

## **Audit & Governance Committee – Outstanding Resolutions**

### **Purpose**

- 1.1 At its meeting on 5 February 2020, the then Audit and Accounts Committee resolved:
  - to agree that a Resolution Tracking report be included in future agendas of the Audit and Accounts Committee.
- 1.2 Outstanding resolutions and actions are attached at Appendix 1 of the report.
- 1.3 Any completed resolutions and actions will be removed after the meeting.

### **Recommendations**

- 2.1 To track and review the outstanding resolutions and actions at Appendix 1 of the report.

### **Reasons**

- 3.1 To provide the Audit and Governance Committee with the opportunity to track and review any outstanding resolutions arising from meetings of that Committee.

### **Supporting information**

- 4.1 Appendix 1 lists outstanding resolutions arising from meetings of the Audit and Governance Committee.
- 4.2 For an exhaustive list of resolutions, refer to minutes of the meetings of the Audit and Governance Committee on the Council's Democracy Portal at the following link:

[https://democracy.derby.gov.uk/Committees/tabid/101/ctl/ViewCMIS\\_CommitteeDetails/mid/734/id/1894/Default.aspx](https://democracy.derby.gov.uk/Committees/tabid/101/ctl/ViewCMIS_CommitteeDetails/mid/734/id/1894/Default.aspx)

### **Public/stakeholder engagement**

- 5.1 None.

## Other options

6.1 None.

## Financial and value for money issues

7.1 None arising from this report.

## Legal implications

8.1 None arising from this report.

## Climate implications

9.1 None.

## Other significant implications

10.1 None.

This report has been approved by the following people:

Role	Name	Date of sign-off
Legal Finance Service Director(s) Report sponsor Other(s)	Alex Hough - Head of Democracy	

<b>Background papers:</b>	Audit and Governance Committee agenda papers – on the Council's Democracy Portal
<b>List of appendices:</b>	Appendix 1 - Outstanding Resolutions

## Audit and Governance Committee

### Outstanding Resolutions

Meeting Date	Item	Resolution/ Action	Comments	Responsible Officer
26 July 2023	Counter Fraud Annual Report 2022/23	Councillors asked whether mandatory e-learning for fraud awareness for all staff could be introduced. It was agreed that the Director of Finance and the Head of Internal Audit would discuss this and the associated costs.	Still awaiting details on e-Learning packages are available on Counter Fraud.	Richard Boneham Alison Parkin
11 October 2023	Annual Governance Statement 2022/23	to request that a report was brought to a future Committee meeting on changes to the Council's data management arrangements. Including detailed information on data breaches and how the Council planned to tackle the increase in data breaches.	A report will be brought to the July 2024 meeting.	Andy Brammall
11 October 2023	Allestree Hall and Golf Course – Internal Audit Investigation Report	Resolved to agree that a new Heritage Impact Assessment should be carried out.	Officers are currently making arrangements for a new heritage impact assessment to be carried out.	Paul Simpson
24 January 2024	Risk management – assurance update	It was agreed that future reports would include links to these risk registers and would also include more detail on anything reporting 'some /major slippage' for actions or where controls were not in place.		Sarah Walker

24 January 2024	Risk management – assurance update	A member of the Committee asked for future reports to include an additional column on risk tolerance against the current score. It was agreed that this would be included in future reports.	This will be incorporated into future reports.	Sarah Walker
24 January 2024	Programme Management Office (PMO) Update	It was noted that visibility of project progression was important and that links with consultation, external websites and performance would be included in future reports. It was also agreed that the definition of outcomes and what was being measured against would be looked into.	This will be incorporated into future reports.	Sarah Walker
24 January 2024	Programme Management Office (PMO) Update	Councillors discussed how member scrutiny of project scope could take place at the right points and whether training could be provided for all councillors and Co-opted members. The Head of Strategy, Performance and Partnerships agreed to look into this.	Work is ongoing in this area.	Sarah Walker
24 January 2024	Treasury Management Mid-Year Report 2023/24	A member of the Committee asked for the second column in Table 11 to show a cumulative figure in future reports. The Director of Finance agreed to look into this.	Changes will be incorporated into future reports.	Alison Parkin
27 March 2024	Update from External Audit	It was agreed that the Director of Finance would work with the Chair of the Committee to submit a formal complaint to EY.		Cllr Morgan McGeehan Alison Parkin
27 March 2024	S106 Agreements	The Head of Planning offered to provide an online training session for councillors and also agreed to send them a copy of the Council's S106 guide for councillors.		Paul Clarke

27 March 2024	Contract Waivers for the period 1 July 2023 to 31 December 2023	A member of the Committee asked whether members of the Committee could be provided with a copy of the relevant section of the Council's constitution relating to waivers and the number of procurements that had taken place for the period 1 July 2023 to 31 December 2023. The Head of Procurement agreed to provide this information.	Committee members have been provided with this information.	Linda Spiby
27 March 2024	Audit and Governance Committee – Self-Assessment of Good Practice 2023/24	It was agreed that the Head of Internal Audit would produce an action plan based on the responses to the self-assessment. Co-opted members of the Committee offered their support in producing this action plan.		Richard Boneham