



DERBY CITY COUNCIL

## ADULT SERVICES AND HEALTH COMMISSION 22 January 2007

Report of the Director of Corporate and Adult Social Services

### **Performance Monitoring – Ethnicity Profile of Adult Social Care Service users from a Direct Payment Perspective**

#### **RECOMMENDATION**

There is anecdotal evidence to suggest different rates of take up between ethnic minority groups in Derby, for the Direct Payments and to a lesser degree other services. However, the population numbers are small so it is not possible to determine that this is statistically significant. The Commission are asked to note the findings.

#### **SUPPORTING INFORMATION**

- 1.1. Health and Social Care Commission asked for information concerning the take up of Direct Payments amongst black and ethnic minority groups.
- 1.2. The Adult Social Services database, SWIFT, has returned information comparing ethnic breakdown of population, referrals, assessments, all services provided and Direct Payments.
- 1.3. The data for this is at Appendix 1. Graphical representations are at subsequent appendices.
- 1.4. There are three groups where the data indicates differences in take up to a degree that might not be expected by population and service data.
- 1.5. Appendices 4 and 5 show the relative rate of referral, Direct Payments, assessment and All Services within each population group based on existing data. These charts seem to indicate higher levels of service delivery (and higher levels of referral) per population for some groups.
- 1.6. Disability Direct have been asked to gather views from their own organisation that might inform why these differences exist. Whether there is some mechanism at work within assessment and care planning processes is unclear.

#### **Caveats on Data Interpretation**

- 1.7. There are a number of issues that mean inferences drawn from the data need to be treated with some caution.
  - 1.7.1. There are several sources for the information, which may mean that they are not directly comparable e.g.:
    - 1.7.1.1. Census data 2001

- 1.7.1.2. SWIFT data
- 1.7.1.3. DP's from Finance Section
- 1.7.2. When comparing figures, there can be an underlying assumption that the incidence of eligible need within all ethnic groups would be at the same level. This may not be true.
- 1.7.3. The category "Other/Not Known" might have an impact on overall numbers, as this would raise the percentage of some groups if this information were known.
- 1.7.4. The numbers of Direct Payment users is small (approx 250) compared to the overall numbers of people receiving services (approx 5,000). Small changes in Direct Payment numbers can create large differences in the percentages of groups.
- 1.7.5. This point is the same for certain groups. The numbers for some groups are small and so changes can make a very large difference. See Appendix 3 for example
- 1.7.6. The number of referrals relates to the numbers this year. The services may be long standing so direct comparison between referrals and services may not be possible.
- 1.7.7. The number of people receiving assessments is not directly related to the number of referrals. This is because some are re-assessments.
- 1.7.8. It is difficult to make assumptions when explaining the difference in levels of take up as care is needed not to perpetuate unproven cultural stereotypes about willingness or otherwise to keep care within the family/community.

## **Commentary**

### **Indian population (Derby pop: Over 65 = 1.7%, under 65 = 4.2%)**

- 1.8. Over 65's form a sizable group of Direct Payment users, (25%) when compared to how they are represented in all services (2.2%). It is not clear why this difference exists. Contributing factors may include:
  - 1.8.1. Presence of Disability Direct in/near the community.
  - 1.8.2. Language skills within Disability Direct.
  - 1.8.3. Continuing presence of workers that some would find culturally appropriate.
- 1.9. Under 65's are also more highly represented but to a lesser degree than older people. Under 65's form 6.9% of Direct Payment users compared to 3.4% of all services. The increased levels of take may account for the lower levels of take up of standard services.

### **Pakistani population (Derby pop: Over 65 = 1.2%, under 65 = 3.6%)**

- 1.10. The levels of representation amongst this group are less striking but still significant.
- 1.11. Over 65's form 3.4% of all Direct Payments compared to 0.7% of all services.

1.12. For Under 65's there is a dip in numbers. 2.5% of Direct Payments are made to people describing themselves as Pakistani. This compares to 3.2% for all services.

**Black Caribbean (Derby pop: Over 65 = 1.2%, under 65 = 1.6%)**

1.13. People over 65 who describe themselves as belonging to this group form 4.5% of all Direct Payment users. This compares to 1.7% for all services.

**Summary**

1.14 There is anecdotal evidence to suggest different rates of take up between ethnic minority groups in Derby, for the Direct Payments Service. However, as the numbers are small it is not possible to determine that this is statistically significant. There does appear to be a disproportionate take up of direct payments by the Indian community. No inference can be drawn from this. However, we have asked Disability Direct, the organisation that supports Derby City DP users to gather views from their own organisation as to why this may be the case.

