



AUDIT & GOVERNANCE COMMITTEE
22 March 2023

ITEM 05

Report sponsor: Strategic Director of Corporate Resources
Report author: Democratic Services Officer

Audit & Governance Committee – Outstanding Resolutions

Purpose

- 1.1 At its meeting on 5 February 2020, the then Audit and Accounts Committee resolved:
 - to agree that a Resolution Tracking report be included in future agendas of the Audit and Accounts Committee.
- 1.2 Outstanding resolutions and actions are attached at Appendix 1 of the report.
- 1.3 Any completed resolutions and actions will be removed after the meeting.

Recommendations

- 2.1 To track and review the outstanding resolutions and actions at Appendix 1 of the report.

Reasons

- 3.1 To provide the Audit and Governance Committee with the opportunity to track and review any outstanding resolutions arising from meetings of that Committee.

Supporting information

- 4.1 Appendix 1 lists outstanding resolutions arising from meetings of the Audit and Governance Committee.
- 4.2 For an exhaustive list of resolutions, refer to minutes of the meetings of the Audit and Governance Committee on the Council's Democracy Portal at the following link:

https://democracy.derby.gov.uk/Committees/tabid/101/ctl/ViewCMIS_CommitteeDetails/mid/734/id/1894/Default.aspx

Public/stakeholder engagement

- 5.1 None.

Other options

6.1 None.

Financial and value for money issues

7.1 None arising from this report.

Legal implications

8.1 None arising from this report.

Climate implications

9.1 None.

Other significant implications

10.1 None.

This report has been approved by the following people:

Role	Name	Date of sign-off
Legal		
Finance		
Service Director(s)		
Report sponsor	Simon Riley – Strategic Director of Corporate Resources	
Other(s)	Alex Hough - Head of Democracy	

Background papers:	Audit and Governance Committee agenda papers – on the Council's Democracy Portal
List of appendices:	Appendix 1 - Outstanding Resolutions

Audit and Governance Committee

Outstanding Resolutions

Meeting Date	Item	Resolution/ Action	Comments	Responsible Officer
30 November 2022	Appointment of Independent Persons to the Audit and Governance Committee	To seek applications for two Independent Members of the Audit and Governance Committee to four-year terms of office.	A recruitment pack is being prepared for Independent Members of the Audit and Governance Committee along with the Standards Committee.	Alex Hough
30 November 2022 and 25 January 2023	Internal Audit Progress Report – Pre-employment Checks audit review	Members of the Committee recommended that some sampling should be done to check declared qualifications by the candidates. It was agreed that an update on this would be brought to the next meeting. To request that the Chief Executive brought an update to the Committee's next meeting on the progress being made by management in respect of the implementation of internal audit recommendations.	Verbal update at this meeting.	Paul Simpson and Richard Boneham
30 November 2022	Internal Audit Progress Report	To invite officers responsible for Neighbourhood Boards to attend this meeting.	The responsible officer has been invited to attend this meeting.	Dominic Monahan

Meeting Date	Item	Resolution/ Action	Comments	Responsible Officer
--------------	------	--------------------	----------	---------------------

25 January 2023	Whistleblowing Policy	Resolved to approve the updated policy with the suggested amendments.	Suggested amendments have now been made to this policy.	Paul McMahon
25 January 2023	Information Governance Update	To invite the Chief Executive to attend the July Audit and Governance Committee meeting.	Chief Executive will be invited to attend this meeting.	Paul Simpson
25 January 2023	Minutes	Update on 2021-22 Statement of Accounts requested	Verbal update at this meeting.	Pete Shillcock
25 January 2023	Audit and Governance Committee – Self-Assessment of Effectiveness 2022/23	Resolved that Members would each complete the self-assessment questionnaire.	Verbal update at this meeting.	Richard Boneham