



Derby City Council

HEALTH AND WELLBEING BOARD
Thursday 16 January 2014

ITEM 6

Report of the Strategic Director of Adults,
Health & Housing

Department of Health Self Assessment for Autism, Learning Disabilities & Winterbourne View update

SUMMARY

- 1.1 This report provides a summary of the 2013 Autism and Learning Disability Self Assessments (SAF) and an update on the local implementation of Transforming Care – the national response to the findings of the Serious Case Review concerning abuse at Winterbourne View.

RECOMMENDATIONS

- 2.1 That the Health and Wellbeing Board recognise the effective partnership work between Adults, Health and Housing and Hardwick Clinical Commissioning Group leads and Derbyshire County Council Adult Social Care in the preparation and submission of the self assessment.
- 2.2 That the Health and Wellbeing Board accept and agree the outcomes detailed in the self – assessments.
- 2.3 That the Health and Wellbeing Board agree to receive an update on the Autism and Learning Disability SAF's following the public validation processes and subsequent improvement planning activity.

REASONS FOR RECOMMENDATION

- 3.1 In 2013, the Department of Health asked Local Authorities and Clinical Commissioning groups to jointly complete self-assessments (known as SAF), following a prescribed format, on their local response to learning disabilities and autism. There is a requirement that each local Health & Wellbeing Board receive a copy of each SAF and also consider the resulting actions. This report summarises the outcomes of each SAF to ensure that all partners within the City are aware of, and updated on, the progress and implications of the self-assessments. In addition, an element of the Learning Disability SAF addressed progress on “Transforming Care” – the national improvement programme following the abuse scandal at Winterbourne View. This report provides a further update on the activity locally that is taking place jointly between Derby City Council and Hardwick Clinical Commissioning Group on behalf of South

SUPPORTING INFORMATION

- 4.1 Both the Autism and Learning Disability self assessments are completed against a standard national framework developed by the Public Health Observatory in conjunction with the Department of Health. The Autism SAF was submitted on time on September 30th, 2013 (Appendix 1). The overall outcome was positive and indicative priority areas for improvement are noted below. The Learning Disability SAF was also submitted on time on November 29th 2013 (Appendix 2). The overall outcome was positive although there were some areas of concern regarding data collection and collation. Indicative priority areas for improvement are noted below. Consultation with local customers and carers was conducted before the final submission. Partner challenge was also conducted with Health and County Council colleagues before submission. The Learning Disability SAF will also be the subject of a half day validation session with Department of Health and customer and carer representatives to be held in the first quarter of 2014.
- 4.2 Officers from the Hardwick CCG, City and County Councils, and partner agencies are already meeting to agree action plans based on the Self-Assessment Framework outcomes. The Autism and Learning Disability Joint Commissioning Boards, both chaired by Hardwick CCG, will incorporate those actions plans into 2014/15 commissioning strategies.
- 4.3 The **Autism SAF** required self assessment on a range of data, RAG ratings and yes/no statements in answer to set questions. Consultation was carried out face to face with local autism groups although the very nature of autism and the difficulty of meeting groups face to face meant that this had to be low key. However, the submission was supported by an online survey of local people with autism and their carers which also provided information on what services for local people think should be developed. Partner agencies and individuals were proactive in volunteering positive case stories that officers were able to include in the SAF submission in support of the self-assessment rating.
- 4.4 The self assessment was positive overall, with the majority of the RAG ratings recorded as amber or green. No red ratings were noted. Greens were noted for some elements of data collection (Q8), CCG involvement (C9) and Training (Q15/16). As a result, officers noted in the submission that joint commissioning arrangements were positive, that diagnostic and referral services had been prioritised for investment in 2013 along with low level support services. Good levels of quality training had been delivered in 2013. In the main, services are making positive efforts to achieve the standards laid down in the 2009 Autism Act.