1.15 Where there are small numbers of ethnic groups represented in a particular part of the process there is a noticeable proportional deviation from the census proportions. From this data it is only possible to conclude that there is no obvious bias in the system. However, we shall continue to monitor the ethnicity profile of service users to ensure fair access to services for all.

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<b>Background papers:</b>	None
<b>List of appendices:</b>	Appendix 1 – Implications Appendix 2 – Ethnicity Data from Swift Appendix 3, 4, 5 – Graphical Representation of Data

## Appendix 1

### IMPLICATIONS

#### **Financial**

1. None

#### **Legal**

2. None arising from this report.

#### **Personnel**

3. None arising from this report.

#### **Equalities impact**

4. Effective scrutiny is to the benefit of all Derby people.

#### **Corporate Priorities**

5. Monitoring key indicators to improve performance will help the Council take forward all of its objectives and priorities.

Appendix 2

Ethnicity Data from SWIFT – October 2006

		Under 65's					Over 65				
		Population	Referrals	Assessments	All Services	Direct Payments	Population	Referrals	Assessments	All Services	Direct Payments
White	British	84.6%	76.0%	80.5%	77.8%	83.0%	90.7%	83.0%	88.4%	88.1%	54.5%
	Irish	1.5%	1.0%	0.9%	1.4%	0.0%	2.4%	1.5%	0.9%	1.2%	4.5%
	Other	1.8%	2.8%	1.4%	2.3%	1.3%	2.1%	2.2%	2.4%	2.2%	3.4%
Mixed	White & Black Caribbean	0.5%	0.3%	0.0%	0.7%	0.0%	0.1%	0.1%	0.1%	0.1%	0.0%
	White & Black African	0.1%	0.0%	0.2%	0.1%	0.6%	0.0%	0.0%	0.0%	0.0%	0.0%
	White & Asian	0.2%	0.0%	0.2%	0.1%	0.0%	0.1%	0.0%	0.0%	0.0%	0.0%
	Other	0.2%	0.0%	0.0%	0.1%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Asian or Asian British	Indian	4.2%	3.1%	6.0%	3.4%	6.9%	1.7%	3.0%	2.2%	2.2%	25.0%
	Pakistani	3.6%	3.7%	3.6%	3.2%	2.5%	1.2%	1.9%	1.3%	0.7%	3.4%
	Bangladeshi	0.1%	0.0%	0.0%	0.2%	0.0%	0.0%	0.0%	0.0%	0.2%	1.1%
	Other	0.5%	0.7%	1.3%	1.1%	0.6%	0.2%	0.2%	0.3%	0.3%	1.1%
Black or Black British	Black Caribbean	1.6%	1.8%	1.6%	2.4%	1.9%	1.2%	1.1%	1.8%	1.7%	4.5%
	Black African	0.3%	0.6%	0.3%	0.6%	0.0%	0.0%	0.2%	0.0%	0.1%	0.0%
	Black Other	0.2%	0.1%	0.5%	0.3%	0.0%	0.0%	0.1%	0.1%	0.1%	0.0%
	Chinese	0.5%	0.1%	0.2%	0.1%	0.0%	0.1%	0.1%	0.1%	0.0%	0.0%
	Other or Not Known	0.3%	9.6%	3.5%	6.3%	3.1%	0.0%	6.5%	2.1%	3.2%	2.3%

Appendix 2

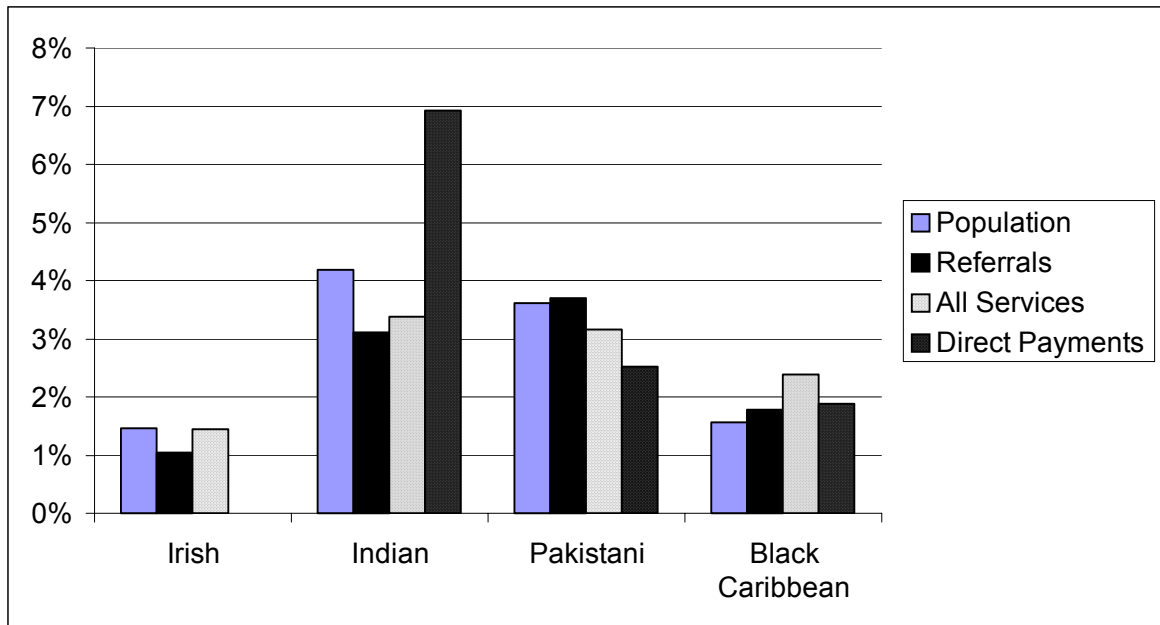
Ethnicity Data from SWIFT – October 2006

