> <p>The revised procedure, and risk assessment register, will form part of the management of the project.</p> <p>To introduce a new process whereby projects are appraised using a panel of three including, a technical expert/project manager, finance manager and representative from Derwent Community Team. For projects over £100k, a representative from the accountable body will be invited to attend the panel.</p>	<p>Director &amp; Programme Team Manager</p> <p>May 2008</p>

# Final Audit Report Derwent NDC: Project Appraisal

Ref	Control Issue and Recommendation	Issue Assessed	Action Details (Initial Remedial Action / No action / Escalation reasons)	Accountability Officer Responsible
14	<p>Significant</p> <p>At the time when the Derwent project was considered in January 2003, the Accountable Body failed to ensure that the DCT had adequate systems in operation to appraise and approve projects.</p> <p>We recommend that the Accountable Body reviews its role and obligations in the Partnership. In particular it should review the Accountable Body Agreement to ensure that it has met its responsibilities and that there are mechanisms in place to ensure they are being adhered to.</p>	Yes	<p>The Head of Finance – Regeneration and Community will start this review as soon as possible during March 2008 and produce a written report confirming arrangements are in place to ensure full compliance with the Accountable Body agreement by mid April 2008.</p>	<p>Head of Finance – Regeneration and Community</p> <p>April 2008</p>

## 1.1 Appendix B – Key Dates: Derwentio Project Appraisal

1.1.1 **November 2002** – An outline application for the Derwentio project was submitted to DCT and was considered by the Lifelong Learning and Young People Theme Group on 4 November 2002. The project was approved with the following recommendations:

- Seek more co funding, specifically from Derby City Council and give details of future Heritage Funds you will be eligible for, or to apply for in the future.
- Consult with Head of Derby Youth Service.
- Look at similar successful social enterprise projects and visit to learn more about what makes them work. Cost visit into project.
- Take into account volunteer time and cost in as match funding.

1.1.2 **January 2003** - A full application was appraised by two residents and the Manager of Derwent First Steps on 30 January 2003. The Appraisal Panel approved the project with 2 recommendations:

- A business plan be completed to support the application
- Minor amendments to the salaries for the Project Manager and Head Instructor.

1.1.3 **January 2003** - The application was presented to the Shadow Board on 30 January 2003. The application was discussed and questions presented to Eddy Buckley. The Shadow Board approved the project with one board member rejecting it at vote as there were concerns over the long term sustainability of the project. Present at the Board was 10 residents, 2 City Council Councillors, 2 City Council Officers, 2 Agencies, Derwent Community Team staff, a City Council guest and a representative from GOEM.

1.1.4 **May 2003** – The Derwentio project and funding was agreed by Government Office for the East Midlands (GOEM). Approval was given for capital funding up to £671,000 and revenue funding up to £188,500 for those activities assigned to 2003/04 as described in the project application.

**MINUTE EXTRACT**

Time Commenced: 6.00pm

Time Finished: 8.25pm

**AUDIT AND ACCOUNTS COMMITTEE  
THURSDAY 26 JUNE 2008**

Present: Councillor Roberts – Chair  
Councillors Harwood, Holmes, Graves, Jackson and Troup

**01/08 Apologies for Absence**

Apologies for absence were received from Councillors Allen and Willoughby

**18/08 Derwent Community Team – Project Appraisal Audit**

Councillor Roberts and Councillor Troup left the room for this item. Councillor Jackson took the Chair.

The Committee considered the findings of the project appraisal audit of Derwent Community Team's decision making processes and operational procedures undertaken by the Council as 'Accountable Body'. It was reported that the Deventio Viking Project had raised concerns as it had gone out of business so quickly, and there was a risk of clawback on the funding allocated. A major review of the project had been undertaken to try and minimise the risks of this happening again. The Committee raised concerns that the Audit report only covered the Deventio project, as it was felt that there should be a comprehensive report of all the full Derwent New Deal project. It was reported that looking at all the projects in the New Deal would be an enormous task and very resource intensive. Instead, it was felt it would be more useful to use the experiences of Deventio to make recommendations for all the New Deal projects. It was noted that although the systems in place for Deventio could have been better, there were some positives to come out of it, including an adequate building coming back into Council control, and the potential for better use of the land.