- 4.5 It was also noted that the waiting list for diagnosis remains at 12 months, that the availability of low level support is still limited, that further work on agency referral and assessment pathways is needed and that individuals outside of the access criteria for social care (people with high functioning autism for example) may still have needs at times of high stress. There is further work to be done on autism within the criminal justice system, with older people's services and general awareness-raising on a shared agency, city-wide basis. Staff training needs to be completed and then refreshed and basic training needs to be provided on a wider basis. Data collection and sharing also needs to be improved across agencies as does the effectiveness of transitions protocols.
- 4.6 Officers from the Autism Joint Commissioning Board are already meeting to produce an action plan based upon the SAF outcomes and have agreed to discuss this further with local groups of people with autism and their carers. The action plan will then be presented to the Joint Commissioning Board in February and the subsequent improvement priorities will be incorporated into the 2014/15 Joint Commissioning Strategy.
- 4.7 The **Learning Disability SAF** was a more extensive self assessment than the Autism SAF, requiring a large volume of health-related statistical input followed by a RAG rating. The City response was submitted following a challenge session with County and CCG leads, with City officers in turn, providing challenges to the County self assessment. A lengthy consultation session and challenge was also carried out with members of the Learning Disability Partnership Board on November 27th. This session was composed of an equal number of paid professionals and customers and unpaid carers. Partner agencies and individuals were proactive in volunteering positive case stories that officers were able to include in the SAF submission in support of the self assessment rating, many relating to the use of personal budgets.
- 4.8 The self assessment was positive overall, with the majority of the RAG ratings recorded as amber. Reds were noted for the level of Health Action Plans completed (A4) and Primary Care communication of status (A6). Greens were noted for liaison with GP's relating to QOF (A1), Liaison processes in acute settings (A7) and Arts and Culture (C3). Officers noted positive joint working arrangements, improved GP data collection, shared lives and employment initiatives, local area co-ordination pilots, the provision of specialist training and person centred planning. Providers, assessment and contracting arrangements recognise the importance of dignity and respect and teams and agencies work well on best interest decisions and safeguarding issues that arise as a result of whistle blowing or quality checks. Positive action is taken to involve customers and unpaid carers in planning and decision making.

- 4.9 The need to improve data collection, particularly at primary care level, commitment to regular health checks, the completion of all annual reviews were also noted. Data collection and sharing also needs to be improved across agencies as does the effectiveness of transitions protocols. Further links could be made to improve access to transport, culture, employment and leisure facilities. Carers noted that they would benefit from the provision of more timely information about their cared for person's individual arrangements.
- 4.10 Officers from the Learning Disability Joint Commissioning Board are already meeting to produce an action plan based upon the SAF outcomes and have agreed to discuss this further with local groups of people with autism and their carers. The action plan will then be presented to the Joint Commissioning Board in February and the subsequent improvement priorities will be incorporated into the 2014/15 Joint Commissioning Strategy. Agencies are also required to participate in a half day validation session to secure formal sign off of the submission led by Department of Health representatives. This is anticipated to take place in the first quarter of 2014. The session is attended by the health and social care leads for all the categories within the Framework and service users and their unpaid carers. Each element of the SAF submission is scrutinised and officers are asked to explain the ratings and supporting comments and provide further evidence where challenged.
- 4.11 The outcomes of the signed off SAF will be reported to the Learning Disability Joint Commissioning Board in May 2014 and any changes incorporated into commissioning strategies.

4.12 **Winterbourne View update**

The local actions to deliver the national priorities set out in *Transforming Care – A National Review to Winterbourne View Hospital* – are being coordinated jointly between Derby City Council, the County Council and Hardwick CCG on behalf of Southern Derbyshire CCG (see Appendix 3). It is a shared agency initiative to deliver a range of improvement priorities and a “stocktake” of progress was considered by the Health & Wellbeing Board in July 2013.

The most practical activity required involves resettling 19 individuals originating from Derby and Derbyshire who have been living within secure facilities similar to those that were the subject of the Winterbourne review. Seven individuals are the responsibility of Derby City and are expected to be discharged from hospital and returned to live in Derby in 2014. The national directive is to assess and resettle those currently inappropriately placed by June 2014. Quality checking of proposals for resettlement, including effective management of risk, is conducted on a case by case basis by a group of experienced contract and operational managers from health and social care.