	ALL PEOPLE	White			Mixed				Asian or Asian British				Black or Black British			Chinese	Other or not known
		British	Irish	Other	White and Black Caribbean	White and Black African	White and Asian	Other	Indian	Pakistani	Bangladeshi	Other	Black Caribbean	Black African	Other Black		
<b>Census information</b>																	
Estimated population aged 18-64	133,457	112,952	1,956	2,418	662	81	303	207	5,578	4,823	103	656	2,097	338	229	632	422
% of all people		84.6%	1.5%	1.8%	0.5%	0.1%	0.2%	0.2%	4.2%	3.6%	0.1%	0.5%	1.6%	0.3%	0.2%	0.5%	0.3%
Estimated population aged 65+	35,914	32,585	876	749	36	6	23	6	609	419	10	81	434	12	13	44	11
% of all people		90.7%	2.4%	2.1%	0.1%	0.0%	0.1%	0.0%	1.7%	1.2%	0.0%	0.2%	1.2%	0.0%	0.0%	0.1%	0.0%
<b>Referrals</b>																	
18-64 year olds	675	513	7	19	2	0	0	0	21	25	0	5	12	4	1	1	65
% of all people		76.0%	1.0%	2.8%	0.3%	0.0%	0.0%	0.0%	3.1%	3.7%	0.0%	0.7%	1.8%	0.6%	0.1%	0.1%	9.6%
Over 65 year olds	1603	1331	24	36	2	0	0	0	48	31	0	3	18	3	1	2	104
% of all people		83.0%	1.5%	2.2%	0.1%	0.0%	0.0%	0.0%	3.0%	1.9%	0.0%	0.2%	1.1%	0.2%	0.1%	0.1%	6.5%
<b>Receiving Assessments</b>																	
18-64 year olds	635	511	6	9	0	1	1	0	38	23	0	8	10	2	3	1	22
% of all people		80.5%	0.9%	1.4%	0.0%	0.2%	0.2%	0.0%	6.0%	3.6%	0.0%	1.3%	1.6%	0.3%	0.5%	0.2%	3.5%
Over 65 year olds	2767	2445	26	67	3	0	0	0	62	37	1	9	51	1	4	3	58
% of all people		88.4%	0.9%	2.4%	0.1%	0.0%	0.0%	0.0%	2.2%	1.3%	0.0%	0.3%	1.8%	0.0%	0.1%	0.1%	2.1%
<b>Direct Payments</b>																	
18-64 year olds	159	132	0	2	0.0%	1	0	0	11	4	0	1	3	0	0	0	5
% of all people		83.0%	0.0%	1.3%	0.0%	0.6%	0.0%	0.0%	6.9%	2.5%	0.0%	0.6%	1.9%	0.0%	0.0%	0.0%	3.1%
Over 65 year olds	88	48	4	3	0	0	0	0	22	3	1	1	4	0	0	0	2
% of all people		54.5%	4.5%	3.4%	0.0%	0.0%	0.0%	0.0%	25.0%	3.4%	1.1%	1.1%	4.5%	0.0%	0.0%	0.0%	2.3%
<b>All Derby Clients</b>																	
18-64 year olds	1807	1405	26	41	12	2	1	2	61	57	4	20	43	11	6	2	114
% of all people		77.8%	1.4%	2.3%	0.7%	0.1%	0.1%	0.1%	3.4%	3.2%	0.2%	1.1%	2.4%	0.6%	0.3%	0.1%	6.3%
Over 65 year olds	3902	3438	45	87	2	0	1	0	86	27	8	10	65	3	5	1	124
% of all people		88.1%	1.2%	2.2%	0.1%	0.0%	0.0%	0.0%	2.2%	0.7%	0.2%	0.3%	1.7%	0.1%	0.1%	0.0%	3.2%