**Resolved:**

- 1. to note the report**
- 2. to approve the joint response and action plan in place to address areas for improvement**

**MINUTE EXTRACT**

Time commenced - 6.00 pm  
Time finished - 6.58 pm

**EXTRAORDINARY COUNCIL MEETING  
6 OCTOBER 2008**

Present: The Mayor (Councillor Jackson) (In the Chair)  
Councillors Allen, Banwait, Baxter, Berry, Bolton, Care, Chera,  
Dhindsa, Harwood, Hickson, Higginbottom, Holmes, Hussain,  
Ingall, Jennings, Jones, Keane, F Khan, R Khan, Latham, Lowe,  
Marshall, Mitchell, Nath, Poulter, Rawson, Repton, Richards,  
Shanker, Skelton, Troup, Tuplin, Turner, Webb, Williams,  
Williamson, Willoughby, Wood.

**Apologies for Absence**

Apologies for absence were received from Councillors Batey, Bayliss, Carr, Ginns, Graves, Grimadell, Hird, Naitta, Redfern, Roberts and Winter.

**Declarations of Interest**

Councillors Rawson, Troup and Williams declared personal and prejudicial interests in Motion 1, as members or former members of the Derwent New Deal Board, and withdrew from the meeting during consideration of the motion. Councillor Williamson declared a personal interest in Motion 1 as a former member of the Derwent New Deal Board.

**55/08 Notices of Motion*****1. Derwent New Deal***

It was moved by Councillor Hickson, and seconded, that Council notes with concern the serious financial irregularities related to Derwent New Deal and the inability of the Council to be able to produce a comprehensive report, which will enable both Council Members and Government to be able to assess the scale of the financial mismanagement and fiscal losses of the New Deal, which has received in excess of £40 million of public money.

Council therefore calls on both the Secretary of State for Communities and Local Government in conjunction with Government Office East Midlands, to instigate a full and comprehensive public enquiry into Derwent New Deal as a matter of urgency, so that a full picture of the losses to public funds can be uncovered.

The motion was put to the meeting and lost.

**E-mail from the Chief Executive, NHS Derby City to the Strategic Director – Resources, Derby City Council**

Thursday 08/04/2010 15:04

Dear Don

Thanks for your e-mail.

With regard to Revive Healthy Living Centre in Derby, there have been several discussions between the organisations involved in the project since the building was completed.

As we said some twelve months ago now, the PCT has discharged its obligations under the tripartite agreement in terms of the building contract for the Revive Centre. The snagging work including the outstanding issues relating to roof repairs and heating problems were all rectified and completed to the satisfaction of the Surveyor over a year ago. The PCT was therefore in a position to hand over the building to Revive Limited as we had agreed within the tripartite agreement.

In terms of the handover of the building it was agreed at the meeting last February 2009 that further discussions should take place with Revive Limited to clarify with them their responsibilities and obligations in taking over the building. A suggestion was put forward that the building could potentially be taken on by a statutory body with Revive Limited running the building under a form of "management agreement" and this may be a more acceptable solution. This would mean that Revive Limited could run the building for the community as envisaged with the asset remaining with the statutory body.

Both organisations agreed to look into the possibility of taking on the building, the PCT within a 'Strategic Estates Development model' (which was at pilot status at the time). We later confirmed that this option was no longer available to the PCT. However, if the expectation of GOEM is for the Council to take forward the New Deal Programme in future, it would be more appropriate for you to take over the building itself.

I note in your e-mail that both Leicester and Nottingham PCTs are leading on the Healthy Living Centres in their areas. While we believe that Leicester PCT Public Health department is working together with Leicester City Council Community Services department on the three healthy living centres in Leicester, the situation is not so clear at Nottingham. However, the important difference is that this model may have always been their original intention, which was never the case in Derby.

In terms of managing the building, we have never intended to take on this role and this was clearly stated within the tripartite agreement drawn up back in 2005. The project manager and supporting staff were all appointed on fixed term contracts to co-inside with the development period and have now all left the PCT. As a commissioning organisation we do not consider it appropriate for us to be managing such a facility nor do we have any dedicated resource that we can offer at this point.



We had always intended to be a tenant in the building and to offer healthcare services to the local community and we have continued to honour this obligation from the point at which the building opened.

While we are happy to continue to work in partnership with Derby City Council, (as we do with so many other partnership arrangements), we remain unable to contribute to the operational arrangements for Revive Healthy Living Centre. However, we would be happy to contribute as a stakeholder providing services from within the centre. We would also be happy within the constraints of the economic climate, to consider supporting other services' provision to support income and viability.

Regards

Prem