- 4.13 Progress is monitored by a local Transforming Care Strategy Group composed of strategic level officers from Hardwick CCG, Derby City Council and Derbyshire County Council Adult Social Care. The Strategy Group is also finalising the guidelines for the apportionment of financial support once the seven individuals leave their current secure facilities. The project governance structure is led by the Learning Disability Joint Commissioning Board chaired by Hardwick Clinical Commissioning Group which is the lead learning disability commissioner for the Derbyshire CCG's.
- 4.14 Two experienced case assessors were appointed in 2013 (one from within social care and one from within health) to complete personal and risk orientated assessments and to liaise with health professionals within the secure facility that each individual currently lives. Draft personal profiles are now in place for all seven of the cohort for whom Derby has responsibility. Draft plans are in place for the resettlement of the first two (of the seven in total) individuals back to appropriate community living within the City led by Adults, Health and Housing. Senior Officers at the Strategy Group are in the process of agreeing case by case financial arrangements for when the initial Section 117 health resources end, including the use of personal health budgets.
- 4.15 Appropriate accommodation is being sourced by the lead social work manager supported by Integrated Commissioning and Housing colleagues. Person centred care and support that cannot be arranged using personal health budgets will be sourced via the Council's Dynamic Purchasing System (DPS) once an individual's accommodation has been confirmed. There are currently ten independent sector providers who passed the corporate and quality checks established in the 2013 DPS tender. The tender re-opened in December 2013 with new providers who passed the criteria able to bid for work from March 2014. Specialist support will also be provided by the Derbyshire Healthcare Foundation Trust who will take the lead on any crisis intervention that is required to prevent admission back to hospital.
- 4.16 Some of the cohort have behaviour histories that may present a risk to themselves or to the local community. None will be resettled until all the necessary risk management plans and supporting resources are in place. The effective and safe transfer of individuals to community settings is being overseen by a Quality Assurance group composed of experienced contract and operational managers. All cases will be subject to a level and regularity of review appropriate to the case history and current needs of the seven individuals. Health and social care managers will report progress back to their respective council and health strategic managers, the Winterbourne Project Structures and to the bi-monthly Learning Disability Joint Commissioning Board.

- 4.17 The other work streams which are on-going are:
- the development of a joint health and social pathway, starting from childhood, for people with “challenging behaviour” to prevent them being admitted to hospital;
 - work with specialist care and health providers so that the quality of interventions improves;
 - workforce development aimed at improving the skills of people directly supporting individuals with complex needs.

OTHER OPTIONS CONSIDERED

5.1 Both the self assessments and Winterbourne project are mandatory requirements from the Department of Health, therefore to ensure local practice and interventions for people with learning disabilities and autism is of the highest quality, not participating was never an option considered by the Autism and Learning Disability Joint Commissioning Boards.

This report has been approved by the following officers:

Legal officer Financial officer Human Resources officer Director(s) Other(s)	n/a n/a n/a Cath Roff, Strategic Director, Adults Health & housing Andy Gregory, Chief Operating Officer, Hardwick CCG
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For more information contact: Background papers: List of appendices:	Kirsty Everson. Head of Integrated Commissioning, Adults Health and Housing. Tel: 01332 643299 Kirsty.everson@derby.gov.uk Appendix 2: Derby City Autism SAF Submission 2013 Appendix 3: Derby City Learning Disability SAF submission 2013 Appendix 4: Joint Winterbourne Progress Report December 2013
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IMPLICATIONS

Financial and Value for Money

- 1.1 There are no immediate financial implications from the Self Assessment Frameworks. Actions required to improve 2014/15 ratings will be prioritised at the Joint Commissioning Board with potential resource implications reported from there. The Transforming Care Strategy Group does involve the resettlement of seven people back to Derby whom are currently funded in full by the NHS. The Strategy Group has yet to agree the process by which funding packages of care in the future will be determined although as far as possible, existing arrangements such as when considering continuing healthcare legislation, and other statutory duties, will be followed.

Legal

- 2.1 There are no known legal implications at this point, although mental capacity assessments are likely to be required for all seven individuals given they are currently being held under the Mental Health Act.

Personnel

- 3.1 There are no immediate HR issues although it should be noted that the fixed term Commissioning Officer post in Derby City (funded by Hardwick CCG) ends in September 2014.

Equalities Impact

- 4.1 There are no immediate equality implications. Improvements in Autism and Learning Disability services and our response to people with the most complex needs will enhance the quality of life of local residents.

Health and Safety

- 5.1 There are no known health and safety implications.

Environmental Sustainability

- 6.1 There are no known environmental considerations.

Asset Management

- 7.1 There are no known asset implications.

Risk Management

- 8.1 National Winterbourne time scales for the resettlement back to local communities are being monitored by the Joint Strategy Group.

Corporate objectives and priorities for change

- 9.1 Outcomes from the SAF and Winterbourne actions plans are in line with the Derby Customer Journey and support the strategic objectives of the Derby Plan.