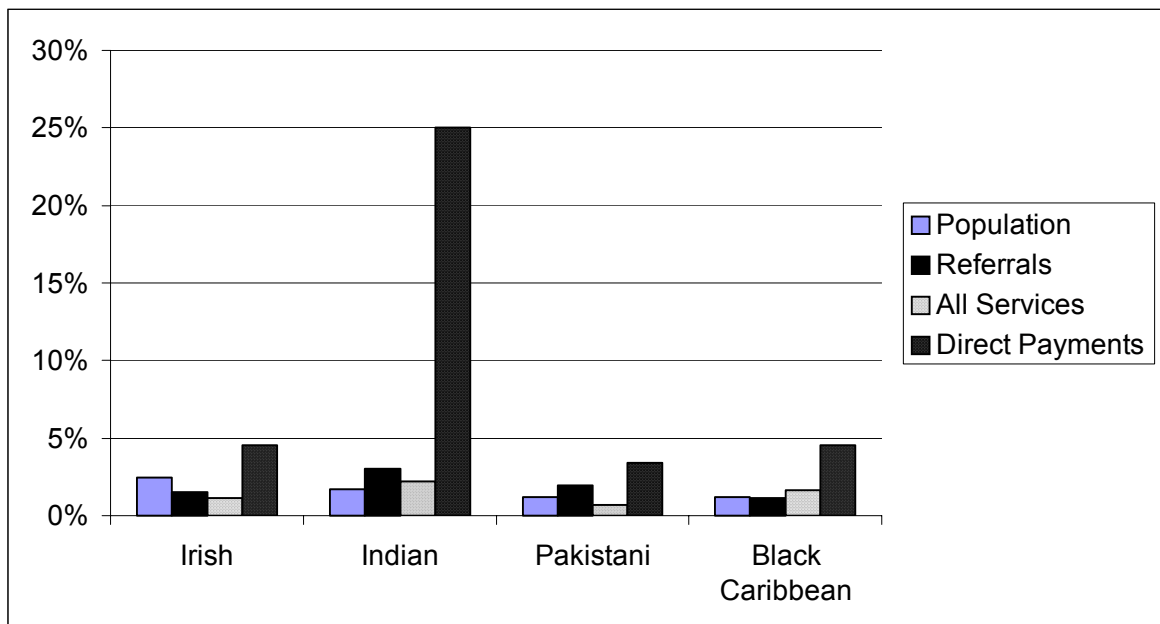
### Appendix 3 Direct Payments, All Services and Ethnicity

Population = the percentage of the total population of Derby  
 Referrals, all services and Direct Payments = the percentage this group forms within each category

#### Under 65



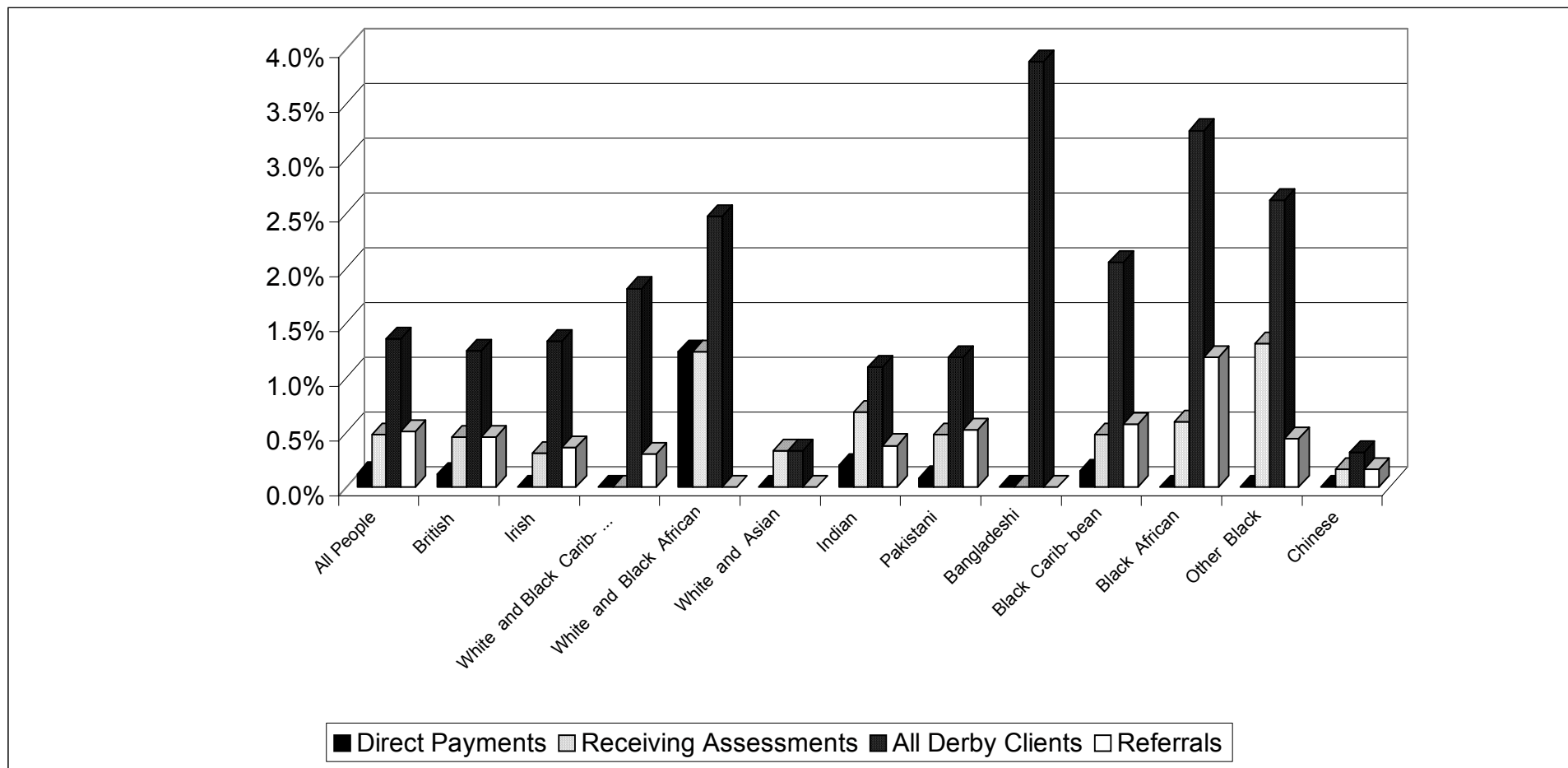
#### Over 65



Appendix 4

Activity as a percentage of each group's population – 18 - 64 year olds

	All People	British	Irish	White and Black Carib-bean	White and Black African	White and Asian	Indian	Pakistani	Bangladeshi	Black Carib-bean	Black African	Other Black	Chinese
<b>Direct Payments</b>	0.12%	0.12%	0.00%	0.00%	1.23%	0.00%	0.20%	0.08%	0.00%	0.14%	0.00%	0.00%	0.00%
<b>Receiving Assessments</b>	0.48%	0.45%	0.31%	0.00%	1.23%	0.33%	0.68%	0.48%	0.00%	0.48%	0.59%	1.31%	0.16%
<b>All Derby Clients</b>	1.35%	1.24%	1.33%	1.81%	2.47%	0.33%	1.09%	1.18%	3.88%	2.05%	3.25%	2.62%	0.32%
<b>Referrals</b>	0.51%	0.45%	0.36%	0.30%	0.00%	0.00%	0.38%	0.52%	0.00%	0.57%	1.18%	0.44%	0.16%





**Appendix 5**

**Activity as a percentage of each group's population – Over 65 year olds**

This graph has been truncated, as the figures for Bangladeshi and Other Black were disproportionately high.

Over 65	All People	British	Irish	White and Black Caribbean	White and Black African	White and Asian	Indian	Pakistani	Bangladeshi	Black Caribbean	Black African	Other Black	Chinese
<b>Direct Payments</b>	0.25%	0.15%	0.46%	0.00%	0.00%	0.00%	3.61%	0.72%	10.00%	0.92%	0.00%	0.00%	0.00%
<b>Receiving Assessments</b>	7.70%	7.50%	2.97%	8.33%	0.00%	0.00%	10.18%	8.83%	10.00%	11.75%	8.33%	30.77%	6.82%
<b>All Derby Clients</b>	10.86%	10.55%	5.14%	5.56%	0.00%	4.35%	14.12%	6.44%	80.00%	14.98%	25.00%	38.46%	2.27%
<b>Referrals</b>	4.46%	4.08%	2.74%	5.56%	0.00%	0.00%	7.88%	7.40%	0.00%	4.15%	25.00%	7.69%	4.55%

